BOARD OF ALDERMEN
MONDAY, JULY 14, 2025
REGULAR MEETING @ 6:00 P.M.
ALDERMANIC CHAMBERS - 2ND FLOOR - CITY HALL
235 GRAND STREET, WATERBURY, CT 06702

AGENDA

The Regular Meeting will be broadcasted live on the City of Waterbury's Government Access Channel (Comcast Channel 96; Frontier Channel 6096) and the City of Waterbury's You Tube Channel https://youtu.be/23AfCrh3ArQ.

CALL TO ORDER:

PLEDGE OF ALLEGIANCE:

PRAYER:

ROLL CALL:

OFFICIALLY SCHEDULED PRESENTATION (s):
"West Main Street Utility Improvement project" presented by Ron Cassella

PUBLIC SPEAKING:

EXECUTIVE SESSION:

APPROVAL OF MINUTES:

Monday, June 23, 2025/Public Hearing/Regular Meeting/Summary Minutes

MAYOR'S REPORT:

RECESS INTO COMMITEES:

NEW BUSINESS*:*

1. **TINA LORUSSO**
   Former Commissioner
   RE: Submittal of a Resignation Letter from the Board of Ethics Commission effective June 17, 2025.

RECEIVE AND PLACE ON FILE

2. **TARA L. SHAW**
   Director of Human Resources
   RE: Requesting approval of a New Job Specification – **Water Service Person 2**.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

3. BOARD OF PUBLIC WORKS

RE: Requesting approval of a **REFUND** to Juan Medina, 155-157 Enoch Street in the amount of **$3,200.00.** Customer made recurring payments on account since March 2023 which accumulated to this credit balance.

REFERRED TO THE FINANCE COMMITTEE

4. PAUL K. PERNEREWSKI, JR.
Mayor
City of Waterbury

RE: Requesting approval of a Proposed Resolution regarding Display of Flags on City Property. The proposed resolution would confirm that the City of Waterbury property where flags may be displayed, including flagpoles, is not intended to serve as a forum for expression by the public; and (ii) identify which flags may be displayed on City property, including flag poles.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

5. PAUL K. PERNEREWSKI, JR.
Mayor
City of Waterbury

RE: Request to **Set a Public Hearing on August 11, 2025**, for the purpose of receiving public input regarding a proposed new Section 159.05 of the Waterbury Code of Ordinances regarding Penalties for Zoning Violations, and for the Board of Aldermen to take action on that proposed Ordinance.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

6. RONALD CASSELLA
Vice President of Operations and Construction, WDC

RE: Requesting approval of the Project Authorization Letter to allow WDC to manage and administer the Fire Station 1 Project pursuant to Master Municipal Agreement between Waterbury Development Corporation and the City of Waterbury. This Project involves a complete renovation of the existing Fire Station 1 building. At this time the estimated cost for this project is 5.5 million for construction.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

7. FRANK BAKER
Building Official
Department of Inspection

RE: Requesting approval - Refund in the total amount of **1,462.50** for the following cancelled permits from **Trinity Solar, Cheshire, CT:**

PR20240004867 - Electrical -240 Stoddard Rd
PR20250000219 – Electrical – 187 Mansfield Ave.

REFERRED TO THE FINANCE COMMITTEE

8. DAVID SIMPSON
Director of Public Works

RE: Requesting approval of Amendment #1 to the Contract with J.A. Rosa Construction, LLC. for One Exchange Elevator Replacement -Addition of **$300,000** Owner Controlled Contingency in the amount not to exceed **$1,270,000**.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

9. DAVID SIMPSON
Director of Public Works

RE: Requesting approval of a Contract for One Exchange Core Restroom Improvements with J.A. Rosa Construction LLC in the amount of **$1,366,000**. Under this agreement, J.A. Rosa will perform a combination of renovations to all existing bathrooms and the installation of additional restrooms on floors 1, 2, 4, 5 and 6.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

10. TERESA BEGNAL
ALEX DESJARDINS
Waterbury Registrars of Voters

RE: Submittal of a request from the Registrars of Voters to discuss a Second Early Voting Location for the upcoming primary election on September 9, 2025. The issue is whether or not there should be a secondary early voting location for the City of Waterbury.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

11. SARAH R. GEARY
Manager of Budget Development and Oversight

RE: Submittal of the City of Waterbury Financial Status Report for May 2025.

RECEIVE AND PLACE ON FILE

12. SARAH R. GEARY
Manager of Budget
Development and Oversight

RE: Requesting approval of budget transfer within the City's **General Fund Budget** for the fiscal Year ending June 30, 2025 in the amount of **$820,000**.

REFERRED TO THE FINANCE COMMITTEE

13. PAUL K. PERNEREWSKI, JR.
Mayor
City of Waterbury

RE: Requesting approval of the following individuals to the following Boards and Commissions:

RESTRICTED APPOINTMENTS

LITTER CONTROL & BEAUTIFICATION COMMISSION

Appointee	Term to be appointed
William Nichols (R)	1/1/2022 – 12/31/25

INLAND WETLANDS AND WATERCOURSES COMMISSION

Appointee	Term to be appointed
Jose Morales (R)	1/1/2022 -12/31/2025

UNRESTRICTED APPOINTMENT

BOARD OF ETHICS

Appointee	Term to be appointed
Mark Cavanaugh (D)	8/1/2020 – 07/31/2025

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

14. JOHN GOGGIN
Assistant Director of
Community Development

RE: Requesting approval of the following:

Five-Year Consolidated Plan (2025 - 2029)
CD YR 51 (2025 - 2026) Annual Action Plan
Analysis of Impediments to Fair Housing

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

15. MICHAEL LEBLANC
Director of Finance

RE: Submittal of the Capital Project & NC Special Programs – Quarterly Budget Status Report.

RECEIVE AND PLACE ON FILE

16. MICHAEL LEBLANC
Director of Finance

RE: Requesting approval of a Temporary Construction Easement on City Owned

DAVID SIMPSON
Director of Public Works

ROY CAVANAUGH
City Engineer

Property -55 Liberty Street to State of Connecticut Department of Transportation for consideration of **$500**.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

17. RONALD CASSELLA
Vice President of Operations and Construction, WDC

RE: Requesting approval of a Construction Contract for Renovation of Fire Station 1 Located at 1979 N. Main Street between the City of Waterbury and Nosal Builders, in the not to exceed amount of **$5,478.044**.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

18. CARRIE A. SWAIN
Clerk
Waterbury Board of Education

RE: Requesting approval of a Purchase of Services Agreement with the State of Connecticut Office of Early Childhood, from July 1, 2025 through June 30, 2027 at no cost, for funding of Early Start CT (formerly known as School Readiness). ***(Subject to the BOE approval at their special meeting of July 10, 2025).***

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

19. CARRIE A. SWAIN
Clerk
Waterbury Board of Education

RE: Requesting approval of Amendment Two (2) to the Maintenance Service Agreement with SNE Building Systems, Inc. for maintenance services for building management system, system support services and temperature control at various city schools. ***(Subject to the BOE approval at their special meeting of July 10, 2025).***

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

20. MICHAEL LEBLANC
Director of Finance

RE: Requesting approval of 55 Cole St. and 320 East Main Street (Former St. Mary's Elementary School Complex) Real Estate Purchase and Sale Agreement with KayBar Development Corp at a Purchase price of **$1,600.000.**

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

21. MICHAEL LEBLANC
Director of Finance

JAMES NARDOZZI
Executive Director
WDC

BRADLEY MALAY
Acting Superintendent of Water

RE: Requesting approval of a **$18,000,000** Capital Appropriation & Bond Authorization for West Main Street Improvements.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

22. AISLING MCGUCKIN, RN
MSN-MPH
Director of Health

RE: Requesting approval of a Contract with Equinox Home Care, LLC for Individualized Nursing Services for Students with Complex Medical Needs.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

23. RONALD CASSELLA
Vice President of
Operations and Construction

RE: Requesting approval of a Project Authorization Letter to allow WDC to manage and administer the Fire Station 5 Project pursuant to Master Municipal Agreement between Waterbury Development Corporation and the City of Waterbury dated February 12, 2014. This project involves the demolition of the existing Fire Station 5 building and new construction of Fire Station 5.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

24. PAUL K. PERNERWSKI, JR.
Mayor
City of Waterbury

RE: Notification pursuant to Section 4-2 (b), and 7D-2 (a)(2) of the Charter, and Section 37.071 of the Code of Ordinances, Javier Lopez was appointed as the Fire Chief for the City of Waterbury.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

25. **PAUL K. PERNERWSKI, JR.**
Mayor
City of Waterbury

RE: Notification pursuant to Section 4-2 (b), and 7A-2 (b), and 7E-2 of the Charter, and Section 37.071 of the Code of Ordinances, Frank Baker was appointed as the Building Official for the City of Waterbury.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE

STANDING COMMITTEE

FRANK A. CARUSO, JR., CCMC
Revenue Collection Manager

RE: Request for refunds for Tax Overpayments in the amount of **$14,700.24.**

REFERRED TO THE FINANCE COMMITTEE

UNFINISHED BUSINESS:

1. **PAUL K. PERNEREWSKI, JR.**
Mayor
City of Waterbury

RE: Proposed Amendments to Code of Ordinances §31.003, governing the Environmental Commission. ***(Received on April 21, 2025 and referred to Intergovernmental Committee.***

ADJOURNMENT:

MJD:dd

BOARD OF ALDERMEN
MONDAY, JUNE 23, 2025
PUBLIC HEARING @ 5:45 PM.
REGULAR MEETING @ 6:00 PM.
ALDERMANIC CHAMBERS ~ 2ND FLOOR ~ CITY HALL
235 GRAND STREET, WATERBURY, CT 06702

:SUMMARY MINUTES:

The Regular Meeting will be broadcasted live on the City of Waterbury's Government Access Channel (Comcast Channel 96; Frontier Channel 6096) and the City of Waterbury's YouTube Channel. https://youtu.be/wwUkmaNiqxg

:PUBLIC HEARING:

5:45 P.M. For the purpose of receiving public input regarding a **$37,000,000** Appropriation & Bond Authorization for Roberto Clemente International Dual Language School Expansion Project, as submitted by ***Michael LeBlanc, Director of Finance.***

Speaker (s):

1. Shyla Pacleco, 79 Giles Street, Waterbury, CT
2. Nonichi Rodriguez, 809 Woodtick Road, Waterbury, CT
3. Karina Figueroa, 925 Oronoke Road, Waterbury, CT
4. Trecia Reid, 153 Mansfield Avenue, Waterbury, CT
5. Nichelle Fracillette, 4 Shelter Street, Waterbury, CT
6. Paul Kondash, 413 Harpers Ferry Road, Waterbury, CT
7. Jessica Carrero, 29 Ponham Street, Waterbury, CT

CLOSED AT 6:04 PM.

►CALL TO ORDER: @ 6:04 PM

►PLEDGE OF ALLEGIANCE: OBSERVED

►PRAYER: OBSERVED

(13) Present:	Alseph	Mosley	Salvio
	Cavallo	Noujaim	Tajildeen
	Hunter	Rinaldi	Weaver
	Lopez Jr. ***(Arrived at 6:36 PM.)***	Rodriguez	DiGiovancarlo ***(President)***
	Martinez-McCarthy		

(2) Absent: Alderman D'Orso and Zimmermann

►OFFICIALLY SCHEDULED PRESENTATION/s: N/A

►PUBLIC SPEAKING:

Speaker (s):

1. Martin Spring, 1400 Meriden Road, Waterbury, CT
2. Paul Kondash, 413 Harpers Ferry Road, Waterbury, CT
3. Sharon L. Samoska, 45 Pear Street, Waterbury, CT

►EXECUTIVE SESSION: N/A

►APPROVAL OF MINUTES:

Monday, June 09, 2025/ Regular Meeting Summary Minutes

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE the aforementioned minutes.

AGENDA ADDITION

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted unanimously to <u>ADD</u> CONNECTICUT GRANT FOR MUNICIPAL POLICE DEPARTMENT AS ITEM #20 TO THE AGENDA.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to <u>RESOLVE THEMSELVES INTO COMMITEE OF THE WHOLE TO ADDRESS UNFINISHED BUSINESS ITEMS #1, #3 & #4.</u>

►MAYOR'S REPORT: N/A

►RECESS INTO COMMITEES:

►NEW BUSINESS*:*

1. LEXI NOUJAIM
Former Commissioner

RE: Submittal of a Resignation Letter from the Board of Beautification and Litter Commission, Lexi Noujaim. Effective May 31st, 2025.

<u>RECEIVE AND PLACE ON FILE.</u>

2. DOMENIC DAVINO JR.
105 Norris Street, Waterbury, CT 06705

RE: Submittal of a proposed Ordinance for consideration, addressing the urgent need for metal detectors and enhanced security infrastructure in Waterbury Public Schools.

<u>RECEIVE AND PLACE ON FILE.</u>

3. EMILY ZAO
Project Engineer

RE: Requesting approval of a Construction Contract for **RFP #8348** 70 Pine Street Replacement the contract value is **$198,000** which includes **$78,000** allowances.

<u>REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.</u>

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to <u>APPROVE</u> Item 3.

4. CARRIE A. SWAIN, *CLERK*
Waterbury Board of Education

RE: Requesting approval of a Professional Services Agreement (**RFP 8429**), for a five-year term and in the not to exceed amount of **$250,000.00**, with David Leeper Consulting LLC for Cisco Network Engineering Services.

<u>REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.</u>

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to <u>APPROVE</u> Item #4.

5. **CARRIE A. SWAIN, *CLERK***
Waterbury Board of Education

RE: Requesting approval of a Professional Services Agreement (**RFP 8436**) with The Nurse Network, LLC, for a three-year period and in the not to exceed amount of **$2,216,025.00**, to provide certified nursing assistant services.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #5.

6. **CARRIE A. SWAIN, *CLERK***
Waterbury Board of Education

RE: Requesting approval of a Professional Services Agreement (**RFP 8454**), for a three-year period and in the not to exceed amount of **$2,100,000.00**, with Capitol Region Education Council Resource Group for school psychology services for students.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #6.

7. **CARRIE A. SWAIN, *CLERK***
Waterbury Board of Education

RE: Requesting approval of Amendment Two (2) to the Agreement with American School for the Deaf for special education services for students.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #7.

8. **CARRIE A. SWAIN, *CLERK***
Waterbury Board of Education

RE: Requesting approval of the following three resolutions which will allow the Board of Education to submit a School Construction Grant Application to the Department of Administrative Services for the State's consideration:

Resolution #1:
Resolved that the Board of Aldermen authorizes the Waterbury Board of Education to apply to the Commissioner of Administrative Services and to accept or reject a grant for the proposed Roberto Clemente International Dual Language School Expansion Project.

Resolution #2:

Resolved that the following members are hereby established as the Building Committee with regard to the proposed Roberto Clemente International Dual Language School Expansion Project: LaToya Ireland, Elizabeth Brown, Jacqueline Jackson, Rocco Orso, and Melissa Serrano-Adorno.

Resolution #3:
Resolved that the Board of Aldermen authorizes at least the preparation of schematic drawings and outline specifications for the proposed Roberto Clemente International Dual Language School Expansion Project.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #8.

9. CARRIE A. SWAIN, ***CLERK***
Waterbury Board of Education

RE: Requesting approval of a Subcontractor Agreement with Child Health and Development Institute of Connecticut, Inc., for three-year period and at no cost, to provide professional development activities to increased awareness and knowledge around behavioral health.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #9.

10. EMILY ZAO
Project Engineer

RE: Requesting approval of Construction Contract for RFP **#8349** North End Recreation Roof Replacement. The contract value **$432,940.00** which includes **$95,000.00** allowances and is funded through State LoCIP.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #10.

11. EMILY ZAO
Project Engineer

RE: Requesting approval of Construction Contract for RFP **#8355** Washington Park House Roof Replacement. The contract value is **$201,255.00** with **$45,000.00** allowances and is funded through State LoCIP.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #11.

12. DAVID SIMPSON
Director of Public Works

RE: Requesting approval of Contract for One Exchange Cooling Tower Replacement between the City of Waterbury Tucker Mechanical, Inc. – RFP **#8459** in the amount of **$490,000.00**.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #12.

NON-CONSENT

13. MICHAEL LEBLANC
Director of Finance

DAVE SIMPSON
Director Public Works

RE: Requesting approval of Information on the Costs, for Police & Fire Department Responses, and Lease Information for Former St. Mary's School and Sacred Heart Properties.

RECEIVE AND PLACE ON FILE.

14. FRANK CARUSO JR.
Revenue Collection Manager

RE: Requesting approval of a 2024-2025 Waterbury Hospital Bankruptcy – Tax Suspense List.

REFERRED TO THE FINANCE COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #14.

15. CARRIE A. SWAIN, *CLERK*
Waterbury Board of Education

RE: Requesting approval of a District Participation Agreement with Capitol Region Education Council (CREC), at no cost, to participate in an evaluative study of the CT Welcoming Schools Imitative.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #15.

16. CARRIE A. SWAIN, *CLERK*
Waterbu*ry Board of Education*

RE: Requesting approval of a Student's Intern Affiliation Agreement with University of Pennsylvania Graduate School of Education, at no cost, to provide school counseling field practicum/internships.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #16.

NON-CONSENT

17 CARRIE A. SWAIN, ***CLERK***
Waterbury Board of Education

RE: Requesting approval of Amendment One (**1**) to the services Agreement (**RFP 6845**) with All-Star Transportation, LLC for Student Bus Transportation Education Bus Transportation Services. This Services/Special Amendment will include the addition of the district's magnet school.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #17.

18. LT. ANDREA D' AGOSTINO
Waterbury Police Department

RE: Requesting approval of Amendment to the 2023 ARPA Auto Theft and Violence grant agreement, extending the performance end date to June 30, 2026.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #18.

19. ROBERT T. DAVIS
Deputy Chief
Waterbury Police Department

RE: Requesting approval of a Connecticut grant for the Municipal Police Department for aggressive enforcement of speed and aggressive driving laws, with the Department of Transportation providing reimbursement.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #19.

20. LT. ANDREA D' AGOSTINO
Waterbury Police Department

RE: Requesting approval of a Connecticut grant for the Municipal Police Department for aggressive enforcement of speed and aggressive driving laws, with the Department of Transportation providing reimbursement.

REFERRED TO THE INTERGOVERNMENTAL COMMITTEE.

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE Item #20.

►STANDING COMMITTEE:

FRANK A. CARUSO, JR., CCMC
Revenue Collection Manager

RE: Request for refunds for Tax Overpayments in the amount of **$28,005.96.**

REFERRED TO THE FINANCE COMMITTEE.

On a motion of Alderman Mosley, seconded by Alderman Rinaldi, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE AS READ AND AUTHORIZE the Director of Finance to make refunds to taxpayers representing overpayment of tax bills in the aforementioned amount.

►UNFINISHED BUSINESS – COMMITTEE OF THE WHOLE:

1. PAUL K. PERNEREWSKI, JR
Mayor
City of Waterbury

RE: Requesting approval of the Repeal of Ordinances Governing Dormant Boards and Commissions and Amendments to related Ordinances. **(Received on 04/21/2025 and referred to the Intergovernmental Comm.)**

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE UFB; Item #1.

2. PAUL K. PERNEREWSKI, JR
Mayor
City of Waterbury

RE: Requesting approval of Amendments to Code of Ordinances § 31.003, governing the Environmental Commission. **(Received on 04/21/2025 and referred to the Intergovernmental Comm.)**

NON-CONSENT

3. MICHAEL LEBLANC
Director of Finance

RE: Requesting approval of a Lease Agreement with Naugatuck Valley Council of Governments – One Exchange Units 6A and 6B.
(Received 5/19/25 referred to Intergovernmental)

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by a 12 yea – 1 nay roll call to APPROVE UFB; Item #3.

YEAS: Alderman Cavallo, DiGiovancarlo, Hunter, Lopez Jr., Martinez-McCarthy, Mosley, Noujaim, Rinaldi, Rodriguez, Salvio Tajildeen, Weaver **– 12**

NAYS: Alderman Alseph **– 1**

4. MICHAEL LEBLANC
Director of Finance

RE: Requesting approval of a **$37,000,000** Capital Appropriation & Bond Authorization for Roberto Clemente International Dual Language School Expansion Project.
(Received on 04/21/2025and referred to the Intergovernmental Comm.)

On a motion of Alderwoman Martinez-McCarthy, seconded by Alderwoman Weaver, the Board of Aldermen voted, by unanimous consent calendar, to APPROVE UFB Item #4.

CONSENT CALENDAR:

NEW BUSINESS ITEMS - #3 - 12 &14 - 16,18,19 & 20 APPROVED
RECEIVED AND PLACED ON FILE ITEMS #1 & #2

COMMITTEE OF THE WHOLE

UNFINSHED BUSINESS ITEMS #1 & #4 - APPROVED

STANDING COMMITTEE – APPROVED

ON A MOTION OF ALDERWOMAN MARTINEZ-MCCARTHY, DULY SECONDED BY ALDERWOMAN WEAVER, THE BOARD OF ALDERMEN VOTED UNANIMOUSLY TO APPROVE THE CONSENT CALENDAR AS READ.

NON-CONSENT

ITEMS #13 RECEIVED AND PLACED ON FILE
ITEMS #17& UFB #3 - APPROVED

✓ADJOURNMENT:

On a motion of Alderwoman Martinez-McCarthy, Seconded by Alderwoman Weaver, the Board of Aldermen Voted Unanimously to ADJOURN at 7:40 PM

MJD/tc
BOA-Agenda.06-23-2025

#1

From: Tina LoRusso <tlorusso7271@yahoo.com>
Date: 6/17/25 10:53 AM (GMT-05:00)
To: Alderman Rodriguez <aldermanrodriguez@waterburyct.org>
Subject: Resignation from the Board of Ethics

To whom it may concern,

It has been an honor to be a commissioner on the Board of Ethics. I am writing to turn in my resignation; as I have recently accepted a new position as commissioner on the Board of Public Health.

Thank you for the opportunities presented to me,

Tina LoRusso, LPC

Sent from Yahoo Mail for iPhone

WATERBURY CITY CLERK
RECEIVED
2025 JUN 17 P 3:24

236 Grand Street
Waterbury, CT 06702

(203) 574-6761

The City of Waterbury
Connecticut
Department of Human Resources
Office of the Civil Service Commission

June 23, 2025

VIA HAND DELIVERY
Board of Aldermen
235 Grand Street
Waterbury, CT 06702

Re: New Job Specification – Water Service Person 2

Honorable Board of Aldermen:

I write to request approval of the new job specification for the position of Water Service Person 2. The Civil Service Commission reviewed and approved job specification at its June 17, 2025 meeting.

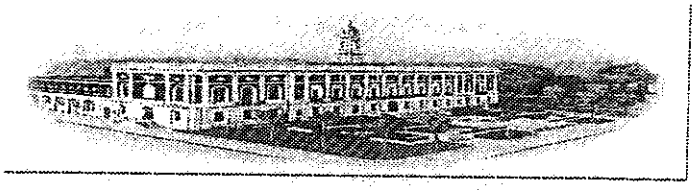
Please place this item on the agenda for you July 14, 2025 meeting. Thank you for your consideration.

Sincerely,

Tara L. Shaw

Tara L. Shaw
Director of Human Resources

Cc: Brad Malay, *via email*



CITY OF WATERBURY CONNECTICUT
BUREAU OF WATER
21 EAST AURORA STREET WATERBURY, CT. 06078 203.574.8251

To: **Nicholle West, Human Resources**
From: **Bradley Malay, Acting Superintendent of Water**
Date: **June 11th 2025**

RE: Justification for the Creation of Water Service Person 2 Position

The creation of a higher-level position, *Water Service Person 2*, is essential to improving workforce retention, recognizing employee experience, and strengthening operational efficiency within our department.

Over time, we have experienced a consistent and concerning trend: the loss of valuable, experienced employees. A primary driver behind this turnover is the lack of a clear progression path within the current structure. Employees are not rewarded for their tenure, skills development, or commitment, leading to frustration, low morale, and ultimately, resignation.

Currently, new hires and long-standing employees receive the same compensation regardless of experience or performance. This stagnation not only discourages long-term commitment but also undermines the efforts of those who have contributed significantly to the department over the years. Without a system in place to acknowledge advancement, we risk continuing this unsustainable cycle of turnover, which in turn increases training costs, disrupts operations, and diminishes institutional knowledge.

The proposed *Water Service Person 2* classification would serve as a critical step in recognizing employee growth, offering both a title and compensation that reflect increased responsibility, skills, and years of service. This role would provide clear criteria for advancement and set expectations for continued development, allowing the department to retain and motivate high-performing staff while improving the quality and consistency of our services.

Implementing this position is not only a strategic investment in our workforce—it is a necessary step toward building a sustainable and high-functioning team. By creating a defined career path and acknowledging the contributions of seasoned workers, we will enhance job satisfaction, reduce turnover, and help to ensure the long-term stability and excellence of our water service operations.

Respectfully,

Bradley Malay

Bradley Malay

Acting Superintendent of Water

#2

Date Approved C.S.C.:

Date Approved B.O.A.:
FLSA Status: Non-Exempt
Union Affiliation: Blue Collar

WATER SERVICE PERSON 2

General Statement of Duties:

The purpose of this classification is to perform responsible utility maintenance and labor-related tasks, including the installation and repair of pipelines using pneumatic equipment and hand tools; the installation of residential and commercial water meters equipped with consumption monitoring technology; and the maintenance, repair, and installation of water services, fire hydrants, gate valves, and related infrastructure.

This classification also requires the proficient operation of backhoes, excavators, front-end loaders, and other heavy equipment used in the installation of water mains, services, and appurtenances.

Distinguishing Features of Classification:

Work involves the ability to responsibly perform a variety of physical & mechanical tasks on all sizes of utility pipelines including, services, meters, hydrants, gate valves, stock inventory, assists in the maintenance of storage tanks and pump stations, leak detection, CBYD location & mark outs and other related water system tasks.

An employee in this class works under the supervision of the Lead Distribution service person, Distribution Supervisor or General Foreman. Work involves the responsibility for safe, effective, timely repair, installation, maintenance of all underground water utilities.

An employee in this classification will be responsible for the safe, effective operation of heavy machinery operation around underground utilities.

Examples of Work:

Performs laboring work in support of maintenance; installation and repair of water mains, services, gate valves, fire hydrants, water meters; locates pipes, leak detection and performs other operational related duties as required or assigned.

Operates pumps, generators, compactors, pneumatic excavation tools, utility truck, dump truck,

Operates backhoe, mini excavator, pay loader, skid steer, power equipment and other heavy equipment, vehicles or machinery as required or assigned.

Performs work safely, observes all safety procedures, use shoring boxes and performs basic inspection of equipment prior to use

Required Knowledge Skills and Abilities:

Thorough knowledge of methods and materials used in utility pipeline maintenance, repair, and installation. Familiarity with water service maintenance practices, plumbing techniques, and associated tools and equipment. Proficient in the use of electronic devices to interpret maps, sketches, construction plans, work orders, and hydrant flushing schedules.

Skilled in taking accurate measurements and preparing detailed field sketches. Demonstrates excellent mechanical aptitude and the successful operation of heavy machinery commonly used by the Water Department, including backhoes, excavators, and front-end loaders. Responds to utility emergencies on a 24/7 basis, including nights, weekends, and holidays, as mandated by the Superintendent of Water or General Foreman.

Participates in on-call rotations as assigned. Possesses the ability to establish and maintain effective working relationships with co-workers, supervisors, customers, and the general public

Required Experience and Training:

A high school diploma or qualified years of experience is required being at the discretion of the Superintendent of Water; at least three years of experience in utility pipeline maintenance, general labor-intensive work, or the installation and repair of water pipelines. Candidates must have a minimum of three years of experience within the Water Department, with at least one of those years consisting of heavy machinery operation and training, or be classified as an Operator by management and supervisory staff's discretion.

Additional Requirements:

Must possess a valid CDL-B Commercial Driver's License with a clean driving record.

In the event of an emergency or other unforeseen circumstance that critically impacts operations or public safety, employees may be required to work overtime as mandated by management. Such emergencies may include, but are not limited to, natural disasters, system failures, or other operational disruptions. While the Water Department will make every effort to provide advance notice when possible, employees are expected to be available to fulfill additional work hours to ensure the continuity of essential business functions.

Other Information:

Class-3 Water Distribution Operator License preferred.

Physical Requirements:

Incumbents in this class must have general good health, be free from any disease or injury which would impair health or usefulness and possess and retain sufficient physical strength, stamina, agility, endurance and visual and auditory acuity required to perform all the duties of the class. Incumbents in this class may be required to lift moderate weights; may be exposed to some risk of injury from kitchen equipment and from students. A comprehensive medical examination, including a controlled substance screening, will be required of all applicants upon a conditional offer of employment.

Frequency: Place an "X" in each box that is appropriate to your job.

NEVER (N)	OCCASIONALLY (O)				FREQUENTLY (F)	CONSTANTLY (C)			
0 % of Shift	1-33% of Shift				34-66% of Shift	67-100% of Shift			
Working Conditions	**N**	**O**	**F**	**C**	**Working Conditions**	**N**	**O**	**F**	**C**
Physical Demands					Depth Perception			X	
Standing			X		Color Distinction				X
Walking			X		Peripheral Vision			X	
Sitting		X			Driving			X	
Lifting			X		**Physical Strength:**				
Carrying			X		Little Physical Effort (-10 lbs.)			X	
Pushing		X			Light Work (-20 lbs.)			X	
Pulling		X			Medium Work (20-50 lbs.)			X	
Climbing		X			Heavy Work (50-100 lbs.)			X	
Balancing		X			Very Heavy Work (100+ lbs.)		X		
Stooping			X		**Environmental Conditions**				
Kneeling			X		Cold (50 degrees F or less)		X		
Crouching			X		Heat (90 degrees F or more)		X		
Crawling		X			Temperature Changes		X		
Reaching			X		Wetness		X		
Handling			X		Humidity		X		
Grasping			X		Extreme Noise or Vibration		X		
Twisting			X		Exposure to Chemicals		X		
Feeling			X		Exposure to Gases and Fumes			X	
Talking			X		Exposure to Unpleasant Odors		X		
Hearing			X		Exposure to bodily fluids		X		
Repetitive Motion			X		Exposure to dampness			X	
Hand/Eye/Foot Coordination				X	Confinement to Small or Restricting Area			X	
Visual Acuity/Near			X		Mechanical Hazards		X		
Visual Acuity/Far			X		Physical danger or abuse		X		

The above statements are intended to describe the general nature and level of work being performed by the employee assigned to this position. They are not to be construed as an exhaustive list of all job responsibilities and duties performed by personnel so classified.

The City of Waterbury and Waterbury Public Schools is an Equal Opportunity Employer. In compliance with the Americans with Disabilities Act, the District will provide reasonable accommodations to qualified individuals with disabilities and encourage both prospective and current employees to discuss potential accommodations with the District when necessary.

Prepared on: April 26, 2022

Civil Service Commission
Meeting
Tuesday June 17, 2025 @ 6:00 PM
Chase Building
Human Resources Conference Room #202
236 Grand Street
Waterbury CT 06702

DRAFT MINUTES

CALLED TO ORDER AT 6:00 P.M.

PLEDGE OF ALLEGIANCE: Observed

COMMISSIONERS PRESENT:

Blum

Aybar

Nido *via zoom*

Work

COMMISSIONERS ABSENT:

Ford

OTHERS PRESENT:

Tara L. Shaw, *Director of Human Resources*

APPROVAL OF MINUTES:

Approval of Minutes from the June 3, 2025 Civil Service Meeting.

On motion of Commissioner Aybar, Seconded by Commissioner Nido the Civil Service Commission voted unanimously to APPROVE the aforementioned minutes.

New Business:

1. Revised Job Specification
    - Water Service Person

    On motion of Commissioner Nido, Seconded by Commissioner Work, the Civil Service Commission voted unanimously to APPROVE New Business item 1, approving the revised job specification of Water Service Person.

Brad Malay presented the Revised Job Specification.

2. New Job Specification

   - Water Service Person 2

   On motion of Commissioner Nido, Seconded by Commissioner Aybar, the Civil Service Commission voted unanimously to APPROVE New Business item 2, approving the new job specification of Water Service Person 2.

   Brad Malay presented the New Job Specification.

3. Disqualification Appeal – Open Competitive Exam #2666 – Maintainer II

   - Davon James

     Davon James presented his appeal.

     On motion of Commissioner Nido, Seconded by Commissioner Aybar, the Civil Service Commission voted unanimously to GRANT New Business item 3, granting the disqualification appeal of Davon James.

4. Disqualification Appeal – Open Competitive Exam #2666 – Maintainer II

   - Natalie Gonzalez

     On motion of Commissioner Aybar, Seconded by Commissioner Work, the Civil Service Commission voted unanimously to GRANT New Business item 4, granting the disqualification appeal of Natalie Gonzalez.

Unfinished Business

None

Correspondence to Receive and Place on File:

None

ADJOURNMENT:

On motion of Commissioner Work, Seconded by Commissioner Nido, the Civil Service Commission voted unanimously to adjourn at 6:10 P.M.

#3

OFFICE OF THE CITY CLERK
MEMORANDUM

DATE: June 30, 2025

FROM: Board of Public Works

TO: Board of Aldermen

SUBJECT: **REFUND**

At a Regular Meeting of the Board of Public Works held on Tuesday, June 24, 2025, the Board voted unanimously to **APPROVE** the following **REFUND AND FORWARD** to the Board of Aldermen for approval:

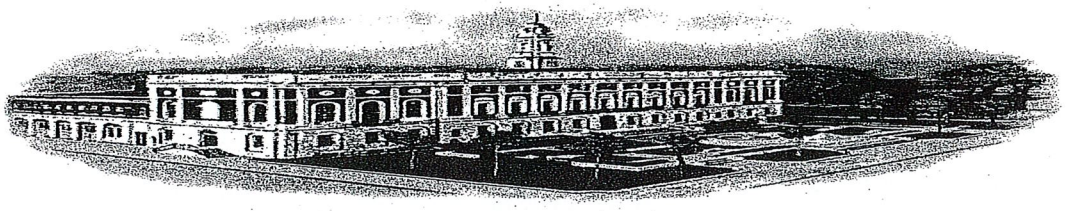
Juan Medina $3,200.00

ATTEST: Michael J. Dalton
Michael J. Dalton
City Clerk

MJD:dd

Item #9

#3



The City of Waterbury
Bureau of Water and Water Pollution Control

May 21, 2025

Board of Public Works
c/o City Clerk's Office
236 Grand Street
Waterbury, Connecticut 06702

Re: Refunds

Dear Honorable Board:

I respectfully request the following Water & Sewer refunds be placed on the agenda of the Board of Public Works meeting scheduled for Tuesday, May 27, 2025. Upon the Board of Public Works approval, please forward to the Board of Aldermen for its approval:

Name	Service Location	Reason for Refund	Refund Amount
Juan Medina	155-157 Enoch St	Customer made recurring monthly $200.00 payments on account since march 2023 which accumulated to this credit balance	3,200.00
		Total refunded amounts	**$3,200.00**

Thank you for your consideration in this matter.

Respectfully Submitted,

Marc Levesque, Billing Supervisor
Utility Administration Division

Dept Approval:

5/21/25

Jim Berthelson
Controller, Utility Administration Division

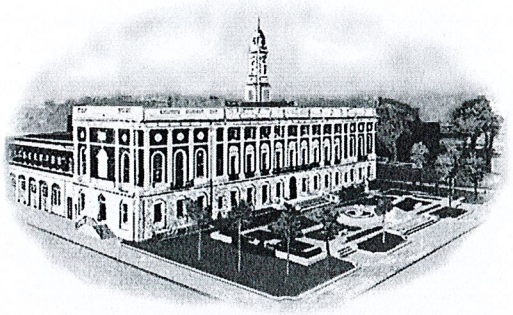
Bradley Malay 5/22/25

Bradley Malay
Acting Superintendent of Water

WATERBURY CITY CLERK
RECEIVED
2025 MAY 22 P 1:50

#4

PAUL K. PERNEREWSKI, JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

June 25, 2025

Board of Aldermen
City of Waterbury
c/o Office of City Clerk
235 Grand Street
Waterbury, CT 06702

RE: Display of Flags on City Property

Dear Honorable Board Members:

I respectfully request that the following item be placed on the agenda for the Board of Aldermen meeting on July 14, 2025, for approval:

To take action on a proposed Resolution regarding Display of Flags on City Property.

The proposed Resolution would (i) confirm that City of Waterbury property where flags may be displayed, including flagpoles, is not intended to serve as a forum for expression by the public; and (ii) identify which flags may be displayed on City property, including flag poles.

A copy of the proposed Resolution is attached hereto for your reference. Please feel free to call with any questions you may have.

Very truly yours,

Paul K. Pernerewski, Jr.

WATERBURY CITY CLERK
RECEIVED
2025 JUN 26 A 8:59

cc: Alderman Michael DiGiovancarlo
Angela R. Juliani, Corporation Counsel

Enc.

RESOLUTION

Display of Flags on City Property

The Board of Aldermen of the City of Waterbury, Connecticut, in meeting assembly duly called and convened, on the date herein noted, DECLARE:

NOW THEREFORE, BE IT RESOLVED: That it is the policy of the City of Waterbury that flags should be displayed in conformance with Federal and State policies, as stated in United States Code, Title 4, Chapter 1 and 36 U.S. Code § 902; the Federal "Our Flag" publication of the United States Congress; and the State of Connecticut General Statutes, Title 3, Chapters 31 and 33, and Title 4b, Chapter 59.

AND BE IT FURTHER RESOLVED: That City of Waterbury property where flags may be displayed, including flagpoles, is not intended to serve as a forum for expression by the public.

AND BE IT FURTHER RESOLVED: That the following flags will be displayed on City property in the following order of precedence: first, the United States flag; second, the State of Connecticut flag; and third, the City of Waterbury flag.

AND BE IT FURTHER RESOLVED: That other flags may be displayed on City property, as an expression of the City's official government speech, only as follows:

1. *POW/MIA Flag.* The Mayor may order display of the National League of POW/MIA Families flag in a manner consistent with 36 U.S. Code § 902.

2. *Other Flags.* By proclamation of the Mayor or resolution of the Board of Aldermen, the following flags may be displayed on City property:

    A. Flags of Governments Recognized by the United States of America. The City may display flags of governments recognized by the United States Department of State to honor visitors or to honor residents with international heritage, with the goal of making Waterbury a more welcoming city for residents of all backgrounds.

    B. The Pan-African Flag. The City may display the Pan-African flag to honor visitors or to honor residents with international heritage, with the goal of making Waterbury a more welcoming city for residents of all backgrounds.

    C. Flags of other States, Territories, Federal Districts, Municipalities, and American Indian Tribes of the United States of America. The City may display the flags of other states, territories, federal districts, municipalities, and federally recognized American Indian tribes of the United States of

America as a display of the friendship and goodwill of Waterbury residents.

D. Military Branches and First Responders. The City may display flags of branches of the United States military, and flags of the police and fire departments of the City, as a display of respect and appreciation for the service of members of those military branches and departments.

E. Sister City Flags. The City may display the Flag of any recognized Sister City of the City of Waterbury during official visits of representatives of the Sister City.

F. PRIDE Flag and Rainbow Flag. The City may display the PRIDE Flag or Rainbow Flag in support of the LGBTQ+ community, in celebration of PRIDE events, and as a statement to the public that discrimination, harassment and harm to LGBTQ+ individuals is not acceptable and will not be tolerated.

G. Juneteenth Flag. The City may display the Juneteenth Flag in honor of the City, State, and Federal Juneteenth holiday.

Dated at Waterbury, Connecticut, this _____ day of _____, 2025.

Motion for approval made by: _____

Motion seconded by: _____

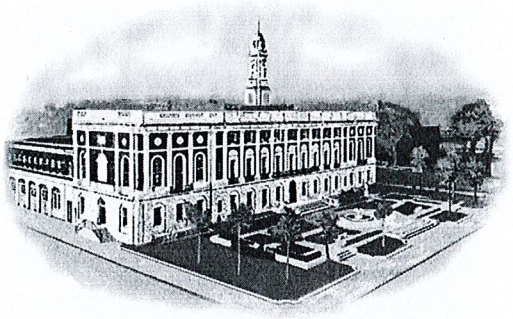
Voted upon motion for

Approval: _____ Disapproval: _____

Passed _____ Failed _____

#5

PAUL K. PERNEREWSKI, JR.
MAYOR



OFFICE OF THE MAYOR

THE CITY OF WATERBURY

CONNECTICUT

June 25, 2025

Board of Aldermen
City of Waterbury
c/o Office of City Clerk
235 Grand Street
Waterbury, CT 06702

RE: New Ordinance Section Regarding Penalties for Zoning Violations

Dear Honorable Board Members:

I respectfully request that the following item be placed on the agenda for the Board of Aldermen meeting on July 14, 2025, to set a public hearing and thereafter approve:

> To set a public hearing for August 11, 2025, for the purpose of receiving public input regarding a proposed new Section 159.05 of the Waterbury Code of Ordinances regarding Penalties for Zoning Violations, and for the Board of Aldermen to take action on that proposed Ordinance at their meeting on said date.

The proposed Ordinance would (i) provide that the Zoning Enforcement Officer of the City, or his or her designee, may issue citations for zoning violations; (ii) impose penalties for such violations; and (iii) provide for appeals from such citations to a hearing officer, who would be appointed by the Mayor.

I would respectfully remind the Board that notice of any such public hearing must be published ten (10) business days (*i.e.,* not counting weekends and holidays) prior to such hearing. Accordingly, any such hearing should be set for a date that would allow sufficient time for the publication of such notice. In addition, copies of the proposed amendment must be available to the public in the City Clerk's Office for those ten (10) business days.

A copy of the proposed Ordinance is attached hereto for your reference. Please feel free to call with any questions you may have.

WATERBURY CITY CLERK
RECEIVED
2025 JUN 26 A 8:59

Very truly yours,

Paul K. Pernerewski, Jr.

cc: Alderman Michael DiGiovancarlo
Angela R. Juliani, Corporation Counsel
Robert Nerney, City Planner

Enc.

CHAPTER 159: ZONING

§ 159.05 PENALTIES FOR ZONING VIOLATIONS.

(A) Enforcement by Citation. The Zoning Enforcement Officer of the city, as that term is defined in the Zoning Regulations of the city, or his or her designee, may issue a citation for any violation of said Zoning Regulations as they may be amended from time to time.

(B) Penalty. The penalty for the violation of any provision of the Zoning Regulations shall be one hundred fifty dollars for each day during which that violation continues, unless a lower penalty is set forth in the schedule of fees, charges, penalties, and rewards attached to the annual budget of the city, pursuant to § 41.11 of this code.

(C) Appointment of Citation Hearing Officers. The Mayor shall appoint one or more Hearing Officers to hear appeals from citations issued pursuant to this section. Said Hearing Officer(s) may be the same person(s) designated as Hearing Officer(s) under other sections of this code, except that no Hearing Officer hearing any appeal from a citation issued pursuant to this section shall be a zoning enforcement officer, building inspector, or other employee of city.

(D) Procedures for Hearing. Any person to whom a citation is issued pursuant to this section, or his or her duly authorized agent, may file a written request with the Zoning Enforcement Officer for a hearing before a Hearing Officer within 10 days of the issuance of said citation. Any hearing duly requested by any person pursuant to this section shall be subject to the procedures set forth in § 150.66 of this code, except that in no event shall such procedures be contrary to section 7-152c of the general statutes, as that section may be amended from time to time.

PAUL K. PERNEREWSKI JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

June 27, 2025

The Waterbury Development Corporation
Attn: James Nardozzi, Executive Director
83 Bank Street, 3rd Floor
Waterbury, CT 06702

RE: Project Authorization Letter, Fire Station 1 Project pursuant to Master Municipal Agreement between Waterbury Development Corporation and the City of Waterbury Dated February 12, 2014

Dear Mr. Nardozzi:

On February 12, 2014, the City of Waterbury (City) and the Waterbury Development Corporation (WDC) entered into a Master Municipal Agreement for the Management of Projects. This Project Authorization Letter (PAL) is hereby issued pursuant to the Master Municipal Agreement.

The Waterbury Development Corporation shall be responsible for the project management services for the Fire Station 1 Project (hereinafter "Project"), as more specifically set forth in Exhibit A, attached hereto and made a part of this PAL. WDC shall commence work on this Project upon the receipt by the City of this PAL signed by WDC along with all necessary documents and insurance coverage as required by the Master Municipal Agreement. WDC shall perform all services set forth herein and as set forth in the Master Municipal Agreement pursuant to Exhibit A.

At this time, the estimated cost for this Project is $5.5 million for construction. Funding for this Project is provided through the City Bonds. All documents and grant agreements related to funding sources are herein incorporated by reference and made a part of this PAL. WDC is hereby given authorization to apply for, on behalf of the City of Waterbury, additional Federal and/or State funding that may be available to complete this Project.

WDC shall be compensated through the City's annual contribution to WDC for services herein provided and otherwise in an amount not to exceed that amount allowed by said Federal and/or State and/or other funding sources for services herein provided. If additional funds are secured by WDC and/or City for this completion of this Project, WDC shall be compensated in such additional amount not to exceed that amount allowed by said additional funding sources. The City and WDC agree that this PAL may be revised in accordance with the Master Municipal Agreement.

Payment to WDC shall be made (a) pursuant to authorization by the City after the review and final approval of WDC's requests for draw–downs against grant and program funds allocated to the City pursuant to the Master Municipal Agreement; and/or (b) pursuant to any Federal and/or State funding agreements for funds allocated directly to WDC on behalf of the City of Waterbury for the Project. In addition, any reimbursement for actual expenditures will be in accordance with the terms of the Master Municipal Agreement.

WDC is authorized under the Master Municipal Agreement to pursue funding sources, engage in planning, designing, engineering, and supervision of construction for this project.

Please indicate your concurrence with this PAL by signing below and returning a copy to the City of Waterbury. Submission of the written acknowledgement of the PAL by facsimile or electronic transmission is acceptable. The Master Municipal Agreement will be incorporated into this PAL and shall together contain the legal and binding obligations of the WDC with respect to the management of this Project.

Very truly yours,

Paul K. Pernerewski Jr.
Mayor, City of Waterbury

Accepted and agreed this _____ day of _____, 2025.

WATERBURY DEVELOPMENT CORPORATION

By: _____
James Nardozzi
Executive Director

EXHIBIT A
Fire Station 1 Project
Scope of Services

General Overview

This Project consists of the management and administration of the Fire Station 1 Project. This Project involves a complete renovation of the existing Fire Station 1 building. WDC shall be responsible for the overall management, and administration of all Phases related to this Project. In working collaboratively with the City, WDC shall provide all related services to this Project including, not limited to, general administration, professional and technical services, contract management, funding oversight and coordination, scheduling, contracting with all necessary consultants and contractors to perform the services herein.

WDC shall (as applicable to this Project):

1. Work with City staff to create an overall plan for this Project, shepherd this plan through approval process and implement and oversee all activities mandated by this plan;

2. Work with the City's Director of Finance, or his designee, to determine the cash flow needs of this Project;

3. Liaise with stakeholders including the City and the Project's consultants, engineers and contractors regarding various aspects of this Project;

4. Work with the City's Purchasing Department to procure all necessary professional, engineering and/or construction services for this Project;

5. Work with City on the bid/proposal/qualification evaluation process to ensure that all submission requirements are accounted for and that the most qualified/capable respondent is selected;

6. Evaluate submitted value engineering proposals with the City to identify options for obtaining optimum value and otherwise realize maximum value;

7. Attain all requisite approvals, clearances, consultant/contractor documentation, etc. for any/all professional service, engineering and/or construction agreements, contracts, and/or purchase orders and maintain copies of all associated paperwork;

8. Contract, on behalf of the City of Waterbury, with all necessary professionals, engineers and/or contractors for this Project;

9. On behalf of the City of Waterbury, enter into confidentiality, access or other agreements, with or without options to buy, as needed for this Project;

10. Manage the day-to-day activities of this Project on the City's behalf;

11. Oversee/Review the work of consultants, engineers and contractors to ensure compliance with terms and conditions of contracts, agreements, and/or purchase orders and perform all other obligations and responsibilities of the City's Project Manager as delineated in any agreement, contract and/or purchase order that the City and/or WDC may enter into for this Project;

12. Inform/Advise the City about the design, cost/budget, schedule, status, etc. of all work associated with this Project. This will likely include the review, management and administration of the following:

    a. Environmental assessment/abatement/remediation plans (hazardous building material, soil, groundwater, etc.)
    b. Environmental and geotechnical sampling plans and results
    c. Work plans
    d. Health and safety plans
    e. Architectural/Construction plans
    f. Demolition plans
    g. Grading and drainage plans
    h. Structural plans
    i. Zoning regulations
    j. Technical specifications
    k. Work schedules
    l. Agreements/Contracts/Purchase Orders for professional, engineering and construction services

13. Obtain and maintain all closeout documentation (reports, warranties/warranty letters, as-built drawings, etc.);

14. Assist in the preparation and presentation of all materials all materials, forms and reports required on the part of the City;

15. Make presentations to and appear before the Waterbury Board of Aldermen and/or other committees or departments, as requested, to provide requested information or to provide status reports, with supporting documentation, which shall include, but is not limited to, budgetary and accounting information, project schedules and updates; and

16. Pursue additional funding opportunities that may become available that are consistent with and comply with City Ordinances.

(Remainder of the page intentionally blank)

#7

The City of Waterbury
DEPARTMENT OF INSPECTION
185 South Main Street, 5th floor
Waterbury, CT 06706
(203) 574-6832

June 25, 2025

Honorable Board of Aldermen
c/o City Clerk
236 Grand Street
Waterbury, CT 06702

Dear Honorable Board of Aldermen:

Attached please find a request for refund from TRINITY SOLAR, 7 MCKEE PLACE, CHESHIRE, CT 06410 for the following permits:

PR20240004867 (Electrical Permit) for 240 Stoddard Rd., Waterbury, CT and
PR20250000219 (Electrical Permit) for 187 Mansfield Ave., Waterbury, CT.

These permits have been cancelled and no work was done.

I respectfully request that the Board of Aldermen refund the cost of the Permits minus 10% processing fee as follows:

PR20240004867	$ 950.00
CO Fee	$ 50.00
PR20250000219	$ 575.00
CO Fee	$ 50.00
TOTAL PERMIT FEES	$ 1,625.00
Minus 10%	$ 162.50
TOTAL REFUND	***$ 1,462.50***

WATERBURY CITY CLERK
RECEIVED
2025 JUL -2 A 8:48

Attached please find the voucher and supporting documents which are necessary for Finance to process this refund. Please forward these documents to Finance along with your letter of approval if this request is granted, so that they may process the refund. ***Please send payment to:*** **TRINITY SOLAR, 7 MCKEE PLACE, CHESHIRE, CT 06410.**

Thank you for your assistance in this matter.

Sincerely,

FBaker/sg
Frank Baker
Building Official

FORM A

City of Waterbury

Vendor #: _____ Ship to: _____

Vendor name: **TRINITY SOLAR, ATTN: ASHLEY HILL**

Address 1: _____

Address 2: **7 MCKEE PLACE**

City: **CHESHIRE, CT 06410.**

Finance Office Use Only
Voucher #:
Date Entered:
By:

WPC Office use Only

PLEASE MAIL REFUND TO: **TRINITY SOLAR, ATTN: ASHLEY HILL**
7 MCKEE PLACE
CHESHIRE, CT 06410.

Invoice #: _____ Invoice Date: / / Handling Code No PO Invoice Amount $: _____

Description: Refund of Permit Fees

	Process level (Fund)	Accounting Unit	Account	Activity	Category	Distribution Amount
1	10	50400001	441047			$ 855.00
2	10	50400001	441054			$ 45.00
3	10	50400001	441047			$ 517.50
4	10	50400001	441054			$ 45.00
5						
6						
7						

Totals: **$ 1,462.50**

Comments:

Reimbursement for cancelled permits

Electrical PR2024-4867 regarding 240 Stoddard Rd.

Electrical PR2025-0219 regarding 187 Mansfield Ave.

Date received in Finance

Department: Inspection

Date Submitted: June 25, 2025

Approval (Dept Head): FT BL 6/30/2025

Trinity SOLAR

Date: 05/08/2025

To Whom It May Concern:

This letter is to request a refund for Permit # PR2024004867. Please issue the refund to Trinity Solar. No work was done.

The permit was applied for the installation of a PV Solar System at the address of, 240 Stoddard Road. This installation has been canceled.

The check number submitted for payment was # 53077, in the amount of, $1,009.62.

We received the permit on, 01/03/2025.

Please Mail to Attention: Ashley Hill.

Ashley.Hill@trinity-solar.com (203)701-3724 EX. 1196

Trinity SOLAR
7 McKee Place, Cheshire, CT 06410

Thank You,

Kenneth Liptak
Regional Vice President
Trinity Solar

The City of Waterbury
236 Grand Street, Waterbury, Connecticut 06702
Inspections: (203) 574-6832 Public Works: (203) 574-6851
City Planning: (203) 574-6817 Fire Marshal: (203) 597 3452

Bureau of Water
21 East Aurora Street, Waterbury, Connecticut 06702
Phone: (203) 574-8251 Fax: (203) 574-2382

Water Pollution Control
210 Municipal Road, Waterbury, Connecticut 06708
Phone: (203) 574-8265 Fax: (203) 574-8269

RECEIPT OF PAYMENT

Receipt Number: 2025063397
Receipt Date: 01/03/2025
Date Paid: 01/03/2025
Full Amount: $1,009.62

Payment Details:

Payment Method	Amount Tendered	Check Number
Check	$1,009.62	53077

Amount Tendered: $1,009.62
Change / Overage: $0.00
Contact: Trinity Solar Inc., Address:7 McKee Place, Phone:(203) 701-3724

FEE DETAILS: 240 STODDARD RD

Fee Description	Reference Number	Amount Owing	Amount Paid
State Education Fee (Electrical)	PR20240004867	$9.62	$9.62
BD - Electrical Permit	PR20240004867	$950.00	$950.00
BD - CA Fee	PR20240004867	$50.00	$50.00

Sudannie Grant

Trinity SOLAR

Date: 05/30/2025

To Whom It May Concern:

This letter is to request a refund for Permit #2025-0219. Please issue the refund to Trinity Solar. No work was done.

The permit was applied for the installation of a PV Solar System at the address of, 187 Mansfield Avenue. This installation has been canceled.

The check number submitted for payment was #53300, in the amount of, $630.78.

We received the permit on, 02/04/2025.

Please Mail to Attention: Ashley Hill.

Ashley.Hill@trinity-solar.com (203)701-3724 EX. 1196

Trinity SOLAR
7 McKee Place, Cheshire, CT 06410

Thank You,

Kenneth Liptak
Regional Vice President
Trinity Solar

The City of Waterbury
236 Grand Street, Waterbury, Connecticut 06702
Inspections: (203) 574-6832 Public Works: (203) 574-6851
City Planning: (203) 574-6817 Fire Marshal: (203) 597 3452

Bureau of Water
21 East Aurora Street, Waterbury, Connecticut 06702
Phone: (203) 574-8251 Fax: (203) 574-2382

Water Pollution Control
210 Municipal Road, Waterbury, Connecticut 06708
Phone: (203) 574-8265 Fax: (203) 574-8269

RECEIPT OF PAYMENT

Receipt Number: 2025063938
Receipt Date: 01/24/2025
Date Paid: 01/24/2025
Full Amount: $630.72

Payment Details:

Payment Method	Amount Tendered	Check Number
Check	$630.72	53300

Amount Tendered: $630.72
Change / Overage: $0.00
Contact: Trinity Solar Inc., Address:7 McKee Place, Phone:(203) 701-3724

FEE DETAILS: 187 MANSFIELD AVE

Fee Description	Reference Number	Amount Owing	Amount Paid
State Education Fee (Electrical)	PR20250000219	$5.72	$5.72
BD - Electrical Permit	PR20250000219	$575.00	$575.00
BD - CA Fee	PR20250000219	$50.00	$50.00

Sudannie Grant

City of Waterbury
Department of Public Works

Memorandum

To: Board of Aldermen

From: David Simpson, Director of Public Works

Date: July 2, 2025

Re: Amendment #1 to the Contract with J.A. Rosa Construction, LLC for One Exchange Elevator Replacement – Addition of $300,000 Owner Controlled Contingency

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The Department of Public Works requests your approval of the above-referenced contract amendment between the City of Waterbury and J.A. Rosa Construction, LLC.

The Waterbury Department of Public Works has a fully executed Construction Contract with J.A. Rosa Construction, Inc. in the amount not to exceed $1,270,000 for One Exchange Place Elevator Replacement. We are requesting a $300,000 increase to the contract's owner-controlled contingency to fund the replacement of the buildings generator which provides back up power to emergency lighting, selected systems and the elevators. The Amended contract value will be $1,570,000 with the addition of $300,000 to the owner-controlled contingency. The Amendment will be funded from the local bond authorization approved for the Exchange Place rehabilitation, renovation and fit-out project.

The existing generator on site has been found to have serious concerns with its ability to reliably operate going forward in case of an emergency. Additionally it has been determined that parts are no longer available to repair the generator. Emergency backup power is necessary for the new elevators to be fully functional to pass a state inspection. The current contract with JA Rosa may not be able to be completed if the existing generator fails and cannot be repaired. As a result, Program Manager KBE has solicited pricing from JA Rosa for the replacement of the generator. We would be replacing the diesel generator with a new natural gas-fed generator which will require running a new gas line from the parking garage to the penthouse above the 6th floor.

It is beneficial to pursue this generator replacement as an amendment under this existing contract due to the knowledge of the site by JA Rosa and their subcontractors to most efficiently install the new generator and the gas line. JA Rosa is actively on site with carpenters, pipe fitters and electricians so the additional work will avoid additional mobilization fees. Program Manager KBE has received a cost proposal from JA Rosa for the replacement of the generator that is currently under review. The increase in the owner controlled contingency will provide sufficient funding for the final negotiated cost for this additional work.

AMENDMENT 1
to
Construction Contract
for
RFP No. 8293
One Exchange Place Elevator Replacements
between
City of Waterbury
and
J.A. Rosa Construction, LLC

THIS AMENDMENT NUMBER 1, effective on the date signed by the Mayor, is by and between the **CITY OF WATERBURY**, City Hall, 235 Grand Street, Waterbury, Connecticut (the "City") and **J.A. ROSA, LLC**, located at 17 Town Line Road, Wolcott, Connecticut, a duly registered Connecticut limited liability company (the "Contractor").

WHEREAS the parties executed an Agreement (the "Agreement"), effective December 24, 2024 (the "Effective Date"), relating to **Request for Proposal ("RFP") No. 8293** to replace of two (2) overhead traction passenger elevators with two (2) machine-room-less traction elevators at One Exchange Place, Waterbury, CT (the "Project");

WHEREAS the parties now seek to amend the Agreement to increase the currently budgeted Owner Controlled Contingency by three hundred thousand dollars ($300,000) and the associated Total Compensation per this Amendment 1 to be used to pay for replacing the existing outdated and unreliable diesel generator servicing One Exchange Place with a new natural gas fueled generator, which shall require additional associated piping and other work.

NOW THEREFORE, the parties hereby agree and covenant to amend further the Agreement as follows:

1. The foregoing recitals are incorporated herein by reference as if fully stated herein.

2. The Parties agree to amend the Agreement by this Amendment 1 to increase the Owner Controlled Contingency by three hundred thousand dollars and the Total Compensation as well.

3. **Section 6 Compensation** shall be amended such that **Section 6.1. Fee Schedule** is deleted and replaced with the following **Section 6.1** (inclusive of **Section 6.1.1**), which shall provide as follows:

   6.1. Fee Schedule. Subject to retainage, limitations, etc. set forth below in this Section 6, the fee payable to the Contractor shall not exceed **ONE MILLION FIVE HUNDRED SEVENTY THOUSAND DOLLARS ($1,570,000)** (hereafter referred to as "Total Compensation") with the basis for payment being Contractor's Bid set

forth in **Attachment A**, which is summarized below and inclusive of the required payment and performance bonds:

i. $1,150,252;
ii. $5,000 allowance; and
iii. $414,748 Owner Controlled Contingency as governed by **Section 6.1.1** below.

6.1.1 Owner Controlled Contingency and Allowances. At the sole discretion and control of the City, the Allowance(s) and Contingency amount as set forth in **Sections 6.1(ii)** and **(iii)** of this Contract shall be utilized for the payment to the Contractor for additional work and requested and approved by the City in writing to be performed by the Contractor. The basis for payment for the additional work under the Contingency shall be separate and apart from the Base Payment set forth above. The Owner Controlled Contingency and Allowance(s) shall be the funding source for any City approved additional work and services. Unless properly approved in writing by the City (see **Section 27**), Contractor shall not be entitled to any compensation from such contingency. The parties agree that a Change Order Form shall be utilized to set forth the additional services, cost of additional services and time for Contractor to provide said service.

4. All other terms, conditions and provisions of the Agreement shall remain in full force and effect and binding upon the parties.

IN WITNESS WHEREOF, the parties hereto execute this Amendment Number 1 on the dates signed below.

WITNESSES:

_____

_____

CITY OF WATERBURY

By:_____
Paul K. Pernerewski, Jr., Mayor

Date:_____

WITNESSES:

_____

_____

J.A. ROSA, LLC

By:_____
John A. Rosa, Managing Member

Date: 6-26-25

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

For the purposes of this Disclosure of Outstanding Financial Obligations, the following definitions apply:

(a) "Contract" means any Public Contract as defined below.

(b) "Person" means one (1) or more individuals, partnerships, corporations, associations, or joint ventures.

(c) "Public Contract" means any agreement or formal commitment entered into by the city to expend funds in return for work, labor, services, supplies, equipment, materials or any combination of the foregoing, or any lease, lease by way of concession, concession agreement, permit, or per agreement whereby the city leases, grants or demises property belonging to the city, or otherwise grants a right of privilege to occupy or to use said property of the city.

(d) "City" means any official agency, board, authority, department office, or other subdivision of the City of Waterbury.

State of Connecticut

SS.: Wolcott

County of New Haven

John A Rosa, being first duly sworn, deposes and says that:

1. I am the ***owner, partner, officer, representative, agent or*** Managing Member of J.A. Rosa Construction, LLC (Contractor's Name), the Contractor that has submitted the attached agreement.

2. I am fully informed respecting the preparation and contents of the attached Agreement and of all pertinent circumstances respecting such Agreement;

3. That as a person desiring to contract with the City (check all that apply):

____ The Contractor and each owner, partner, officer, representative, agent or affiliate of the Contractor has filed a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor are required to file a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, owes back taxes to the City of Waterbury

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, has any other outstanding obligations to the City of Waterbury

4. The following list is a list of the names of all persons affiliated with the business of the Contractor, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

5. That as a person desiring to contract with the City:

(a) The Contractor or an owner, partner, officer, representative, agent or affiliate of the Contractor provides, or has provided, services or materials to the City within one (1) year prior to the date of this disclosure, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

(b) The Contractor possesses an ownership interest in the following business organizations, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized) :

Organization Name	Address	Type of Ownership
1 none		
2		
3		
4		

(c) The following persons possess an ownership interest in the Contractor. If the Contractor is a corporation, list all of the officers of the corporation and the names of each stockholder whose shares exceed twenty-five

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

(25) percent of the outstanding stock, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

	Name	Title	DOB	Stock %
1	none			
2				
3				
4				

(d) Of the following of the affiliates, individuals or business entities identified in this affidavit, list each that owns, owned, or within one (1) year prior to the date of this disclosure has owned, taxable property situated in the City of Waterbury, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

	Name	Title	Affiliated Company (if none state NONE)	Address	DOB
1			none		
2					
3					
4					

(e) If the Contractor conducts business under a trade name, the following additional information is required: the place where such entity is incorporated or is registered to conduct such business; and the address of its principal place of business, if none, state none:

	TRADE NAME	PLACE OF INCORPORATION/REGISTRY	PRINCIPAL PLACE OF BUSINESS
1	none		
2			
3			
4			

I hereby certify that the statements set forth above are true and complete, and I understand that any incorrect information or omission of information from this affidavit may result in the immediate termination of the Contractor's agreement with the City of Waterbury.

For Partnership/Sole Proprietor

In presence of:

_____ n/a

Witness Name of Partnership/Business

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

By: n/a
Name of General Partner/ Sole Proprietor

Address of Business

State of _____)
Wolcott) SS
County of _____)

_____ being duly sworn,

Deposes and says that he/she is _____ of _____ and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this _____ day of _____ 202_.

(Notary Public)

My Commission Expires: _____

For Corporation

Witness

John A Rosa
Name of Corporate Signatory

17 Town Line Rd. Wolcott, Ct 06716
Address of Business

Affix Corporate Seal

By: _____
John A Rosa

Its: Managing Member
Title

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

State of Connecticut)

Wolcott) SS

County of New Haven)

John A Rosa being duly sworn,

deposes and says that he/she is Managing Member of J.A. Rosa Construction, LLC and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this 22nd day of November 2024.

Abigail Darin
(Notary Public)

My Commission Expires: 7/31/28

Abigail Darin
Notary Public - Connecticut
My Commission Expires
July 31, 2028

The City of Waterbury
MEMORANDUM

From: Delinquent Tax Office **Date 6/25/2025**

To: **Jake Schick**
Public Works

Subject: Tax Clearance

As of this date, the records in the Tax Collector's Office indicate that the following ***are not delinquent***.

J.A. Rosa Construction, LLC
John A. Rosa
17 Town Line Rd.
Wolcott, CT 06716

If you have any questions regarding this issue, do not hesitate to call our office at (203) 574-6815.

Very truly yours,

Nancy J Olson

NJO/wmf

Nancy J. Olson, CCMC
Interim Deputy Revenue Collections Manager
City of Waterbury

City of Waterbury
Department of Public Works

Memorandum

To: Board of Aldermen

From: David Simpson, Director of Public Works

Date: July 2, 2025

Re: Contract for One Exchange Core Restroom Improvements with J.A. Rosa Construction, LLC in the amount of $1,366,000. RFP #8445.

The Department of Public Works respectfully requests your approval of the above-referenced contract between the City of Waterbury and J.A. Rosa Construction, LLC. The $1,366,000 contract will be funded utilizing the remaining unallocated grant funds of approximately $780,000 awarded by the State for Downtown Redevelopment Phase III with the remaining portion of approximately $586,000 to be funded from the local bond authorization approved for the Exchange Place rehabilitation, renovation and fit-out project.

Under this agreement, JA Rosa will perform a combination of renovations to all existing bathrooms and the installation of additional restrooms on floors 1, 2, 4, 5 and 6. The bathroom renovations and additions will bring the restrooms up to code both for ADA accessibility and quantity for incoming building occupants.

J.A. Rosa Construction, Inc. was the lowest qualified respondent to RFP 8445. JA Rosa has performed several projects in the City of Waterbury and the quality and performance of the work completed has met and exceeded City standards. The State Department of Administrative Services has approved the awarding of the contact to JA Rosa.

JA Rosa has performed a significant amount of work for the City of Waterbury that is comparable to the scope of this project. They also have existing construction contracts for work at the One Exchange Building which gives them substantial existing knowledge of the building.

Included herewith is the *Disclosure and Certification of Obligations Affidavit Regarding Outstanding Obligations to the City of Waterbury* submitted by J.A. Rosa, Inc. in connection with this project and a copy of the Tax Clearance issued by the Office of Tax Collections.

CONSTRUCTION CONTRACT
FOR
REQUEST FOR PROPOSAL NO. 8445
BETWEEN
THE CITY OF WATERBURY
AND
J.A. ROSA CONSTRUCTION, LLC
FOR
ONE EXCHANGE PLACE CORE RESTROOMS

THIS CONTRACT, effective on the date signed by the Mayor, is by and between the **CITY OF WATERBURY**, City Hall, 235 Grand Street, Waterbury, Connecticut 06702 (the "City") and **J.A. ROSA CONSTRUCTION, LLC**, located at 17 Town Line Road, Wolcott, Connecticut 06716, a duly registered Connecticut limited liability company (the "Contractor").

WHEREAS the Contractor submitted a bid to the City in response to **Request for Proposal ("RFP") Number 8445** relating to and describing a project known as One Exchange Place Core Restrooms (the "Project");

WHEREAS the City accepted the Contractor's bid for **RFP Number 8445**; and

WHEREAS the City desires to obtain the Contractor's services pursuant to the terms set forth in this Contract for the Project.

NOW THEREFORE, THE PARTIES AGREE AND COVENANT AS FOLLOWS:

1. Scope of Services. The Contractor shall furnish all the labor, services, equipment, materials, supplies, transportation, and incidentals necessary to complete the Project as specified in this agreement (also referred to herein as "Contract") and such shall be completed in a satisfactory manner, as reasonably determined by the City. All labor, services, equipment, materials, supplies, transportation, and incidentals shall comply with (i) all applicable local, state and federal laws, statutes, ordinances, rules and regulations, including without limitation all notice requirements thereunder and (ii) generally accepted professional standards. Contractor agrees and understands that this project is funded in whole or in part with grant funds from State of Connecticut Department of Economic and Community Development ("DECD"). Guidelines with requirements associated with this funding source are attached to and are part of **RFP Number 8445** and with which Contractor must comply fully.

1.1. The Project consists of demolition of renovations to restrooms on floors 1, 2, 4, 5 and 6 of the One Exchange Building. Providing a women's, men's room and a unisex restroom on the first, second, fourth and sixth floor and a men's and women's restroom on the 5th floor. The Project is more particularly detailed and described in **RFP Number 8445** and its attachments and as listed in **Attachment A. Attachments A** and **B**, if any, are hereby made material provisions of this Contract. The Contracts attachments shall consist of the following, which are referenced and/or

attached hereto, and are acknowledged by the Contractor as having been received, or otherwise hereby incorporated by reference as noted below, and all are made a part hereof:

1.1.1 City's **RFP Number 8445**, dated May 9, 2025, excluding City Sample Contract and forms, consisting of 10 pages (attached hereto);
1.1.2 Addendum 1 and 2 to **RFP Number 8445** (attached hereto);
1.1.3 Contractor's Response with associated attachments, including its Price Proposal, to **RFP Number 8445**, dated June 4, 2025, consisting of 54 pages (attached hereto);
1.1.4 City of Waterbury **RFP Number 8445**, Scope of Services/Drawings/Technical Specifications, prepared by Friar Architecture and dated April 25, 2025 (Acknowledged by the Contractor as having been received and incorporated by reference herein);
1.1.5 State of Connecticut Prevailing Wage Schedule dated April 30, 2025, and related information, consisting of 9 pages (incorporated by reference).
1.1.6 Contractor's Certificate of Insurance (incorporated by reference**);**
1.1.7 Contractor's Performance Bond (incorporated by reference);
1.1.8 Contractor's Payment Bond (incorporated by reference);
1.1.9 All amendment(s) and Change Orders issued by the City after execution of Contract (incorporated by reference);
1.1.10 All applicable Federal, State, and local statutes, regulations charter and ordinances, including but not limited to DECD are incorporated by reference;
1.1.11 All applicable permits and licenses (incorporated by reference).

1.2. The entirety of this executed instrument with all attachments are together deemed the Contract Documents (hereinafter collectively referred to as "Contract Documents"). The City's record copy of the Contract Documents shall control and shall be effective and binding on the Contractor. If any provision in the Contract Documents conflict with any other provision therein, the provision in the component part of the Contract Document first enumerated below shall govern over any other component part which follows it numerically:

1.2.1 All applicable Federal, State, and local laws, regulations, charter and ordinances;
1.2.2 Amendment(s) and Change Orders;
1.2.3 This Contract;
1.2.4 Addendums to **RFP Number 8445**;
1.2.5 **RFP Number 8445** including Scope of Services/Technical Specifications;
1.2.6 Contractor's Price Proposal;
1.2.7 Contractor's Response; and
1.2.8 Drawings.

2. Representations Regarding Qualification and Accreditation. The Contractor represents that its employees are licensed to perform the scope of work set forth in this Contract. The Contractor further represents that its employees have the requisite skill, expertise and knowledge necessary to perform the scope of work required under the terms of this Contract, including any supplementary work and the City relies upon Contractor's representations.

2.1. Representations regarding Personnel. The Contractor represents that it has, or will secure at its own expense, all personnel required to perform the services under this Contract. Such personnel shall not be employees of or have any contractual relationship with the City, unless use of City employees or of personnel having a contractual relationship with the City is approved in writing. As set forth above, all the services required hereunder shall be performed by the Contractor or under its supervision and all personnel engaged in the work shall be fully qualified and shall be authorized or permitted under state or local law to perform such services.

2.2. Representations regarding Qualifications. The Consultant hereby represents that, to the extent required by Federal, State and Local statutes, regulations, codes, ordinances, and policies, that the Consultant and/or its employees be licensed, certified, registered, or otherwise qualified, the Consultant and all employees providing services under this Contract, are in full compliance with those statutes, regulations and ordinances. Upon the City's request, the Consultant shall provide the City with a copy of the Consultant's licenses, certifications, registrations, etc.

3. Responsibilities of the Contractor. The Contractor agrees to properly implement the services required in the manner herein provided. The Contractor shall, in addition to any other responsibilities set forth in this Contract and the Schedules and Attachments hereto, perform the following coincident with the performance of this Contract:

3.1. Due Diligence Obligation. The Contractor acknowledges its responsibility to examine and to be thoroughly familiar with the City's bid documents, including, but not limited to the plans, specifications, drawings and any addenda thereto. The Contractor hereby warrants and represents that prior to the submission of its proposal during the bid process it reviewed or was afforded opportunity by the City to review all physical items, facilities, services and functions essential to the satisfactory performance of the services required ("Due Diligence") and thereby certifies that all such items, facilities, services and functions are included in this Contract and thereby warrants that:

3.1.1 it conducted or had opportunity to conduct all due diligence prior to the submission of its bid and, accordingly, any additional costs, services or products resulting from the failure of the Contractor to complete Due Diligence prior to submission of its bid proposal shall be borne by the Contractor. Furthermore, the Contractor had the opportunity during the bid process to ask questions it saw fit and to review the responses from the City;

3.1.2 its failure or omission to make investigation and verification of data shall, in no way, be cause for future claim of ignorance of such data or conditions nor shall such failure to investigate and verify be the basis for any claim whatsoever, monetary or otherwise;

3.1.3 it is solely responsible for resolving any issues resulting from its failure to conduct due diligence and it shall assume all resulting costs it incurs during the Project;

3.1.4 it was responsible for specifying any changes and disclosing any associated new costs prior to submittal of its bid. In the event the Contractor failed to disclose any such new cost prior to the submittal of its bid, the Contractor hereby covenants that it shall remain solely responsible for, and shall absorb, those non-disclosed costs;

3.1.5 it has familiarized itself with the nature and extent of the Contract Documents, Project, locality, and with all local conditions and Federal, State and local laws, ordinances, rules and regulations that in any manner may affect cost, progress or performance of the Project;

3.1.6 it has given the City written notice of any conflict, error or discrepancy that the Contractor discovered in the City's bid documents and other documents for **RFP Number 8445** (collectively, the "Bid Documents");

3.1.7 it agrees that the Bid Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the Project;

3.1.8 it has carefully studied all reports of investigations and tests of subsurface and latent physical conditions at the site or otherwise affecting cost, progress or performance of the Scope of Services which were utilized in the preparation of the plans and specifications;

3.1.9 it has made or caused to be made examinations, investigations, measurements and tests and studies of any applicable reports and related data as it deems necessary for ensuring performance of the Scope of Services at the Contract Price within the Contract Time and in accordance with the other terms and conditions of the Contracts; and certifies no additional examinations, investigations, tests, reports or similar data are or will be required by Contractor for such purposes;

3.1.10 it possesses the knowledge and understanding of the DECD funding and Community Development Block Grant ("CDBG") programs and requirements associated with this funding source, including all rules, regulations and mandatory contract provisions required by it, if applicable to this Project; and

3.1.11 The Contractor shall not take advantage of any obvious error or apparent discrepancy in the Contract. Notice of any error or discrepancy discovered shall be given immediately in writing to the City, who shall make such corrections and interpretations as it may deem necessary for the completion of the Project in a satisfactory and acceptable manner.

3.2. Safety. Contractor shall perform all work in a safe manner in full compliance with local, State and Federal health and safety regulations. Contractor shall immediately correct any dangerous condition caused by or resulting from its work. If it fails to correct, or to act diligently to correct, any condition which the City reasonably believes to be a hazard to persons or property, then immediately upon oral or written notice to any supervisory or similar personnel of Contractor, City may, but shall not be required to, correct same at Contractor's expense. The City shall confirm with Contractor in writing any oral notice given within five (5) business days thereafter.

3.3. Storage. In the event the Project site has insufficient, inadequate, and/or improper storage space, it shall be the responsibility of the Contractor to secure, provide and maintain at the Contractor's sole cost and expense (i) adequate off-site storage space for equipment, materials, incidentals, etc., and (ii) all associated delivery and transportation services. In either event, the Contractor shall assume full responsibility for equipment, materials, incidentals, etc. until both title and risk of loss pass to the City pursuant to Section 8 of this Contract.

3.4. Working Hours. To the extent the Contractor is required to be on City property to render the Contractor's services hereunder, the Contractor shall coordinate its schedule so that work on and at the Project site is performed during the hours of 8:00 a.m. to 4:00 p.m. Monday through Friday and 9:00 a.m. to 6:00 p.m. on Saturday, Sunday and legal holidays unless more exactly specified elsewhere in this Contract or **Attachment A** or unless prior written permission is obtained from the City to work during other times; for example, a second shift from 3 p.m. to 11 p.m. may be possible. This provision shall not excuse the Contractor from timely performance under the Contract. Contractor shall comply with Special Conditions Provision section 1.08 - Prosecution and Progress.

3.5. Cleaning Up. The Contractor shall always keep the Project site free from accumulation of waste materials or rubbish caused by Contractor's employees or subcontractors, and at the completion of the work shall remove all rubbish from and about the Project and all tools, scaffolding and surplus materials and shall leave the Project site "broom clean" or its equivalent, unless more exactly specified. In case of dispute, the City may remove the rubbish and charge the cost to the Contractor.

3.6. Publicity. Contractor agrees not to deliberately disclose the fact that the City has entered into or terminated this Contract or disclose any of the terms of the Contract or use the City's name in connection with any publicity unless the City provides prior written consent to such use of the City's name in each instance.

3.7. Standard of Performance. All Contractor labor, materials, supplies, components, equipment, reports, plans, specifications, drawings, deliverables, incidentals, etc., required to be furnished or delivered under this Contract shall conform in all respects with the requirements set forth in this Contract and shall meet or exceed those standards generally recognized in the Contractor's craft and trade in the State of Connecticut. City specified manufacturer and/or brand name substitution desired by the Contractor shall be made only with the prior written consent of an authorized representative of the City.

3.7.1 In carrying out the Project, the Contractor must employ such methods or means as will not cause any interruption of or interference with the work of any other contractor, nor with the normal routine of the institution or agency operating at the Project site.

3.8. Contractor's Employees. The Contractor shall always enforce strict discipline and good order among its employees and shall not employ on the work any unfit person or anyone not skilled in the work assigned. The Contractor shall not, without the prior written approval of the City, substitute, terminate, replace or otherwise remove any Contractor employee expressly named, identified or required in this Contract.

3.9. Subsurface/Unknown Site Conditions. If Project site conditions are encountered which are (i) subsurface or otherwise concealed physical conditions or other conditions which differ materially from those indicated in the Contract Documents, or (ii) unknown conditions of an unusual nature which differ materially from those ordinarily found to exist and generally recognized as inherent or common in construction activities of the character provided for in this Contract, then prompt notice by the observing party shall be given to the other party to this Contract before Project site conditions may be disturbed; the Contractor shall thereafter wait for written instructions from the City before proceeding under such conditions.

3.10. Surveys. All surveys required under this Contract shall be performed by a State of Connecticut duly licensed land surveyor. Unless expressly stated to the contrary in Section 1 of this Contract and **Attachment A**, the Contractor shall perform all layout work, all field measurements and all construction staking required, necessary or prudent for the satisfactory prosecution of the Contractor's obligations under this Contract.

3.11. Permits and Licenses. Unless expressly stated to the contrary in Section 1 of this Contract and **Attachment A**, the Contractor shall secure and obtain all permits and all licenses required, or necessary, or prudent for the performance of the Contractor's obligations under this Contract, and for the City's occupancy, use, and operation of the Project.

3.12. Manufacturer's Directions. Where it is required in this Contract that materials, products, processes, equipment or the like be installed or applied in accord with manufacturer's directions, specifications or instructions, it shall be construed to

mean that the said application or installation by the Contractor shall be in strict accord with printed instructions furnished by the manufacturer of the material concerned for use under conditions like those at the Project site. One (1) copy of such instructions shall be furnished to the City.

3.13. Review by the City. The Contractor shall permit the City and the City's duly authorized representatives and agents to review, at any time, all work performed under the terms of this Contract at any stage of the Project.

3.14. Records Maintenance. The Contractor shall maintain or cause to be maintained all records, books or other documents relative to charges, costs, expenses, fees, alleged breaches of the Contract, settlement of claims or any other matter pertaining to the Contractor's demand for payment. At a minimum, Contractor's record retention shall be consistent with provisions set forth in 2 CFR Section 200.334-200.338, and as required by law under 24 CFR Part 570.

4. Responsibilities of the City and Reservation of Rights. Upon the City's receipt of Contractor's written request for specific information, the City will provide the Contractor with existing documents, data and other materials the City agrees are necessary and appropriate to the services to be performed by the Contractor hereunder and the City will endeavor to secure, where feasible and where the City agrees it is necessary and appropriate, materials or information from other sources requested by the Contractor for the purpose of carrying out the services under this Contract.

4.1. The City may, in its sole discretion, designate person(s) to act as the City's Project Engineer(s) and/or Manager(s) and the City may, in its sole discretion, define such person(s) authority and responsibilities; initially, KBE Building Corp. ("KBE") is so designated.

4.2. The City reserves the right to **(i)** perform work related or unrelated to the Project with the City's own forces adjoining, adjacent to, or in the vicinity of, the Project site and/or **(ii)** let separate contracts related or unrelated to the Project for work and services adjoining, adjacent to, or in the vicinity of, the Project site. In such an event, the Contractor shall afford all such parties reasonable opportunity for storage of materials and equipment and for the uninterrupted provision and delivery of such parties' work and/or services. The Contractor shall cooperate with such parties and in the case of a dispute, the decision of the City shall be complied with by all.

5. Contract Time. The Contractor shall Substantially Complete all work and services required under this Contract within **one hundred twenty (120) consecutive calendar days** from the City's issuance of a written Notice to Proceed and shall achieve final completion within **one hundred fifty (150) consecutive calendar days** from the City's issuance of a written Notice to Proceed ("Contract Time"). The procurement of materials shall start upon the execution of the contract. **NB: DELAY CLAIMS DUE TO INABILITY TO PROCURE MATERIALS IN A TIMELY MANNER WILL NOT BE ACKNOWLEDGED OR ACCEPTED.**

5.1. The Contractor's Project schedule is attached hereto as **Attachment B**, if any. On a monthly basis, the Contractor shall deliver to the City a written status report setting forth an analysis and critique of the Contractor's compliance with said schedule.

5.2. Delay Damages.

5.2.1 Time is and shall be of the essence for all Project Phase Completion Dates, Milestones, Substantial and Final Completion Date. The Contractor further agrees that the Project shall be prosecuted regularly, diligently and uninterruptedly and at such rate of progress as will ensure full completion thereof within the Contract time stated above. It is expressly understood and agreed, by and between the Contractor and City, that the Phase Completion Dates, Milestones, Substantial and Final Completion Date are reasonable for the completion of the Project.

5.2.1.1 The Contractor shall pay to the City the sum of the greater of **One Thousand Five Hundred and 00/100 Dollars ($1,500)** per consecutive calendar day for each calendar day for which the Contractor is in default in completing the work beyond the Final Completion Date, Substantial Completion Date, Milestones and Phase Completion Dates, as set forth in Section 5, or the actual damages incurred by the City caused by the delay. The preceding sum is hereby agreed upon not as a penalty, but as delay damages for the delay that the City shall suffer due to such default.

5.2.1.2 The City shall have the right to recover all damages pursuant to any remedy at law or equity including but not limited to deducting the amount of any such damages from any monies due to the Contractor under this Contract. The Contractor understands that if the entire Work or of any portion of the Work that the City has agreed to accept separately, if any, is not attained by the applicable completion dates as set forth in the entire Section 5, the City will suffer damages, which are difficult to specify accurately and ascertain. Delay damages based upon delay shall be assessed to compensate the City for all actual loss sustained by the delay, including, but not limited to, loss of use, increased cost of material or services to complete the project, loss of State funding, all other another actual expense, and all other damages allowed by law, including attorney's fees.

5.3. No Damage for Delay. In no event shall the Contractor be entitled to any compensation or recovery of any damages in connection with any Delay, including, without limitation, consequential damages, lost opportunity damages, attorney's fees or other, similar remuneration. The City's exercise of any of its rights or remedies under the Contract Documents, including without limitation, ordering changes in the Work, or directing suspension, rescheduling or correction of the Work, regardless of the extent or frequency of the City's exercise of these rights has been contemplated by the parties and shall not be construed as an exception.

6. Compensation. The City shall compensate the Contractor for the satisfactory provision of all the goods and services set forth in this Contract as follows in this Section 6. No claims for additional compensation will be considered for conditions made known to the Contractor prior to bidding. No claims for additional compensation will be considered on account of the failure of the Contractor to completely inform itself as required herein above.

6.1. Fee Schedule. Subject to retainage, limitations, etc. set forth below in this Section 6, the fee payable to the Contractor shall not exceed **ONE MILLION THREE HUNDRED SIXTY-SIX DOLLARS (1,366,000)** (hereafter referred to as "Total Compensation") with the basis for payment being Contractor's Bid set forth in **Attachment A**, which is summarized below and inclusive of the required payment and performance bonds:

i. Base payment: **ONE MILLION THREE HUNDRED ONE THOUSAND TWO HUNDRED THIRTY-FIVE DOLLARS ($1,301,235)**;

ii. Owner Controlled Contingency as governed by Section 6.1.1 below: **SIXTY-FOUR THOUSAND SEVEN HUNDRED SIXTY-FIVE DOLLARS ($64,765)**.

6.1.1 Owner Controlled Contingency. At the sole discretion and control of the City, the Contingency amount as set forth in **Section 6.1(ii)** of this Contract shall be utilized for the payment to the Contractor for additional work not covered by this contract and requested and approved by the City in writing to be performed by the Contractor. The basis for payment for the additional work under the Contingency shall be separate and apart from the Base Payment set forth above. The Owner Controlled Contingency shall be the funding source for any City approved additional work and services not provided for in this Contract. Unless properly approved in writing by the City (see **Section 27**), Contractor shall not be entitled to any compensation from such contingency. The parties agree that a Change Order Form shall be utilized to set forth the additional services, cost of additional services and time for Contractor to provide said service.

6.2. Retainage. At the City's sole discretion, it hereby reserves the right to withhold as retainage of five percent (5%) of any payment (or monetary sum otherwise required by law) owed to the Contractor to be withheld from payments to the Contractor otherwise payable to the Contractor until such time as the Contractor's work and services to be provided under this Contact are fully completed and accepted in writing by the City. The retainage does not include additional sums that the City may withhold due to the Contractor's failure to comply with this Contract's provisions.

6.3. Limitation of Payment. Compensation to the Contractor is limited to those fees set forth in Section 6.1. of this Contract and is further limited to work **(i)** performed in fact, **(ii)** conforming with this Contract, and **(iii)** accepted in writing by a duly authorized City employee. Such compensation shall be paid by the City upon its

review and approval of the Contractor's invoices for payment and review of the Contractor's work.

6.3.1 Funding. In the event this Contract is funded, in whole or in part, by Federal and/or State monies, grants, loans, etc., all City payment(s) shall fully comply with all relevant Federal and State statutes and regulations. In the event this Contract is 100% funded by Federal and/or State monies, grants, loans, etc., the aggregate sum of all City payments shall not exceed the aggregate sum of such funding.

6.3.2 The Contractor and its affiliates are hereby provided with notice that the City reserves the right, in the City's sole discretion, to offset, withhold, or otherwise reduce City payment(s) to the Contractor, in an amount equaling the sum or sums of money the Contractor and/or its affiliates is/are, or become delinquent or in arrears on, regarding the Contractor's and/or its affiliates real and personal property taxes and other payment obligations to the City.

6.4. Bid Costs. All costs of the Contractor in preparing its bid for **RFP Number 8445** shall be solely borne by the Contractor and are not included in the compensation to be paid by the City to the Contractor under this Contract or any other agreement.

6.5. Payment for Services, Materials, Appliances, Employees. The Contractor shall be responsible to the City for the suitability of services, materials and equipment furnished to comply fully with the requirements set forth in this Contract. The Contractor shall promptly pay all employees as their pay falls due, shall pay promptly as they fall due all bills for subcontractors, materials, supplies and services going into the work, and all bills for insurance, bonds, Worker's Compensation coverage, Federal and State Unemployment Compensation, and Social Security charges applicable to this Project. Before final payment is made, the Contractor shall furnish to the City a sworn, notarized, affidavit stating that all the foregoing payment obligations have been fully completed. Additionally, during the Project, at the City's option, it may require partial waivers of lien and claim from the Contractor and all subcontractors and materialmen with each payment application.

6.6. Liens. Neither the City's final payment nor any part of the retained percentage, if any, shall become due until the Contractor, if required by the City, shall deliver to the City a complete release of all liens arising out of this Contract, or receipts in full in lieu thereof, and, if required, in either case, an affidavit that so far as the Contractor has knowledge or information, the releases and receipts include all the labor and material for which a lien could be filed; but the Contractor may, if any subcontractor refuses to furnish a release or receipt in full, furnish a bond satisfactory to the City, to indemnify it against any lien. If any lien remains unsatisfied after all payments are made, the Contractor shall refund to the City all moneys that the City may be compelled to pay in discharging such a lien, including all costs and a reasonable attorney's fees.

6.7. Attorney's Fees and Costs. The prevailing party in any litigation arising hereunder shall be entitled to attorney's fees and costs from the losing party.

6.8. Contractor's Certificate of Completion. Upon the Contractor's **(i)** completion of all Project milestones, and **(ii)** Substantial Completion of the Project, and **(iii)** Final Completion of the Project, the Contractor shall, in each instance, file with the City a written, notarized affidavit setting forth the amount of Project work performed. The City reserves the right to verify or challenge by any reasonable means the accuracy of said affidavit.

6.9. Final Payment. All prior estimates and City payments, including those relating to extra or additional work, retainage(s), and holdback(s), shall be subject to correction by this final payment which is throughout this Contract called "Final Payment". No payment, final or partial, shall act as a release to the Contractor or its surety from any Contractor obligation(s) under this Contract.

6.10. Clean Water Fund Project Requirements. In the event this Contract is funded in whole or in part, is reliant upon, or falls within the jurisdiction of the Clean Water Fund and its statutes, regulations and rules, the Contractor shall:

6.10.1 Submit Applications for Payment in accordance with the following:

The City must receive the Contractor's Application for Payment or its designee's, review and recommendation for payment, by the fifteenth calendar day of the month to receive payment within the next 50 days. If not received by the fifteenth calendar day of the month, payment cannot be made until 50 days after the fifteenth calendar day of the subsequent month. The Contractor shall provide, at the City's request, reasonable documentation to substantiate the Contractor's Application for Payment.

6.10.2 Progress and Final Payments.

The City will make progress payments on account of the Contract Price based on the Contractor's Applications for Payment, monthly during construction as provided below. All progress payments will be based on the progress of the Scope of Services as determined by the City, according to the schedule of values provided for in the Contract Documents and approved by the City. Prior to Substantial Completion, progress payments will be limited to an amount equal to 95 percent of the value of the Scope of Services completed. Upon Substantial Completion, the City will pay the amount as specified in the Contract Documents, subject to reasonable monetary holdback for punch list items.

7. Warranty of the Contractor. The Contractor warrants to the City that all materials, supplies, components, equipment, etc. furnished under this Contract shall be new and of good quality, except as otherwise expressly stated and permitted by the City elsewhere in this Contract. The Contractor warrants that none of its work shall be defective. The Contractor shall be liable to repair and install and/or replace without charge any service, component, equipment or part thereof which is defective or does not conform with this Contract within the

greater of **(i)** 365 calendar days after the City delivers its written notice of its acceptance of the Project and statement therein establishing the final completion date, or **(ii)** that time or date expressly stated elsewhere in this Contract or **Attachment A**.

7.1. The Contractor further warrants that all materials, supplies, services, components, equipment, reports, plans, drawings, deliverables, incidentals, etc., shall be free from all defects caused by faulty design, faulty material or poor workmanship. The Contractor shall supply to the City copies of any written manufacturer's warranties and guarantees and assign same to the City and the City shall avail itself of same to the fullest extent provided by the terms thereof. The Contractor's foregoing warranty obligations are in addition to, and not a limitation of, all manufacturer's warranties and guarantees, and any other remedy stated in this contract or otherwise available to the City under applicable law.

8. Passing of Title and Risk of Loss.

8.1. The City's beneficial use of Project equipment, materials, site-work, etc. prior to the Contractor's final completion of the Project or prior to the City's final payment for the Project shall neither act to vest title in the City nor act to transfer risk of loss from the Contractor to the City. Said title and risk shall pass to the City upon its final payment for the Project.

8.2. Contractor and its insurer shall assume the risks of loss or damage to the equipment up to and including the date title passes, except that the City shall be responsible for loss or damage caused by its gross negligence.

8.3. After Project equipment, materials, etc. are delivered to the Project worksite and become operable or functional, the Contractor shall not thereafter remove any such equipment, materials, etc. from the Project worksite without securing the prior written consent of an authorized the City's representative.

9. Indemnification.

9.1. The Contractor shall indemnify, defend, and hold harmless the City and its Boards, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, officials and employees from and against all claims, suits, damages, losses, judgments, costs and expenses including attorney's fees arising out of or resulting from the delivery of the labor, services, equipment, materials, reports, plans, specifications, design, drawings, deliverables, incidentals, etc. provided that any such claims, suits, damages, losses, judgments, costs or expenses **(i)** are attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property, including the loss of use resulting there from, **(ii)** are alleged to be caused in whole or in part by any willful, intentional, negligent, or reckless act or omission of the Contractor, its employees, any subcontractor or consultant, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified

hereunder; **(iii)** enforcement action or any claim for breach of the Contractor 's duties hereunder or **(iv)** claim for intangible loss(es) including but not limited to business losses, lost profits or revenue, regardless of whether or not it is caused in part by a party indemnified hereunder.

9.2. In any and all claims against the City, any City's boards, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees or officials by the Contractor or any employee of the Contractor, any subcontractor or consultant, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 9 above, shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or any subcontractor under Worker's Compensation Acts, disability benefit acts or other employee benefit acts.

9.3. The Contractor understands and agrees that any insurance required by this Contract, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify, defend, keep and hold harmless the City, any City's boards, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees and officials as provided in this Contract.

9.4. The Contractor expressly understands and agrees that any performance bond or insurance protection required by this Contract, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify, keep and save harmless and defend the City and City boards, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees or officials as provided herein.

9.5. Royalties and Patents. The Contractor shall, for all time, secure for the City the free and undisputed right to the use of any and all patented articles and methods used in the work and shall defend at Contractor's own expense any and all suits for infringement or alleged infringement of such patents, and in the event of adverse award under patent suits, the Contractor shall pay such awards and hold the City harmless in connection with any patent suits that may arise as a result of installations made by the Contractor and as to any award made thereunder.

9.6. In the event this Contract and/or the Contractor's, or its subcontractor, work and services provisioned hereunder is/are subject to the provisions of any Federal or State statute or regulations, or the City Charter or City Ordinance, the Contractor shall indemnify, defend and hold harmless the City from any fine, penalty or other amounts imposed on the City under said statutes, regulations, Charter or Ordinances, if caused by Contractor, or its subcontractor, omission or commission.

10. Contract Bonds. The Contractor shall furnish to the City, prior to the execution of this Contract by it, both a performance bond and a payment bond, each bond written for a penal sum equaling the Section 6 "Total Compensation" amount in a form and with a surety acceptable to the City. The bonds shall continue in effect for the greater of **(i)** the warranty period set forth in Section 7 of this Contract, or **(ii)** 365 calendar days after the Final Completion Date referenced elsewhere in this Contract.

11. Contractor's Insurance.

11.1. The Contractor shall not commence work under this Contract until all insurance required under this Section 11 has been obtained by the Contractor and such insurance has been approved by the City. The Contractor shall not allow any subcontractor to commence work on any subcontract until all insurance required of any such subcontractor has been obtained and approved by the City. Insurance shall be provided by insurers, satisfactory to the City and authorized to do business in the State of Connecticut, an "A-" Best's Rating and at least a Class V3 or better financial size category as shown in the most current A.M. Best Company ratings.

11.2. At no additional cost to the City, the Contractor shall purchase and maintain the insurance coverages set forth below which shall protect the City, KBE Building Corp., the State of Connecticut and the and all affiliated entities and each of their respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees from claims which may arise out of or result from the Contractor's obligation under this Contract, whether such obligations are the Contractor's or subcontractor or person or entity directly or indirectly employed by said Contractor or subcontractor, or by any person or entity for whose acts said Contractor or subcontractor may be liable.

11.3. Each insurance policy shall state that the insurance company shall agree to investigate and defend the insured against all claims for damages, even if groundless. If any insurance required herein is to be issued or renewed on a claims made form as opposed to an occurrence form, the retroactive date for coverage shall be no later than the commencement date of this Contract and shall provide that in the event of cancellation or non-renewal, the discovery period for insurance claims ("Tail Coverage") shall be available for at least 60 months.

11.4. The following policies with stated limits shall be maintained, in full force and effect, always during which the services are to be performed by the Contractor:

11.4.1 General Liability Insurance: $1,000,000.00 per occurrence, **$2,000,000.00** aggregate and **$2,000,000.00** for products/completed operations aggregate; insurances shall provide coverage to protect the City, KBE Building Corp., the State of Connecticut for all damages arising out of bodily injuries, sickness to or death of all persons in any one accident or occurrence and for all damages arising out of destruction of property in any one accident or occurrence.

11.4.2 Automobile Liability Insurance: $1,000,000.00 combined single limit (CSL); providing coverage to the City, KBE Building Corp. and the State of Connecticut and the with respect to claims for damage for bodily injury and or property damage arising out of ownership, maintenance, operation, use or loading and unloading of any auto including hired & non-owned autos.

11.4.3 Workers' Compensation: Statutory Limits within the State of Connecticut: Employers' Liability:

EL Each Accident **$1,000,000.00**
EL Disease Each Employee **$1,000,000.00**
EL Disease Policy Limit **$1,000,000.00**

Contractor shall comply with all State of Connecticut statutes as it relates to workers' compensation.

11.4.4 Excess/Umbrella Liability Insurance: Excess or Umbrella insurance coverage that follows form or sits over General Liability, Automobile Liability and Workers Compensation insurances: **$1,000,000.00** each occurrence and **$1,000,000.00** aggregate.

11.4.5 Contractors Pollution Liability Insurance: $1,000,000.00 each claim and **$1,000,000.00** aggregate coverage. There shall not be any exclusions for hazardous waste materials, including asbestos or lead. The foregoing per claim coverage plus appropriate aggregate coverage depending on the size of the job for contractor caused pollution events such as asbestos or lead abatement, but not limited to only these pollution causes of loss.

11.4.6 Builder's Risk Insurance: coverage equaling the monetary value of the construction components of the Project or **$1,000,000** (whichever is greater), including the equipment stored at Contractor's place of business prior to installation. "All Risk" Builders Risk insurance (also known as "course of construction") coverage with limits equal to or better than the maximum possible loss of all equipment and materials of construction used or contemplated under this Contract, including all materials in transit and all materials in storage wherever stored and the value of all subsequent Contract changes. This insurance shall include the interests of the City, KBE, the State of Connecticut (as A.T.I.M.A.), the Contractor and all subcontractors. If deemed necessary by the City's Risk Manager, this insurance shall also include coverage for the total value of the Project's constructed property and shall be valid until a certificate of occupancy is issued. Upon the issuance of said certificate, the City will assume responsibility for insuring said property.

11.5. Failure to Maintain Insurance: In the event the Contractor fails to maintain the minimum required coverage as set forth herein, the City may at its option purchase same, and offset the Contractor's invoices for the cost of said insurance.

11.6. Cancellation: The City of Waterbury and KBE Building Corp. and shall receive written notice of cancellation from the Contractor at least thirty (30) calendar days prior to the date of actual cancellation, regardless of the reason for such cancellation.

11.7. Certificates of Insurance: The Contractor's General, Automobile, and Excess/Umbrella Liability Insurance policies shall be endorsed to add the City, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees as an additional insured and provide waiver of subrogation on all policies except Pollution Liability. The insurance afforded the additional insured shall be primary and non-contributory insurance and the coverage and limits provided under the Contractor's policies shall not be reduced or prorated by the existence of any other insurance applicable to any loss the additional insured may have suffered. Prior to the execution of this Contract by the City, the Contractor shall furnish to it, subject to its approval, certificate(s) of insurance and Additional Insured Endorsement and Waiver of Subrogation Endorsement verifying the above coverages, including the naming of the City and others, as follows **"City of Waterbury and any of its Boards, KBE Building Corp., the State of Connecticut and all affiliated entities and each of their respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees are listed as additional insured on all lines of coverage; waiver of subrogation applies to all lines of coverage except Pollution Liability as their interest may appear".** The City's Invitation to Bid Number must be shown on the certificate of insurance to assure correct filing. The Contractor must supply replacement/renewal certificates at least 30 days prior to the expiration of the policy (ies). Said certificates shall contain a provision that coverage afforded under the policies shall not be cancelled or reduced for any reasons unless notice of than thirty (30) calendar days has been mailed to the City and a copy to the City's Office of Corporation Counsel, 235 Grand Street, Waterbury, CT 06702.

11.8. No later than thirty (30) calendar days after Contractor receipt, the Contractor shall deliver to the City a copy of the Contractor's insurance policies and endorsements and riders.

12. Conformance with Federal, State and Other Jurisdictional Requirements. By executing this Contract, the Contractor represents and warrants that, at all pertinent and relevant times to the Contract, it has been, is and will continue to be in full compliance with all applicable statutes, acts, ordinances, guidelines, resolutions, orders, judgments, decrees, injunctions, rules, and regulations of all government authorities applicable to performance by the Contractor of services hereunder, including those having jurisdiction over its registration and licensing to perform services hereunder; including, but not limited to, the following: **EQUAL EMPLOYMENT OPPORTUNUTY; COPELAND ANTI-KICKBACK ACT**, as supplemented in the Department of Labor Regulations (29 **CFR**, Part 3); and **DAVIS BACON ACT** as supplemented by Department of Labor Regulations (29 **CFR** Part 5); Section 103 and 107 of the Contract Work Hours and Safety Standards Act, as supplemented by the Department of Labor Regulations (29 **CFR** Part 5); **THE HOUSING AND COMMUNITY**

DEVELOPMENT ACT OF 1974, as amended; 24 **CFR** Part 570 – Community Development Block Grants, and all applicable cross-cutting requirements, as applicable, under Subpart K, "Other Program Requirements," including but not limited to: Civil Rights and Fair Housing (24 **CFR** 570.601), Employment and Contracting Opportunities (24 **CFR** 570.607), Architectural Barriers and Americans with Disabilities (24 **CFR** 570.614), Labor Standards (24 **CFR** 570.603), Environmental Requirements, Historic Preservation, Floodplain Management, **NFIP**, Relocation, and Lead-Based Paint (24 CFR 570.608); the Build America, Buy America Act ("**BABA**"), 41 USC § 8301; **TITLE 31** and section 12-430(7) of the State of Connecticut General Statutes. All applicable sections of the City Charter and Code of Ordinances are incorporated by reference made a part hereof.

12.1. Taxes-Federal, State and Local. The City is exempt from Federal Excise and Transportation, State and Local Sales and Use Taxes, including without limitation, taxes that would otherwise be imposed upon the Contractor for transactions required or necessitated hereunder between it and its subcontractors, suppliers, etc. The Contractor remains liable, however, for any applicable tax obligations it incurs. Moreover, the Contractor represents that the bid and pricing contained in this Contract do not include the amount payable for said taxes.

12.2. Labor and Wages-Federal and State. The Contractor and its subcontractors shall conform to Federal and State of Connecticut labor laws, and all other laws, ordinances, and legal requirements affecting the work in Connecticut.

12.2.1 The Contractor is aware of, and shall comply with, the provisions of Title 31, §53 of the Connecticut General Statutes, latest revision (the "Act"), concerning the payment of minimum wages for work on public facilities. The provisions of the Act are hereby incorporated by reference and made a part of this Contract. The Act provides that the Connecticut prevailing wage law applies to certain remodeling, refurbishing, alteration, repair and new construction. The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in Conn. Gen. Stat. 31-53(i), shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

12.2.2 The Contractor is aware of, and shall comply with, the provisions of **DECD** grants, which the provisions are hereby incorporated by reference and made a part of this Contract.

12.3. Compliance with Chapters 34, 38, and 39 of the Code of Ordinances of the City. By executing this Contract, the Contractor represents and warrants that, at all

pertinent and relevant times to the Contract, it has been, is and will continue to be in full compliance with the provisions of Chapters 34, 38, and 39 of the Code of Ordinances of the City and well as any other relevant provisions of the Charter and the Code of Ordinances.

13. Discriminatory Practices. In performing this Contract, the Contractor shall not discriminate against any employee or applicant for employment, with respect to his or her hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of race, color, sex, age, religious creed, disability, national origin or ancestry, marital status, family status, prior psychiatric treatment, health care, military status or source of income or because of a handicap that is unrelated to the employee's or the applicant's ability to perform the duties of a particular job or position. Subcontracts with each subcontractor shall contain a provision requiring non-discrimination in employment as specified herein. This covenant is required pursuant to §93.04 of the Code of Ordinances of the City and any breach thereof may be regarded as a material breach of this Contract. Said provisions with subcontractors shall require conformity and compliance with all local, state and federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements.

13.1. Discrimination Because of Certain Labor Matters. No person employed on the work covered by this Contract shall be discharged or in any way discriminated against because such person has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or related to the labor standards applicable hereunder to its employer.

13.2. Equal Opportunity. In its execution of the performance of this Contract, the Contractor shall not discriminate and shall comply with applicable laws prohibiting discrimination on the grounds of race, color, religion, sex, national origin or citizenship status, age or handicap. The Contractor agrees to comply with all local, state and federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements and will require the same of all subcontractors.

13.3. Contractor Corrective Action.

13.3.1. Pursuant to Conn. Gen. Stat. § 4a-60, as amended by June 2015 Special Session Public Act 15-5, the following are required for every Municipal Public Works Contract:

(a) Every contract to which an awarding agency is a party, every quasi-public agency project contract and every municipal public works contract shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability

prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut; and the contractor further agrees to take corrective action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;

(2) The contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission on Human Rights and Opportunities;

(3) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(4) The contractor agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to sections 46a-56, as amended by this act, 46a-68e, 46a-68f and 46a-86; and

(5) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56, as amended by this act.

(b) If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency project.

13.3.2. Pursuant to Conn. Gen. Stat. § 4a-60a, as amended by June 2015 Special Session Public Act 15-5, the following are required for every Municipal Public Works Contract:

(a) Every contract to which an awarding agency is a party, every contract for a quasi-public agency project and every municipal public works contract shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the State of Connecticut, and employees are treated when employed without regard to their sexual orientation;

(2) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(3) The contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56; and

(4) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56.

14. Good Jobs Ordinance.

14.1. Conformance With An Ordinance Concerning The Hiring Of Waterbury Residents On Certain Publicly Funded Construction Projects.

14.1.1 If applicable, the Contractor and its Subcontractors shall comply with the specific requirements of "An Ordinance Concerning the Hiring of Waterbury Residents on Certain Publicly Funded Construction Projects" (the "Good Jobs Ordinance"), as may be amended from time to time and as set forth in Chapter 34 of the Code of Ordinances of the City. While the principal provisions of the ordinance are summarized as set forth in paragraphs C-H below, the Contractor does hereby acknowledge that it has reviewed a copy of the Good Jobs Ordinance and that it has read the Ordinance, and that Contractor is familiar with the obligations imposed on the Contractor and each Subcontractor by the Good Jobs Ordinance.

14.1.2 Failure of the Contractor or its Subcontractors to comply with the Good Jobs Ordinance shall be a material breach of this Agreement.

14.1.3 This paragraph shall apply to a Covered Project, as that term is defined in the Good Jobs Ordinance, in the City with a value of $500,000.00 or greater and only to the extent permitted by federal and state law.

14.1.4 Definitions. For purposes of this paragraph:

i. "Administrator" shall be defined as it is in the Good Jobs Ordinance.

ii. "Apprentice" shall be defined as it is in the Good Jobs Ordinance.

iii. "Basic Skilled Worker" shall be defined as it is in the Good Jobs Ordinance.

iv. "Contractor" shall be defined as it is in the Good Jobs Ordinance.

v. "Covered Project" shall be defined as it is in the Good Jobs Ordinance.

vi. "Hiring Goal" shall be defined as it is in the Good Jobs Ordinance.

vii. "Resident" shall be defined as it is in the Good Jobs Ordinance.

viii. "Subcontractor" shall be defined as it is in the Good Jobs Ordinance and shall include the Contractor's direct subcontractor providing construction work and all lower-tiered (level) providers of construction work.

14.1.5 Hiring Goals. If this Agreement requires the Contractor to perform work on a Covered Project, the Contractor and each Subcontractor shall have as its hiring goals:

i. at least thirty percent (30%) of its total worker hours performed by City Residents, and

ii. at least twenty-five percent (25%) of construction trade jobs shall go to Apprentices and/or Basic Skilled Workers, and

iii. at least seventy percent (70%) of all "new hires" (as that term is defined in the Good Jobs Ordinance) shall be "economically disadvantaged" individuals (as that term is defined in the Good Jobs Ordinance), and

iv. a minimum of five percent (5%) of the construction workforce labor hours will be local resident, minority artisans, and

v. a minimum of five percent (5%) of the construction workforce labor hours will be women, and

vi. a minimum of ten percent (10%) of the total work hours shall be allocated for minorities, or

vii. as may otherwise be required by any superseding Federal or State employment discrimination prohibition laws.

14.1.6 Good Faith Efforts. The Contractor and each Subcontractor shall engage in Good Faith Efforts to comply with the Hiring Goals. For the purposes of this paragraph, the term "Good Faith Efforts" shall have the same meaning as it does in the Good Jobs Ordinance. The Contractor and each Subcontractor shall individually implement Good Faith efforts to satisfy the Hiring Goals.

14.1.7 Action Plan and Pre-Construction Meeting. Not later than fourteen (14) business days prior to the scheduled commencement date for construction, the Contractor shall submit a written plan-of-action to the City and to the Administrator of the Good Jobs Ordinance defining how the Contractor, and each Subcontractor, shall implement Good Faith Efforts to fulfill the Hiring Goals. Each plan-of-action shall include the anticipated number of job positions required for the Work. Not later than five (5) business days prior to the commencement date of construction, the Contractor must attend a mandatory "pre-construction" meeting with the City to review all plans-of-action and other relevant materials. No construction work shall proceed absent this pre-construction meeting.

14.1.8 Other Contractor Obligations. In addition to the foregoing, the Contractor shall ensure that all Subcontractor contracts and agreements expressly set forth and state as binding obligations therein, subject to appropriate party name change, the above Hiring Goals and Good Faith Efforts. The Contractor shall be accountable for, and liable to the City for, Contractor and each Subcontractor compliance with Hiring Goals and Good Faith efforts.

i. The Contractor shall meet with the Administrator no less than four (4) weeks prior to the commencement of construction on a Covered Project and provide the Administrator with the number of job positions to be created by the project by trade and the qualifications by job tile.

ii. The Contractor shall be required to produce Contractor and Subcontractor documentation that may be required under the provisions of the Good Jobs Ordinance or that the City or the Administrator reasonably believes will assist the City or the Administrator with their evaluation of Hiring Goals and Good Faith Efforts.

iii. The Contractor shall deliver weekly certified payroll records to the City within five (5) working days of the end of each payroll period. Moreover, the Contractor shall require each Subcontractor to create

weekly certified payroll records.

iii. The Contractor's and each Subcontractor's payroll records shall include the person-hours, the residential address, race, gender, hiring date, and apprentice (job) classification of all personnel employed under this Agreement and all Contracts and Sub-Contracts thereunder. The Contractor and each Subcontractor shall mark their respective final payroll period records as being final and be signed by an authorized officer or employee.

14.2. Liquidated Damages Applicable To Section 14.1.

14.2.1 If the City finds that the Contractor, or a Subcontractor, has failed to achieve Hiring Goals during any five (5) day work period (Monday through Friday), the City shall:

i. issue a written notice to the Contractor specifying the matters constituting such failure and the time period within which Good Faith Efforts documentation must be delivered to the City for its evaluation.

ii. if the Good Faith documentation is not provided or, if provided, it fails to demonstrate compliance with Good Faith Efforts, the Contractor shall, for each failure by the Contractor or a Subcontractor to achieve the Hiring Goals during a full five-day work period, pay to the City one thousand dollars ($1,000.00) as liquidated damages.

14.2.2 If the City finds that the Contractor, or a Subcontractor, has failed to deliver weekly certified payroll records to the City within five (5) working days of the end of each payroll period the Contractor shall, as liquidated damages pay one thousand dollars ($1,000.00) to the City for each week of ongoing violation.

14.2.3 The City shall provide the Contractor with an invoice identifying all sums due to the City, as liquidated damages, because of the Contractor or a Subcontractor's failure to comply with the Good Jobs Ordinance as set forth above.

14.2.4 No portion of any invoice submitted by a Contractor that is subject to liquidated damages shall be paid by the City until such time as all liquidated damages relating to that invoice have been paid to the City.

14.2.5 The foregoing liquidated damages provisions shall be expressly set forth, subject to appropriate party name adjustments, as material provisions in all Contracts that the Contractor has with Subcontractors and the Contractor is obligated hereunder to enforce compliance in such Contracts with Subcontractors.

14.2.6 Any payment of liquidated damages hereunder shall not preclude a later claim, nor any later finding of a breach, or any payment of additional damages related to such later claim.

14.3. Compliance with CONN. GEN. STAT. § 4a-60g, as amended by June 2015 Special Session Public Act 15-5.

14.3.1 Definitions. For purposes of this paragraph:

i. "Small contractor" means any contractor, subcontractor, manufacturer, service company, or nonprofit corporation (A) that maintains its principal place of business in the state, (B) that had gross revenues not exceeding fifteen million dollars in the most recently completed fiscal year prior to such application, and (C) that is independent. "Small contractor" does not include any person who is affiliated with another person if both persons considered together have a gross revenue exceeding fifteen million dollars.

ii. "Minority business enterprise" means any small contractor (A) fifty-one per cent or more of the capital stock, if any, or assets of which are owned by a person or persons who (i) exercise operational authority over the daily affairs of the enterprise, (ii) have the power to direct the management and policies and receive the beneficial interest of the enterprise, (iii) possess managerial and technical competence and experience directly related to the principal business activities of the enterprise, and (iv) are members of a minority, as such term is defined in subsection (a) of section 32-9n, or are individuals with a disability, or (B) which is a nonprofit corporation in which fifty-one per cent or more of the persons who (i) exercise operational authority over the enterprise, (ii) possess managerial and technical competence and experience directly related to the principal business activities of the enterprise, (iii) have the power to direct the management and policies of the enterprise, and (iv) are members of a minority, as defined in this subsection, or are individuals with a disability.

iii. "Quasi-public agency project" means the construction, rehabilitation, conversion, extension, demolition or repair of a building or other changes or improvements in real property pursuant to a contract entered into on or after October 1, 2015, which is financed in whole or in part by a quasi-public agency using state funds, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

iv. "Municipal public works contract" means that portion of an agreement entered into on or after October 1, 2015, between any individual, firm or corporation and a municipality for the construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, which is

financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees but excluding any project of an alliance district, as defined in section 10-262u, financed by state funding in an amount equal to fifty thousand dollars or less.

14.3.2 The Contractor and subcontractor shall comply with the specific requirements of the State of Connecticut Set Aside Program, CONN. GEN. STAT. § 4a-60g, as amended by June 2015 Special Session Public Act 15-5, if the municipal public works contact awarded to the Contactor is funded in whole or in part by state funds.

14.3.3 The Contactor shall be subject to the following set-aside requirements if the municipal public works contact, is funded in whole or in part by the State of Connecticut and is in excess of **FIFTY THOUSAND DOLLARS ($50,000.00)**, for the construction, rehabilitation, conversion, extension, demolition, or repairing of a public building or highway, or other changes or improvements in real property.

14.3.4 Set-Aside Requirements. Any contractor awarded a municipal public works contract, based on competitive bidding procedures, shall comply with the following Set –Aside requirements:

i. set aside at least twenty-five per cent (25%) of the total value of the state's financial assistance for such contract for award to subcontractors who are small contractors, and

ii. of that portion to be set aside in accordance with Subparagraph i. of this subdivision, reserve a portion equivalent to twenty-five per cent (25%) of the total value of the contract or portion thereof to be set aside for awards to subcontractors who are minority business enterprises.

14.3.5 Failure of the Contractor or subcontractor to comply with the State of Connecticut Set-Aside requirements shall be a material breach of this Contract.

15. Housing and Urban Development Section 3 Clause. In the event this Contract is funded, in whole or in part, through Housing and Urban Development assistance, 24 **CFR** 75 may apply, and the Contractor shall then be required to comply with the following (referred to as the "Section 3 clause"):

15.1. The work to be performed under this Agreement is a project assisted under a program providing direct Federal financial assistance from **HUD** and is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended. Section 3 requires that to the greatest extent feasible opportunities for training and employment be given to low and very low-income residents of the project area and contracts for work in connection with the project be awarded to business concerns that provide economic opportunities for low and very low-income persons

residing in the area in which the project is located.

15.2. The parties to this Contract agree to comply with **HUD**'s regulations in 24 **CFR** part 75, which implement Section 3. As evidenced by their execution of this Contract, the parties to this Contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 75 regulations.

15.3. The Contractor agrees to send to each labor organization or representative of workers with which the Contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the Contractor's commitments under this Section 3 clause and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

15.4. The Contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 **CFR** 75, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 **CFR** 75. The Contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 **CFR** 75.

15.5. The Contractor will certify that any vacant employment positions, including training positions, that are filled **(i)** after the Contractor is selected but before the Contract is executed, and **(ii)** with persons other than those to whom the regulations of 24 **CFR** 75 require employment opportunities to be directed, were not filled to circumvent the Contractor's obligations under 24 **CFR** 75.

15.6. Noncompliance with **HUD**'s regulations in 24 **CFR** 75 may result in sanctions, termination of this contract for default, and debarment or suspension from future **HUD** assisted contracts.

16. Termination.

16.1. Termination of Contract for Cause. If, through any cause, the Contractor shall fail to fulfill in a timely and proper manner its obligations under this Contract, or if the Contractor shall violate any of the covenants, agreements, or stipulations of this Contract, the City shall thereupon have the right to terminate this Contract by either **(i)** giving written notice to the Contractor of a date certain by which Contractor shall, to the written satisfaction of the City, cure after which and without further action by any party, such termination shall automatically become effective and binding, or **(ii)** giving written notice to the Contractor specifying the effective date of such termination at least five (5) business days before the effective date of such termination.

16.1.1 In the event of a termination, all finished or unfinished documents, data, studies, reports, plans, specifications, drawings, supplies, services, etc. prepared by the Contractor under this Contract shall, at the option of the City, become the City's property, and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed for such.

16.1.2 Notwithstanding the above, the Contractor shall not be relieved of liability to the City for damages sustained by it by virtue of any breach of this Contract by the Contractor, and the City may withhold any payments to the Contractor for the purpose of setoff until such time as the exact amount of damages due the City from the Contractor is determined.

16.2. Termination for Convenience. The City may terminate this Contract at any time for its convenience, by a notice in writing from it to the Contractor. If this Contract is terminated by the City as provided herein, the Contractor will be paid an amount which bears the same ratio to the total compensation as the services performed bear to the total services of the Contractor covered by this Contract, less payments of compensation previously made.

16.3. Termination for Non-Appropriation or Lack of Funding. The Contractor acknowledges that this Contract is subject to the appropriation and disbursement of funds sufficient for this Contract for each budget year in which this Contract is in effect. The Contractor therefore agrees that the City shall have the right to terminate this Contract in whole or in part without penalty to the City if sufficient funds to provide for City payment(s) under this Contract is not appropriated, not authorized, or not made available, or such funding has been reduced. In the event this Contract is subject, in whole or in part, to the appropriation and disbursement of Federal and/or State funds and those Federal and/or State funds are not appropriated or are not disbursed to the City, the Contractor hereby agrees that the City shall have the right to terminate this Contract in whole or in part without penalty to the City.

16.3.1 Effects of Non-Appropriation. If funds to enable the City to effect continued payment under this Contract are not appropriated, authorized or otherwise made available by law, the City shall have the right to terminate this Contract without penalty at the end of the last period for which funds have been appropriated, authorized or otherwise made available by law by giving written notice of termination to the Contractor.

16.3.2 Effects of Reduced Levels of Funding. If funding is reduced by law, or funds to pay the Contractor for the agreed to level of the products, services and functions to be provided by the Contractor under this Contract are not appropriated, authorized or otherwise made available by law, the City may, upon seven (7) business days written notice to the Contractor, reduce the level of the products, services or functions in such manner and for such periods of time as the City may elect. The charges payable under this Contract shall be

equitably adjusted to reflect such reduced level of products, services or functions and the parties shall be afforded the rights set forth in this Contract.

16.3.3 No Payment for Lost Profits. In no event shall the City be obligated to pay or otherwise compensate the Contractor for any lost or expected future profits.

16.4. Rights Upon Termination.

16.4.1 Termination for Cause. In the event the City terminates this Contract for cause, the Contractor shall relinquish to the City any applicable interest, title and ownership including, but not limited to, perpetual use of any proprietary rights in and to the products and deliverables delivered to, in possession of and properly invoiced and paid for by (except to the extent such invoiced amount is disputed) the City. Regarding third party products, the Contractor shall transfer all licenses which it is permitted to transfer in accordance with the applicable third-party license. The City shall have no financial obligation to compensate the Contractor for such terminated products unless payment is otherwise approved by the City prior to such termination. The Contractor shall be liable for costs incurred by the City, including but not limited to reasonable attorney fees and all court awarded fees and costs incurred in terminating this Contract in whole or in part.

16.4.2 Termination for Lack of Funding or Convenience. In the event of termination by the City for lack of funding or convenience, the City shall pay the Contractor for all labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc.(including any holdbacks) installed and delivered to the City as of the Termination Date and the Contractor shall relinquish to the City any applicable interest, title and ownership including, but not limited to perpetual use of any proprietary rights in and to said labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc. delivered to, in possession of and paid for by the City (except to the extent any invoiced amount is disputed). The Contractor shall be required to exercise commercially reasonable efforts to mitigate damages. In the event of a termination for Lack of Funding or Convenience the City and the Contractor may negotiate a mutually acceptable payment to the Contractor for reasonable demobilization expenses. Said demobilization expenses, if any, shall be handled in accordance with the provision of this Contract pertaining to changes in the Project.

16.4.3 Assumption of Subcontracts. In the event of termination, the City shall have the right to assume, at its option, one or all subcontracts for products, services and functions provided exclusively under this Contract.

16.4.4 Delivery of Documents. In the event of termination, **(i)** the Contractor shall promptly deliver to the City, in a manner reasonably specified by the City, all documents and other tangible items furnished by, or owned, leased, or

licensed by the City and **(ii)** the City shall pay the Contractor for all services performed and deliverables completed and accepted (pro-rated for deliverables partially completed) prior to the effective date of the termination (except to the extent any invoice amount is disputed).

17. Force Majeure. Contractor shall not be held responsible for delays nor be subject to liquidated damages when such delays are caused by conditions beyond its control, including without limitation:

17.1. Acts of God, fire, explosion, epidemic, cyclone, flood, war, strikes, revolution, civil commotion, or acts of public enemies; and

17.2. Change of law and order, proclamation, regulation, ordinance, or governmental requirement.

Upon cessation of work for reason of force majeure delays, Contractor shall use its best efforts to meet the schedule set forth in Section 5 of this Contract.

18. Subcontracting. The Contractor shall not, without the prior written approval of the City, subcontract, in whole or in part, any of the Contractor's services. Any subcontractor so approved shall be required to secure and maintain insurance coverage equal to or better than that required of the Contractor and shall name the City as an additional insured party and said subcontractors shall deliver to the City a certificate of insurance evidencing such coverages. All subcontractors shall comply with all federal, state and local, laws, regulations and ordinances but such requirement shall not relieve the Contractor from its requirement that all services provided hereunder shall comply with all Federal, State and local, laws, regulations and ordinances.

18.1. The Contractor shall be as fully responsible to the City for the acts and omissions of its subcontractors, and of persons either directly or indirectly employed by them, as it is for the acts and omissions of persons directly employed by the Contractor.

18.2. The Contractor is responsible for and shall control the activities of its subcontractors, and the subcontractors shall consult and cooperate with one another and other contractors working on the Project site. Each subcontractor shall furnish all necessary information to other subcontractors and shall lay out and install its own work to avoid any delays or interferences with the work of another. Any cost for changes, cutting and/or repairing, made necessary by failure to observe the above requirements shall be borne by the Contractor or subcontractor responsible for such failure or neglect.

18.3. The Contractor shall not, without the prior written approval of the City, substitute, terminate, replace or otherwise remove a subcontractor.

19. Assignability. The Contractor shall not assign any interest in this Contract and shall not transfer any interest in the same (whether by assignment or novation) without the prior

written approval of the City; provided, however, that claims for money due or to become due the Contractor from the City under this Contract may be assigned to a bank, trust, company, or other financial institution, or to a Trustee in Bankruptcy, without such approval. Notice of any such assignment or transfer shall be furnished promptly to the City.

20. Audit. The City reserves the right to audit the Contractor's books of account in relation to this Contract at any time during the period of this Contract or at any time during the twelve-month period immediately following the closing or termination of this Contract. In the event the City elects to make such an audit, the Contractor shall immediately make available to City all records pertaining to this Contract, including, but not limited to, payroll records, bank statements and canceled checks.

21. Interest of City Officials. No member of the governing bodies of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the Project to which this Contract pertains, shall have any personal interest, direct or indirect, in this Contract.

22. Interest of Contractor. The Contractor covenants that it presently has no interest and shall not acquire any interest, direct or indirect, in the Project or any parcel of land therein or any other interest which would conflict in any manner or degree with the performance of its services hereunder. The Contractor further covenants that in the performance of this Contract no person having any such interest shall be employed.

23. Entire Contract. This Contract shall constitute the complete and exclusive statement of the contract between the parties as it relates to this transaction and supersedes all previous agreements and understandings, whether written or oral, relating to such subject matter. Any amendment to this Contract must be in writing and agreed to and executed by the City and the Contractor and must comply with the City's Charter and Code of Ordinances.

24. Independent Contractor Relationship. The relationship between the City and the Contractor is that of client and independent contractor. No agent, employee, or servant of the Contractor shall be deemed to be an employee, agent or servant of the City. The Contractor shall be solely and entirely responsible for its acts and the acts of its agents, employees, servants and subcontractors during the performance of this Contract.

25. Severability. Whenever possible, each provision of this Contract shall be interpreted in such a manner as to be effective and valid under applicable law. If any provision of this Contract, however, is held to be prohibited or invalid under applicable law, such provision shall be deemed restated to reflect the original intentions of the parties, as nearly as possible in accordance with applicable law, and if capable of substantial performance, the remaining provisions of this Contract shall be enforced as if this Contract was entered into without an invalid provision. If the ruling and/or controlling principle of law or equity leading to the ruling is subsequently overruled, modified or amended by legislation, judicial or administrative action, then the provision(s) in question as originally set forth in this Contract shall be deemed valid and enforceable to the maximum extent permitted by the new controlling principal of law or equity.

26. Survival. Any provisions of this Contract that impose continuing obligations on the parties shall survive the expiration or termination of this Contract for any reason.

27. Changes in the Project: Change Orders.

27.1. Requests for Change Orders. The City reserves the right on its own volition or based upon a proposal for a Change Order submitted in written form with a thorough explanation by the Contractor, to request from time to time any changes to the requirements and specifications of this Contract and the products to be provided and the functions and services to be performed by the Contractor under this Contract. Such changes must be authorized by the City. The City will not approve of any change orders, deletions, additions, or additional work items to the Scope of Services or any change in the terms and conditions of this Contract except by means of a City authorized amended Scope of Services, applicable and restricted to those items set forth in §1, above, or Change Order issued as set forth in this section, except in the event of an emergency endangering life or property.

27.2. Procedures.

27.2.1 The Contractor's Response to a Change Request.

i. Within thirty (30) calendar days after receipt of a request by the City for any such change or such other period as the Parties may mutually agree to in writing, the Contractor shall submit to the City a proposal describing any changes in Contract Milestones or Contract completion dates, products, functions, timing of delivery, assignment of personnel, and the like, and any associated price adjustment. The Contractor's proposal shall describe, in detail, the basis for the proposed price adjustment, including the charges for any products required to implement the change request.

ii. To the extent that additional costs or cost savings result from a change in required products, the Contractor shall obtain any additional products and provide them to the City at a negotiated price acceptable to the City and the Contractor. Similarly, if the change request is expected to result in a reduction in products required to perform the services, the Contractor's charges shall be reduced by the cost savings resulting from the products eliminated by the change request.

27.2.2 City's Acceptance of Change Request. If the City accepts the Contractor's proposal, the City shall issue a change order referencing the Contractor's proposal and both parties shall sign the change order. The Contractor shall not implement any change request until the City has issued a valid, properly executed, change order.

27.2.3 City's Rejection of Change Request. If the City does not accept the Contractor's proposal, the City may within two weeks of such non-acceptance:

(i) withdraw its change request; or, **(ii)** modify its change request, in which case the procedures set forth above shall apply to the Contractor's response to the modified change request.

27.3. City Discretion. The City may, in its sole discretion, approve the proposed Change Order and shall forward same for additional signatures under the following conditions: **(i)** If it conforms to provisions of applicable laws, and **(ii)** if it is consistent with this Contract, and **(iii)** if the time of performance of this Contract will not be unreasonably delayed, **(iv)** the Final Completion date is not changed, **(v)** if the Change Order requires a change to the Final Completion date, such change has been authorized by an approved, executed, written Amendment to this Contract, and **(vii)** if the Change Order requires an increase in the price of the Contract, the City **(1)** has sufficient funds, and **(2)** if a budget transfer is required to cover the cost of the proposed Change Order, such transfer has secured the written approval of the Board of Aldermen and other required regulatory agencies.

27.4. Change Orders Governed by the Provisions of this Contract. All work performed under a Change Order is governed by the provisions of this Contract.

28. Conflicts or Disputes. This Contract represents the concurrence between the City and the Contractor and governs all disputes between them. In the instance of a conflict or dispute over issues not specifically referenced within the Contract, the following documents shall be used as historical documents, without regard to the order of precedence, to resolve such conflicts or disputes, as follows: **(i)** the City's **RFP Number 8445** and **(ii)** the Contractor's Bid response to **RFP Number 8445**. Said historical documents are attached hereto as part of **Attachment A.**

28.1. Procedure. This procedure supersedes all statements to the contrary occurring either in proposals or other prior agreements, oral or written, and all other communications between the parties relating to this subject.

28.2. Presumption. This Contract or any section thereof shall not be construed against any party because the Contract or any section thereof was drafted by such party.

29. Disputes; Legal Proceedings; Waiver of Trial by Jury and Continued Performance. The Contractor agrees that it waives a trial by jury as to all claims, causes of action or disputes arising out of this Contract or services to be provided pursuant to this Contract. Notwithstanding any such claim, dispute or legal action, the Contractor shall continue to perform services under this Contract in a timely manner, unless otherwise directed by the City.

30. Binding Contract. The City and the Contractor each bind themselves, and their successors, assigns and legal representatives to the other party to this Contract and to the successors, assigns and legal representatives of such other party with respect to all covenants of this Contract.

31. Waiver. Any waiver of the terms and conditions of this Contract by either of the parties hereto shall not be construed to be a waiver of any other term or condition of this Contract.

32. Governing Laws. This Contract, its terms and conditions and any claims arising there from shall be governed by the laws of the State of Connecticut.

33. Notice. Except as otherwise specifically prohibited in this Contract, whenever under this Contract approvals, authorizations, determinations, notices, satisfactions or waivers are required or permitted, such items shall be effective and valid only when given in writing signed by a duly authorized officer of the City or the Contractor, and delivered in hand or sent by mail, postage prepaid, to the party to whom it is directed, which until changed by written notice, are as follows:

Contractor:	J.A. Rosa Construction, LLC 17 Town Line Road Wolcott, CT 06716
City:	City of Waterbury City Hall 235 Grand Street Waterbury, CT 06702
With a copy to:	Corporation Counsel's Office 3rd Floor City of Waterbury City Hall 235 Grand Street Waterbury, CT 06702

34. City Code of Ordinances, Ethics and Conflict of Interest Code, Provisions. The Person (the term "Person" shall herein be as defined in Section 38 of the City's Code of Ordinances) supplying the labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc. under this Contract shall comply with all applicable Federal, State and Municipal statutes, regulations, charters, ordinances, rules, etc, whether they are expressly stated in this Contract, including but not limited to the following:

34.1. It shall be a material breach of this Contract, and, except as may be permitted by regulations or rulings of the City of Waterbury Board of Ethics it shall be a violation of the City's Code of Ordinances, for any Public Official, City Employee or Member of a Board or Commission who is participating directly or indirectly in the procurement process as set forth in the City's Code of Ordinances, including those participating in exempt transactions, to become or be the employee of any person contracting with the governmental body by whom the Official, Employee, or Board or Commission member is employed or is a member.

34.2. It shall be a material breach of this Contract, and it shall be a violation of the City's Code of Ordinances for any Person to offer, give, or agree to give any current or

former Public Official, Employee or Member of a Board or Commission, or for such current or former Public Official, Employee or Member of a Board or Commission to solicit, demand, accept or agree to accept from another Person, a gratuity or an offer of employment in connection with any: decision; approval; disapproval; recommendation; preparation of any part of a program requirement or a requisition; influencing the content of any specification or procurement standard; or rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim or controversy, or other particular matter, pertaining to any program requirement or a Contract or Purchase Order, or to any solicitation or proposal therefore.

34.3. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for any payment, Gratuity, or offer of employment to be made as an inducement for the award of a subcontract or order, by or on behalf of a subcontractor, the prime Contractor or higher tier subcontractor or any Person associated therewith, under a Contract or Purchase Order to the City.

34.4. The value of anything transferred or received in violation of the City's Charter, Code of Ordinances, and/or regulations promulgated there under, by any Person subject to said Charter and/or Ordinances may be recovered by the City.

34.5. Upon a showing that a subcontractor made a kickback to the City, a prime Contractor or a higher tier subcontractor in connection with the award of a subcontract or order there under, it shall be conclusively presumed that the amount thereof was included in the price of the subcontract or order and ultimately borne by the City and will be recoverable hereunder from the recipient. In addition, said value may also be recovered from the subcontractor making such kickbacks. Recovery from one offending party shall not preclude recovery from other offending parties.

34.6. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for a Person to be retained, or to retain a Person, to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee; and every Person, before being awarded a City Contract, shall deliver to the City, on a City authored form, a representation that such Person has not retained anyone in violation of this subsection 34.6, the failure to deliver said form being a material breach of this Contract and a violation of the City's Code of Ordinances. Note, however, this subsection 34.6 shall not apply to full-time Employees who, as a condition of their employment, may be entitled to bonuses or other fees in accordance with their employment relationship.

34.7. The Person hereby expressly represents that he/she/it has complied with those sections of the City's Code of Ordinances requiring that said Person has **(i)** delivered to the City an affidavit, on a City authored form, stating that the Person and its affiliates have no delinquent taxes or other financial obligations owned to the City; **(ii)** filed the City authored financial disclosure statement form as set forth in the City's Code of Ordinances regarding disclosure of financial interests; **(iii)** delivered to the City a written acknowledgement, on a City authored form, evidencing receipt of a copy of the

"Ethics and Conflict of Interest" ordinance for the City of Waterbury and hereby expressly represents that said Person is in full compliance with the entirety of said Code of Ordinances; and **(iv)** filed a current list of all taxable personal and real property as required by the State of Connecticut General Statutes. Any violation of this subsection 34.7 shall be deemed a material breach of this Contract and shall be a violation of the City's Code of Ordinances.

34.8. The definitions set forth in the City's Code of Ordinances shall be the primary source for interpretation of the forgoing subsections 34.1-34.7.

34.9. The Contractor is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, all relevant provisions of the City's Charter and all relevant provisions of the City's Code of Ordinances, including without limitation Chapters 93, titled "Discriminatory Practices", Chapter 38 titled "Centralized Procurement System", and Chapter 39 titled "Ethics and Conflict of Interest", of said Code as may be amended from time to time.

34.10. The Contractor hereby acknowledges receipt of a copy of Chapters 38 and 39 of the City's Ordinance regarding Procurement, Ethics, and Conflicts of Interest and has familiarized itself with said Code and hereby agrees to adhere to said Code. The text of Chapters 38 and 39 of said Code may be obtained from the Office of the City Clerk of the City and on the internet at the City Clerk's web site: http://www.waterburyct.org/content/458/539/default.aspx [click link titled "The City of Waterbury Code of Ordinances *Passed 8/24/2009*". For Chapter 38, click on **"TITLE III: ADMINISTRATION",** then click **on "CHAPTER 38: CENTRALIZED PROCUREMENT SYSTEM"**. For Chapter 39, click on **"TITLE III: ADMINISTRATION"**, then click on **"CHAPTER 39: ETHICS AND CONFLICTS OF INTEREST"**].

34.11. The Contractor is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, the City's Ordinance Sections 34.15 through 34.99 entitled, "Ordinance Concerning the Hiring of Waterbury Residents on Certain Publicly Funded Construction Projects," and the State of Connecticut Legislature's Special Act No. 01-1.

34.12. Every Person who conducts business with, contracts, with or provides commodities or services to the City, is charged with notice of the extent of the powers and authority, and the limitations thereon, of the Public Officials and Employees of the City, as set forth in the charter of the City, the Code of Ordinances and any Regulations or Policies pertaining thereto. In particular, and without implying any limitation as to its applicability, it applies to all Persons who participate in the procedures pertaining to the Centralized Procurement System as set forth in Chapter 38, and the Ethics and Conflict of Interest provisions set forth in Chapter 39 of the Code of Ordinances.

34.13. INTEREST OF CITY OFFICIALS. No member of the governing body of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the project, to which

this agreement pertains, shall have any personal interest, direct or indirect, in this agreement.

34.14. PROHIBITION AGAINST CONTINGENCY FEES. The Contractor hereby represents that it has not retained anyone to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage or contingency fee.

34.15. FREEDOM OF INFORMATION ACT NOTICE. Pursuant to State statute, in the event the total compensation payable to the Contractor set forth in Section 6 of this Contract is greater than $2,500,000.00, the City is entitled to receive a copy of all Contractor records and files related to the performance of this Contract and those records and files are subject to the Freedom of Information Act ("the Act") and may be disclosed by the City pursuant to the Act.

34.16. CONFLICT OF INTEREST UNDER CFR. Contractor agrees to abide by the provisions of 2 **CFR** § 200.112 and 24 **CFR** Part 570.611 with respect to the conflicts of interest, and covenants that it presently has no financial interest and shall not acquire any financial interest, direct or indirect, which would conflict in any manner or degree with the performance of services under this Agreement. The contractor further covenants that in the performance of this Agreement, no person having such a financial interest shall be employed or retained by the Contractor hereunder. The conflict-of-interest provisions apply to any person who is an employee, agent, consultant, officer, or elected official or appointed official with the City or of any of the designated public agencies or subrecipients, which are receiving funds from DECD.

35. Definitions. Whenever the following, words, terms, etc. appear in this Contract, the intent and meaning shall be as follows:

35.1. Additional Work: Work required by the City that involves a substantial addition to, deduction from or modification of the Contract Documents.

35.2. Bid or Proposal: The form on which the bidder is to submit a bid for the Work contemplated.

35.3. Bidder: A person, partnership, corporation or other business organization submitting a bid on the form for the Work contemplated.

35.4. City: The City of Waterbury, acting directly or through specifically authorized personnel.

35.5. Construction Supervisor: An employee of the City, or other City duly authorized person, including the KBE Building Corp.

35.6. Contract Time: The number of days as stated in the Contract to: (i) achieve Substantial Completion and (ii) Final Completion.

35.7. Equal: The recognized equivalent in substance and function; considering quality, workmanship, economy of operation, durability and suitability for purposes intended, and not constituting a change in the Work specified. Whenever the words "equal" or "equals" or words of like import are used, it shall be understood they mean "equal" in the opinion of the City.

35.8. Final Completion: The time at which the Project has progressed to the point where, in the opinion of the City, the Project is complete such that it is ready for final payment as evidenced by the City's, or its duly authorized representative's written recommendation of final payment. The terms "finally complete" and "finally completed" as applied to the Project refer to Final Completion.

35.9. Notice to Proceed: A letter from the City which shall state the date of execution of the Contract and specifically advise the Contractor to begin work on the Contract.

35.10. Plans: All drawings or reproductions of drawings pertaining to the construction of the work contemplated and its appurtenances.

35.11. Project Engineer or Manager: An employee of the City or a person, partnership, corporation or other business organization under contract with the City, commissioned to perform construction administration and inspection duties during construction.

35.12. Shop Drawings: Drawings, diagrams, schedules, performance charts, brochures and other materials prepared by the Contractor or subcontractors, manufacturers or distributors which illustrate some portion of the work.

35.13. Specifications or Technical Specifications: The description, provisions and other requirements pertaining to the materials, methods and manner of performing the Project.

35.14. Subcontractor: A person, partnership, corporation or other business organization supplying labor and/or materials for work at the site of the Project to and under agreement with the Contractor.

35.15. Substantial Completion: The time at which, in the opinion of the Engineer, the Project (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Project (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Project mean Substantial Completion thereof.

35.16. Substitution: A replacement of specified material, device or equipment which is sufficiently different in substance, function, quality or workmanship to become the subject of a Change Order.

35.17. Supplementary General and Special Conditions: An extension to the terms, conditions, and provisions set forth in this document as additional, material, provisions of this Contract.

35.18. Work: All plant, labor, materials, services, supplies, equipment and other facilities and items necessary for, or incidental to, the completion of the terms of the Contract.

35.19. Using Agency: The Department of Public Works.

IN WITNESS WHEREOF, the parties hereto execute this Contract on the dates signed below.

WITNESSES:

_____

_____

CITY OF WATERBURY

By: _____
Paul K. Pernerewski, Jr., Mayor

Date: _____

WITNESSES:

_____

_____

J.A. ROSA CONSTRUCTION, LLC

By: _____
John A. Rosa, Managing Member

Date: 6·26·25

ATTACHMENT A

REQUEST FOR PROPOSAL NO. 8445
BY
THE CITY OF WATERBURY
DEPARTMENT OF PUBLIC WORKS
FOR
ONE EXCHANGE PLACE BUILDING CORE RESTROOM RENOVATIONS

Pursuant to this Request for Proposal ("RFP"), the City of Waterbury, Department of Public Works (hereinafter "City"), is seeking proposals from third-parties (each a "proposer" or "contractor" and, collectively, "proposers" or "contractors") to perform renovations to the Core Restrooms at One Exchange Place, 21 West Main Street, Waterbury, Connecticut (hereinafter "Project") with the intention of entering into a contract for the furnishing of all labor, materials, tools, and equipment necessary to execute and properly finish the Project, as detailed and described herein:

Please find the link to all pertinent documents (specifications for the work.):

RFP No. 8445 Bid Documents

A. Background and Intent

1. The City is seeking to engage a qualified contractor to provide demolition and new restrooms on floors 1, 2, 4, 5 and 6 at One Exchange Place per the Project documents and intends to award a contract for requested services as detailed in this RFP. The City will select the lowest responsible and qualified bidder, which is defined as: "the bidder whose bid is the lowest of those bidders possessing the skill, ability and integrity necessary to faithfully perform this work."

2. This Project will be funded in whole or in part from a grant from a State of Connecticut Department of Economic and Community Development ("DECD") grant and all applicable DECD guidelines governing construction shall be followed.

B. Qualifications

Eligible proposers will be those contractors, consultants, businesses, and institutions possessing the following qualifications:

1. Experience and expertise in regard to providing the types of, or similar services, as those set forth in the Scope of Services. Provide a minimum of three (3) examples of projects by which the proposer believes satisfies this qualification;
2. Proposers shall have a minimum of ten (10) years' experience with providing specified environmental remediation;
3. A proven history in providing these types of or similar services in the Connecticut municipal market and understanding of standards and requirements typical for such services;
4. The assigned staff possess the requisite professional background, experience and qualifications to perform the services required under this RFP in a timely and efficient manner;

5. Knowledge of, and compliance with, all applicable federal and state laws and regulations governing the services required for the Project; and
6. At the time of contract award has or will have sufficient capacity, facilities, equipment, labor, financial capability, and experience to provide all services required hereunder throughout the term of the Project, including, any extensions thereof, and to conduct all such services in a regulatory-compliant and reliable manner.

C. Scope of Services

I. Scope of Project

1. **Project – Complete Core Restroom Renovation, as required by the contract documents, included but not limited to the following:**

    a. All general requirements;
    b. All demolition and legal disposal;
    c. All required concrete patching;
    d. All wall framing;
    e. All ceiling installation;
    f. All flooring and wall tile installation;
    g. All painting and specified finishes;
    h. All specialty furnishings;
    i. All plumbing;
    j. All HVAC;
    k. All electrical;
    l. Proposer shall schedule the core restroom installation with the following priority: 6th Floor (floor shall be first scheduled completion), 4th Floor (floor shall be the second scheduled completion), 5th Floor, 2nd Floor, 1st Floor (floor shall be last scheduled completion);
    m. Proposer shall take extra precautions when working around the 3rd floor as this is an occupied space; and
    n. Provide DECD State of CT Project Sign, which sign template is included in the Bid Documents.
2. Removing and legally disposing of all packing materials, crating and other materials associated with equipment and material delivery and installation.
3. Repairing, cleaning, and turning over all impacted existing to remain areas to proper functioning condition .
4. Providing all warranty, close-out, commissioning, or maintenance of equipment as indicated in drawings and specifications.

D. Agreement Period

1. The agreement period for any contract or purchase order resulting from this RFP is anticipated to be **TBD**. The agreement period for any contract or purchase order resulting from this RFP shall be as negotiated to the mutual agreement of the parties.

2. The successful proposer will be required to develop Project milestones for the various Project Tasks/Services. These Project milestones will be incorporated into the final contract with the City in order to ensure timely Project completion.

E. Insurance

The respondent shall provide insurance as set for in **Attachment D** provided by insurers, satisfactory to the City and authorized to do business in the State of Connecticut, an "A-"Best's Rating and at least a Class V3 or better financial category as shown in the most current A.M. Best Company rating. In addition to the City, the State of Connecticut shall also be an additional insured.

F. General Information

1. The City is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, or business practices. The City is committed to complying with the Americans with Disabilities Act of 1990 ("ADA") and does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services, or activities.
2. **There will be a Mandatory Information Session with respect to this RFP on May 16, 2025, at 10:00 a.m. main lobby One Exchange Place, 21 West Main Street in Waterbury. THOSE NOT ATTENDING THE INFORMATION SESSION WILL NOT BE ELIGIBLE TO SUBMIT A PROPOSAL.**

   Project Location

One Exchange Place	21 West Main Street

3. Proposers must sign the items and any forms included in **Attachment A** - Contract Compliance Packet.

4. All questions and communications about this request for Proposal and submission requirements must be directed to the City of Waterbury ProcureWare website and must be received **by 2:00 p.m. on May 21, 2025**. Prospective proposers must limit their contact regarding this RFP to the Purchasing Director or such other person otherwise designated by the Purchasing Director. Responses to questions submitted by the above date or identified at any Information Session to be held in regard to this RFP, **along with any changes or amendments to this RFP**, will be available via the City of Waterbury ProcureWare website **by 2:00 p.m. on May 23, 2025**. It shall be the responsibility of the proposer to download this information. If you have any procedural questions in this regard, please call the Purchasing Director at (203) 574-6748.

G. Management

Any contract or purchase order resulting from this RFP will be managed by the City's Department of Public Works and KBE Building Corporation.

H. Conditions

All proposers shall adhere to the following conditions and must positively state so in their proposal:

1. All proposals in response to this RFP are to be the City's. Proposers are encouraged **not** to include in their proposals any information which is proprietary. All materials associated with this procurement process are subject to the terms of state laws defining freedom of information and privacy and all rules, regulations and interpretations resulting from those laws.

2. Any product, whether acceptable or unacceptable, developed under a contract awarded as a result of the RFP is to be the sole property of the City.

3. The City will determine the timing and sequence of events resulting from this RFP.

4. The proposer agrees that the proposal will remain valid for a period of **ninety (90) days** after the closing date for the submission and may be extended beyond that time by mutual agreement.

5. The City may amend the terms or cancel this RFP any time prior to the execution of a contract or purchase order for these services if the City deems it to be necessary, appropriate or otherwise in the best interests of the City. Failure to acknowledge receipt of amendments in accordance with the instructions contained in the amendments; may result in a proposal not being considered. At his option, the City's Director of Purchasing may provide all proposers with a limited opportunity to remedy any technical deficiencies identified by the City in their initial review of proposals.

6. The Proposer must certify that the personnel identified in its response to this RFP will be the persons actually assigned to the Project. Any additions, deletions or changes in personnel from the proposal during the course of the agreement period must be approved by the City, with the exception of personnel who have terminated employment. Replacements for personnel who have terminated employment are subject to approval by the City. At its discretion, the City may require the removal and replacement of any of the proposer's personnel who do not perform adequately, regardless of whether they were previously approved by the City.

7. All subcontractors hired by the successful must have prior written approval from the City prior to and during the Project.

8. Any costs and expenses incurred by proposers in preparing or submitting proposals are the sole responsibility of the proposer.

9. A proposer must be prepared to present evidence of experience, ability, financial standing, and any other information deemed necessary by the City to satisfactorily meet the requirements set forth or implied in the proposal.

10. No additions or changes to the original proposal will be allowed after submittal, except as may be allowed by the City, at its option, in accordance with Section G.5. of this

RFP. While changes are not permitted, clarification of proposals may be required by the City at the proposer's sole cost and expense. The final price and scope of services of any contract or purchase order resulting from this RFP may be negotiated with responsible proposers.

11. The proposer may be required to give presentations to the extent necessary to satisfy the City's requirements or needs. In some cases, proposers may have to give presentations or further explanations to any RFP selection committee established by the City.

12. The proposer represents and warrants that the proposal is not made in connection with any other proposer and is in all respects fair and without collusion or fraud. The proposer further represents and warrants that it did not participate in any part of the RFP development process, had no knowledge of the specific contents of the RFP prior to its issuance and that no agent, representative or employee of the City participated directly in the Proposer's proposal preparation.

13. All responses to the RFP must conform to instructions. Failure to include any required signatures, provide the required number of copies, to meet deadlines, answer all questions, follow the requested format or fail to comply with any other requirements of this RFP may be considered appropriate cause for rejection of the response.

14. The proposer must accept the City's standard agreement language. **See Attachment B**.

15. Any contract or purchase order resulting from this RFP process will represent the entire agreement between the proposer and the City and will supersede all prior negotiations, representations, or agreements, alleged or made, between the parties. The City shall assume no liability for payment of services under the terms of the contract or purchase order until the successful proposer is notified that the contract or purchase order has been accepted and approved by the City. Any contract resulting from this RFP may be amended only by means of a written instrument signed by the proposer and signed by the Mayor.

I. Proposal Requirements & Required Format

One original (clearly identified as such) and **five (5)** paper copies of the proposal, as well as a copy of the original proposal in pdf format on a CD or flash drive, must be received at the following address no later than **11:00 a.m. on May 30, 2025**.

Mr. Kevin McCaffery
Director of Purchasing
City of Waterbury
235 Grand Street
Room 103
Waterbury, CT 06702

Proposals submitted must be bound, paginated, indexed and numbered consecutively. Proposers shall complete **Attachment C** addressed to Mr. McCaffery, which, in part,

includes a statement by the proposer accepting all terms and conditions and requirements contained in the RFP, and which shall be signed by a duly authorized official of the organization submitting the proposal. In **Attachment C**, proposers shall also identify the name of a contact person, along with their telephone number, email address, if applicable, and address, who can be contacted for the purpose of clarifying the information contained in their response to this RFP. In addition to any other information required in **Attachment C**, proposers shall provide their firm's authorization and a request to any persons, firm, or corporation to furnish any information requested by the City in the verification of the recitals included in its response to this RFP.

Proposals must set forth accurate and complete information for each of the items listed below. At the City's discretion, failure to do so could result in disqualification.

1. Proposer's Information: Please provide the following information:
   a. Firm Name;
   b. Permanent main office address;
   c. Date firm organized;
   d. Legal Form of ownership. If a corporation, indicate where incorporated.
   e. How many years have you been engaged in services you provide under your present name?; and
   f. Names, titles, reporting relationships, and background and experience of the principal members of your organization, including officers.

2. Experience, Expertise and Capabilities.
   a. Philosophy Statement and Business Focus. A statement of the proposer's philosophy and approach in undertaking the services of the nature outlined in the RFP, as well as a description of its primary business focus.
   b. Summary of Relevant Experience. A listing of all projects that the proposer has completed within the last three (3) years must be provided, as well as all projects of a similar nature to those included in the Scope of Services in this RFP. The following information shall be provided for each organization listed under this subsection:
      - Organization name and the name, title, address and telephone number of a responsible contact person.
      - Nature of services provided, and dates services started and actually completed. Please indicate, for each assignment, if it was completed within the original contract timeframe and budget. If not, please explain.
      - For each Project done for a municipality or other government agency, please indicate the gross cost of the agreement; and
      - Additionally, please list any contracts or purchase orders in the last three (3) years between the proposer and any agency of the City.
   c. Personnel Listing. A complete listing of the staff identified in the work plan by job classification, along with their resumes. Each resume shall include the individual's qualifications and experience in the subject area.
   d. Conflict of Interest. Disclose any current (within the last 3 years) business, financial, personal or other types of relationships which may pose a conflict of

interest.

3. Statement of Qualifications and Work Plan.
   a. Qualifications. Please describe your firm's qualifications, experience and capabilities as they pertain to each of the areas of qualifications listed, as well as those of the personnel to be assigned to this Project.
   b. Work Plan. Please describe the approach that would be generally followed in undertaking the Scope of Services in Section C above.
   c. Services Expected of the City. Identify the nature and scope of the services that would be generally required of the City in undertaking these types of projects.

4. Cost Schedule. Proposals shall include a single price for work to be performed in accordance with this RFP, inclusive of all personnel and non-personnel expenses. This price should encompass the entire Scope of Services in this RFP. The City reserves the right to negotiate costs, the scope of services, and key personnel based on provider proposals. In order for the City to evaluate the proposed cost, proposers must include each element in the Work Plan outlined in Section H.3.b. above, the staff, hours, hourly rates and the total cost. Include details generally associated with non-personnel costs as an additional cost section.

   Since the City may desire to consider the proposer's experience, qualifications, statement of work, and other aspects of the RFP prior to the Cost Proposal, the Cost Proposal shall be sealed in a separate envelope marked "Confidential: Cost Proposal".

   Note: The City is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Such taxes must not be included in prices.

5. Information Regarding: Failure to Complete Work, Default and Litigation.

   Please respond to the following questions:

   a. Have you ever failed to complete any work awarded to you? If so, where and why?;
   b. Have you ever defaulted on a contract? If so, where and why?;
   c. Is there any pending litigation which could affect your organization's ability to perform this agreement? If so, please describe;
   d. Has your firm ever had a contract terminated for cause within the past five years? If yes, provide details;
   e. Has your firm been named in a lawsuit related to errors and omissions within the past five years? If yes, provide details;
   f. During the past seven years, has your firm ever filed for protection under the Federal bankruptcy laws? If yes, provide details; and
   g. Are there any other factors or information that could affect your firm's ability to provide the services being sought about which the City should be aware?

6. Exceptions and Alternatives. Proposers wishing to take any exceptions to any

requirement in the RFP shall state and explain such exceptions. The City may accept proposals which take exception to any requirements in this RFP, or which offer any alternative to a requirement herein, as well as consider such exceptions and alternatives in evaluating responses. Any exception or alternative must be clearly delineated and cannot materially affect the substance of this Request for Proposals.

7. Additional Data. Any additional information which the proposer wishes to bring to the attention of the City that is relevant to this RFP.

J. Evaluation of Proposals; Selection Process

1. Evaluation Criteria.

The following criteria are expected to be among those utilized in the selection process. They are presented as a guide for the proposer in understanding the City's requirements and expectations for this Project and are not necessarily all inclusive or presented in order of importance:

a. Proposed statement of work. Emphasis will be on grasp of the issues involved, soundness of approach and the quality of the overall proposal;
b. Proposed cost schedule;
c. Experience, expertise, and capabilities of the proposer. Background, qualifications, and previous experience of personnel to be assigned to the Project and their demonstrated competence, experience and expertise in the type of work to be performed. The type of experience, expertise, capabilities, and qualifications desired are outlined in Section B. - Qualifications of this RFP. The City may contact one or more of the organization references listed in Section H.2.b. of this RFP as part of assessing the experience, expertise and capabilities of the proposers or those selected as the finalist(s); and
d. Time, Project and Cost Schedule. Emphasis will be on the proposer's record with completing tasks and producing the necessary products within required time frames and within budget.

2. Selection Process.

The City of Waterbury may elect to have the proposals evaluated by a committee as part of the selection process. If deemed necessary, the City reserves the right to arrange for interviews/oral presentations as part of the selection process, which invitations for interviews may involve a short-listing of the proposals received. The City seeks to select the lowest responsible and qualified bidder, which is defined as: the bidder whose bid is the lowest of those bidders possessing the skill, ability and integrity necessary to faithfully perform the work.

K. Rights Reserved To The City

The City reserves the right to award in part, to reject any and all proposals in whole or in part for misrepresentation or if the proposer is in default of any prior City contract, or if the proposal limits or modifies any of the terms and conditions and/or specifications of the RFP. The City also reserves the right to waive technical defects, irregularities and

omissions if, in its judgment, the City's best interests will be served.

L. Federal, State and Local Employment Requirements

Contractors shall be obligated to fully comply with the attached Hiring of Waterbury Residents on Certain Publicly Funded Construction Projects, i.e., City of Waterbury Ordinances Chapter 34 ("Good Jobs Ordinance"), Federal Davis- Bacon Act, Federal American Recovery and Reinvestment Act of 2009, and the Housing and Urban Development Section 3 Clause, all as further specified in the attached City of Waterbury Contract form. Also attached hereto, is a full copy of the aforesaid City of Waterbury Ordinance, commonly referred to as the "Good Jobs Ordinance":

1. The City's Good Jobs Ordinance (Code of Ordinances Chapter 34) applies to this Project. Proposes attention is further directed to the aforesaid City of Waterbury Ordinance, commonly referred to as the "Good Jobs Ordinance"; and

2. Wage rates for this Project are subject to the minimum wage rates as per State of Connecticut Labor Department "Prevailing Wage Rates" and Federal Davis-Bacon Act.

M. State Set-Aside Requirements

i. The contractor who is selected to perform this municipal public works project, funded in whole or part by the State, must comply with **CONN. GEN. STAT.** §§ 4a-60, 4a-60a, 4a-60g, and 46a-68b through 46a-68f, inclusive, as amended by June 2015 Special Session Public Act 15-5. An Affirmative Action Plan must be filed with and approved by the Commission on Human Rights and Opportunities prior to the commencement of construction.

ii. State law requires a minimum of twenty-five (25%) percent of the state-funded portion of the contract be set aside for award to subcontractors holding current certification from the Connecticut Department of Administrative Services ("DAS") under the provisions of **CONN. GEN. STAT.** § 4a-60g. (25% of the total state-funded value with DAS-certified Small Businesses and 6.25% of the total state-funded value with DAS-certified Minority-, Women-, and/or Disabled-owned Businesses.) The contractor must demonstrate good faith efforts to meet the 25% set-aside goals.

iii. For municipal public works contracts, the contractor must file a written or electronic non-discrimination certification with the Commission on Human Rights and Opportunities. Forms can be found at:

https://portal.ct.gov/chro/contract-compliance/contract-compliance/contract-compliance-forms-and-reports.

N. State DAS Requirements for Construction Projects

If applicable, proposers shall submit with their proposals their DAS Contractor Prequalification Certificate along with a current Updated Bid/Proposal Statement. In addition, any named subcontractor whose subcontract value is equal to or greater than

$1,000,000 shall hold a current DAS Contractor Prequalification Certificate in the closest applicable classification of the work that the subcontractor will complete in the contract. The proposer must submit, with their proposal, all applicable Subcontractor DAS Prequalification certificates. Any proposal submitted without a copy of the DAS Prequalification Certificate and an Updated Bid/Proposal Statement for the proposal and DAS Prequalification Certificates for subcontractors whose subcontract value is equal to or greater than $1,000,000 shall be invalid.

The successful proposer and each of its subcontractors having subcontracts in value equal to or greater than $1,000,000 shall maintain and keep current their respective DAS Contractor Prequalification Certificates at all times during the term of the Contract and any warranty period set forth in the Contract Documents.

O. Bid Bond

Each proposal shall be submitted and be accompanied by a Proposal Security in the amount of **ten (10) percent** of the Total Proposal Price.

P. Performance/Payment Bonds

The proposer to whom a contract with a total cost greater than $25,000.00 must furnish to the City a **one hundred (100) percent** performance bond with a surety, and in a form acceptable to the City. The proposer to whom a contract with a total cost greater than $100,000.00 must furnish a **one hundred (100) percent** labor and material payment bond and/or other additional security with a surety and in a form acceptable to the City.

R. Prevailing Wages

Proposers are advised that prevailing wage rates apply to this Project. The minimum rates to be paid labor of the various classifications shall be in accordance with the prevailing rate of wages established by the U.S. Department of Labor and the Connecticut Department of Labor. Because of the application of both Federal and State wage laws to this Project, the contractor should be aware that the contractor will be obligated to certify payrolls and issue compliance statements in accordance with both federal and state procedural requirements. Furthermore, the contractor and all subcontractors shall be responsible for paying the higher of the applicable federal prevailing wages and the applicable State prevailing wages. Connecticut prevailing wages shall be paid if the prevailing wages applicable to the Work under Connecticut prevailing wage laws are equal to or greater than the prevailing wages applicable to the Work under Federal prevailing wage laws. Proposers' attention is directed to the attached wage rate schedules and documentation included in the RFP (**Attachment F**).

S. Miscellaneous

An Affirmative Action/Equal Opportunity Employer. Minority/Women's Business Enterprises are encouraged to apply. This contract is subject to state set-aside and contract compliance requirements.

MAUREEN McCAULEY
ASSISTANT DIRECTOR OF PURCHASING

OFFICE OF THE DIRECTOR OF PURCHASING
THE CITY OF WATERBURY
CONNECTICUT

ADDENDUM #1

May 19, 2025

RFP 8445 One Exchange Place Building Core Restroom Renovations

Please Be Advised:

1. All Proposers are hereby notified that this Addendum No. 1 shall be part of the Contract Documents for the above referenced **RFP #8445**.
2. This Addendum amends or supplements the RFP Documents. All provisions which are not so amended or supplemented remain in full force and effect.
3. Each Proposer shall be responsible for determining that it has received all Addenda issued and shall acknowledge receipt of all Addenda on the Addendum Acknowledgment Form (see Attachment C). Failure to do so may subject the Proposer to disqualification.

The Contract Documents are hereby amended as follows:

> **There will be a Mandatory Information Session at 10:00AM on May 22, 2025** for those who did not attend the May 16, 2025 meeting.
>
> The deadline to ask questions has been extended to May 27, 2025 at 2:00PM.
>
> Answers to questions are will be posted by 2:00PM on May 29, 2025.
>
> Proposals are now due by 11:45AM on June 4, 2025.

NOTE: Each Proposal over $50,000.00 submitted shall be accompanied by a Proposal Security (a Certified Check or Bid Bond) in the amount of ten (10) percent of the Total Proposal Price.

Thank you.

Maureen McCauley

Assistant Director of Purchasing – City of Waterbury

235 GRAND STREET • WATERBURY, CONNECTICUT 06702 • PHONE: (203) 574-6749 • FAX: (203) 597-3437

MAUREEN McCAULEY
ASSISTANT DIRECTOR OF PURCHASING

OFFICE OF THE DIRECTOR OF PURCHASING
THE CITY OF WATERBURY
CONNECTICUT

ADDENDUM #2

May 29, 2025

RFP 8445 One Exchange Place Building Core Restroom Renovations

Please Be Advised:

1. All Proposers are hereby notified that this Addendum No. 2 shall be part of the Contract Documents for the above referenced **RFP #8445**.
2. This Addendum amends or supplements the RFP Documents. All provisions which are not so amended or supplemented remain in full force and effect.
3. Each Proposer shall be responsible for determining that it has received all Addenda issued and shall acknowledge receipt of all Addenda on the Addendum Acknowledgment Form (see Attachment C). Failure to do so may subject the Proposer to disqualification.

The Contract Documents are hereby amended as follows:

A. New Documents, or Specifications Sections, ISSUED on May 29, 2025:
   1. Section 00 9112 - Addendum No. 02.
   2. Section 10 2800 - Toilet, Bath and Laundry Accessories.
   3. One Exchange Place - Addendum 2 Narrative.
   4. File "ATTACHMENT E Updated Pricing Sheet ADDENDUM 2" has been uploaded and MUST be used when completing your RFP response.

B. Previously issued Drawings dated April 25, 2025, REVISED on May 29, 2025.
   1. P-501 - DETAILS.
   2. P-601 - SCHEDULES.

C. PROJECT MANUAL
   1. ADD the following Documents / Sections ISSUED for Addendum No. 02 on May 29, 2025.
      a. Reference Section A.

D. DRAWINGS
   1. DELETE the following Drawings Issued for Bidding on April 25, 2025, and REPLACE with the following Documents / Sections REVISED for Addendum No. 02 on May 29, 2025:

235 GRAND STREET • WATERBURY, CONNECTICUT 06702 • PHONE: (203) 574-6749 • FAX: (203) 597-3437

a. Reference Section B.

Please refer to the questions and answers below.

Question: The drawing A6.5 detail 14 calls for a integral sink. Spec section 2.01 A/2c calls for integral sink. Plumbing drawings indicate the sinks are a LAV1 which is a Kohler under mount. Please clarify.
Answer: Architectural drawings govern, sink is to be integral with countertop per architectural details; reference revised plumbing drawing included in Addendum No. 02.

Question: There is no spec for door hardware and no sets listed on the print. There is just a hardware note on the print: "Type 1: Custodial Room Lockset (Keyed)" "Type 2: Restroom Privacy w/ Exterior Deadbolt (Keyed)" The Type 2 for the restrooms will only work for the Unisex restrooms, not the multi-use restrooms. Please advise on the actual hardware sets / brands / functions wanted for this project. If you want us to "match existing", we will need to know what is existing.
Answer: For all new doors, provide new door hardware; basis of design Assa Abloy brushed stainless finish to match existing adjacent.

Type 1: Custodial Room Lockets; keyed with lever type handle.
Type 2: Multi-use Restrooms: exterior side push plate hardware with exterior locking deadbolt only.
Type 3: Unisex Restrooms: restroom privacy set with deadbolt visibly indicating occupied vs unoccupied.

Question: There is no veneer type for the wood doors (not in spec or on print).
Answer: Information provided in specification section 081416. See paragraph 2.04. Wood doors shall match existing adjacent doors, maple or equal stained to match existing adjacent. .

Question: Will the toilet accessories be salvaged and reused or will they be new? If they will be new please provide a spec.
Answer: Most toilet accessories are owner furnished contractor installed. The only accessories that are furnished by contractor are the grab bars, mirrors and diaper changing station. Please see spec provided in Addendum No. 02.

Question: The pricing proposal form has the incorrect RFP number. Please clarify.
Answer: Pricing proposal sheet corrected; it is posted and attached to this addendum.

Question: Will the city waive the permit fees?
Answer: City permit fees are waived.

Question: Will there be liquidated damages?
Answer: Yes, there will be liquidated damages of $1,500 per consecutive calendar day. Please see section 5.2.1.1 of the draft form contract attached to the RFP for further information.

Question: What is the retainage?
Answer: Retainage is 5% see section 6.2 of the draft form contract attached to the RFP for further information.

Question: What is the GC warranty period?
Answer: The warranty period is 365 days from the date of final completion or longer for individual items in the scope of work as indicated in the specifications.

Question: Please post meeting attendance.
Answer: Please see attached.

NOTE: Each Proposal over $50,000.00 submitted shall be accompanied by a Proposal Security (a Certified Check or Bid Bond) in the amount of ten (10) percent of the Total Proposal Price.

Thank you.
Maureen McCauley
Assistant Director of Purchasing – City of Waterbury

SECTION 00 9112
ADDENDUM NO. 02

PARTICULARS

1.01 DATE: APRIL 25, 2025

1.02 PROJECT: 2024-101J ONE EXCHANGE PLACE - CORE RESTROOMS

1.03 OWNER: CITY OF WATERBURY

1.04 ARCHITECT: FRIAR ARCHITECTURE, INC.

TO: PROSPECTIVE BIDDERS:

2.01 THIS ADDENDUM FORMS A PART OF THE CONTRACT DOCUMENTS AND MODIFIES THE ORIGINALPROCUREMENT DOCUMENTS DATED APRIL 25, 2025, AND ADDENDUM NUMBER 02 ISSUED MAY 29, 2025, WITH AMENDMENTS AND ADDITIONS NOTED BELOW.

2.02 ACKNOWLEDGE RECEIPT OF THIS ADDENDUM IN THE SPACE PROVIDED IN THE BID FORM. FAILURE TO DO SO MAY DISQUALIFY THE BIDDER.

2.03 THIS ADDENDUM IS COMPRISED OF THE FOLLOWING ATTACHMENTS:

A. New Documents, or Specifications Sections, **ISSUED** on May 29, 2025:
   1. Section 00 9112 - Addendum No. 02.
   2. Section 10 2800 - Toilet, Bath and Laundry Accessories.
   3. One Exchange Place - Addendum 2 Narrative.

B. Previously issued Drawings dated April 25, 2025, **REVISED** on May 29, 2025.
   1. P-501 - DETAILS.
   2. P-601 - SCHEDULES.

C. PROJECT MANUAL
   1. ADD the following Documents / Sections **ISSUED** for Addendum No. 02 on May 29, 2025.
      a. Reference Section A.

D. DRAWINGS
   1. **DELETE** the following Drawings Issued for Bidding on April 25, 2025, and **REPLACE** with the following Documents / Sections **REVISED** for Addendum No. 02 on May 29, 2025:
      a. Reference Section B.

END OF SECTION 00 9112

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SECTION 10 2800
TOILET, BATH, AND LAUNDRY ACCESSORIES

PART 1 GENERAL

1.01 SECTION INCLUDES

A. Commercial toilet accessories.

B. Diaper changing stations.

C. Utility room accessories.

1.02 RELATED REQUIREMENTS

A. Section 06 1000 - Rough Carpentry.

B. Section 10 2113.17 - Phenolic Toilet Compartments.

1.03 REFERENCE STANDARDS

A. ADA Standards - 2010 ADA Standards for Accessible Design; 2010.

B. ASTM A123/A123M - Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products; 2017.

C. ASTM A269/A269M - Standard Specification for Seamless and Welded Austenitic Stainless Steel Tubing for General Service; 2022.

D. ASTM A653/A653M - Standard Specification for Steel Sheet, Zinc-Coated (Galvanized) or Zinc-Iron Alloy-Coated (Galvannealed) by the Hot-Dip Process; 2023.

E. ASTM A666 - Standard Specification for Annealed or Cold-Worked Austenitic Stainless Steel Sheet, Strip, Plate, and Flat Bar; 2023.

F. ASTM B456 - Standard Specification for Electrodeposited Coatings of Copper Plus Nickel Plus Chromium and Nickel Plus Chromium; 2017 (Reapproved 2022).

G. ASTM C1036 - Standard Specification for Flat Glass; 2021.

H. ASTM C1048 - Standard Specification for Heat-Strengthened and Fully Tempered Flat Glass; 2018.

I. ASTM C1503 - Standard Specification for Silvered Flat Glass Mirror; 2024.

J. ASTM F2285 - Standard Consumer Safety Performance Specification for Diaper Changing Tables for Commercial Use; 2022.

K. ICC A117.1 - Accessible and Usable Buildings and Facilities; 2017.

1.04 ADMINISTRATIVE REQUIREMENTS

A. Coordinate the work with the placement of internal wall reinforcement, concealed ceiling supports, and reinforcement of toilet partitions to receive anchor attachments.

1.05 SUBMITTALS

A. See Section 01 3000 - Administrative Requirements, for submittal procedures.

B. Product Data: Submit data on accessories describing size, finish, details of function, and attachment methods.

C. Structural Performance: Design accessories and fasteners to comply with the following requirements:
   1. Grab Bars: Installed units are able to resist 250 lbf concentrated load applied in any direction and at any point, when tested accordingly to ASTM F446.

D. Product Schedule: Indicating types, quantities, sizes, and installation locations by room of each accessory required.
   1. Identify locations using room designations indicated.

2. Identify accessories using designations indicated.

E. Manufacturer's Installation Instructions: Indicate special procedures and conditions requiring special attention.

1.06 INFORMATIONAL SUBMITTALS

A. Sample Warranty: For manufacturer's special warranty.

1.07 CLOSEOUT SUBMITTALS

A. Maintenance Data: For accessories to include in maintenance manuals.

1.08 WARRANTY

A. Manufacturer's Special Warranty for Mirrors: Manufacturer agrees to repair or replace mirrors that fail in materials or workmanship within specified warranty period.
   1. Failures include, but are not limited to, visible silver spoilage defects.
   2. Warranty Period: 15 years from date of Substantial Completion.

PART 2 PRODUCTS

2.01 MANUFACTURERS

A. Obtain public-use washroom accessories from single source from single manufacturer. Commercial Toilet, Custodial, and Restroom Accessories:
   1. Bobrick Washroom Equipment; www.bobrick.com.
   2. American Specialties, Inc; _____: www.americanspecialties.com/#sle.
   3. Bradley Corporation; _____: www.bradleycorp.com/#sle.
   4. Substitutions: Section 01 6000 - Product Requirements.

B. Diaper Changing Stations:
   1. Koala Kare Products;[____]: www.koalabear.com/#sle.
   2. American Specialties, Inc; _____: www.americanspecialties.com/#sle.
   3. Bradley Corporation; _____: www.bradleycorp.com/#sle.
   4. Substitutions: 01 6000 - Product Requirements.

2.02 MATERIALS

A. Accessories - General: Shop assembled, free of dents and scratches and packaged complete with anchors and fittings, steel anchor plates, adapters, and anchor components for installation.

B. Stainless Steel Sheet: ASTM A666, Type 304.

C. Stainless Steel Tubing: ASTM A269/A269M, Grade TP304 or TP316.

D. Galvanized Sheet Steel: Hot-dipped galvanized steel sheet, ASTM A653/A653M, with G90/Z275 coating.

E. Mirror Glass: Tempered safety glass, ASTM C1048; and ASTM C1036 Type I, Class 1, Quality Q2, with silvering as required.

F. Fasteners, Screws, and Bolts: Hot dip galvanized; tamper-proof; security type.

2.03 SCHEDULE OF TOILET ACCESSORIES

A. Paper Towel (Roll) Dispenser **F (OFCI)**:
   1. Basis of Design: Bobrick B-2860.
   2. Description: Touch free, pull-towel mechanism.
   3. Mounting: Surface mounted.
   4. Minimum Capacity: 12-inch length towels, accommodates 8 inch wide, up to 8 inch diameter rolls, 800 ft long.
   5. Material and Finish: Stainless steel.
   6. Lockset: LAT 74.

B. Liquid-Soap Dispenser **D (OFCI)**:

1. Basis of Design: Bobrick B-2112.
2. Mounting: Horizontally oriented, surface mounted.
3. Capacity: 40 fl oz.
4. Materials: Stainless steel.
5. Lockset: LAT 74.
6. Refill Indicator: Window type.

C. Surface Mounted Toilet Tissue (Jumbo Roll) Dispenser **T (OFCI)**:
1. Basis of Design: Bobrick B-2892.
2. Description: Twin Jumbo-Roll Toilet Tissue Dispenser.
3. Mounting: Surface mounted.
4. Controlled-delivery units cannot be used at accessible toilets.
5. Capacity: Designed for two 10 inch diameter tissue rolls.
6. Material and Finish: Stainless steel.
7. Lockset: LAT 74.

D. Framed Mirrors **L**: Stainless steel framed, 1/4 inch (6 mm) thick annealed float glass; ASTM C1036.
1. Basis of Design:
   a. Unisex Single Use Restrooms: Bobrick B-293 1830.
   b. Multi-use Restrooms: Bobrick B-290 1830.
2. Annealed Float Glass: Silvering, protective and physical characteristics in compliance with ASTM C1503.
3. Size: As listed above.
4. Frame: 0.05 inch (1.3 mm)angle shapes, with mitered and welded and ground corners, and tamperproof hanging system; satin finish.
5. Backing: Full-mirror sized, minimum 0.03 inch (0.8 mm) galvanized steel sheet and nonabsorptive filler material.

E. Sanitary Napkin Disposal: **HH (OFCI)**:
1. Basis of Design: Bobrick B-35303.
2. Description: Sanitary Napkin Disposal.
3. Mounting: Surface Mounted.
4. Capacity: 1.2 gal.
5. Material and Finish: Stainless steel.

F. Trash Receptacles: **KK (OFCI)**.
1. Basis of Design: Bobrick B-35649.
2. Type: Surface-Mounted Waste Receptacle.
3. Material: Stainless Steel 304.
4. Capacity: 12 gal.
5. Mounting: Surface-Mounted.

G. Grab Bars: **H, J, K**:
1. Basis of Design: Bobrick: B-5806 Series.
2. Mounting: Flanges with concealed fasteners.
3. Material: Stainless steel, 0.05 inch (1.3 mm) thick.
4. Finish: Smooth, No. 4 finish (satin) on ends and peened slip-resistant texture in grip area.
5. Outside Diameter: 1-1/4 inches (32 mm).
6. Configuration and Length: As indicated on Drawings.

2.04 CUSTODIAL ACCESSORIES

A. Mop and Broom Holder **C**:
1. Basis of Design: Bobrick B-224.
2. Description: Unit with shelf, hooks, holders, and rod suspended beneath shelf.

3. Length: 36 inches (915 mm).
4. Hooks: Three.
5. Mop/Broom Holders: Four, spring-loaded, rubber hat, cam type.
6. Material and Finish: Stainless steel, No. 4 finish (satin).
7. Shelf: Not less than nominal 0.05-inch- (1.3-mm-) thick stainless steel.
8. Rod: Approximately 1/4-inch- (6-mm-) diameter stainless steel.

2.05 DIAPER CHANGING STATIONS

A. Diaper Changing Station **CC**: Wall-mounted folding diaper changing station for use in commercial toilet facilities, meeting or exceeding ASTM F2285.
   1. Basis of Design: Koala Kare Products, KB200-SS.
   2. Material: Polyethylene.
   3. Mounting: Surface.
   4. Color: Selected from Manufacturer's standard line of colors.
   5. Minimum Rated Load: 250 pounds (113.4 kg).

PART 3 EXECUTION

3.01 EXAMINATION

A. Verify existing conditions before starting work.

B. Verify exact location of accessories for installation.

C. Verify that field measurements are as indicated on drawings.

D. See Section 06 1000 Rough Carpentry for installation of blocking, reinforcing plates, and concealed anchors in walls.

3.02 INSTALLATION

A. Install accessories in accordance with manufacturers' instructions in locations indicated on drawings.

B. Install plumb and level, securely and rigidly anchored to substrate.

C. Mounting Heights: As required by accessibility regulations and indicated on the drawings; report any discrepancies to the Architect prior to installation.

3.03 PROTECTION

A. Protect installed accessories from damage due to subsequent construction operations.

END OF SECTION 10 2800

ADDENDUM 2 **SCOPE MODIFICATION NARRATIVE**

Date of Issue:	Thursday, May 29, 2025
Project Name:	One Exchange Place – Bathroom
Project Location:	Waterbury, CT
AZ Project Number	E-2-9145
Purpose:	Addendum 2

Action:	
Proposal Request: (PR) ☐	Please submit an itemized quotation for changes in the Contract Sum and / or Time incidental to proposed modifications to the Contract Documents described herein. Reference this Bulletin in the Proposal. *Note: This is not a change order nor a direction to proceed with the work described herein.*
Supplemental Instructions: (SI) ☐	The Work shall be carried out in accordance with the following supplemental instructions without change in the Contract Sum or Contract Time. Prior to proceeding indicate your acceptance of these instructions for minor change to the work as consistent with the Contract Documents and return a copy to the Architect.
Owner Requested Change: (ORC) ☒	In order to expedite the work and avoid or minimize delays in the work which may affect the Contract Sum or Time, you are requested to assemble change order costing for inclusion in a subsequent Change Order.

Description:

Plumbing

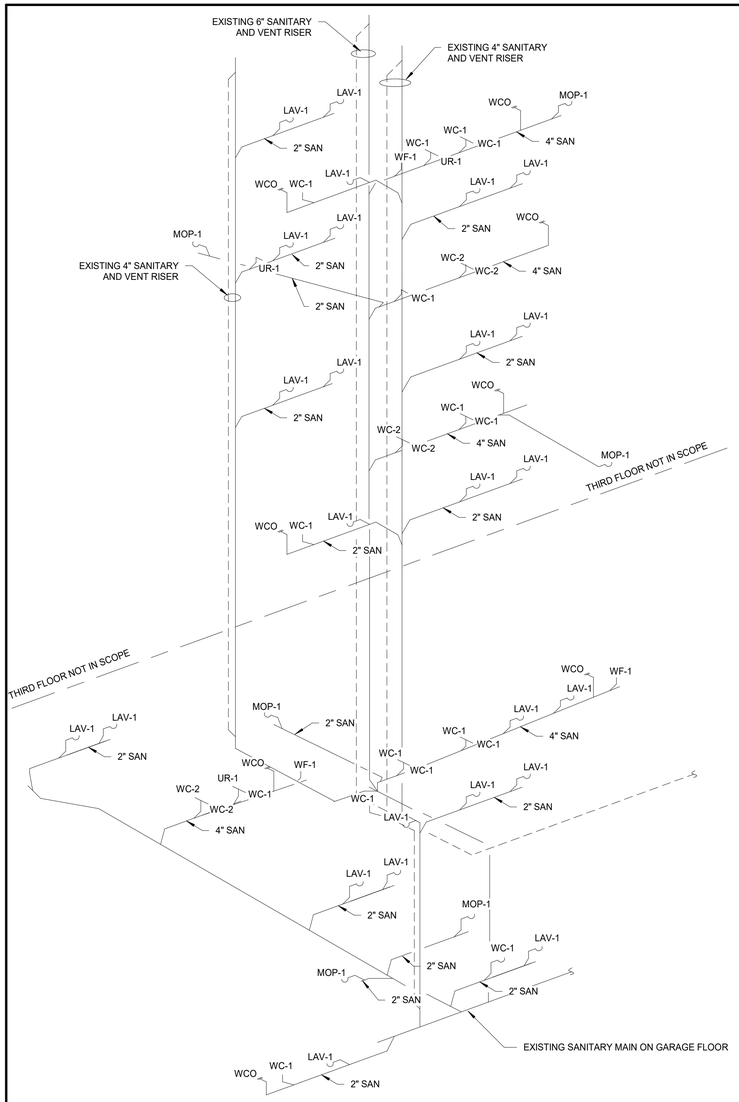
P-501-DETAILS

- Updated detail 6/P-501 to call out integral sink.

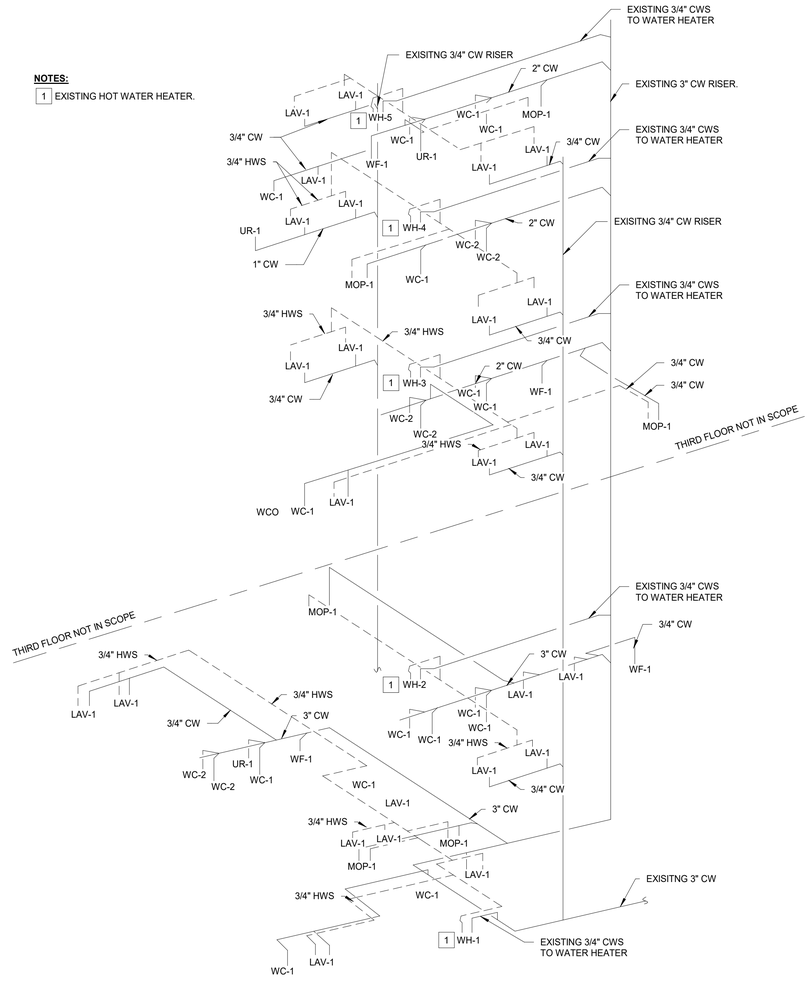
P-601 – Schedules

- Updated fixtures schedule to reflect changes to LAV-1 specifications.

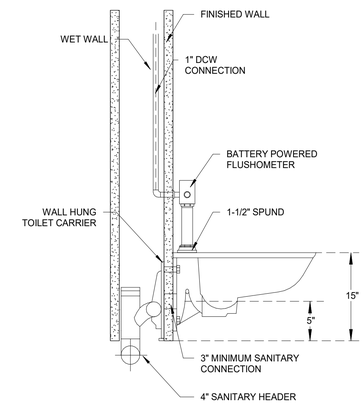
END OF NARRATIVE



1 PLUMBING RISER DIAGRAM - SANITARY & VENT
N.T.S.

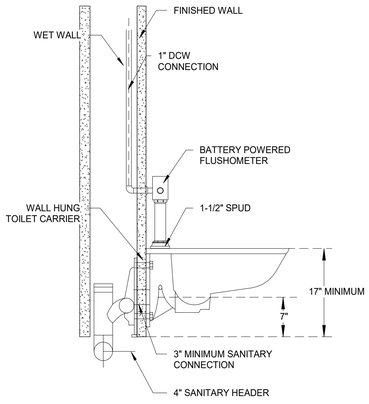


2 PLUMBING RISER DIAGRAM - DOMESTIC WATER (HOT & COLD)
N.T.S.



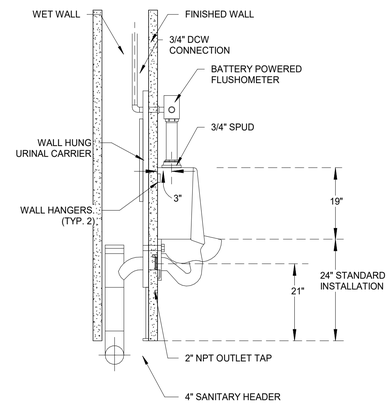
NOTES:
1. INSTALL PER MANUFATURER'S RECOMMENDATION
2. FINISHES SHALL BE COORDINATED WITH ARCHITECT.

3 WALL MOUNTED CONNECTION DETAIL - WC-1
N.T.S.



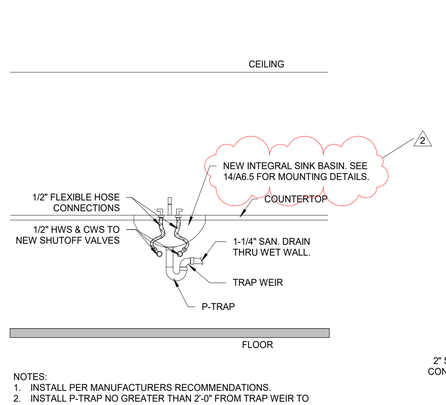
NOTES:
1. INSTALL PER MANUFATURER'S RECOMMENDATION
2. FINISHES SHALL BE COORDINATED WITH ARCHITECT.

4 WALL MOUNTED CONNECTION DETAIL - WC-2 (ADA)
N.T.S.



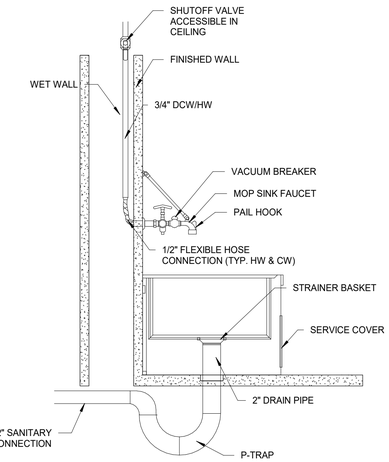
NOTES:
1. INSTALL PER MANUFATURER'S RECOMMENDATION
2. FINISHES SHALL BE COORDINATED WITH ARCHITECT.

5 WALL MOUNTED CONNECTION DETAIL - UR-1
N.T.S.



NOTES:
1. INSTALL PER MANUFACTURERS RECOMMENDATIONS.
2. INSTALL P-TRAP NO GREATER THAN 2'-0" FROM TRAP WEIR TO DRAIN OUTLET ON LAVATORY.
3. FINAL INSTALLATION HEIGHTS & PIPE PENETRATIONS TO BE COORDINATED IN FIELD.
4. FINISHES SHALL BE COORDINATED WITH ARCHITECT.

6 UNDERMOUNT SINK CONNECTION DETAIL - LAV-1
N.T.S.



NOTES:
1. INSTALL PER MANUFACTURER'S RECOMMENDATION
2. FINISHES SHALL BE COORDINATED WITH ARCHITECT.

7 WALL MOUNTED CONNECTION DETAIL - MOP-1
N.T.S.

FRIAR
architecture
interior design
master planning
21 Talcott Notch Road
Farmington, CT 06032
friar.com
860.678.1291

PROFESSIONAL SEAL

AZDESIGN
a CIANBRO company
242 TRUMBULL STREET | HARTFORD, CT 06103
800.400.2420 | a-zcorp.com

CONSULTANT

SEAL OF THE CITY OF WATERBURY CONN

ONE EXCHANGE PLACE
RENOVATION

21 W MAIN STREET
WATERBURY, CT 06702

CORE RESTROOMS

REVISIONS

NO.	DATE	DESCRIPTION
1	04/25/2025	ISSUED FOR CONSTRUCTION
2	05/29/2025	ADDENDUM 2

ONE EXCHANGE DETAILS

DATE: 2025-0117
SCALE 12" = 1'-0"
PROJ. #: 2024-101H
DRAWN: MAC
CHECKED: GAL
5/29/2025 1:32:31 PM

SHEET NO.
P-501

PLUMBING FIXTURE CONNECTION SCHEDULE

DESIGNATION	FIXTURE DESCRIPTION	FIXTURE			FITTING			CONNECTION SIZE					REMARKS
		MANUFACTURER	MODEL	TYPE	MANUFACTURER	MODEL	TYPE	CW	HW	TW	S/W	V	
WC-1	WATER CLOSET	KOHLER	K-4325	WALL MOUNT	KOHLER	K-10TD00K10-CP	1.28 GPF	1"	---	---	3"	2"	COMPLETE WITH BEMIS OPEN FRONT SEAT, ZURN CARRIER; FLUSH VALVE WITH ECOPOWER; TOILET ACCESSORIES FURNISHED BY OTHERS AND INSTALLED BY PLUMBING CONTRACTOR.
WC-2	WATER CLOSET - ACCESSIBLE	KOHLER	K-4325	WALL MOUNT	KOHLER	K-10TD00K10-CP	1.28 GPF	1"	---	---	3"	2"	ADA. COMPLETE WITH BEMIS OPEN FRONT SEAT, ZURN CARRIER, FLUSH VALVE WITH ECOPOWER; TOILET ACCESSORIES FURNISHED BY OTHERS AND INSTALLED BY PLUMBING CONTRACTOR.
UR-1	URINAL	KOHLER	K4991-ETSS	WALL MOUNT	KOHLER	K-10UH00G20-RF	0.5 GPF	3/4"	---	---	2"	2"	ADA. URINAL ACCESSORIES FURNISHED BY OTHERS AND INSTALLED BY PLUMBING CONTRACTOR
LAV-1	LAVATORY	CORIAN	DUPONT	INTEGRAL	KOHLER	K-10950-4-CP	TOUCHLESS	1/2"	1/2"	---	1-1/2"	2"	FAUCET WITH BATTERY POWER; POP UP DRAIN, 0.5 GPM FLOW REGULATOR; CHROME SUPPLIES WITH MCGUIRE STOPS AND P-TRAP. REFER TO ARCHITECURAL SECTION SECTION 12 3600 2.01 FOR FULL SPECIFICATIONS
MOP-1	SERVICE SINK	ELKAY	FLR-2X	FLOOR MOUNTED	ZURN ELKAY	JP1996-SF	SERVICE SINK FAUCET	1/2"	1/2"	---	2"	2"	COMPLETE WITH WALL GUARDS, RIM GUARDS. FAUCET TO INCLUDE INTEGRAL VACUUM BREAKER
WF-1	BOTTLE FILLER	ELKAY	LZS8WSAP	WALL MOUNTED	---	---	---	1/2"	---	---	1-1/2"	2"	COORDINATE WITH ELECTRICAL CONTRACTOR. PROVIDE WITH CANE APRON.
---	---	---	---	---	---	---	---	---	---	---	---	---	

PROVIDE BRAIDED WATER SUPPLIES LINES FOR ALL PLUMBING FIXTURES
CONTRACTOR TO REFER TO ARCHITECTURAL INTERIOR ELEVATIONS FOR EXACT LOCATIONS, CONFIGURATIONS AND MOUNTING HEIGHTS OF ALL FIXTURES AND GRAB BARS.

NOTE:
A - REFER TO ARCHITECTURAL DRAWINGS FOR PLUMBING FIXTURE MOUNTING HEIGHTS.

FRIAR
architecture
interior design
master planning
21 Talcott Notch Road
Farmington, CT 06032
friar.com
860.678.1291

PROFESSIONAL SEAL

AZDESIGN
a CIANBRO company
242 TRUMBULL STREET | HARTFORD, CT 06103
800.400.2420 | a-zcorp.com

CONSULTANT

SEAL OF THE CITY OF WATERBURY CONN

ONE EXCHANGE PLACE
RENOVATION

21 W MAIN STREET
WATERBURY, CT 06702

CORE RESTROOMS

REVISIONS

NO.	DATE	DESCRIPTION
1	04/25/2025	ISSUED FOR CONSTRUCTION
2	05/29/2025	ADDENDUM 2

ONE EXCHANGE
SCHEDULES

DATE: 2025-0117
SCALE
PROJ. #: 2024-101H
DRAWN: MAC
CHECKED: GAL
5/29/2025 1:32:31 PM

SHEET NO.
P-601

ATTACHMENT E
PRICING PROPOSAL

Addendum #2

One Exchange Core Restroom Renovations

RFP#8445

FIRST FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FIRST FLOOR TOTAL	**$**
SECOND FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
SECOND FLOOR TOTAL	
FOURTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$

5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FOURTH FLOOR TOTAL	
FIFTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FIFTH FLOOR TOTAL	
SIXTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
SIXTH FLOOR TOTAL	
GRAND TOTAL	**$**

Estimated Lead Time for Major Equipment: (how many weeks)

_____

_____

_____

_____

_____

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount as determined by the City shall govern in determining the TOTAL PROPOSAL PRICE.

The undersigned also agrees that the quantities indicated are for Proposal comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

_____ _____

Social Security Number or Federal Identification Number

Company Name

_____

Signature of Individual or Corporate Name

_____

Corporate Officer (if applicable)

Company Information

Date:	**Friday, May 16, 2025**
RFP #:	**8445: One Exchange Core Restroom Replacement**

Representative:	Craig Linda
Company Name:	Axela Construction
City, State, Zip Code	Waterbury CT 06705
Tel:	617 872 6009
Fax:	
Email:	CLinda @ axela construction. com

Representative:	Brian O'Leary
Company Name:	O + M Development
City, State, Zip Code	Woodbury Ct. 06798
Tel:	203-232-3661
Fax:	
Email:	brian J @ charter.net

Representative:	Jay Rivera Sr.
Company Name:	Action Construction Inc.
City, State, Zip Code	Danbury, CT 06810
Tel:	203-460-6813
Fax:	
Email:	Jay@actionConstructioninc.com.

Representative:	Blake Deming
Company Name:	Tucker Mechanical
City, State, Zip Code	Rocky Hill, CT
Tel:	203-904-6626
Fax:	
Email:	bdeming@emcor.net

Company Information

Date:	**Friday, May 16, 2025**
RFP #:	**8445: One Exchange Core Restroom Replacement**

Representative:	Will Vitelli
Company Name:	New England Yankee Const.
City, State, Zip Code	West Hava, CT, 06516
Tel:	203 909 2609
Fax:	
Email:	WVitelli@NEYCLLC.com

Representative:	Tony Reid
Company Name:	CDCD
City, State, Zip Code	
Tel:	914 272 0700
Fax:	
Email:	estimatingcdcd@gmail.com

Representative:	GEORGE BRETHERTON
Company Name:	DIVERSITY CONSTRUCTION GROUP
City, State, Zip Code	WALLINGFORD CT 06492
Tel:	203 303 1317
Fax:	
Email:	ESTIMATING@DIVERSITYCG.COM

Representative:	RICHARD RAFAIL
Company Name:	ACTION CONSTRUCTION
City, State, Zip Code	DANBURY, CT 06810
Tel:	914-843-7629
Fax:	
Email:	INFO@ACTIONCONSTRUCTIONINC.COM

Company Information

Date:	**Friday, May 16, 2025**
RFP #:	**8445: One Exchange Core Restroom Replacement**

Representative:	Alexis Schmidt
Company Name:	Brothers Environmental LLC
City, State, Zip Code	Manchester, CT 06040
Tel:	860-494-0220
Fax:	
Email:	Alexis@brothersenvironmentalllc.com

Representative:	
Company Name:	
City, State, Zip Code	
Tel:	
Fax:	
Email:	

Representative:	
Company Name:	
City, State, Zip Code	
Tel:	
Fax:	
Email:	

Representative:	
Company Name:	
City, State, Zip Code	
Tel:	
Fax:	
Email:	

Company Information

Date:	**Thursday, May 22, 2025**
RFP #:	**8445: One Exchange Core Restroom Replacement**

Representative:	ANTHONY DIANA
Company Name:	RFJ ASSOCIATES
City, State, Zip Code	TRUMBULL, CT 06611
Tel:	203 915-3555
Fax:	
Email:	ADIANA@THP-GC.COM

Representative:	RIMS Dobariya
Company Name:	J. A. Rosa construction, LLC
City, State, Zip Code	Wolcott, CT 06716
Tel:	203-879-3495
Fax:	
Email:	rims@jarosa.com

Representative:	Tony Reid
Company Name:	CDCD
City, State, Zip Code	
Tel:	(914) 272-0900
Fax:	
Email:	estimatingcdcd@gmail.com

Representative:	
Company Name:	
City, State, Zip Code	
Tel:	
Fax:	
Email:	

ATTACHMENT E
PRICING PROPOSAL

Addendum #2

One Exchange Core Restroom Renovations

RFP#8445

FIRST FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FIRST FLOOR TOTAL	**$**
SECOND FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
SECOND FLOOR TOTAL	
FOURTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$

5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FOURTH FLOOR TOTAL	
FIFTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
FIFTH FLOOR TOTAL	
SIXTH FLOOR	
1. General Conditions	$
2. Demolition	$
3. Concrete Patching	$
4. Metals	$
5. Drywall Finishes	$
6. Painting	$
7. Ceramic Tiling	$
8. Doors, Frames and Hardware	$
9. Toilet Accessories (Install Only)	$
10. Specialties (Mirrors/Toilet Partitions)	$
11. Plumbing	$
12. Mechanical	$
13. Electrical	$
SIXTH FLOOR TOTAL	
GRAND TOTAL	**$**

Estimated Lead Time for Major Equipment: (how many weeks)

_____

_____

_____

_____

_____

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount as determined by the City shall govern in determining the TOTAL PROPOSAL PRICE.

The undersigned also agrees that the quantities indicated are for Proposal comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

_____ _____

Social Security Number or Federal Identification Number

Company Name

_____

Signature of Individual or Corporate Name

_____

Corporate Officer (if applicable)

ATTACHMENT E
PRICING PROPOSAL

Addendum #2

One Exchange Core Restroom Renovations

RFP#8445

FIRST FLOOR	
1. General Conditions	$ 28,904.00
2. Demolition	$ 12,179.00
3. Concrete Patching	$ 748.00
4. Metals	$ N/A
5. Drywall Finishes	$ 89,570.00
6. Painting	$ 3,163.00
7. Ceramic Tiling	$ 31,777.00
8. Doors, Frames and Hardware	$ 13,479.00
9. Toilet Accessories (Install Only)	$ 5,626.00
10. Specialties (Mirrors/Toilet Partitions)	$ 9,657.00
11. Plumbing	$ 95,450.00
12. Mechanical	$ 19,895.00
13. Electrical	$ 18,400.00
FIRST FLOOR TOTAL	**$** 328,848.00
SECOND FLOOR	
1. General Conditions	$ 27,651.00
2. Demolition	$ 16,779.00
3. Concrete Patching	$ 1,633.00
4. Metals	$ N/A
5. Drywall Finishes	$ 50,913.00
6. Painting	$ 3,163.00
7. Ceramic Tiling	$ 27,396.00
8. Doors, Frames and Hardware	$ 8,986.00
9. Toilet Accessories (Install Only)	$ 4,291.00
10. Specialties (Mirrors/Toilet Partitions)	$ 9,491.00
11. Plumbing	$ 71,875.00
12. Mechanical	$ 12,995.00
13. Electrical	$ 16,675.00
SECOND FLOOR TOTAL	$251,848.00
FOURTH FLOOR	
1. General Conditions	$ 27,746.00
2. Demolition	$ 19,447.00
3. Concrete Patching	$ 1,288.00
4. Metals	$ N/A

5. Drywall Finishes	$51,848.00
6. Painting	$3,163.00
7. Ceramic Tiling	$25,611.00
8. Doors, Frames and Hardware	$8,012.00
9. Toilet Accessories (Install Only)	$4,292.00
10. Specialties (Mirrors/Toilet Partitions)	$9,712.00
11. Plumbing	$77,510.00
12. Mechanical	$12,995.00
13. Electrical	$16,675.00
FOURTH FLOOR TOTAL	$258,299.00
FIFTH FLOOR	
1. General Conditions	$27,069.00
2. Demolition	$17,975.00
3. Concrete Patching	$1,288.00
4. Metals	$N/A
5. Drywall Finishes	$35,655.00
6. Painting	$3,163.00
7. Ceramic Tiling	$23,216.00
8. Doors, Frames and Hardware	$4,794.00
9. Toilet Accessories (Install Only)	$2,957.00
10. Specialties (Mirrors/Toilet Partitions)	$9,436.00
11. Plumbing	$54,625.00
12. Mechanical	$10,120.00
13. Electrical	$ 14,375.00
FIFTH FLOOR TOTAL	$204,673.00
SIXTH FLOOR	
1. General Conditions	$28,196.00
2. Demolition	$16,595.00
3. Concrete Patching	$1,518.00
4. Metals	$N/A
5. Drywall Finishes	$61,853.00
6. Painting	$3,163.00
7. Ceramic Tiling	$24,992.00
8. Doors, Frames and Hardware	$8,986.00
9. Toilet Accessories (Install Only)	$4,292.00
10. Specialties (Mirrors/Toilet Partitions)	$9,187.00
11. Plumbing	$66,240.00
12. Mechanical	$15,870.00
13. Electrical	$ 16,675.00
SIXTH FLOOR TOTAL	$257,567.00
GRAND TOTAL	**$**1,301,235.00

Estimated Lead Time for Major Equipment: (how many weeks)

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount as determined by the City shall govern in determining the TOTAL PROPOSAL PRICE.

The undersigned also agrees that the quantities indicated are for Proposal comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

061566170

Social Security Number or Federal Identification Number

J. A. Rosa Construction, LLC

Company Name

Signature of Individual or Corporate Name John A. Rosa, Managing Member

N/A

Corporate Officer (if applicable)

Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:
(Name, legal status and address)

J. A. Rosa Construction, LLC
17 Town Line Road
Wolcott, CT 06716

SURETY:
(Name, legal status and principal place of business)
Philadelphia Indemnity Insurance Company
One Bala Plaza, Suite 100
Bala Cynwyd, PA 19004-0950
Mailing Address for Notices

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

OWNER:
(Name, legal status and address)

City of Waterbury
235 Grand Street
Waterbury, CT 06702

BOND AMOUNT: 10% Ten Percent of Amount Bid

PROJECT:
(Name, location or address, and Project number, if any)

#8445 One Exchange Place - Core Restrooms

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

Signed and sealed this 30th day of May, 2025.

(Witness) Brett Roberts

(Witness) Nicole Plourde

J. A. Rosa Construction, LLC
(Principal) *(Seal)*

By:
(Title) John A. Rosa, Managing Member

Philadelphia Indemnity Insurance Company
(Surety) *(Seal)*

By:
(Title) Craig H. Meeker, Attorney-in-Fact

PHILADELPHIA INDEMNITY INSURANCE COMPANY CORPORATE SEAL 1927 PENNSYLVANIA

Surety Bond Number: Bid Bond
Principal: J. A. Rosa Construction, LLC
Obligee: City of Waterbury

PHILADELPHIA INDEMNITY INSURANCE COMPANY
One Bala Plaza, Suite 100
Bala Cynwyd, PA 19004-0950

Power of Attorney

KNOW ALL PERSONS BY THESE PRESENTS: That **PHILADELPHIA INDEMNITY INSURANCE COMPANY** (the Company), a corporation organized and existing under the laws of the Commonwealth of Pennsylvania, does hereby constitute and appoint Craig H. Meeker its true and lawful Attorney-in-fact with full authority to execute on its behalf bonds, undertakings, recognizances and other contracts of indemnity and writings obligatory in the nature thereof, issued in the course of its business and to bind the Company thereby, in an amount not to exceed **$ 75,000,000.00 .**

This Power of Attorney is granted and is signed and sealed by facsimile under and by the authority of the following Resolution adopted by the Board of Directors of PHILADELPHIA INDEMNITY INSURANCE COMPANY on the 14th of November 2016.

RESOLVED: That the Board of Directors hereby authorizes the President or any Vice President of the Company: (1) Appoint Attorney(s) in Fact and authorize the Attorney(s) in Fact to execute on behalf of the Company bonds and undertakings, contracts of indemnity and other writings obligatory in the nature thereof and to attach the seal of the Company thereto; and (2) to remove, at any time, any such Attorney-in-Fact and revoke the authority given. And, be it

FURTHER RESOLVED: That the signatures of such officers and the seal of the Company may be affixed to any such Power of Attorney or certificate relating thereto by facsimile, and any such Power of Attorney so executed and certified by facsimile signatures and facsimile seal shall be valid and binding upon the Company in the future with respect to any bond or undertaking to which it is attached.

IN TESTIMONY WHEREOF, PHILADELPHIA INDEMNITY INSURANCE COMPANY HAS CAUSED THIS INSTRUMENT TO BE SIGNED AND ITS CORPORATE SEALTO BE AFFIXED BY ITS AUTHORIZED OFFICE THIS 5TH DAY OF OCTOBER 2024.

(Seal)

John Glomb, President & CEO
Philadelphia Indemnity Insurance Company

On this 5th day of October, 2024 before me came the individual who executed the preceding instrument, to me personally known, and being by me duly sworn said that he is the therein described and authorized officer of the **PHILADELPHIA INDEMNITY INSURANCE COMPANY**; that the seal affixed to said instrument is the Corporate seal of said Company; that the said Corporate Seal and his signature were duly affixed.

Notary Public: Vanessa McKenzie

Commonwealth of Pennsylvania - Notary Seal
Vanessa Mckenzie, Notary Public
Delaware County
My commission expires November 3, 2028
Commission number 1366394
Member, Pennsylvania Association of Notaries

residing at: Linwood, PA

My commission expires: November 3, 2028

I, Edward Sayago, Corporate Secretary of PHILADELPHIA INDEMNITY INSURANCE COMPANY, do hereby certify that the foregoing resolution of the Board of Directors and the Power of Attorney issued pursuant thereto on the 5th day October 2024 are true and correct and are still in full force and effect. I do further certify that John Glomb, who executed the Power of Attorney as President, was on the date of execution of the attached Power of Attorney the duly elected President of PHILADELPHIA INDEMNITY INSURANCE COMPANY.

In Testimony Whereof I have subscribed my name and affixed the facsimile seal of each Company this 30th day of May, 2025.

Edward Sayago, Corporate Secretary
PHILADELPHIA INDEMNITY INSURANCE COMPANY

ATTACHMENT C

KEVIN MC CAFFERY
DIRECTOR OF PURCHASING
235 GRAND STREET, ROOM 103
WATERBURY, CT 06702

The undersigned declares that the only persons or parties interested in this Proposal as principals are as stated; that the Proposal is made without any collusion with other persons, firms, or corporations; that Proposer has carefully examined the entire Request for Proposal; that Proposer has informed itself fully in regard to all conditions pertaining to the Work and the place where it is to be performed; and that with this representation, the undersigned makes this Proposal. These prices shall cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal and Form are a part.

The undersigned agrees and covenants that the Contract Time shall commence on delivery of the City of Waterbury's written notice to proceed, which shall occur after contract execution by both parties.

The undersigned acknowledges receipt of addenda numbered: (insert date)

1 5/19/25 4 _____

2 5/29/25 5 _____

3 _____ 6 _____

All Work for this Project shall be performed at the Proposal Prices as described in the Proposal Documents.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

061566170
Social Security Number
or Federal Identification Number

_____
Signature of Individual or Corporate Name John A. Rosa, Managing Member

N/A
Corporate Officer
(if applicable)

City notice of acceptance should be mailed, telegraphed or delivered to the undersigned Proposer at the following address:

Name John A. Rosa
By: Managing Member
(Title)
Business Address: 17 Town Line Road
(City, State, Zip Code)
Wolcott, CT 06716

Phone: 203-879-3495
Email: john@jarosa.com
Date: 6/4/25

Note: If the Proposer is a corporation, indicate State of incorporation under signature, and affix corporate seal; if a partnership, give full names and residential addresses, if different from business address.

COMMISSION ON HUMAN RIGHTS AND OPPORTUNITIES
CONTRACT COMPLIANCE REGULATIONS
NOTIFICATION TO BIDDERS

(Revised 09/3/15)

The contract to be awarded is subject to contract compliance requirements mandated by Sections 4a-60 and 4a-60a of the Connecticut General Statutes; and, when the awarding agency is the State, Sections 46a-71(d) and 46a-81i(d) of the Connecticut General Statutes. There are Contract Compliance Regulations codified at Section 46a-68j-21 through 43 of the Regulations of Connecticut State Agencies, which establish a procedure for awarding all contracts covered by Sections 4a-60 and 46a-71(d) of the Connecticut General Statutes.

According to Section 46a-68j-30(9) of the Contract Compliance Regulations, every agency awarding a contract subject to the contract compliance requirements has an obligation to "aggressively solicit the participation of legitimate minority business enterprises as bidders, contractors, subcontractors and suppliers of materials." "Minority business cnterprisc" is defined in Section 4a-60 of the Connecticut General Statutes as a business wherein fifty-one percent or more of the capital stock, or assets belong to a person or persons: "(1) Who are active in daily affairs of the enterprise; (2) who have the power to direct the management and policies of the enterprise; and (3) who are members of a minority, as such term is defined in subsection (a) of Section 32-9n." "Minority" groups are defined in Section 32-9n of the Connecticut General Statutes as "(1) Black Americans . . . (2) Hispanic Americans . . . (3) persons who have origins in the lberian Peninsula . . . (4)Women . . . (5) Asian Pacific Americans and Pacific lslanders; (6) American Indians . . ." An individual with a disability is also a minority business enterprise as provided by Section 4a-60g of the Connecticut General Statutes. The above definitions apply to the contract compliance requirements by virtue of Section 46a-68j-21(11) of the Contract Compliance Regulations.

The awarding agency will consider the following factors when reviewing the bidder's qualifications under the contract compliance requirements:

(a) the bidder's success in implementing an affirmative action plan;
(b) the bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the Administrative Regulations of Connecticut State Agencies, inclusive;
(c) the bidder's promise to develop and implement a successful affirmative action plan;
(d) the bidder's submission of employment statistics contained in the "Employment Information Form", indicating that the composition of its workforce is at or near parity when compared to the racial and sexual composition of the workforce in the relevant labor market area; and
(e) the bidder's promise to set aside a portion of the contract for legitimate minority business enterprises. See Section 46a-68j-30(10)(E) of the Contract Compliance Regulations.

INSTRUCTIONS AND OTHER INFORMATION

The following BIDDER CONTRACT COMPLIANCE MONITORING REPORT must be completed in full, signed, and submitted with the bid for this contract. The contract awarding agency and the Commission on Human Rights and Opportunities will use the information contained thereon to determine the bidders compliance to Sections 4a-60 and 4a-60a CONN. GEN. STAT., and Sections 46a-68j-23 of the Regulations of Connecticut State Agencies regarding equal employment opportunity, and the bidder's good faith efforts to include minority business enterprises as subcontractors and suppliers for the work of the contract.

1) Definition of Small Contractor

Section 4a-60g CONN. GEN. STAT. defines a small contractor as a company that has been doing business under the same management and control and has maintained its principal place of business in Connecticut for a one year period immediately prior to its application for certification under this section, had gross revenucs not exceeding fifteen million dollars in the most recently completed fiscal year, and at least fifty-one percent of the ownership of which is held by a person or persons who are active in the daily affairs of the company, and have the power to direct the management and policies of the company, except that a nonprofit corporation shall be construed to be a small contractor if such nonprofit corporation meets the requirements of subparagraphs (A) and (B) of subdivision 4a-60g CONN. GEN. STAT.

MANAGEMENT: Managers plan, organize, direct, and control the major functions of an organization through subordinates who are at the managerial or supervisory level. They make policy decisions and set objectives for the company or departments. They are not usually directly involved in production or providing services. Examples include top executives, public relations managers, managers of operations specialties (such as financial, human resources, or purchasing managers), and construction and engineering managers.

BUSINESS AND FINANCIAL OPERATIONS: These occupations include managers and professionals who work with the financial aspects of the business. These occupations include accountants and auditors, purchasing agents, management analysts, labor relations specialists, and budget, credit, and financial analysts.

MARKETING AND SALES: Occupations related to the act or process of buying and selling products and/or services such as sales engineer, retail sales workers and sales representatives including wholesale.

LEGAL OCCUPATIONS: In-House Counsel who is charged with providing legal advice and services in regards to legal issues that may arise during the course of standard business practices. This category also includes assistive legal occupations such as paralegals, legal assistants.

COMPUTER SPECIALISTS: Professionals responsible for the computer operations within a company are grouped in this category. Examples of job titles in this category include computer programmers, software enginecrs, database administrators, computer scientists,systems analysts, and computer support specialists

ARCHITECTURE AND ENGINEERING: Occupations rclated to architecture, surveying, engineering, and drafting are included in this category. Some of the job titles in this category include electrical and electronic engineers, surveyors, architects, drafters, mechanical engineers, materials engineers, mapping technicians, and civil engineers.

OFFICE AND ADMINISTRATIVE SUPPORT: All clerical-type work is included in this category. These jobs involve the preparing, transcribing, and preserving of written communications and records; collecting accounts; gathering and distributing information; operating office machines and electronic data processing equipment; and distributing mail. Job titles listed in this category include telephone operators, bill and account collectors, customer service representatives, dispatchers, secretaries and administrative assistants, computer operators and clerks (such as payroll, shipping, stock, mail and file).

BUILDING AND GROUNDS CLEANING AND MAINTENANCE: This category includes occupations involving landscaping, housekeeping, and janitorial services. Job titles found in this category include supervisors of landscaping or housekeeping, janitors, maids, grounds maintenance workers, and pest control workers.

CONSTRUCTION AND EXTRACTION: This category includes construction trades and rclated occupations. Job titles found in this category include boilermakers, masons (all types), carpenters, construction laborers, electricians, plumbers (and related trades), roofers, sheet metal workers, elevator installers, hazardous materials removal workers, paperhangers, and painters. Paving, surfacing, and tamping equipment operators; drywall and ceiling tile installers; and carpet, floor and tile installers and finishers are also included in this category. First line supervisors, foremen, and helpers in these trades are also grouped in this category..

INSTALLATION, MAINTENANCE AND REPAIR: Occupations involving the installation, maintenance, and repair of equipment are included in this group. Examples of job titles found here are heating, ac, and refrigeration mechanics and installers; telecommunication line installers and repairers; heavy vehicle and mobile equipment service technicians and mechanics; small engine mechanics; security and fire alarm systems installers; electric/electronic repair, industrial, utility and transportation equipment; millwrights; riggers; and manufactured building and mobile home installers. First line supervisors, foremen, and helpers for these jobs are also included in the category.

MATERIAL MOVING WORKERS: The job titles included in this group are Crane and towcr operators; dredge, excavating, and lading machine operators; hoist and winch operators; industrial truck and tractor operators; cleaners of vehicles and equipment; laborers and frcight, stock, and material movers, hand; machine feeders and offbearers; packers and packagers, hand; pumping station operators; refuse and recyclable material collectors; and miscellaneous material moving workers.

PRODUCTION WORKERS: The job titles included in this category are chemical production machine setters, operators and tenders; crushing/grinding workers; cutting workers; inspectors, testers sorters, samplers, weighers; precious stone/metal workers; painting workers; cementing/gluing machine operators and tenders; etchers/engravers; molders, shapers and casters except for metal and plastic; and production workers.

3) Definition of Racial and Ethnic Terms (as used in Part IV Bidder Employment Information) (Page 3)

White (not of Hispanic Origin)- All persons having origins in any of the original peoples of Europe, North Africa, or the Middle East. Black(not of Hispanic Origin)- All persons having origins in any of the Black racial groups of Africa. Hispanic- All persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish culture or origin, regardless of race.	Asian or Pacific Islander- All persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian subcontinent, or the Pacific Islands. This area includes China, India, Japan, Korea, the Philippine Islands, and Samoa. American Indian or Alaskan Native- All persons having origins in any of the original peoples of North America, and who maintain cultural identification through tribal affiliation or community recognition.

BIDDER CONTRACT COMPLIANCE MONITORING REPORT

PART I - Bidder Information

Company Name J. A. Rosa Construction, LLC Street Address 17 Town Line Rd City & State Wolcott, CT Chief Executive John A Rosa	Bidder Federal Employer Identification Number 061566170 Or Social Security Number_____
Major Business Activity (brief description) General Contractor GroupC We selfperform sitework, Demo, Concrete, Masonry, Carpentry, gyp board assemblies	Bidder Identification (response optional/definitions on page 1) -Bidder is a small contractor. Yes X No __ -Bidder is a minority business enterprise Yes __ No X (If yes, check ownership category) Black___ Hispanic___ Asian American___ American Indian/Alaskan Native ___Iberian Peninsula___ Individual(s) with a Physical Disability___ Female___
Bidder Parent Company (If any) n/a	- Bidder is certified as above by State of CT Yes X No__
Other Locations in Ct. (If any) n/a	

PART II - Bidder Nondiscrimination Policies and Procedures

1. Does your company have a written Affirmative Action/Equal Employment Opportunity statement posted on company bulletin boards? Yes X No__	7. Do all of your company contracts and purchase orders contain non-discrimination statements as required by Sections 4a-60 & 4a-60a Conn. Gen. Stat.? Yes X No__
2. Does your company have the state-mandated sexual harassment prevention in the workplace policy posted on company bulletin boards? Yes X No__	8. Do you, upon request, provide reasonable accommodation to employees, or applicants for employment, who have physical or mental disability? Yes X No__
3. Do you notify all recruitment sources in writing of your company's Affirmative Action/Equal Employment Opportunity employment policy? Yes X No__	9. Does your company have a mandatory retirement age for all employees? Yes__ No X
4. Do your company advertisements contain a written statement that you are an Affirmative Action/Equal Opportunity Employer? Yes X No __	10. If your company has 50 or more employees, have you provided at least two (2) hours of sexual harassment training to all of your supervisors? Yes__ No__ NA X
5. Do you notify the Ct. State Employment Service of all employment openings with your company? Yes __ No X	11. If your company has apprenticeship programs, do they meet the Affirmative Action/Equal Employment Opportunity requirements of the apprenticeship standards of the Ct. Dept. of Labor? Yes __ No __ NA X
6. Does your company have a collective bargaining agreement with workers? Yes__ No X 6a. If yes, do the collective bargaining agreements contain non-discrim ination clauses covering all workers? Yes__ No__ 6b. Have you notified each union in writing of your commitments under the nondiscrimination requirements of contracts with the state of Ct? Yes__ No X	12. Does your company have a written affirmative action Plan? Yes X No __ If no, please explain. 13. Is there a person in your company who is responsible for equal employment opportunity? Yes X No __ If yes, give name and phone number. John A Rosa 203-879-3495

Part III - Bidder Subcontracting Practices

1. Will the work of this contract include subcontractors or suppliers? Yes X No___

1a. If yes, please list all subcontractors and suppliers and report if they are a small contractor and/or a minority business enterprise. (defined on page 1 / use additional sheet if necessary)

M. Frank Higgins & Co., Inc MBE
Quality Roofing Services, Inc SBE
Peter J. Sayadoff, Inc
Wiremen, Inc SBE
C&A Distributors, Inc SBE
Brothers Environmental LLC MBE
Okee Industries
Connecticut Casework Specialist LLC
Tri Star Construction SBE
Ceiling Systems, Inc
New England Building Products
Central Mechanical Services, Inc MBE

1b. Will the work of this contract require additional subcontractors or suppliers other than those identified in 1a. above? Yes x No

PART IV - Bidder Employment Information

Date: 6/4/2025

JOB CATEGORY *	OVERALL TOTALS	WHITE (not of Hispanic origin)		BLACK (not of Hispanic origin)		HISPANIC		ASIAN or PACIFIC ISLANDER		AMERICAN INDIAN or ALASKAN NATIVE	
		Male	Female	Male	Female	Male	Female	Male	Female	male	female
Management	1	1									
Business & Financial Ops											
Marketing & Sales	1	1									
Legal Occupations											
Computer Specialists											
Architecture/Engineering											
Office & Admin Support	2		2								
Bldg/ Grounds Cleaning/Maintenance											
Construction & Extraction	14	11		2			1				
Installation , Maintenance & Repair											
Material Moving Workers											
Production Occupations											
TOTALS ABOVE	18	13	2	2			1				
Total One Year Ago	25	19	2	2		2					
FORMAL ON THE JOB TRAINEES (ENTER FIGURES FOR THE SAME CATEGORIES AS ARE SHOWN ABOVE)											
Apprentices	0										
Trainees	0										

*NOTE: JOB CATEGORIES CAN BE CHANGED OR ADDED TO (EX. SALES CAN BE ADDED OR REPLACE A CATEGORY NOT USED IN YOUR COMPANY)

PART V - Bidder Hiring and Recruitment Practices

1. Which of the following recruitment sources are used by you? (Check yes or no, and report percent used)

SOURCE	YES	NO	% of applicants provided by source
State Employment Service		X	
Private Employment Agencies	X		20
Schools and Colleges	X		5
Newspaper Advertisement	X		15
Walk Ins	X		5
Present Employees	X		45
Labor Organizations		X	
Minority/Community Organizations	X		10
Others (please identify)			

2. Check (X) any of the below listed requirements that you use as a hiring qualification

(X)	
X	Work Experience
	Ability to Speak or Write English
	Written Tests
	High School Diploma
	College Degree
	Union Membership
	Personal Recommendation
	Height or Weight
	Car Ownership
	Arrest Record
	Wage Garnishments

3. Describe below any other practices or actions that you take which show that you hire, train, and promote employees without discrimination

We are open to hire anyone that is willing to work hard. We continuously train our employees. We do promote from within. If a position needs to be filled we ask our employees for referrals.

Certification (Read this form and check your statements on it CAREFULLY before signing). I certify that the statements made by me on this BIDDER CONTRACT COMPLIANCE MONITORING REPORT are complete and true to the best of my knowledge and belief, and are made in good faith. I understand that if I knowingly make any misstatements of facts, I am subject to be declared in non-compliance with Section 4a-60, 4a-60a, and related sections of the CONN. GEN. STAT.

(Signature)	(Title)	(Date Signed)	(Telephone)
John A Rosa	Managing Member	6/4/2025	203-879-3495

State of Connecticut

Department of Administrative Services (DAS) Contractor Prequalification

Bid Statement

(Statement to be included with the bid)

Connecticut General Statute §4a-100 and Connecticut General Statute §4b-91

Each bid submitted for a contract shall include a bid statement in such form as the Commissioner of Administrative Services prescribes and, if required by the public agency soliciting such bid, a copy of a prequalification certificate issued by the Commissioner of Administrative Services. The form for such bid statement shall provide space for information regarding all projects completed by the bidder since the date the bidder's prequalification certificate was issued or renewed, all projects the bidder currently has under contract, including the percentage of work on such projects not completed, the names and qualifications of the personnel who will have supervisory responsibility for the performance of the contract, any significant changes in the bidder's financial position or corporate structure since the date the certificate was issued or renewed, any change in the contractor's qualification status as determined by the provisions of subdivision (6) of subsection (c) of 4a-100 of the Connecticut General Statutes, and such other relevant information as the Commissioner of Administrative Services prescribes. Any public agency that accepts a bid submitted without a copy of such prequalification certificate, if required by such public agency soliciting such bid, and an update statement, may become ineligible for the receipt of funds related to such bid, except the public agency soliciting such bids may allow bidders no more than two business days after the opening of bids to submit a copy of the prequalification certificate, if required by such public agency, and an update statement.

PROJECT THAT COMPANY IS BIDDING ON

PROJECT NAME	One Exchange Place - Core Restrooms
PROJECT NUMBER	8445

COMPANY INFORMATION

LEGAL BUSINESS NAME	J. A. Rosa Construction, LLC
DBA	
TAXPAYER ID	061566170
BUSINESS ADDRESS	17 Town Line Road
BUSINESS CITY, STATE, ZIP	Wolcott CT 06716
PREQUALIFICATION CONTACT	Pete Cappellino (pete@jarosa.com), Abby Darin (abby@jarosa.com), Brett Roberts (brett@jarosa.com), John A Rosa (john@jarosa.com)

PREQUALIFICATION INFORMATION

EXPIRATION DATE	02/20/2026
SINGLE LIMIT	$25,000,000.00
AGGREGATE WORK CAPACITY (AWC)	$50,000,000.00
REMAINING AGGREGATE WORK CAPACITY*	$27,333,607.70

*** The Remaining Aggregate Work Capacity equals your company's AWC minus the Total $ Amount of Work Remaining**

BONDED PROJECTS (BOTH PUBLIC AND PRIVATE) CURRENTLY UNDER CONTRACT

Project Name	Project Owner	% Complete	Total Contract Amount	Work Remaining
Lanesville Fire Station Addition & Renovation Design/Build	Town of New Milford	0	$3,515,042.00	$3,515,042.00
RFQ 02-2411 Elevator Modernization	Judicial Branch-Purchasing	0	$2,187,280.00	$2,187,280.00
Elevator Modernization & Related Work Preston Plains Middle School	Town of Preston	0	$291,231.00	$291,231.00
Battell Hall Elevator Modernization	DAS	0	$1,225,389.00	$1,225,389.00

#034-0154A Danbury High School Science Lab Improvements	City of Danbury	50	$9,826,650.00	$4,913,325.00
St Thomas Aquinas Development	City of New Britain	75	$4,650,550.00	$1,162,637.50
Seymour Community Center Elevator Modernization	Town of Seymour	80	$339,674.00	$67,934.80
7977 Waterbury Water System Pump Stations Upgrades	City of Waterbury	10	$4,498,370.00	$4,048,533.00
Additions & Renovations to The Klein Memorial Auditorium	The Klein Memorial Auditorium Foundations	50	$2,104,260.00	$1,052,130.00
Danbury High School Auditorium Seating Replacement & Related Work	City of Danbury	0	$1,088,410.00	$1,088,410.00
Elevator Upgrades at Greenwich Library	Town of Greenwich	0	$1,085,390.00	$1,085,390.00
Gallery 53 Elevator Replacement & Egress Upgrades	City of Meriden	55	$1,292,500.00	$581,625.00
Old Town Hall Elevator Design & Replacement	Town of Fairfield	0	$304,465.00	$304,465.00
One Exchange Place Elevator Replacements	City of Waterbury	10	$1,270,000.00	$1,143,000.00

Total Amount of Work Remaining $22,666,392.30

BONDED PROJECTS (BOTH PUBLIC AND PRIVATE) WHICH WERE AWARDED AND 100% COMPLETED SINCE THE DATE OF YOUR INITIAL PREQUALIFICATION OR YOUR LAST RENEWAL

Project Name	Project Owner	Date Completed	Total Contract Amount
Southbury Town Hall Annex	Town of Southbury	8/9/2008	$1,100,000.00
One Exchange Place Environmental Remediation	City of Waterbury	5/13/2025	$326,354.00
One Exchange Place Environmental Remediation	City of Waterbury	5/13/2025	$326,354.00
Construction of Community Services & Develop Offices	City of Waterbury	1/24/2024	$1,228,290.00
Western Hills Toilet Room Improvements	City of Waterbury	4/24/2020	$292,140.00
Waterbury Public Schools New Central Commissary Fitout	City of Waterbury	12/22/2020	$1,680,750.00
Chase, Hopeville, Kingsbury & Sprague Elementary Schools Elevator Additions	City of Waterbury	7/27/2020	$3,325,430.00
Design/Build of a New Health Department Annex at the New Britain Senior Center	City of New Britain	3/10/2023	$1,272,770.00
Collections Building Exterior Repairs	City of Waterbury	10/17/2022	$1,054,460.00
Municipal Stadium Locker Room and Rest Room Facility	City of Waterbury	7/30/2019	$3,776,920.00
BI-T-618 Dinosaur St Park HVAC Upgrades	St of CT DAS Construction Services	10/17/2022	$1,329,170.00

21-056 Replacement of Existing 2 Stop Hydraulic Elevator-Wallingford Public Library	Town of Wallingford	8/23/2022	$224,398.00
Fire Pump & Vestibule Upgrades at George Crawford manor	Housing Authority of the City of New Haven	3/9/2022	$386,674.00
Additions & Alterations to the Jonathan Trumbull Library	Town of Lebanon	8/25/2022	$3,215,280.00
Ellsworth Avenue School Addition	City of Danbury	8/26/2022	$8,532,420.00
Elevator Modernization	State of Ct Judicial Branch	3/31/2023	$788,583.00
Melissa Jones Elementary School Elevator Replacement	Town of Guilford	3/4/2022	$307,650.00
Richter House Improvements & Renovations	City of Danbury	9/6/2024	$1,933,460.00
Town Hall Elevator Mechanical Modernization	Town of Enfield	6/14/2024	$323,554.00
6138R Hydraulic Elevator Modernization	City of Hartford	11/27/2024	$381,873.00
Physical Services Complex Truck Wash	Town of Berlin	4/5/2024	$460,920.00
Ellsworth Central Office Elevator Installation	South Windsor Board of Education	2/1/2021	$396,004.00
Norwalk Transit District Fuel Island, Tank Replacement & Service Lane Upgrades	Norwalk Transit District	6/15/2021	$2,653,690.00
Bathroom Accessibility & Finish Renovations-New Britain Public Library	City of New Britain	2/19/2021	$177,478.00
Re-Bid Renovation to Existing Bathroom at Quinnipiac park	Town of Cheshire	3/3/2023	$103,633.00
Schoolhouse Apartments Elevator Addition & Replacement	Schoolhouse Apartments c/o Town of New Canaan	9/22/2023	$818,819.00
50 & 55 Farmington Ave Garage Sprinklers	Department of Administrative Services	2/26/2024	$1,745,310.00
Cheshire High School Partial Window Replacement	Town of Cheshire	9/14/2023	$744,414.00
Doolittle Toilet Room Upgrades	Town of Cheshire	9/14/2023	$246,678.00
Waterbury Auditorium Upgrades Work	City of Waterbury	12/29/2023	$6,612,430.00
151-0305 CV Bunker Hill ES Elevator, 151-0304 CV Washington ES Elevator Additions	City of Waterbury	3/1/2024	$2,435,670.00
Silas Bronson Library Elevator Replacement	City of Waterbury	12/15/2023	$558,100.00
7921 East Mountain Club House Renovations	City of Waterbury	11/1/2024	$2,391,880.00
HVRHS Toilet Rooms, Auditorium, & Cafe	Regional District #1	5/30/2025	$3,296,820.00
Elevator Modernization at Stratford Town Hall	Town of Stratford	4/11/2025	$225,490.00
Merritt Hall Elevator Modernization	State of Connecticut DMHA	4/25/2025	$643,036.00

MDC Elevator Mods	MDC Hartford	12/30/2024	$1,118,350.00
Water Pollution Control Service Building Replacement	City of Waterbury	10/6/2023	$4,515,270.00
Time Expo	Naugatuck Valley Regional Development Corporation	2/17/2023	$509,232.00
Department of Public Works Elevator Modernization	City of Waterbury	9/14/2023	$1,439,790.00
Door Replacement at Bugbee ES & King Philip MS	Town of West Hartford	1/13/2023	$583,030.00
Hop Brook ES Window Replacement	Naugatuck BOE	4/11/2025	$1,774,330.00

PERSONNEL WHO WILL HAVE SUPERVISORY RESPONSIBILITY FOR THE PERFORMANCE OF THE CONTRACT BEING BID ON

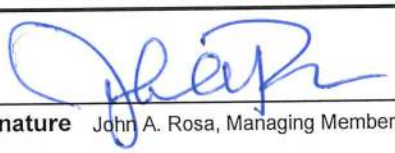
Name **Title**

CHANGES IN YOUR COMPANY'S FINANCIAL CONDITION OR BUSINESS ORGANIZATION WHICH MIGHT AFFECT YOUR COMPANY'S ABILITY TO SUCCESSFULLY COMPLETE THIS CONTRACT

HAVE THERE BEEN ANY CHANGES? No

IF YES, EXPLAIN

I CERTIFY UNDER PENALTY OF LAW THAT ALL OF THE INFORMATION CONTAINED IN THIS BID STATEMENT IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE AS OF THE DATE BELOW.



Signature John A. Rosa, Managing Member

6/4/25

Date

It is the responsibility of the Awarding Authority to determine if any of the information provided above will impact the contractor's performance on this project.

The DAS' Contractor Prequalification Program can be reached at DAS.Prequalification@ct.gov

CONNECTICUT DEPARTMENT OF LABOR
WAGE AND WORKPLACE STANDARDS DIVISION

CONTRACTORS WAGE CERTIFICATION FORM
Construction Manager at Risk/General Contractor/Prime Contractor

I, John A Rosa of J.A. Rosa Construction, LLC
Officer, Owner, Authorized Rep. Company Name

do hereby certify that the J.A. Rosa Construction, LLC
Company Name
17 Town Line Rd
Street
Wolcott
City

and all of its subcontractors will pay all workers on the

#8445 One Exchange Place Building Core Restroom Renovations
Project Name and Number

West Main Street Waterbury
Street and City

the wages as listed in the schedule of prevailing rates required for such project (a copy of which is attached hereto).

Signed

Subscribed and sworn to before me this 4th day of June, 25.

Notary Public

Abigail Darin
Notary Public - Connecticut
My Commission Expires
July 31, 2028

Return to:

Connecticut Department of Labor
Wage & Workplace Standards Division
200 Folly Brook Blvd.
Wethersfield, CT 06109

Rate Schedule Issued (Date): *April 30, 2025*

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 2025)
Persons or Entities Conducting Business with the City

I. Outstanding Purchase Orders of Contracts with the City

A. Contracts

No Contracts with the City ☐

WATERBURY WATER SYSTEM PUMP STATIONS UPGRADES 7977
(Service or Commodity Covered by Contract)

545 days
(Term of Contract)

One Exchange Place Elevator Replacements RFP 8293
(Service or Commodity Covered by Contract)

429 days
(Term of Contract)

One Exchange Place Environmental Remediation RFP 8303
(Service or Commodity Covered by Contract)

120 days
(Term of Contract)

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 2025)
Persons or Entities Conducting Business with the City

B. Purchase Order(s).

No Purchase Order(s) with the City [X]

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 2025)
Persons or Entities Conducting Business with the City

II. Financial Interest Disclosure

(Public Officials, Employees or Board and Commission Members with interest in Person or Entity Conducting Business with the City)

No Officials, Employees or Board and Commission Members with Financial Interest [X]

(Name of Official)

(Position with City)

(Nature of Business Interest)
(e.g. Owner, Director etc...)

Interest Held By:
Self [ ] Spouse [ ] Joint [ ] Child [ ]

(Name of Official)

(Position with City)

(Nature of Business Interest)
(e.g. Owner, Director etc...)

Interest Held By:
Self [ ] Spouse [ ] Joint [ ] Child [ ]

1. I certify that this Annual Statement of Financial Interests is a complete and accurate statement of those matter required to be disclosed by me pursuant to §39.061 of the Code of Ordinances.

2. I understand that if I fail to file an Annual Statement (or amendment thereto) or an inaccurate Statement I will be in violation with Chapter 39 of the Code of Ordinance and, thereby, subject to the remedies set forth in §§38.71 and 39.101 of said Code.

3. I understand that I must file with the City Clerk, within fifteen (15) days following any reportable occurrence, any amendments to the Annual Statement.

I have read and agree to the above certification.

J.A. Rosa Construction, LLC
(Name of Company, if applicable)



Signature of Individual (or Authorized Signatory)

Date 6/4/25

John A Rosa Managing Member
Print or Type Name and Title (if applicable)

DELIVERED | By Mail ☐ Hand-Delivered ☒

City of Waterbury Certification
Regarding
Debarment, Suspension, Ineligibility and Exclusion

If the transaction is Federally funded, in whole or in part (including pass through grants to state and/or municipal government), this certification is required by the regulations implementing one or more Presidential Executive Orders. If this transaction is funded by the State of Connecticut, in whole or in part, this certification is required in accordance with one or more State of Connecticut general statutes.

1. By signing and submitting the attached proposal and/or this document, the prospective lower tier participant, vendor, or contractor is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant, vendor, or contractor knowingly rendered an erroneous certification, in addition to other remedies available to the Federal , State, or City government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant, vendor or contractor shall provide immediate written notice to the person to which the attached proposal and/or this document is submitted if at any time the prospective lower tier participant, vendor or contractor learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded", as used herein, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 and/or State of Connecticut statutes and regulations. You may contact the person to which this proposal and/or this document is submitted for assistance in obtaining a copy of the foregoing.
5. The prospective lower tier participant, vendor or contractor agrees by submitting the attached proposal and/or this document that, should the proposed covered transaction be entered into, it shall not knowingly enter into any covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant, vendor or contractor further agrees by submitting the attached proposal and/or this document that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions", without modification, in all covered transaction and in all solicitations for covered transactions.
7. A participant in a covered transaction may rely upon the certification of a prospective participant in a covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the Non-procurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 herein, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal, State or Municipal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

(1) The prospective recipient of monies hereby certifies, by submission of its attached proposal and/or by execution of this document, that neither it nor its principles are presently debarred, suspended, proposed for debarment, declared ineligible, disqualified, or voluntarily excluded from bidding or participation in the proposed transaction by any Federal, State, or Municipal department or agency or by the statutes, regulations or ordinances of the foregoing departments and agencies.

(2) Where the prospective recipient of monies is unable to certify to any of the statements in this certification, such prospective participant shall attach a written explanation hereto.

Full Legal Name and address of Recipient, Vendor, or Contractor: J.A. Rosa Construction, LLC
17 Town Line Rd. Wolcott, Ct 06716.

Print Name and Title of Authorized Representative: John A Rosa Managing Member

Signature of Authorized Representative:

Date: 6/4/25

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

For the purposes of this Disclosure of Outstanding Financial Obligations, the following definitions apply:

(a) "Contract" means any Public Contract as defined below.

(b) "Person" means one (1) or more individuals, partnerships, corporations, associations, or joint ventures.

(c) "Public Contract" means any agreement or formal commitment entered into by the city to expend funds in return for work, labor, services, supplies, equipment, materials or any combination of the foregoing, or any lease, lease by way of concession, concession agreement, permit, or per agreement whereby the city leases, grants or demises property belonging to the city, or otherwise grants a right of privilege to occupy or to use said property of the city.

(d) "City" means any official agency, board, authority, department office, or other subdivision of the City of Waterbury.

State of Connecticut

SS.: Wolcott

County of New Haven

John A Rosa, being first duly sworn, deposes and says that:

1. I am the ***owner, partner, officer, representative, agent or*** Managing Member of J.A. Rosa Construction, LLC (Contractor's Name), the Contractor that has submitted the attached agreement.

2. I am fully informed respecting the preparation and contents of the attached Agreement and of all pertinent circumstances respecting such Agreement;

3. That as a person desiring to contract with the City (check all that apply):

____ The Contractor and each owner, partner, officer, representative, agent or affiliate of the Contractor has filed a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor are required to file a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, owes back taxes to the City of Waterbury

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, has any other outstanding obligations to the City of Waterbury

4. The following list is a list of the names of all persons affiliated with the business of the Contractor, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

5. That as a person desiring to contract with the City:

(a) The Contractor or an owner, partner, officer, representative, agent or affiliate of the Contractor provides, or has provided, services or materials to the City within one (1) year prior to the date of this disclosure, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

(b) The Contractor possesses an ownership interest in the following business organizations, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized) :

Organization Name	Address	Type of Ownership
1 none		
2		
3		
4		

(c) The following persons possess an ownership interest in the Contractor. If the Contractor is a corporation, list all of the officers of the corporation and the names of each stockholder whose shares exceed twenty-five

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

(25) percent of the outstanding stock, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	DOB	Stock %
1 none			
2			
3			
4			

(d) Of the following of the affiliates, individuals or business entities identified in this affidavit, list each that owns, owned, or within one (1) year prior to the date of this disclosure has owned, taxable property situated in the City of Waterbury, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Address	DOB
1		none		
2				
3				
4				

(e) If the Contractor conducts business under a trade name, the following additional information is required: the place where such entity is incorporated or is registered to conduct such business; and the address of its principal place of business, if none, state none:

TRADE NAME	PLACE OF INCORPORATION/REGISTRY	PRINCIPAL PLACE OF BUSINESS
1 none		
2		
3		
4		

I hereby certify that the statements set forth above are true and complete, and I understand that any incorrect information or omission of information from this affidavit may result in the immediate termination of the Contractor's agreement with the City of Waterbury.

For Partnership/Sole Proprietor

In presence of:

_____
Witness

n/a
Name of Partnership/Business

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

By: n/a
Name of General Partner/ Sole Proprietor

Address of Business

State of _____)
Wolcott) SS
County of _____)

_____ being duly sworn,

Deposes and says that he/she is _____ of _____ and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this _____ day of _____ 202_.

(Notary Public)

My Commission Expires: _____

For Corporation

Witness

John A Rosa
Name of Corporate Signatory

17 Town Line Rd. Wolcott, Ct 06716
Address of Business

Affix
Corporate
Seal

By: _____
John A Rosa

Its: Managing Member
Title

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

State of Connecticut)

Wolcott) SS

County of New Haven)

John A Rosa being duly sworn,

deposes and says that he/she is Managing Member of J.A. Rosa Construction, LLC and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this 4 th day of June 2025.

Abigail Darin
(Notary Public)

My Commission Expires: 7/31/28

Abigail Darin
Notary Public - Connecticut
My Commission Expires
July 31, 2028

Proposer Information

Firm Name -J. A. Rosa Construction, LLC
Permanent main office address -17 Town Line Rd. Wolcott, Ct. 06716
Date the firm organized, December 1999
Legal Form of ownership. If a corporation, indicate where incorporated -State of Connecticut
How many years have you been engaged in the services you provide under your present name – 25.
Names, titles, reporting relationships, and background and experience of the principal members of your organization, including officers -Please see attached resume's.

Philosophy Statement and Business Focus

J. A. Rosa is a full-service construction and renovation General Contractor. We handle a broad spectrum of construction projects from ground up to a very diverse range of renovations in the State of Connecticut.
We especially thrive in completing complicated and demanding projects, such as, occupied spaces, projects with demanding schedules, demolition and new installations of concrete, structural steel as well as fine finishes and historic restorations.
The coordination of these projects can be challenging for building owners. Our firm has some of the best field personnel on our staff as well as the finest subcontractors. Beyond our very qualified field personnel and subcontractors we have the office support of highly trained estimators, project managers and accounting staff to maintain accurate and efficient control of the project.
Our goal with each project is to deliver the highest quality product in the most professional manner. Years of experience have taught us that the key to success is teamwork. The team consists of the client, the design group, government, the construction company, subcontractors, and vendors.
Each member of the team has challenges it must face to complete its work. We at J. A. Rosa Construction are committed to the belief that it is our responsibility to provide the coordination, highly qualified personnel and resources required so that each team member realizes success.

By continuously remaining dedicated to this goal, we have been fortunate to realize highly satisfied clients and very successful projects. We believe our success has been measured by the quality of the final product, the level of professionalism it was delivered with and, just as importantly, the integrity of our relationships. Summary of Relevant Experience - Please see attached.

Personnel Listing - Please see attached Resume's.

Contracts or purchase orders with the City
One Exchange Place Environmental Remediation
Water System Pump Station Upgrade
One Exchange Place Elevator Replacements
Waterbury Elementary School Elevator Additions
Waterbury CD&S 188 Bank St
Silas Bronson Library Elevator Replacement
Waterbury Auditorium Upgrades
Department of Public Works Elevator Modernization
Water Pollution Control Facility Service Building Replacement
Water Pollution Control Facility Collections Building Exterior Repairs

Qualifications - As a general contractor we work with the drawings and specs to provide what the owner is asking for.
Work Plan – Schedule to be determined.
Services Expected of the City - Communicate with us by responding quickly to submittals and RFI's.

Information Regarding: Failure to Complete Work, Default and Litigation
Please respond to the following questions:
Have you ever failed to complete any work awarded to you? No, we have not.
Have you ever defaulted on a contract? No, we have not.
Is there any pending litigation which could affect your organization's ability to perform this agreement? No, there is not.
Has your firm ever had a contract terminated for cause within the past five years? No, we have not.
Has your firm been named in a lawsuit related to errors and omissions within the past five years? No, we have not.

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Telephone 203-879-3495

During the past seven years, has your firm ever filed for protection under the Federal bankruptcy laws? No, we have not.
Are there any other factors or information that could affect your firm's ability to provide the services being sought about which the city should be aware? No, there is not.

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J. A. ROSA CONSTRUCTION, LLC

JOBS IN PROGRESS

Name of Project	Address of Project	Owner	Architect or Designer	Total Contract $	Percent Complete	% Own Forces	Completion Date
Lanesville Fire Station Addition & Renovation Design/Build	2 Lanesville Rd New Milford	Town of New Milford	Design/Build Silver Petrucelli & JA Rosa Construction	$3,515,042.00	0%	15%	4/26
Preston Plains Elevator Modernization & Related Work	1 CT Route 64 Preston	Town of Preston	Kone Engineering	$291,231.00	0%	5%	12/25
Battell Hall Elevator Modernization	144 Homes Drive Middletown	State of Connecticut DAS	Bemis Associates	$1,225,389.00	0%	5%	12/25
Elevator Modernization Hartford Superior Court	101 Lafayette St Hartford	Judicial Branch Purchasing	CHK Architects	$2,187,280.00	0%	10%	5/26
Seymour Community Center Elevator Modernization	20 Pine St Seymour	Town of Seymour	Kone Engineering	$339,674.00	0%	5%	9/25
Danbury High School Auditorium Seating Replacement & Related Work	43 Clapboard Ridge Rd. Danbury	City of Danbury	Fuller D'Angelo	$1,088,409.00	0%	15%	8/25
One Exchange Place Elevator Replacements	21 West Main St Waterbury	City of Waterbury	Kone Engineering	$1,270,000.00	20%	10%	9/25
Elevator Upgrades at Greenwich Library	101 West Putnam Ave Greenwich	Town of Greenwich	Kone Engineering	$1,085,390.00	0%	5%	12/25

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J. A. ROSA CONSTRUCTION, LLC

Name of Project	Address of Project	Owner	Architect or Designer	Total Contract $	Percent Complete	% Own Forces	Completion Date
Old Town Hall Elevator Design & Replacement	611 Old Post Rd Fairfield	Town of Fairfield	Schindler Engineering	$304,465.00	0%	10%	11/25
Waterbury Pump Station	2713 North Main St Waterbury	City of Waterbury	SLR	$4,498,368.00	10%	5%	12/25
Gallery 53 Elevator Replacement & Egress Upgrades	53 Colony St Meriden	The City of Meriden	DiCarlo & Doll Inc.	$1,292,495.00	55%	10%	9/25
Klein Auditorium Additions & Renovations	910 Fairfield Ave Bridgeport	The Klein Memorial Auditorium Foundations	Silver Petrucelli & Associates	$2,104,259.00	60%	5%	8/25
Middlebury PD Renovations	200 Southford Rd Middlebury	Town of Middlebury	Jacunski Humes	$561,716.00	75%	5%	6/25
St Thomas Aquinas Development	Sheffield St, East St, Kelsey St New Britain	City of New Britain	Design Build Team JA Rosa Construction	$4,650,550.00	70%	10%	10/25
Danbury High School Science Hall Lab Improvements	43 Clapboard Ridge Rd Danbury	City of Danbury	Silver Petrucelli	$9,826,648.00	50%	5%	8/25

Please see below for completed projects.

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J. A. ROSA CONSTRUCTION, LLC

COMMERCIAL CONSTRUCTION PROJECTS COMPLETED

Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
HVRHS Auditorium/Café-Toilet Rooms	246 Warren Turnpike Falls Village	Regional School District #1	Jacunski Humes	$3,296,824.00	5/25	10%
Stratford Town Hall Elevator Modernization	2725 Main St Stratford	Town of Stratford	TKE Engineers	$283,848.00	3/25	5%
Hop Brook ES Window Replacement	75 Crown St Naugatuck	Board of Education Naugatuck	Silver Petrucelli & Associates	$1,617,278.00	4/25	10%
Merritt Hall Elevator Modernization at CVH	39 Tynan Circle Middletown, Ct	State of Connecticut DMHA	Otis Engineering	$643,036.00	1/25	5%
Hartford PAL Hydraulic Elevator Modernization	50 Williams St Hartford Ct	City of Hartford	Northeast Collaborative	$381,873.00	12/24	10%
MDC Elevators	Brainard Rd 555 Main St Hartford	MDC Hartford County CT	Kone Engineers	$1,118,546.00	12/24	5%
Church of Latter-Day Saints Interior Renovations	682 South Ave New Canaan	Church of Jesus Christ of Latter Day Saints	P2G Architecture	$899,761.00	12/24	15%
East Mountain Clubhouse Renovations	171 East Mountain Rd Waterbury	City of Waterbury	Wiles	$2,391,875.00	11/25	15%

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J. A. ROSA CONSTRUCTION, LLC

Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
Richter House Improvements	100 Aunt Hack Rd. Danbury Ct 06811	City of Danbury	Friar Architecture	$1,933,457.00	6/24	15%
Enfield Town Hall Elevator Replacement	820 Enfield St Enfield Ct 06082	City of Enfield	Otis Engineering	$319,554.00	6/24	10%
Rehabilitation & Renovation Project LDS New Canaan	682 South Ave New Canaan	The Church of Latter-Day Saints	Swartz Architecture	$899,761.00	6/24	15%
Vehicle Wash Bay	35 Town Farm Lane Berlin	City of Berlin	Jacunski Humes/JAR	$497,085.00	3/24	15%
Parking Garage Fire Protection System Updates	50 & 55 Farmington Ave Hartford Ct	State of Connecticut	Fuss & O'Neil	$1,564,606.00	2/24	5%
Waterbury Elementary School Elevator Additions	Bunker Hill ES Washington ES	BOE City of Waterbury	Friar Architecture	2,435,667.00	2/24	25%
Construction for the Community Services & Development Offices	180 Bank St Waterbury	City of Waterbury	OMA Architects	$1,228,290.00	11/23	10%
Silas Bronson Library	267 Grand St Waterbury	City of Waterbury	Kone Engineers	$558,100.00	11/23	10%
Bus Storage Building Renovations	26 Elm Court Stamford Ct	CT Transit	Wendell	$764,841.00	9/23	10%

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Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
Cheshire High School Partial Window Replacement	525 South Main St Cheshire Ct	Cheshire BOE	Silver Petrucelli	$744,414.00	8/23	15%
Doolittle Toilet Room Upgrades	753 Cornwall Ave Cheshire Ct	Cheshire BOE	Silver Petrucelli	$246,678.00	8/23	10%
Schoolhouse Apartments Elevator Addition	156 South Ave New Canaan Ct	Town of New Canaan	Silver Petrucelli	$793,027.00	8/23	20%
Water Pollution New Service Building Replacement	210 Municipal Rd Waterbury	City of Waterbury	CEM Smith	$4,515,272.00	8/23	20%
Department of Public Works Elevator Modernization	255 East Main St 185 South Main St Waterbury	City of Waterbury	Kone Engineers	$1,439.786.00	8/23	30%
New Britain Senior Center Addition	55 Pearl St New Britain, CT	City of New Britain	Design/Build Silver Petrucelli	$1,272,770.00	1/23	15%
Middletown Courthouse Elevator	1 Court St Middletown Ct 06457	State of Ct Judicial Branch 90Washington St	Clohessy, Harris, & Kaiser	$788,583.00	1/23	10%
Time Expo- SERC Interior Building Renovations	100 Union Street Waterbury, CT	Naugatuck Valley Regional Development Co	Ames & Whitaker Architects	$497,552.00	12/22	30%
Re-Bid Renovation to Existing Bathroom at Quinnipiac Park	1325 Cheshire St Cheshire Ct	Town of Cheshire	Wojas Architects	$103,633.00	12/22	30%

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J. A. ROSA
CONSTRUCTION, LLC

Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
Door Replacement at Bugbee ES & King Philip MS	1943 Asylum Ave 100 King Philip Dr West Hartford	Town of West Hartford 50 South Main St	OM Architects	$583,030.00	11/22	10%
Dinosaur State Park HVAC Upgrades	400 West St Rocky Hill, CT	State of Ct	TLB Architecture	$1,329,166.00	10/22	10%
Water Pollution Control Facility Collections Building Exterior Repair	210 Municipal Rd Waterbury Ct	City of Waterbury	Jacobs	$1,054,463.00	10/22	10%
Wallingford Public Library Elevator Modification	220 North Main St Wallingford CT	Town of Wallingford	Kone Engineers	$224,398.00	9/22	10%
Ellsworth Ave School Addition	53 Ellsworth Ave Danbury Ct	City of Danbury 155 Deer Hill Ave	Friar Architecture	$8,465,955.00	8/22	15%
Additions & Alterations to the Jonathan Trumbull Library	580 Exeter Rd Lebanon, Ct 06249	Town of Lebanon	Jacunski Humes	$3,215,281.00	8/22	15%
Vestibule Renovations at Still Meadow Elementary School	800 Stillwater Rd Stamford, Ct	City of Stamford 888 Washington Blvd	Silver Petrucelli & Associates	$90,576.00	8/22	10%
Fire Pump & Vestibule Upgrades at George Crawford Manor	90 Park St New Haven, Ct	Housing Authority of New Haven	Christopher Williams	$386,674.00	1/22	20%
Melissa Jones Elementary School Elevator	181 Ledge Rd Guilford Ct	Town of Guilford 31 Park St	Silver Petrucelli & Associates	$307,650.00	2/22	10%

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J. A. ROSA CONSTRUCTION, LLC

Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
Kent Center School	9 Judd Ave Kent, CT	Kent Board of Education	Jacunski Humes Architects	$150,862.00	9/21	80%
New Britain Courthouse Elevator Upgrades	20 Franklin Square New Britain, CT	Judicial Branch of Ct	Silver Petrucelli	$739,560.00	10/21	10%
Temple Beth El Elevator Addition	350 Roxbury Rd Stamford, CT	Temple Beth El	Brawer & Hauptman	$252,298.00	8/21	20%
Seven Angels Theatre, Inc-Renovations of Toilet Rooms	1 Plank Rd Waterbury, CT 06705	Seven Angels Theater	Design/Build JAR Team	$84,684.00	7/21	80%
Freight Elevator Addition	677 Washington Blvd Stamford, CT	George Comfort & Sons	Perkins Eastman	$1,450,980.00	6/21	20%
New Britain Housing Authority Elevator Modernization	Jane Johnson Community Center 18 Armistice St, New Britain CT	New Britain Housing Authority	Silver Petrucelli	$178,440.00	4/26	10%
Norwalk Transit District Fuel Island, Tank Replacement & Service Lane Upgrades	275 Wilson Ave Norwalk CT	Norwalk Transit District	Wendel Architecture	$2,653,686.00	5/21	80%
Ellsworth Central Office Elevator Installation	1737 Main St South Windsor CT	South Windsor Board of Education	DRA Architects	$396,004.00	2/21	45%
Bathroom Renovations to New Britain Public Library	20 High St New Britain	City of New Britain	Kaestle Boos Associates	$177,478.00	2/21	45%

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J. A. ROSA CONSTRUCTION, LLC

Name of Project	Address of Project	Owner	Architect	Contract $	Completion Date	% Own Forces
Sump & Drainage Installation	WPC Electrical Substation Building	City of Waterbury	Jacobs	$146,614.00	1/21	45%
Fairfield Woods Branch Library	1147 Fairfield Rd Fairfield CT 06824	Town of Fairfield	Silver/Petrucelli	$278,803.00	12/20	15%
Waterbury Schools New Central Commissary Kitchen	562 Captain Neville Dr Waterbury	City or Waterbury Food Services	Silver Petrucelli & Associates	$1,680,746.00	7/20	10%
Waterbury Elementary School Elevator Additions	Chase, Sprague, Hope & Kingsbury Schools	City of Waterbury	Friar Architecture	$3,325,430.85	7/20	25%
Wolcott Board of Ed-Alcott Office Suite Renovations	1488 Woodtick Rd Wolcott	Wolcott Building Committee	Architectural Studio 3C	$132,577.00	4/20	12%
Western Hills Toilet Room Improvements	660 Park Road Waterbury CT	City of Waterbury	Silver Petrucelli & Associates	$292,140.00	4/20	15%
Community Partners in Action Kitchen Renovations	123 Washington St Hartford, CT	Community Partners in Action	Jan Wojas	$139,553.00	3/20	45%
Hamden High School Pool Renovations	2040 Dixwell Ave Hamden CT	Town of Hamden	Silver Petrucelli & Associates	$1,649,466.00	12/19	10%
Sono Renovations	68 & 70 South Main St Norwalk CT	Sonoson, LLC	Gil & Gil Architects	$3,029,581.00	12/19	25%
Town of Westport Elevator Modernization	110 Myrtle Ave Westport, CT	Town of Westport	Sterling Elevator Consultants	$231,197.00	10/19	10%

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J. A. ROSA CONSTRUCTION, LLC

Contact Information

Design Professionals		
Dean Petrucelli, Architect	Silver – Petrucelli & Associates	1-203-230-9007
Tom Arcari, Architect	Quisenberry and Arcari Architects	1-860-677-4594
W. Albert Jacunski, Architect	Jacunski Humes Architects	1-860-828-9221
Joseph Salamone, Engineer	Salamone & Associates	1-203-281-6895
Stephen Kasle, Engineer	Jacobs Engineering	1-203-574-8265

Owner's Representatives		
Rich Clavet, Facilities Director	Cheshire Public Schools	1-203-250-2576
Jim Croteau, Facilities Director	Regional School District 13	1-860-349-7238
Vincent Masciana, Chief Operating Officer	Cheshire Public Schools	1-203-250-2424
Jeanne Roberge, Purchasing Services	State of Connecticut Judicial Branch	1-860-706-5200
Antonio Iadarola	City of Danbury Engineer	1-203-797-4537
Randy D'Angelo, Director of Facilities	American School for the Deaf	1-860-570-2357

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CERTIFICATE OF LIABILITY INSURANCE

OATE (MM/DD/YYYY) 01/15/2025

THIS CERTIFICATE IS ISSUED AS A MATTER OF INFORMATION ONLY AND CONFERS NO RIGHTS UPON THE CERTIFICATE HOLDER. THIS CERTIFICATE DOES NOT AFFIRMATIVELY OR NEGATIVELY AMEND, EXTEND OR ALTER THE COVERAGE AFFORDED BY THE POLICIES BELOW. THIS CERTIFICATE OF INSURANCE DOES NOT CONSTITUTE A CONTRACT BETWEEN THE ISSUING INSURER(S), AUTHORIZED REPRESENTATIVE OR PRODUCER, AND THE CERTIFICATE HOLDER.

IMPORTANT: If the certificate holder is an ADDITIONAL INSURED, the policy(ies) must have ADDITIONAL INSURED provisions or be endorsed. If SUBROGATION IS WAIVED, subject to the terms and conditions of the policy, certain policies may require an endorsement. A statement on this certificate does not confer rights to the certificate holder in lieu of such endorsement(s).

PRODUCER Chittenden Group 327 Church St P O Box 859 Naugatuck CT 06770	CONTACT NAME: Sydney Altieri PHONE (A/C, No, Ext): (203) 723-7447 FAX (A/C, No): (203) 723-4148 E-MAIL ADDRESS: sydney@chittendengroup.com	
	INSURER(S) AFFORDING COVERAGE	NAIC #
	INSURER A : The Cincinnati Insurance Company	10677
	INSURER B : Tokio Marine Specialty Insurance Co	10945
INSURED J. A. Rosa Construction LLC 17 Town Line Rd Wolcott CT 06716-2680	INSURER C :	
	INSURER D :	
	INSURER E :	
	INSURER F :	

COVERAGES **CERTIFICATE NUMBER:** 2025-2026 master poll **REVISION NUMBER:**

THIS IS TO CERTIFY THAT THE POLICIES OF INSURANCE LISTED BELOW HAVE BEEN ISSUED TO THE INSURED NAMED ABOVE FOR THE POLICY PERIOD INDICATED. NOTWITHSTANDING ANY REQUIREMENT, TERM OR CONDITION OF ANY CONTRACT OR OTHER DOCUMENT WITH RESPECT TO WHICH THIS CERTIFICATE MAY BE ISSUED OR MAY PERTAIN, THE INSURANCE AFFORDED BY THE POLICIES DESCRIBED HEREIN IS SUBJECT TO ALL THE TERMS, EXCLUSIONS AND CONDITIONS OF SUCH POLICIES. LIMITS SHOWN MAY HAVE BEEN REDUCED BY PAID CLAIMS.

INSR LTR	TYPE OF INSURANCE	ADDL INSD	SUBR WVD	POLICY NUMBER	POLICY EFF (MM/DD/YYYY)	POLICY EXP (MM/DO/YYYY)	LIMITS	
A	[X] COMMERCIAL GENERAL LIABILITY [ ] CLAIMS-MADE [X] OCCUR [X] Blanket Additional Insured per form GA 472 05 20 GEN'L AGGREGATE LIMIT APPLIES PER: [ ] POLICY [X] PRO-JECT [ ] LOC [ ] OTHER:			EPP 0640166	01/01/2025	01/01/2026	EACH OCCURRENCE	$ 1,000,000
							DAMAGE TO RENTED PREMISES (Ea occurrence)	$ 500,000
							MED EXP (Any one person)	$ 10,000
							PERSONAL & ADV INJURY	$ 1,000,000
							GENERAL AGGREGATE	$ 2,000,000
							PRODUCTS - COMP/OP AGG	$ 2,000,000
							Abuse & Molestation Lia	$ 500,000
A	AUTOMOBILE LIABILITY [ ] ANY AUTO [ ] OWNED AUTOS ONLY [X] SCHEDULED AUTOS [X] HIRED AUTOS ONLY [X] NON-OWNED AUTOS ONLY			EPP 0640166	01/01/2025	01/01/2026	COMBINED SINGLE LIMIT (Ea accident)	$ 1,000,000
							BODILY INJURY (Per person)	$
							BODILY INJURY (Per accident)	$
							PROPERTY DAMAGE (Per accident)	$
								$
A	[X] UMBRELLA LIAB [X] OCCUR [ ] EXCESS LIAB [ ] CLAIMS-MADE [ ] DED [ ] RETENTION $			EPP 0640166	01/01/2025	01/01/2026	EACH OCCURRENCE	$ 5,000,000
							AGGREGATE	$ 5,000,000
								$
A	WORKERS COMPENSATION AND EMPLOYERS' LIABILITY ANY PROPRIETOR/PARTNER/EXECUTIVE OFFICER/MEMBER EXCLUDED? (Mandatory in NH) Y/N [Y] N/A If yes, describe under DESCRIPTION OF OPERATIONS below			EWC 0640172	01/01/2025	01/01/2026	[X] PER STATUTE [ ] OTH-ER	
							E.L. EACH ACCIDENT	$ 1,000,000
							E.L. DISEASE - EA EMPLOYEE	$ 1,000,000
							E.L. DISEASE - POLICY LIMIT	$ 1,000,000
B	Professional & Environmental			PPK2675887-000	04/01/2024	04/01/2025	Pollution Liability	5,000,000
							Errors & Omissions	2,000,000
							deductible	10,000

DESCRIPTION OF OPERATIONS / LOCATIONS / VEHICLES (ACORO 101, Additional Remarks Schedule, may be attached if more space is required)

SAMPLE

CERTIFICATE HOLDER

SAMPLE
17 TOWN LINE RD.
WOLCOTT CT 06716

CANCELLATION

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, NOTICE WILL BE DELIVERED IN ACCORDANCE WITH THE POLICY PROVISIONS.

AUTHORIZED REPRESENTATIVE

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State of Connecticut

Department of Administrative Services
Supplier Diversity Program

This certifies **J. A. Rosa Construction, LLC**

17 Town Line Road Wolcott CT 06716

as a *Small Business Enterprise*

February 25, 2025 through February 25, 2027

Owner(s):	John A. Rosa		
Contact:	Abby Darin	**Telephone:**	203-879-3495 Ext:
		Fax:	
Email:	abby@jarosa.com	**Web Address:**	www.jarosa.com
***Affiliated Businesses:**			

Products & Services: General Contracting Services, Sitework and Excavation, Concrete, Masonry, Carpentry, Painting.

Meg Yetishefsky
Supplier Diversity Director

Supplier Diversity Specialist

*A contractor awarded a contract or a portion of a contract under this section shall not subcontract with any person with whom the contractor is affiliated.

Return

State of Connecticut

Department of Administrative Services

Construction Contractor Prequalification Program

This certifies

J. A. Rosa Construction, LLC

17 Town Line Road Wolcott, CT 06716

as a

Prequalification Construction Contractor

February 21, 2025 through February 20, 2026

CONTACT INFORMATION

Name: Pete Cappellino
Phone:
Email: pete@jarosa.com
Name: Abby Darin
Phone:
Email: abby@jarosa.com
Name: Brett Roberts
Phone:
Email: brett@jarosa.com
Name: John A Rosa
Phone:
Email: john@jarosa.com

Aggregate Work Capacity (AWC)

$50,000,000.00

Single Limit (SL)

$25,000,000.00

Classifications

GENERAL BUILDING CONSTRUCTION (GROUP A)

Description

The undertaking of general contracts for the construction of buildings(i.e. new construction, renovation, rehabilitation, alteration, addition, etc. The contract must include a variety of construction practices and supervision of a minimum of three sub-trades. Includes those designs that are repetitive, conventional in character, require minimum design based on pre-engineered components/systems, and that pose minimum effort by the design professional. Examples include repetitive designs, dormitories, warehouses, basic building structures without interior finishes, ceiling replacement, pre-engineered components, recreation facilities, etc.; Note: If you are prequalified for General Building Construction under Group B and or Group C, you are automatically prequalified for Group A. ALSO If you are prequalified for General Building Group A you will automatically be prequalified for GENERAL TRADES.; Note: For the purposes of DAS Construction Contractor

	Prequalification, contractors in this classification are not required to be registered as a major contractor with the Department of Consumer Protection. However, there may be specific projects within this classification that require a major contractor registration from the Department of Consumer Protection.
GENERAL BUILDING CONSTRUCTION (GROUP B)	The undertaking of general contracts for the construction of buildings i.e. new construction, renovation, rehabilitation, alteration, addition, etc. The contract must include a variety of construction practices and supervision of a minimum of three sub-trades. Includes most of the structures that normally house State functions. These all require normal mechanical/electrical systems for today's standards of operation for quality space, security and environmental comfort. Examples include office buildings, general classroom and administrative offices, college buildings, auditoriums and maintenance buildings.; Note: If you are prequalified for General Building Construction under Group B, you are automatically prequalified for Group A. Also If you are prequalified for General Building Group B you will automatically be prequalified for General Trades.; Note: For the purposes of DAS Construction Contractor Prequalification, contractors in this classification are not required to be registered as a major contractor with the Department of Consumer Protection. However, there may be specific projects within this classification that require a major contractor registration from the Department of Consumer Protection.; Projects that are threshold buildings may require a Major Contractor Registration.
GENERAL BUILDING CONSTRUCTION (GROUP C)	The undertaking of general contracts for the construction of buildings i.e. new construction, renovation, rehabilitation, alteration, addition, etc. The contract must include a variety of construction practices and supervision of a minimum of three sub-trades. Includes buildings that are truly custom, requiring extensive detailing, or that have large amounts of integrated scientific or complex mechanical/electrical equipment in order for them to function. Examples include hospitals, chemistry buildings, special collections buildings, historic preservation to a landmark structure, and/or any other structure that is truly one of a kind within the State's

	inventory.; Note: If you are prequalified for General Building Construction under Group C, you are automatically prequalified for Group A and Group B. Also if you are prequalified for General Building Group C you will automatically be prequalified for General Trades.; Note: For the purposes of DAS Construction Contractor Prequalification, contractors in this classification are not required to be registered as a major contractor with the Department of Consumer Protection. However, there may be specific projects within this classification that require a major contractor registration from the Department of Consumer Protection.; Projects that are threshold buildings may require a Major Contractor Registration.
GENERAL TRADES	The undertaking of general contracts for the construction and/or supervision of several sub-trades but not the construction of buildings as described in General Building Construction. The contract must include a variety of construction practices and supervision of a minimum of three sub-trades. The work of this category is intended for the interior finishes of a building.
MASONRY	Installation, renovation, repair and maintenance of masonry units composed of concrete, stone, brick and the like, including such incidental or related work as is customarily performed by those in the masonry trade.
CARPENTRY/MILLWORK	This classification includes: Carpentry: the cutting, framing and joining pieces of timber in the construction of wood structures.; Millwork: the manufacture and installation of generally all building materials made of finished wood and/or plastic and manufactured in millwork plants and in planing mills, such as doors, window and door frames, sash, blinds, porch work, mantels, panel work, stairways and special woodwork. Does not include flooring, ceilings or siding.
SITEWORK	Work customarily performed by this industry, including earthwork activities (excluding tunneling and mining, special foundations and load bearing elements); utility systems including installation of drainage systems; exterior improvements (excluding plantings) including horizontal paving work not requiring mortar; site preparation and site remediation.

CONCRETE	Installation, renovation, repair and maintenance of cast in place concrete structures including foundations and structural concrete components including such incidental or related work as is customarily performed by those in the concrete trade.
CONCRETE: PRECAST STRUCTURAL AND ARCHITECTURAL	Design, factory manufacture and field install precast concrete structural and architectural components for total precast concrete building systems. The types of structures would include parking garages, multi-unit housing, stadiums, office buildings, commercial buildings, schools, nursing homes, bridges and other concrete precast products.

This certificate prequalifies the named company to bid. It is not a statement of the Contractor's capacity to perform a specific project. That responsibility lies with the awarding authority.

Company Licenses/Registrations: It is the Contractor's responsibility to update their license information by editing their electronic application. Licenses are confirmed by the Department of Administrative Services (DAS) at the time of initial application and at each renewal.
For information regarding the DAS Contractor Prequalification Program visit http://portal.ct.gov/Prequalification or call (860) 713-5280.

Printed 02/21/2025 11:11:21 AM

CPL-03 Rev 06/13

1097564
Yes

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION

This is your Major Contractor registration certificate for your records. Such registration shall be shown to any properly interested person on request. Do not attempt to make any changes or alter this certificate in any way. This registration is not transferable. Questions regarding this registration can be emailed to the Occupational & Professional Licensing Division at dcp.occupationalprofessional@ct.gov.

In an effort to be more efficient and Go Green, the department asks that you keep your email information with our office current to receive correspondence. You can update your email address or print a duplicate certificate by logging into your account with your User ID and Password at www.elicense.ct.gov.

Mailing address:

J A ROSA CONSTRUCTION LLC
17 TOWN LINE RD
WOLCOTT, CT 06716-2625

Email on file to be used for receiving all notices from this office:

abby@jarosa.com

1097564

STATE OF CONNECTICUT ✦ DEPARTMENT OF CONSUMER PROTECTION

Be it known that

J A ROSA CONSTRUCTION LLC

17 TOWN LINE RD
WOLCOTT, CT 06716-2625

has satisfied the qualifications required by law and is hereby registered as a

MAJOR CONTRACTOR

QUI TRANSTULIT SUSTINET

Registration #: MCO.0901983

Effective Date: 07/01/2025

Expiration Date: 06/30/2026

verify online at www.elicense.ct.gov

Bryan T. Cafferelli, Commissioner

1080206
LIMITED LIABILITY COMPANY

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION

450 Columbus Boulevard ✦ Hartford Connecticut 06103

Attached is your New Home Construction Contractor registration. This registration is not transferable. The Department of Consumer Protection must be notified of any changes to your registration within thirty (30) days of such change. Questions regarding this registration can be emailed to the License Services Division at dcp.licenseservices@ct.gov.

In an effort to be more efficient and Go Green, the department asks that you keep your email information with our office current to receive correspondence. You can access your account with your User ID and Password at www.elicense.ct.gov to verify, add or change your email address.

Visit our website at www.ct.gov/dcp to verify registrations, apply online and to obtain the booklet for The Connecticut Contractor for Home Improvement and New Home Construction.

J A ROSA CONSTRUCTION LLC
17 TOWN LINE RD
WOLCOTT, CT 06716-2625

STATE OF CONNECTICUT
DEPARTMENT OF CONSUMER PROTECTION
NEW HOME CONSTRUCTION CONTRACTOR

J A ROSA CONSTRUCTION LLC
17 TOWN LINE RD
WOLCOTT, CT 06716-2625

Registration #	Effective	Expiration
NHC.0017294	04/01/2025	03/31/2026

Authorized to Engage in Home Improvement: Yes

SIGNED

STATE OF CONNECTICUT ✦ DEPARTMENT OF CONSUMER PROTECTION

Be it known that

J A ROSA CONSTRUCTION LLC
17 TOWN LINE RD
WOLCOTT, CT 06716-2625

has satisfied the qualifications required by law and is hereby registered as a

NEW HOME CONSTRUCTION CONTRACTOR

Registration #: NHC.0017294
Effective: 04/01/2025
Expiration: 03/31/2026
Authorized to Engage in Home Improvement: Yes

Bryan T. Cafferelli, Commissioner

JOHN A. ROSA

4 CLUB DRIVE , NEW MILFORD , CT 06776

EDUCATION

1982 - 1986 Bentley College, Waltham, MA
Bachelor of Science - Business Management

PROFESSIONAL EXPERIENCE

1999 - J. A. Rosa Construction, LLC, Wolcott, CT
Managing Member

1979 - 1999 Rosa Home Improvement, Wolcott, CT
Partner 1987 - 1999

1982 - 1984 Honeywell Electro Optics Division, Lexington, MA
Industrial Engineering Assistant

ADDITIONAL PROFESSIONAL EXPERIENCE

AutoCAD and Autodesk Revit Architectural Design
Class A Connecticut Driver's License

COMMUNITY ACTIVITIES

Member – New Milford Riverfront Revitalization Committee, New Milford, CT 2020 -
Member – New Milford Municipal Building Committee, New Milford, CT 2019 -
Chairman - Land Committee, Candlewood Trails Association, New Milford, CT 2018 -
Chairman - Official Board, Mill Plain Union Church, Waterbury, CT 2009-2011
Chairman - Board of Trustees, Mill Plain Union Church, Waterbury, CT, 1997
Pastoral Search Committee, Mill Plain Union Church, Waterbury, CT 2006
Varsity Boys Soccer Coach, Wolcott High School, Wolcott, CT, 1995-1999

JAMES V. SITA

229 EAST MAIN STREET, WOLCOTT, CT 06716

EDUCATION

2005-2009 Central Connecticut State University, New Britain, CT
Bachelor of Science- Construction Management, Major GPA: 3.5
Course Highlights: Construction Superintendency, Construction Project Management, Construction Safety, Building Construction Estimating, Construction Planning, Construction Business Principles

2001-2005 Horace C. Wilcox Technical High School, Meriden, CT
Wilcox Technical High School, Carpentry Apprentice Program

PROFESSIONAL EXPERIENCE

2014- Present J. A. Rosa Construction, LLC
Site Superintendent

2011- 2014 Robinson Construction, Inc., Wallingford, CT
Carpenter

2005-2011 CAS Construction Company, Inc., Berlin, CT
Carpenter

ADDITIONAL PROFESSIONAL EXPERIENCE

OSHA Certified 30, 2008
Sunbelt Rentals Forklift Operator, 2018
Sunbelt Rentals Aerial Work Platform Operator, 2018
Scaffold & Access Industry Association Frame Scaffold , 2019
OSHA Confined Space Safety Operations Training, 2020
Preventing Discrimination & Harassment in Construction: CT Employee, 2020

NOTABLE PROJECTS

2020	Waterbury Schools Central Kitchen Commissary,	Construction of 9,050 Square Foot Central Kitchen $1,731,622.44
2020	Waterbury Elementary School Elevator Additions	Simultaneous Construction of Four Elevator Additions $3,259103
2019	Municipal Stadium Locker Restroom Building	Design/Build 8,500 Square Foot Ground Up New Construction - $3,919,106

CHRIS LAVORGNA

69 Brook Lane, Cheshire, CT 06410

EDUCATION

2009-2013	W. F. Kaynor Technical High School, Waterbury, CT
	W.F. Kaynor High School, Carpentry Apprentice Program, 2010
	Areas of Expertise: Framing and Construction o Roofing, Siding, Walls and Partitions, Trim, Wood Appliance, Cabinets, Toolboxes, Chairs

PROFESSIONAL EXPERIENCE

2015-Present	*J. A. Rosa Construction, LLC*
	Elevator Construction Manager/ Site Superintendent
2013-2013	Golden Nail Builders, Colebrook, CT
	Carpenter
2012-2013	Custom Carpentry Service, Wolcott, CT
	Carpenter

ADDITIONAL PROFESSIONAL EXPERIENCE

OSHA 30 Certificate, 2017
Ladder and Fall Protection, 2016
American Scaffold and Access Industry Associates Scaffold Frame, 2019
Sunbelt Rentals Forklift Operator, 2018
Sunbelt Rentals Aerial Work Platform Operator, 2018
Preventing Discrimination & Harassment in Construction: CT Employee, 2020
Project management training seminar

WEST HAVEN CT • PHONE 475-241-5074

RIMS RAJESHBHAI DOBARIYA

EDUCATION

2020-2023 University of New Haven

2015-2019 Parul University, Gujarat, India

PROFESSIONAL EXPERIENCE

2023 – Present J. A. Rosa Construction, LLC
Project Management Intern

2019 – 2021 MAA Construction, Surat, Gujarat, India
Project Engineer / Asst. Project Manager

2018 – 2019 Konark Infra Tech, Surat, Gujarat, India
Project Engineer Intern

ADDITIONAL PROFESSIONAL EXPERIENCE

Construction Manager-in-Training
LEED Green Associate
OSHA 30

TECHNICAL SKILLS

MS Office
Primavera P6
Microsoft Project
AutoCAD
Revit
Bluebeam
ProCore
BIM

ADDITIONAL SKILLS

Self-Starter
Ability to work well under pressure
Detailed oriented
Problem-solving skills

Abigail Darin

Education

1997-2001	Fairfield High School, Fairfield, CT *High School Diploma*
2001-2005	American University, Washington, CT *Bachelor of Arts*

Professional Experience

Current	J.A. Rosa Construction, LLC *Project Manager*
2022	Acoustic Blueprint, LLC *Office Manager*
2020-2022	All Electric Construction & Communication *Project Coordinator*
2019-2020	1st Light Energy *Project Coordinator*
2018-2019	Scholar Painting, LLC *Project Coordinator*
2016-2018	CTEC Solar, LLC *Project Coordinator*

Additional Skills

Thorough knowledge of Microsoft systems, Google Suite, Salesforce & Smartsheet

Thorough knowledge of Adobe systems

Proficient in AIA billing, Invoicing & Procurement

Notary Public

PHONE 843-267-7140

JILLIAN R. BURTON-NIEDMAN

EDUCATION

1997-2001 Watertown High School

PROFESSIONAL EXPERIENCE

2023 – Present	J. A. Rosa Construction, LLC
2019 – 2023	Publix – Myrtle Beach, SC
2018 – 2018	Premier Staffing (Taxpro)- Southport, NC
2009 – 2017	South-Tek Systems, LLC- Wilmington, NC Purchasing Manager
2007 – 2008	PPD, Inc.- Wilmington, NC Accounting Coordinator
2007 – 2007	The Coastal Companies- Shallotte, NC Accounting Coordinator
2005 – 2007	MSD, LLC – Canton, CT Payroll/ Accounts Payable Manager

ADDITIONAL PROFESSIONAL EXPERIENCE

Preventing Discrimination & Harassment in Construction: CT Employee, 2020
Monthly reconciliations for operating, payroll, and line of credit accounts
Monthly bank, credit card reconciliations and P&L reports
Inventory planning with vendors and warehouse orders
State, local, county and occupancy tax performed monthly.
Manage purchase orders and subcontracts for construction department

TECHNICAL SKILLS

Intuit QuickBooks (Contactors & Manufacturers Editions)
Intuit/Sage Master Builder
Sage Timberline
Lab Lite
Lawson
Paychex Payroll Services
MS Office: Excel, Word, Outlook

ADDITIONAL SKILLS

Manage Human Resources including Pension Fund
Manage Insurance and Surety Bond Program
In-depth knowledge of QuickBooks data entry and reporting
Proficient with Microsoft Applications and Quantum Project Management Software

BRETT ROBERTS

358 COMMONWEALTH AVENUE, NEW BRITAIN, CT 06053

EDUCATION

2006 – 2007	Central Connecticut State University
2003 – 2006	New Britain High School
	High School Diploma

PROFESSIONAL EXPERIENCE

Present	J.A. Rosa Construction, LLC
	Junior Estimator
2022 – 2024	SunPower Corporation
	Project Manager
2016 – 2020	Housing Authority of the City of New Britain
	Modernization Coordinator
2013 – 2016	Salamone & Associates, P.C.
	Construction Administrator

ADDITIONAL PROFESSIONAL EXPERIENCE

Certified by HAPA (Housing Authority Procurement Agency)

Certified in prevailing wage and contract management by HUD

ADDITIONAL SKILLS

Ability to read/develop construction documents and specifications

Ability to plan, organize, review, and administer construction contracts

Ability to evaluate performance of subcontractors

Self-motivated

Good organizational skills

PETER A. CAPPELLINO

232 TRUMBULL AVE., PLAINVILLE, CT

EDUCATION

1972-1976	Horace C. Wilcox Technical High School , Meriden, CT *Graphic Communications*
1976-1978	Johnson & Wales University, Providence, RI
1978-1980	University of New Haven, New Haven, CT

PROFESSIONAL EXPERIENCE

2011- Present	J. A. Rosa Construction, LLC *Estimator*
2009-2011	J. A. Rosa Construction, LLC *Residential Sales- Supervising Carpenter*
2007-2009	Heritage Woodcrafters Trim *Carpenter*
2005-2007	P & J Caruso Construction, *Carpenter*
1986-1991	Rosa Home Improvement *Carpenter*

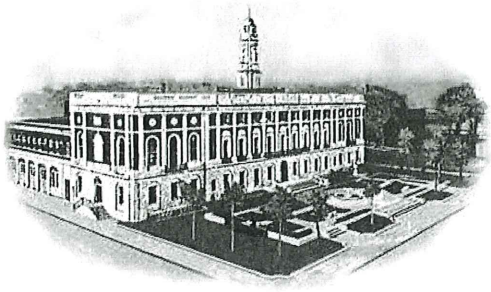
ADDITIONAL PROFESSIONAL EXPERIENCE

OSHA 10
Lead Certified Training
Preventing Discrimination & Harassment in Construction: CT Employee 2020
Project management training seminar

ADDITIONAL SKILLS

General computer knowledge
Strong organizational skills
Analytical thinking skills
Advocate of teamwork
Ability to work well under pressure.
Self-starter

ATTACHMENT B



THE CITY OF WATERBURY

CONNECTICUT

July 21, 2025

J.A. Rosa Construction, LLC.
17 Town Line Road,
Wolcott, Connecticut 06716

Attn: **John Rosa, Vice Managing Member**

Subject:
Construction Contract for One Exchange Place Core Restrooms between City of Waterbury and Silktown Roofing Inc. In reference to RFP 8445 **Notice to Proceed**

Dear Mr. Rosa,

On behalf of the City of Waterbury, please consider this your Notice to Proceed for the above-referenced Project. This Notice to Proceed date shall be _____.

Final Completion of the Project shall be by _____, and includes the following Milestones:

A. Project Milestone #1: Substantial completion. The Contractor shall Substantially Complete all work and services required under this Contract within One Hundred Twenty (120) consecutive calendar days of the City's written Notice to Proceed. Based on this Notice to Proceed, the Project Milestone # 1 date is _____/**25**; and

B. Project Milestone # 2: Final Completion: The contractor shall reach Final Completion within One Hundred Fifty (150) consecutive calendar days of the City's written Notice to Proceed. Based on this Notice to Proceed, the Project Milestone # 2 date is _____.

Sincerely,

_____
David Simpson, Director of Public Works, City of Waterbury

ACCEPTANCE OF NOTICE TO PROCEED

Receipt of the above NOTICE TO PROCEED

By: _____ JOHN A ROSA MANAGING MEMBER Dated: 6.26.25
(Signature) / Printed Name -Title

CC: Michael LeBlanc, Finance Director, City of Waterbury

235 GRAND STREET • WATERBURY, CONNECTICUT 06702 • PHONE: (203) 574-6749 • FAX: (203) 597-3437

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

For the purposes of this Disclosure of Outstanding Financial Obligations, the following definitions apply:

(a) "Contract" means any Public Contract as defined below.

(b) "Person" means one (1) or more individuals, partnerships, corporations, associations, or joint ventures.

(c) "Public Contract" means any agreement or formal commitment entered into by the city to expend funds in return for work, labor, services, supplies, equipment, materials or any combination of the foregoing, or any lease, lease by way of concession, concession agreement, permit, or per agreement whereby the city leases, grants or demises property belonging to the city, or otherwise grants a right of privilege to occupy or to use said property of the city.

(d) "City" means any official agency, board, authority, department office, or other subdivision of the City of Waterbury.

State of Connecticut

SS.: Wolcott

County of New Haven

John A Rosa, being first duly sworn, deposes and says that:

1. I am the ***owner, partner, officer, representative, agent or*** Managing Member of J.A. Rosa Construction, LLC (Contractor's Name), the Contractor that has submitted the attached agreement.

2. I am fully informed respecting the preparation and contents of the attached Agreement and of all pertinent circumstances respecting such Agreement;

3. That as a person desiring to contract with the City (check all that apply):

____ The Contractor and each owner, partner, officer, representative, agent or affiliate of the Contractor has filed a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor are required to file a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, owes back taxes to the City of Waterbury

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

X Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, has any other outstanding obligations to the City of Waterbury

4. The following list is a list of the names of all persons affiliated with the business of the Contractor, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

5. That as a person desiring to contract with the City:

(a) The Contractor or an owner, partner, officer, representative, agent or affiliate of the Contractor provides, or has provided, services or materials to the City within one (1) year prior to the date of this disclosure, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		none		
2				
3				
4				

(b) The Contractor possesses an ownership interest in the following business organizations, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized) :

Organization Name	Address	Type of Ownership
1 none		
2		
3		
4		

(c) The following persons possess an ownership interest in the Contractor. If the Contractor is a corporation, list all of the officers of the corporation and the names of each stockholder whose shares exceed twenty-five

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

(25) percent of the outstanding stock, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	DOB	Stock %
1 none			
2			
3			
4			

(d) Of the following of the affiliates, individuals or business entities identified in this affidavit, list each that owns, owned, or within one (1) year prior to the date of this disclosure has owned, taxable property situated in the City of Waterbury, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Address	DOB
1		none		
2				
3				
4				

(e) If the Contractor conducts business under a trade name, the following additional information is required: the place where such entity is incorporated or is registered to conduct such business; and the address of its principal place of business, if none, state none:

TRADE NAME	PLACE OF INCORPORATION/REGISTRY	PRINCIPAL PLACE OF BUSINESS
1 none		
2		
3		
4		

I hereby certify that the statements set forth above are true and complete, and I understand that any incorrect information or omission of information from this affidavit may result in the immediate termination of the Contractor's agreement with the City of Waterbury.

For Partnership/Sole Proprietor

In presence of:

_____
Witness

n/a
Name of Partnership/Business

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

By: n/a
Name of General Partner/ Sole Proprietor

Address of Business

State of _____)
Wolcott) SS
County of _____)

_____ being duly sworn,

Deposes and says that he/she is _____ of _____ and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this _____ day of _____ 202_.

(Notary Public)

My Commission Expires: _____

For Corporation

Witness

John A Rosa
Name of Corporate Signatory

17 Town Line Rd. Wolcott, Ct 06716
Address of Business

Affix
Corporate
Seal

By: _____
John A Rosa

Its: Managing Member
Title

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

State of Connecticut)

Wolcott) SS

County of New Haven)

John A Rosa being duly sworn,

deposes and says that he/she is Managing Member of J.A. Rosa Construction, LLC and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this 4 th day of June 2025.

Abigail Darin
(Notary Public)

My Commission Expires: 7/31/28

Abigail Darin
Notary Public - Connecticut
My Commission Expires
July 31, 2028

The City of Waterbury
MEMORANDUM

From: Delinquent Tax Office **Date 6/25/2025**

To: **Jake Schick**
Public Works

Subject: Tax Clearance

As of this date, the records in the Tax Collector's Office indicate that the following ***are not delinquent***.

J.A. Rosa Construction, LLC
John A. Rosa
17 Town Line Rd.
Wolcott, CT 06716

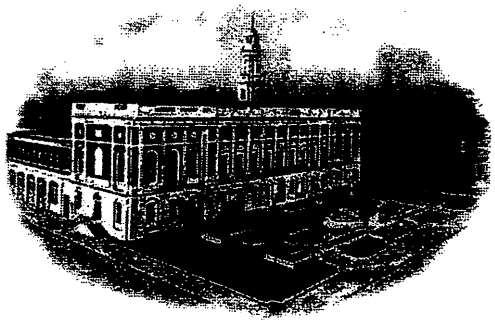
If you have any questions regarding this issue, do not hesitate to call our office at (203) 574-6815.

Very truly yours,

Nancy J Olson

NJO/wmf

Nancy J. Olson, CCMC
Interim Deputy Revenue Collections Manager
City of Waterbury



DEPARTMENT OF REGISTRARS OF VOTERS

THE CITY OF WATERBURY

CONNECTICUT

To: The Honorable Members of the Board of Aldermen

Subject: Agenda Item Discussion Regarding a Second Early Voting Location for a Possible September 9, 2025 Municipal Primary Election

Dear Members of the Board,

The Registrar of Voters' Office respectfully requests that an item be added to the agenda for the July 14th, 2025 meeting regarding Early Voting for the possible upcoming primary election on September 9, 2025.

The issue is whether or not there should be a secondary early voting location for the city of Waterbury. Currently, we use our City Hall as our only early voting location. This Early voting period will last for 6 days from September 2nd – 7th 2025.

After reviewing past turnout data and considering the financial and logistical impacts, it is the Registrars' opinion that a second polling location for Early Voting is not necessary. Voter turnout during primaries has historically been low, and the costs associated with operating an additional location which include: staffing, equipment, and supplies are substantial.

Thank you for your time and consideration of this request.

Respectfully,

Teresa Begnal

Alex Desjardins

Teresa Begnal
Alex Desjardins
Waterbury Registrar of Voters

City of Waterbury
Financial Status Report
May 2025

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SARAH R. GEARY
POLICY AND BUDGET
MANAGEMENT SPECIALIST



OFFICE OF BUDGET CONTROL

THE CITY OF WATERBURY

CONNECTICUT

To: The Honorable Paul K. Pernerewski, Jr., Mayor
The Honorable Board of Aldermen

From: Sarah R. Geary, Manager of Budget Development and Oversight

Date: June 24, 2025

Subject: City of Waterbury's Financial Status Report – May 2025

Attached please find the Financial Status Report for the operations of the City of Waterbury for the month of May 2025.

This report is based on 11 months of actual data and 1-month worth of projections. At this time, the report shows that a positive difference of $975,135 exists between the budget estimates for revenue and expenditure projections at this stage of the current fiscal year. This would be a function of having projected revenue of $671,345 more than budgeted, while also having projected expenditures of $303,790 less than budgeted. This is an improvement of $80,884 compared to the last report. It is expected that these projections will improve through fiscal year-end. The Cost Containment and Oversight Committee (CCOC) continues to meet on a weekly basis to review personnel and purchasing requisitions, public safety overtime spending and tax collections. The CCOC meets with department heads throughout the fiscal year to discuss the budget impacts of various purchases, programs and services. The tight expenditure controls that are consistently practiced each year, help ensure that the City is in a positive position at the end of each fiscal year.

As stated in the last report, the City achieved a tax collection rate on current taxes of 93.9% of the adjusted levy in FY24. Collections on current taxes in the first eleven months of FY25 have been strong. This report shows that collections of $950K more than budgeted could be reached in the tax area. As reported previously, the City is projecting that the $3 million budgeted Use of Fund Balance will not be used in FY25 and will remain available for future use. This report adjusts downward several other revenue line item projections to levels closer to actual amounts collected in the previous fiscal year. More detail on specific revenue and expenditures tracked by the OBC can be found below.

Revenue

In FY25, the City budgeted $254.5 million for the collection of current taxes. This is approximately $4.35 million more than the $250.1 million budgeted in FY24. The City's Grand List experienced

235 GRAND STREET • WATERBURY, CONNECTICUT 06702 • (203) 574-6777

significant growth as a result of the 10/1/22 Revaluation. FY25 is the second year of a four-year phase in of this Revaluation.

This is the ninth year that the City is utilizing two mill rates as well as receiving a State grant to account for the loss of local motor vehicle property tax revenue. The mill rate for real estate and personal property is 49.44 mills in FY25, which is 4.75 mills less than FY24. The FY25 budget includes revenue of $233.9 million for the collection of current real estate and personal property taxes. The mill rate for motor vehicles is 32.46 mills, which is the cap pursuant to State law and the same as FY24. The FY25 budget includes revenue of $20.5 million for the collection of current motor vehicle property taxes. In FY25, the City has received a grant in the amount of $17.3 million related to the mill rate cap.

As in previous years, the City's budget is comprised mostly of tax revenue and state aid; these two line items account for 94% of the City's FY25 General Fund budget. More detail can be found below.

Current Taxes — This report includes several schedules on tax collections. Reports indicate that through the end of May, approximately $252.5 million in current taxes has been collected against a budgeted line item of $254.5 million; this is $4.3 million or 1.75% more than the $248.1 million collected through May 2024.

As previously stated, in FY25 the expectation for current tax revenue was increased by $4.35 million compared to FY24. The current budget is again predicated on a collection rate of 96.0% being attained at year-end. OBC Tax schedules indicate that in the 2024 fiscal year, the City collected 93.9% of current tax collections against the Adjusted Levy at year-end, while 97.5% was collected in FY23 and 97.8% in FY22. The collection rate on current taxes for FY24 was lower than normal due to a large outstanding tax bill and associated tax appeal for the Waterbury Hospital property.

At this time this report projects that the City will reach 96% at year-end based on recent history and the collections of these past eleven months. The budget target for June current collections is $5.65 million and as of June 13th $733K of that target has been collected.

Supplemental MV, Prior Year Taxes & Interest, & Lien Fees — In FY25 these line items have been budgeted at $9.55 million from all the named sources. FY24 collections for these line items were received at approximately $2.3 million.

This report has these line items coming in at nearly $7 million, approximately $2.6 million less than budget at this time in the year. Historically, there have been budgetary shortfalls in these line items that have been made up by overages in the current tax collection area. We will continue to monitor and adjust projections through fiscal year-end.

State Aid — In FY25, the City's budget includes State Aid grants in the amount of approximately $155.4 million, which is $1.1 million less than the amount of General Fund State Aid that was budgeted in FY24.

In FY25, the City has received $113.9 million in the General Fund portion of the ECS Grant, which is $325K more than the $113.6 million that is budgeted. The Alliance Grant portion of ECS, which is sent directly to the Education Department, is expected to be approximately $76.7 million. The Special Education Excess Cost Grant is budgeted at $3.7 million in FY25, which is approximately

$1.8 million less than the amount that was received in FY24. Both payments of this grant have been received in the amount of $4.7 million, which is $978K more than budget.

As of May 31st, approximately $154.6 million in State Aid has been received. This includes the first two payments of the City's Pequot grant and all three ECS Grant payments, as well as the tiered PILOT reimbursement, motor vehicle mill rate cap grant and supplemental revenue sharing grant. The Non-Public School Nurse Services Grant was also received in an amount of $293K, which is 108K more than budget. This report shows that the City will not receive $2 million that was budgeted as a municipal revenue sharing grant. The budget for this account was an estimate based upon previous year receipts, but the State notified the City that there are no remaining funds to distribute in FY24. This report includes a detailed spreadsheet on page 47 that shows State Aid budget and actual receipts year-to-date.

Investment Income — In FY25, the City's budget includes a line item for investment income in the amount of $1.8 million. This is $850K more than the amount that was included in last year's budget. In FY24, approximately $2.1 million in interest revenue was achieved. This report includes a projection of $2.6 million being received by year-end. The OBC will continue to monitor these receipts through fiscal year-end.

Town Clerk Revenue — In FY25, the City's budget includes aggregate revenue from the TC office in the amount of $2.27 million. Most of the revenue in the TC budget is received from real estate conveyance and deed recording activities. In FY24, aggregate collections of $3.2 million were received due to a strong real estate market. This report has adjusted the potential revenue upward by $916K. The OBC will continue to monitor these receipts through fiscal year-end.

Police Department Revenue — In FY25, the City's budget includes aggregate revenue from the Police Department of $1.265 million. A level of $940K was reached in FY24 with a significant portion of the shortfall coming from the line items for fees related to parking violations as well as parking garage, on street and surface lot parking. This report has taken the Department's revenue projection down by $313K. The current projection for FY25 year-end revenue of $952K is $13K more than the amount received last year and continued monitoring will be required here as well.

Department of Inspections— In FY24, the City's budget includes aggregate revenue from the Inspections Department in the amount of $2,147,000. Most of the revenue in the department is received from building permits and other permit activities. In FY24, aggregate collections of $3.4 million were achieved. This report has increased revenue expectations by $1 million, compared to budget, to a projected revenue amount of nearly $3.2 million at year-end. This is $229K less than the amount collected last year and would appear reachable at this time, but we will continue to monitor collections through fiscal year-end.

Expenditures

In this report, the Office of Budget Control assumes that all of the "Other Expense" line items will be fully expended by year-end. We spend most of our time analyzing the vacant positions and consequent salary savings as well as potential impacts on the overtime accounts.

At this time, the City does have many vacant positions. There are assumptions stated in the specific Department detail pages that most of these positions may be filled. All vacancy fillings, however, are first being brought to the Cost Containment and Oversight Committee for review prior to approval. In the past, other expense savings have proven to be significant. Departments

were asked to stop purchasing goods and services during the first week of June to prepare to close out the fiscal year. Savings are being identified at this time.

General Government Payroll — This report includes actual costs of 24 biweekly payrolls and 47 weekly payrolls; it utilizes those costs to make projections for the last month of the fiscal year. There are also projections for payroll accruals in each department due to the timing of several days' worth of payroll at fiscal year-end.

The Police and Fire Departments both conducted training academies in FY24, but also still operated with a number of vacancies throughout the fiscal year. This caused the Police Department to be over budget in the overtime account. Both departments have recently filled a number of vacant positions, but many of the new employees are still in training. Therefore, we expect overtime spending to continue to be a challenge for both departments.

Police Department – The Police Department has the budgetary authority to hire up to 300 police officers and now reports that they have 298 officers on staff. It is important to note that 19 of the 298 officers are currently in training. The department has a line item for overtime worth $3.2 million. In FY24, the overtime expenses reached approximately $4.4 million due to the large number of vacant positions throughout the year. This report projects that the department will end the year with overtime expenses of $3.975 million, which is $775K over budget. Savings in regular salary expenses due to position vacancies are covering the projected overtime overage at this time. We will monitor this area closely through fiscal year-end. This report includes overtime data for the first 50 payrolls of the fiscal year. At this time, the overtime line item is approximately $359K less than last year's level for the same period.

Fire Department – The Fire Department recently hired a class of 25 firefighters. These recruits will be in training for several months. The overtime budget for the Department is $2.05 million in FY25. After 50 pay periods of overtime, this line item is $421K more than last year's level for the same period. Overtime spending may continue to be a challenge due to the fact that the Department has a large number of new employees in training. We will monitor this line item closely as we near the end of the fiscal year.

Department of Public Works – This department is also operating with a certain degree of unfilled vacancies. It should be noted that expenses from the winter season can cause shortfalls in this department. The Street Department's overtime account is currently projected to be approximately $52K over budget, but this overage is being covered by savings in the regular salaries account due to position vacancies. This overtime account is impacted by the winter season.

Education — The Department of Education received an FY25 General Fund Appropriation of $158.4 million. Staff of the Department develops its own Financial Status Report which they forward to the OBC for inclusion in this report. The Department forwarded their July-May report. The report shows that the Department is projecting that it will spend its entire $158.4 million General Fund appropriation, but will not use any of the $3.625 million of budgeted Contingency funding. The Contingency funding that will not be used is now being used to balance the Department's FY26 budget.

Other Expenses — In FY25, the City has a Contingency account of $1.5 million to cover any potential shortfalls. This is the same as the amount budgeted in FY24. The Contingency account will be used to account for personal service account overages that could occur because of outstanding labor contracts. At budget development time, the Nurses Union contract was not

settled for FY24 or FY25. The successor contract has since been awarded and the payroll figures for the Health Department now reflect two years' worth of GWIs for the Nurses Union. A budget transfer from the Contingency account will be submitted to the Board of Aldermen before the City closes out FY25 to accommodate these salary increases.

The Contingency account is a source of funds for any shortfalls associated with winter expenses such as sand and salt or outside contractors and additional fuel expenses. It also provides the City with the ability to move forward with any operating budget priorities that occur during the fiscal year that might not have been known at the time of budget development. This report assumes that the account will be fully expended by year-end. The Board of Aldermen approved a budget transfer in the total amount of $465K for various electricity accounts within the Department of Public Works during the month of May. As of May 31st, the Contingency account has a balance of $1,035,000.

The OBC monitors all of these issues and reports regularly to the Board of Aldermen on any significant changes to these projections via this report. The Office can be reached at 203-574-6730 if assistance is needed.

cc: Joseph A. Geary, Chief of Staff
David Lepore, Advisor to the Mayor
Michael LeBlanc, Director of Finance
Michael Dalton, City Clerk

CITY OF WATERBURY
FY25 - GENERAL FUND

Projections as of 5/31/25

	Budget	Projections	Difference
Revenues	$446,722,287	$447,393,632	$671,345
Expenditures	$446,722,287	$446,418,497	($303,790)
Result of Operations	**$0**	**$975,135**	**$975,135**

YTD Expenditures includes # of biweekly pay periods	**24**
Biweekly Pay periods to pay thru year end	**2**
YTD Expenditures includes # of weekly pay periods	**47**
Weekly Pay periods to pay thru year end	**5**

CITY OF WATERBURY FY25
REVENUE PROJECTION SUMMARY

Description	Three Year Comparables FY23 Actual	FY24 Actual	FY25 Year to Date As of 5/31/25	Projected 1 month	Projected at Year-End	FY25 Adopted Budget	Variance Over/(Under)
Revenue Collector - Taxes	$255,271,189	$251,356,371	$261,130,115	$3,987,000	$265,117,115	$264,167,324	$949,791
Payments by Other Jurisdictions	$36,970,059	$50,735,217	$39,606,385	$879,145	$40,485,530	$37,010,531	$3,474,999
Tranfers from other Funds	$4,240,897	$4,005,725	$4,546,500	$0	$4,546,500	$4,546,500	$0
Use of Fund Balance	$0	$0	$0	$0	$0	$3,000,000	($3,000,000)
Finance Dept.--Other	$6,526,596	$6,270,080	$14,082,829	($5,793,055)	$8,289,773	$12,540,000	($4,250,227)
Legal Department	$30,434	$31,002	$44,793	$1,000	$45,793	$20,500	$25,293
City Clerk	$0	$0	$30	$0	$30	$250	($220)
Town Clerk	$3,734,480	$3,245,821	$3,067,892	$114,986	$3,182,878	$2,266,500	$916,378
Human Resources	$0	$0	$730	$0	$730	$1,000	($270)
Registrar of Voters	$0	$0	$0	$0	$0	$250	($250)
Assessment Department	$2,031	$1,598	$265	$0	$265	$1,500	($1,235)
Purchasing Department	$0	$0	$0	$0	$0	$0	$0
Information Technology Dept.	$0	$0	$0	$0	$0	$250	($250)
Police Department	$1,016,964	$939,808	$922,127	$30,221	$952,348	$1,265,000	($312,652)
Fire Department	$61,264	$100,984	$150,054	$1,591	$151,645	$110,250	$41,395
Dept. of Public Works	$319,264	$271,917	$222,038	$3,100	$225,138	$386,250	($161,112)
City Plan	$92,315	$93,155	$77,575	$2,000	$79,575	$126,000	($46,425)
Dept. of Inspection	$2,561,888	$3,384,416	$3,064,367	$91,000	$3,155,367	$2,147,000	$1,008,367
Health Department	$130,100	$137,920	$130,656	$2,200	$132,856	$191,000	($58,144)
Dept. of Education	$119,449,218	$121,913,552	$120,846,952	$181,137	$121,028,088	$118,942,182	$2,085,906
Silas Bronson Library	$0	$0	$0	$0	$0	$0	$0
TOTAL	**$430,406,697**	**$442,487,564**	**$447,893,308**	**($499,676)**	**$447,393,632**	**$446,722,287**	**$671,345**

Pluses	$8,502,130
Minuses	($4,830,784)
No use of FB	($3,000,000)
Total	$671,345

CITY OF WATERBURY FY25

EXPENDITURE PROJECTION SUMMARY

Description	Three Year Comparables FY23 Actual	FY24 Actual	FY25 Expenditures As of 5/31/25	Projected 1 month	Projected at Year-End	FY25 Adopted Budget	Variance Over/(Under)
General Government	$5,621,426	$6,213,819	$5,926,324	$1,520,673	$7,446,997	$7,498,663	($51,666)
Finance	$6,399,250	$6,992,603	$6,511,077	$1,384,096	$7,895,173	$7,992,889	($97,716)
Public Safety	$60,782,553	$64,298,624	$60,633,950	$7,542,579	$68,176,530	$68,153,190	$23,340
Public Works	$20,454,688	$22,643,511	$20,851,780	$5,454,798	$26,306,577	$26,537,758	($231,181)
Planning & Development	$1,267,030	$1,206,181	$1,294,269	$270,102	$1,564,371	$1,575,782	($11,411)
Human Services	$7,057,437	$7,094,606	$6,490,151	$923,589	$7,413,740	$7,348,896	$64,844
Operating Charges	$120,283,867	$125,470,974	$117,540,304	$2,498,696	$120,039,000	$120,039,000	$0
Retirement Board	$49,675,425	$48,330,694	$49,095,622	$105,487	$49,201,109	$49,201,109	$0
Education	$157,849,726	$158,116,454	$133,082,167	$25,292,833	$158,375,000	$158,375,000	$0
TOTAL GENERAL FUND	**$429,391,402**	**$440,367,466**	**$401,425,645**	**$44,992,852**	**$446,418,497**	**$446,722,287**	**($303,790)**

CITY OF WATERBURY FY25
EXPENDITURE PROJECTION SUMMARY

Description	Three Year Comparables FY23 Actual	FY24 Actual	FY25 Expenditures As of 5/31/25	Projected 1 month	Projected at Year-End	FY25 Adopted Budget	Variance Over/(Under)
GENERAL GOVERNMENT							
101 MAYOR'S OFFICE	$799,675	$957,604	$1,015,585	$153,411	$1,168,996	$1,197,161	($28,165)
103 BOARD OF ALDERMAN	$63,999	$61,999	$58,666	$5,334	$64,000	$64,000	$0
107 LEGAL DEPARTMENT	$2,026,302	$2,484,265	$2,350,655	$616,278	$2,966,933	$2,973,924	($6,991)
110 CITY CLERK	$267,469	$247,659	$242,069	$34,959	$277,028	$254,297	$22,731
113 TOWN CLERK	$606,540	$621,202	$642,032	$124,753	$766,785	$751,930	$14,855
116 HUMAN RESOURCES DEPT	$1,326,533	$1,232,295	$1,039,331	$311,896	$1,351,228	$1,388,132	($36,904)
119 REGISTAR OF VOTERS	$394,243	$466,759	$446,074	$197,083	$643,157	$660,348	($17,191)
122 CITY SHERIFF	$17,783	$19,161	$18,247	$1,924	$20,171	$20,171	$0
125 PROBATE	$118,881	$122,873	$113,666	$75,035	$188,700	$188,700	$0
SUBTOTAL	$5,621,426	$6,213,819	$5,926,324	$1,520,673	$7,446,997	$7,498,663	($51,666)
FINANCE							
201 DEPARTMENT OF FINANCE	$2,092,344	$2,238,335	$2,110,422	$284,406	$2,394,828	$2,414,365	($19,537)
204 ASSESSMENT	$542,591	$729,663	$672,970	$160,817	$833,786	$912,254	($78,468)
207 TAX COLLECTOR	$1,062,747	$1,081,398	$955,243	$345,475	$1,300,718	$1,381,010	($80,292)
28 PURCHASING DEPT	$313,741	$314,739	$298,556	$40,898	$339,454	$321,785	$17,669
25 AUDIT	$106,268	$141,010	$154,290	$32,998	$187,288	$188,183	($895)
12 BUDGET	$159,645	$181,870	$166,815	$43,235	$210,050	$220,441	($10,391)
26 INFORMATION SERVICES	$2,121,913	$2,305,588	$2,152,781	$476,267	$2,629,048	$2,554,851	$74,197
SUBTOTAL	$6,399,250	$6,992,603	$6,511,077	$1,384,096	$7,895,173	$7,992,889	($97,716)

Description	Three Year Comparables FY23 Actual	FY24 Actual	FY25 Expenditures As of 5/31/25	Projected 1 month	Projected at Year-End	FY25 Adopted Budget	Variance Over/(Under)
PUBLIC SAFETY							
50 POLICE DEPARTMENT	$37,776,604	$39,953,982	$38,565,282	$4,541,691	$43,106,973	$43,113,423	($6,450)
55 FIRE DEPARTMENT	$23,005,949	$24,344,642	$22,068,668	$3,000,888	$25,069,556	$25,039,767	$29,789
SUBTOTAL	$60,782,553	$64,298,624	$60,633,950	$7,542,579	$68,176,530	$68,153,190	$23,340
DEPT OF PUBLIC WORKS							
48 DEPT. OF PUBLIC WORKS	$20,454,688	$22,643,511	$20,851,780	$5,454,798	$26,306,577	$26,537,758	($231,181)
SUBTOTAL	$20,454,688	$22,643,511	$20,851,780	$5,454,798	$26,306,577	$26,537,758	($231,181)
PLANNING & DEVELOPMENT							
47 CITY PLAN	$423,189	$346,272	$324,771	$102,674	$427,445	$528,783	($101,338)
56 DEPT. OF INSPECTIONS	$843,841	$859,908	$969,497	$167,429	$1,136,926	$1,046,999	$89,927
SUBTOTAL	$1,267,030	$1,206,181	$1,294,269	$270,102	$1,564,371	$1,575,782	($11,411)
HUMAN SERVICES							
60 HEALTH DEPARTMENT	$5,015,957	$4,893,068	$4,398,399	$567,944	$4,966,343	$4,839,022	$127,321
63 WBY SENIOR CENTER	$208,999	$263,766	$306,239	$37,856	$344,095	$338,931	$5,164
73 SILAS BRONSON LIBRARY	$1,832,481	$1,937,772	$1,785,514	$317,788	$2,103,302	$2,170,943	($67,641)
SUBTOTAL	$7,057,437	$7,094,606	$6,490,151.23	$923,589	$7,413,740	$7,348,896	$64,844
EDUCATION							
70 BOARD OF EDUCATION	$157,849,726	$158,116,454	$133,082,167	$25,292,833	$158,375,000	$158,375,000	($0)
SUBTOTAL	$157,849,726	$158,116,454	$133,082,167	$25,292,833	$158,375,000	$158,375,000	($0)

Description	Three Year Comparables FY23 Actual	FY24 Actual	FY25 Expenditures As of 5/31/25	Projected 1 month	Projected at Year-End	FY25 Adopted Budget	Variance Over/(Under)
OPERATING CHARGES							
21 DEBT AND SUNDRY							
Employee Benefits (520's)	$77,638,627	$80,408,542	$77,389,507	$965,493	$78,355,000	$78,355,000	$0
Purchased Prof. Services (530's)	$369,991	$358,743	$252,103	$117,897	$370,000	$370,000	$0
Purch. Other Services (550's)	$2,950,000	$4,000,000	$4,500,000	$0	$4,500,000	$4,500,000	$0
Other Objects (580's)	$8,775,249	$8,978,690	$8,061,194	$267,806	$8,329,000	$8,329,000	$0
Other Uses (590's)	$30,550,000	$31,725,000	$27,337,500	$1,147,500	$28,485,000	$28,485,000	$0
SUBTOTAL	$120,283,867	$125,470,974	$117,540,304	$2,498,696	$120,039,000	$120,039,000	$0
19 RETIREMENT BOARD	$49,675,425	$48,330,694	$49,095,622	$105,487	$49,201,109	$49,201,109	$0
TOTAL GENERAL FUND BUDGET	**$429,391,402**	**$440,367,466**	**$401,425,645**	**$44,992,852**	**$446,418,497**	**$446,722,287**	**($303,790)**

REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
TAX COLLECTOR - TAXES						
414000 CURRENT PROPERTY TAXES	$230,204,011	$234,594,284	$5,400,000	$239,994,284	$233,945,010	$6,049,274
414003 CURRENT MOTOR VEHICLE TAX	$18,807,592	$17,866,241	$250,000	$18,116,241	$20,547,314	($2,431,073)
Total Current Year Taxes	$249,011,603	$252,460,525	$5,650,000	$258,110,525	$254,492,324	$3,618,201
414001 PRIOR YEAR PROPERTY TAXES*	$2,659,398	$3,411,536	$205,000	$3,616,536	$3,650,000	($33,464)
414006 60 DAY TAX ACCRUAL	($178,000)	($358,000)	$250,000	($108,000)	$0	($108,000)
414007 CAPITAL TAX RECOVERY & MTS	($603,212)	($465,712)	($55,000)	($520,712)	$0	($520,712)
414008 TAX OVERPAYMENTS	($5,664,284)	$0	($2,300,000)	($2,300,000)	$0	($2,300,000)
414010 TAX SERVE FEES	($728,733)	($695,282)	($63,000)	($758,282)	$0	($758,282)
Total Prior Year Taxes*	($4,514,831)	$1,892,542	($1,963,000)	($70,458)	$3,650,000	($3,720,458)
414002 SUPPLEMENTAL AUTO LIST	$2,651,945	$2,811,296	$45,000	$2,856,296	$2,600,000	$256,296
414004 INT CURRENT PROPERTY TAXES	$1,358,175	$1,204,454	$95,000	$1,299,454	$1,100,000	$199,454
414005 INT PRIOR YEAR'S PROPERTY TAXES	$2,771,434	$2,709,004	$160,000	$2,869,004	$2,200,000	$669,004
425000 EXEMPTIONS FOR ELDERLY	$16,200	$14,318	$0	$14,318	$20,000	($5,682)
425001 VETERAN'S ADD'L EXP	$61,844	$37,976	$0	$37,976	$100,000	($62,024)
425002 ABATEMENT FOR ELDERLY HOUSING	$0	$0	$0	$0	$0	$0
488005 MISCELLANEOUS	$0	$0	$0	$0	$5,000	($5,000)
TOTAL TAXES	**$251,356,371**	**$261,130,115**	**$3,987,000**	**$265,117,115**	**$264,167,324**	**$949,791**

* The Collection numbers reflected for "Prior Year Taxes" are affected by certain fees not budgeted but tracked separately

PAYMENTS BY OTHER JURISDICTIONS						
422028 FEDERAL GRANT-PASS THRU	$0	$5,500,000	$0	$5,500,000	$0	$5,500,000
425008 PILOT-TIERED REIMBURSEMENT	$9,951,338	$9,460,475	$0	$9,460,475	$9,460,476	($1)
425012 PEQUOT - STATE PROPERTIES	$2,637,435	$1,758,290	$879,145	$2,637,435	$2,637,435	$0
425013 MUNICIPAL REVENUE SHARING	$5,067,662	$0	$0	$0	$2,000,000	($2,000,000)
425014 MRSA-MOTOR VEHICLES	$21,996,223	$17,305,061	$0	$17,305,061	$17,305,061	$0
425015 SUPPLEMENTAL REVENUE SHARING GRANT	$11,082,559	$5,582,559	$0	$5,582,559	$5,582,559	$0
425016 MUNICIPAL STABILIZATION GRANT	$0	$0	$0	$0	$0	$0
425018 PILOT-HOUSING AUTHORITY PROPERTIES	$0	$0	$0	$0	$25,000	($25,000)
425019 ADDITIONAL SUPPORT DISTRESSED MUNI	$0	$0	$0	$0	$0	$0
TOTAL FROM OTHER JURISDICTIONS	**$50,735,217**	**$39,606,385**	**$879,145**	**$40,485,530**	**$37,010,531**	**$3,474,999**

	REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
	FINANCE DEPT- OTHER REVENUE						
436000	INCOME FROM INVESTMENTS	$2,088,958	$7,239,785	($4,600,000)	$2,639,785	$1,800,000	$839,785
441088	RENTAL FEES OF PUBLIC BUILDINGS	$1,914,855	$1,765,878	$50,000	$1,815,878	$1,700,000	$115,878
441147	ONE EXCHANGE PARKING GARAGE	($13,089)	($28,951)	$0	($28,951)	$0	($28,951)
445009	PROCEEDS-OFF TRACK REVENUE	$119,476	$99,155	$5,845	$105,000	$180,000	($75,000)
445020	OTHER CITY PORPERTY RENTS	$74,555	$102,687	$1,000	$103,687	$55,000	$48,687
445085	PROBATE COURT -WOLCOTT/MDBRY	$26,200	$25,134	$0	$25,134	$30,000	($4,866)
461118	MARRIOTT RAMP GARAGE	$0	$0	$0	$0	$5,000	($5,000)
472001	EMPLOYEES-FRINGE BENEFIT CONTR.	$1,661,632	$4,551,255	($1,250,000)	$3,301,255	$8,545,000	($5,243,745)
480001	USE OF FUND BALANCE	$0	$0	$0	$0	$3,000,000	($3,000,000)
480001	USE OF FUND BALANCE - CAP PROJECTS	$0	$0	$0	$0	$0	$0
485016	DISTRESSED MUNICIPALITIES	$0	$0	$0	$0	$0	$0
485017	TELEPHONE ACCESS LINES	$314,597	$324,483	$0	$324,483	$200,000	$124,483
488005	MISCELLANEOUS	$82,896	$3,402	$100	$3,502	$25,000	($21,498)
	TOTAL FINANCE OTHER REVENUE	**$6,270,080**	**$14,082,829**	**($5,793,055)**	**$8,289,773**	**$15,540,000**	**($7,250,227)**
	TRANSFERS FROM OTHER FUNDS						
499001	TRANSFER-WATER FUND-SERVICE	$800,000	$800,000	$0	$800,000	$800,000	$0
499002	TRANSFER-PUBLIC UTILITY SERVICE CHG	$200,000	$200,000	$0	$200,000	$200,000	$0
499003	TRANSFER-SEWER FUND SERVICE CHARGE	$300,000	$300,000	$0	$300,000	$300,000	$0
499008	WATER FUND-FRINGE BENEFITS	$1,263,947	$1,656,379	$0	$1,656,379	$1,656,379	$0
499009	SEWER FUND-FRINGE BENEFITS	$943,000	$958,000	$0	$958,000	$958,000	$0
499011	PUBLIC UTILITY ADMIN - BENEFITS	$498,778	$632,121	$0	$632,121	$632,121	$0
	TOTAL TRANSFERS FROM OTHER FUNDS	**$4,005,725**	**$4,546,500**	**$0**	**$4,546,500**	**$4,546,500**	**$0**
	TOTAL FINANCE DEPT. REVENUE	**$61,011,021**	**$58,235,714**	**($4,913,910)**	**$53,321,804**	**$57,097,031**	**($3,775,227)**

REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
1070001 LEGAL DEPARTMENT						
488005 MISCELLANEOUS	$31,002	$44,793	$1,000	$45,793	$20,000	$25,793
488017 LEGAL CLAIMS	$0	$0	$0	$0	$500	($500)
TOTAL LEGAL DEPARTMENT	**$31,002**	**$44,793**	**$1,000**	**$45,793**	**$20,500**	**$25,293**
1100001 CITY CLERK						
488005 MISCELLANEOUS	$0	$30	$0	$30	$250	($220)
TOTAL CITY CLERK	**$0**	**$30**	**$0**	**$30**	**$250**	**($220)**
1130001 TOWN CLERK						
441000 DEED RECORDING FEES	$380,930	$368,733	$31,267	$400,000	$400,000	$0
441001 DEED TRANSFER FEES	$3,040	$3,291	$50	$3,341	$3,000	$341
441006 LANDLORD REGISTRATIONS	$75	$0	$0	$0	$500	($500)
441010 REAL ESTATE CONVEYANCE	$2,320,149	$2,161,650	$65,000	$2,226,650	$1,235,000	$991,650
441014 VITAL STATISTICS FEES	$378,605	$375,773	$4,227	$380,000	$395,000	($15,000)
441018 RECORD COPY FEES	$69,228	$61,991	$8,009	$70,000	$110,000	($40,000)
441042 BURIAL AND TRANSIT PERMITS	$10,730	$9,730	$250	$9,980	$6,000	$3,980
441046 DOG LICENSES	$6,281	$5,763	$1,238	$7,000	$7,000	$0
441052 MARRIAGE LICENSES	$11,162	$15,886	$500	$16,386	$7,000	$9,386
441084 OTHER TOWNS-VITAL STATISTICS	$46	($90)	$90	$0	$3,000	($3,000)
488005 MISCELLANEOUS	$24,128	$28,521	$1,000	$29,521	$20,000	$9,521
488014 DEPARTMENT CHARGES (PHOTOSTAT)	$41,446	$36,644	$3,356	$40,000	$80,000	($40,000)
TOTAL TOWN CLERK	**$3,245,821**	**$3,067,892**	**$114,986**	**$3,182,878**	**$2,266,500**	**$916,378**
1160001 HUMAN RESOURCES DEPARTMENT						
488014 DEPT. CHARGES	$0	$730	$0	$730	$1,000	($270)
TOTAL HUMAN RESOURCES DEPT	**$0**	**$730**	**$0**	**$730**	**$1,000**	**($270)**
1190001 REGISTRAR OF VOTERS						
488005 MISCELLANEOUS	$0	$0	$0	$0	$250	($250)
TOTAL ROV DEPARTMENT	**$0**	**$0**	**$0**	**$0**	**$250**	**($250)**

REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
2040001 ASSESSMENT DEPARTMENT						
441134 PHOTOCOPY FEES	$1,598	$265	$0	$265	$1,500	($1,235)
488005 MISCELLANEOUS	$0	$0	$0	$0	$0	$0
TOTAL ASSESSMENT DEPARTMENT	**$1,598**	**$265**	**$0**	**$265**	**$1,500**	**($1,235)**
2100001 PURCHASING DEPARTMENT						
488005 MISCELLANEOUS	$0	$0	$0	$0	$0	$0
TOTAL PURCHASING DEPARTMENT	**$0**	**$0**	**$0**	**$0**	**$0**	**$0**
2220001 INFORMATION TECHNOLOGY DEPT						
488005 MISCELLANEOUS	$0	$0	$0	$0	$250	($250)
TOTAL I.T. DEPARTMENT	**$0**	**$0**	**$0**	**$0**	**$250**	**($250)**
3010001 POLICE DEPARTMENT						
425013 MUNICIPAL REVENUE SHARING	$34,507	$62,654	$500	$63,154	$20,000	$43,154
441002 DOG POUND FEES	$4,533	$2,215	$285	$2,500	$10,000	($7,500)
441003 FALSE ALARMS FINES	$22,150	$13,477	$6,524	$20,000	$40,000	($20,000)
441008 PARKING VIOLATION FEES	$254,307	$256,438	$3,562	$260,000	$375,000	($115,000)
441018 RECORD COPY FEES	$42,538	$30,613	$1,000	$31,613	$15,000	$16,613
441056 MISC. LICENSES AND PERMITS	$59,706	$51,368	$1,000	$52,368	$40,000	$12,368
441110 RAMPGARAGES-SPRING ST.	$37,958	$68,680	$4,000	$72,680	$65,000	$7,680
441115 RAMPGARAGES-BUCKINGHAM ST.	$279,241	$267,962	$10,000	$277,962	$350,000	($72,038)
441116 SURFACE PARKING LOTS	$12,825	$9,130	$250	$9,380	$25,000	($15,620)
441117 ON STREET PARKING METERS	$885	$940	$100	$1,040	$30,000	($28,960)
441143 SURFACE LOT KIOSKS	$44,462	$47,518	$1,000	$48,518	$55,000	($6,482)
441144 ON STREET KIOSKS	$144,709	$109,588	$2,000	$111,588	$225,000	($113,412)
488005 MISCELLANEOUS	$1,986	$1,545	$0	$1,545	$15,000	($13,455)
TOTAL POLICE DEPT.	**$939,808**	**$922,127**	**$30,221**	**$952,348**	**$1,265,000**	**($312,652)**
3100001 FIRE DEPARTMENT						
422020 STATE GRANT	$0	$0	$0	$0	$0	$0
422028 FEDERAL GRANT- PASS THRU	$47,128	$59,136	$0	$59,136	$25,000	$34,136
441003 FALSE ALARM FINES	$0	$0	$0	$0	$0	$0
441070 STORAGE-EXPLOSIVES PERMITS	$0	$0	$0	$0	$0	$0
441071 STORAGE-LIQUID INFLAMMABLES	$0	$0	$0	$0	$0	$0
441118 HAZ-MAT BILLING	$0	$0	$0	$0	$25,000	($25,000)
441119 FIRE MARSHALL PERMITS	$53,856	$48,509	$1,491	$50,000	$60,000	($10,000)
488005 MISCELLANEOUS	$0	$42,409	$100	$42,509	$250	$42,259
TOTAL FIRE DEPARTMENT	**$100,984**	**$150,054**	**$1,591**	**$151,645**	**$110,250**	**$41,395**

REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
4010001 PUBLIC WORKS DEPARTMENT						
422019 FED. GRANT - FEMA REIMBURSEMENT	$0	$0	$0	$0	$0	$0
441018 RECORD COPY FEES	$60	$15	$0	$15	$250	($235)
441062 REFUSE DISPOSAL CHARGE	$44,181	$45,093	$0	$45,093	$175,000	($129,907)
441068 SEWER PERMITS	$14,975	$25,910	$500	$26,410	$40,000	($13,590)
441088 BUILDING RENTAL FEES	$15	$0	$0	$0	$18,000	($18,000)
441092 EVICTION FEES	$3,900	$2,700	$100	$2,800	$10,000	($7,200)
485022 RECYCLABLES WASTE FEES REFUND	$0	$0	$0	$0	$7,500	($7,500)
488004 INSURANCE CARRIER REFUND	$176,130	$109,775	$2,500	$112,275	$50,000	$62,275
488005 MISCELLANEOUS	$32,655	$38,545	$0	$38,545	$70,000	($31,455)
488014 DEPARTMENT CHARGES	$0	$0	$0	$0	$500	($500)
499007 RECREATIONAL PROGRAM FEES	$0	$0	$0	$0	$15,000	($15,000)
TOTAL PUBLIC WORKS DEPT.	**$271,917**	**$222,038**	**$3,100**	**$225,138**	**$386,250**	**($161,112)**
5010001 CITY PLAN COMMISSION						
441021 STATE LAND USE SURCHARGE	$7,200	$5,700	$250	$5,950	$10,000	($4,050)
441076 ADMINISTRATIVE REVIEW FEES	$46,480	$36,315	$1,000	$37,315	$45,000	($7,685)
441138 CITY PLAN COMM. FEES	$5,325	$1,450	$50	$1,500	$5,000	($3,500)
441139 ZONING COMM. FEES	$8,050	$10,000	$0	$10,000	$25,000	($15,000)
441140 ZONING BOARD OF APPLEALS FEES	$16,150	$17,400	$250	$17,650	$15,000	$2,650
441141 INLAND WETLAND FEES	$2,250	$2,100	$50	$2,150	$5,000	($2,850)
441150 STATE SIGN OFFS	$6,700	$4,600	$400	$5,000	$5,000	$0
488005 MISCELLANEOUS	$1,000	$10	$0	$10	$16,000	($15,990)
TOTAL CITY PLAN COMMISSION	**$93,155**	**$77,575**	**$2,000**	**$79,575**	**$126,000**	**($46,425)**

REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
5040001 DEPT OF INSPECTIONS						
441041 BUILDING PERMITS	$1,177,419	$1,505,119	$60,000	$1,565,119	$1,325,000	$240,119
441045 DEMOLITION PERMITS	$13,600	$5,000	$0	$5,000	$25,000	($20,000)
441047 ELECTRICAL WORK PERMITS	$911,052	$823,113	$13,000	$836,113	$435,000	$401,113
441049 HEATING ETC. PERMITS	$1,028,045	$401,453	$10,000	$411,453	$200,000	$211,453
441054 OCCUPANCY PERMITS	$73,025	$128,170	$2,000	$130,170	$50,000	$80,170
441057 PLUMBING PERMITS	$160,443	$173,385	$5,000	$178,385	$100,000	$78,385
441073 STREET SIGN FEES	$19,355	$22,190	$1,000	$23,190	$10,000	$13,190
441083 STATE-BUILDING FEE	$1,354	$5,820	$0	$5,820	$0	$5,820
488005 MISCELLANEOUS	$124	$119	$0	$119	$2,000	($1,881)
TOTAL DEPT. OF INSPECTIONS	**$3,384,416**	**$3,064,367**	**$91,000**	**$3,155,367**	**$2,147,000**	**$1,008,367**
6010001 DEPARTMENT OF HEALTH (AU#6010001)						
441018 RECORD COPY FEES	$0	$0	$0	$0	$0	$0
441059 PRIVATE SEWAGE DISPOSAL	$0	$0	$0	$0	$1,500	($1,500)
441063 RESTAURANT LICENSE PERMITS	$107,450	$98,500	$1,000	$99,500	$145,000	($45,500)
441065 ROOMING HOUSE PERMITS	$3,000	$2,400	$100	$2,500	$5,000	($2,500)
441080 PUBLIC POOLS PERMITS	$1,800	$2,050	$0	$2,050	$5,000	($2,950)
441081 TEMP FOOD PERMITS	$0	$0	$0	$0	$5,000	($5,000)
441142 COSMETOLOGY FEES	$10,300	$7,850	$1,000	$8,850	$15,000	($6,150)
488005 MISCELLANEOUS	$9,620	$15,806	$100	$15,906	$4,500	$11,406
488014 DEPARTMENT CHARGES	$0	$0	$0	$0	$2,000	($2,000)
488022 APPLICATIONS	$5,750	$4,050	$0	$4,050	$8,000	($3,950)
TOTAL DEPARTMENT OF HEALTH	**$137,920**	**$130,656**	**$2,200**	**$132,856**	**$191,000**	**($58,144)**

	REVENUE DESCRIPTION APPROVED BUDGET	REVENUE FY24 Actual	Y-T-D As of 5/31/25	PROJECTED 1 month	PROJECTED AT YEAR-END	FY25 BUDGET REVENUE	BUDGETARY DIFFERENCE
	DEPARTMENT OF EDUCATION (AU#89010004)						
422010	EDUCATION EQUALIZATION GRANT (ECS)	$114,035,542	$113,942,555	$0	$113,942,555	$113,617,182	$325,373
422014	NON-PUBLIC SCHOOL SERVICE (NURSES)	$342,562	$293,444	$0	$293,444	$185,000	$108,444
422017	SPEC ED-AG. PLACEMENTS & EXCESS COST	$5,503,301	$4,678,361	$0	$4,678,361	$3,700,000	$978,361
422018	SPECIAL EDUCATION - MEDICAID GRANT	$1,040,780	$1,419,894	$10,000	$1,429,894	$650,000	$779,894
422020	STATE GRANT - OTHER	$196,374	$150,753	$24,247	$175,000	$175,000	$0
422022	SCHOOL TRANSPORTATION - NON PUBLIC	$0	$0	$0	$0	$0	$0
422023	SCHOOL TRANSPORTATION - PUBLIC	$0	$0	$0	$0	$0	$0
472007	REIMBURSEMENT FROM OTHER TOWNS	$748,860	$305,610	$144,390	$450,000	$450,000	$0
488005	MISCELLANEOUS	$11,511	$211	$0	$211	$50,000	($49,790)
488014	DEPARTMENT CHARGES	$34,623	$56,124	$2,500	$58,624	$115,000	($56,377)
	TOTAL DEPARTMENT OF EDUCATION	**$121,913,552**	**$120,846,952**	**$181,137**	**$121,028,088**	**$118,942,182**	**$2,085,906**
	TOTAL GENERAL FUND REVENUE	**$442,487,564**	**$447,893,308**	**($499,676)**	**$447,393,632**	**$446,722,287**	**$671,345**

1010001 OFFICE OF THE MAYOR

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$730,156	$883,777	$951,313	$137,183	$1,088,496	$1,116,661	($28,165)
Personal Services-Overtime (102)	$0	$0	$0	$0	$0	$0	$0
Personal Services-Other (other 100's)	$0	$0	$0	$0	$0	$0	$0
Sub-Total--Personal Services	$730,156	$883,777	$951,313	$137,183	$1,088,496	$1,116,661	($28,165)
Purch. Professional Services (530's)	$0	$0	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$482	$2,276	$0	$4,500	$4,500	$4,500	$0
Purch. Other Services (550's)	$2,984	$3,440	$2,227	$1,273	$3,500	$3,500	$0
Supplies (560's)	$13,877	$15,338	$10,622	$4,878	$15,500	$15,500	$0
Other Objects (580's)	$52,177	$52,774	$51,422	$5,578	$57,000	$57,000	$0
TOTAL	$799,675	$957,604	$1,015,585	$153,411	$1,168,996	$1,197,161	($28,165)
				Bi-Weekly PP posted 6/12/2025		$43,592	

Payroll Projections include salary accrual of: $35,000
Includes projection for filling of existing vacancies - $0

1030001 BOARD OF ALDERMEN

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$63,999	$61,999	$58,666	$5,334	$64,000	$64,000	$0
Sub-Total--Personal Services	$63,999	$61,999	$58,666	$5,334	$64,000	$64,000	$0
Other Objects (580's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$63,999	$61,999	$58,666	$5,334	$64,000	$64,000	$0

1070001 LEGAL DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,230,370	$1,333,409	$1,155,156	$198,777	$1,353,933	$1,460,663	($106,730)
Personal Services-Overtime (102)	$14,220	$28,659	$22,427	$2,000	$24,427	$0	$24,427
Personal Services-Other (other 100's)	$102,208	$203,669	$214,873	$20,000	$234,873	$159,561	$75,312
Sub-Total--Personal Services	$1,346,798	$1,565,736	$1,392,456	$220,777	$1,613,233	$1,620,224	($6,991)
Purchased Prof. Services (530's)	$624,080	$857,576	$913,485	$363,015	$1,276,500	$1,276,500	$0
Purch. Property Services (540's)	$6,620	$7,816	$7,681	$1,219	$8,900	$8,900	$0
Purch. Other Services (550's)	$2,228	$2,420	$2,011	$989	$3,000	$3,000	$0
Supplies (560's)	$23,708	$24,220	$21,287	$8,713	$30,000	$30,000	$0
Property (570's)	$6,687	$5,010	$0	$10,000	$10,000	$10,000	$0
Other Objects (580's)	$16,182	$21,487	$13,735	$11,565	$25,300	$25,300	$0
TOTAL	$2,026,302	$2,484,265	$2,350,655	$616,278	$2,966,933	$2,973,924	($6,991)

Payroll Projections include salary accrual of: $50,000
Includes projection for filling of existing vacancies - $50,000

Bi-Weekly PP posted 6/12/2025 $49,389
Bi-Weekly Part-Time posted 6/12/2025 $7,575

1100001 CITY CLERK

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$234,873	$215,616	$189,108	$27,628	$216,736	$225,892	($9,156)
Personal Services-Overtime (102)	$22,047	$22,924	$23,876	$3,000	$26,876	$19,000	$7,876
Personal Services-Other (other 100's)	$2,759	$1,121	$24,417	$0	$24,417	$405	$24,012
Sub-Total--Personal Services	$259,679	$239,660	$237,400	$30,628	$268,028	$245,297	$22,731
Purch. Property Services (540's)	$2,049	$2,056	$1,711	$472	$2,183	$2,183	$0
Purch. Other Services (550's)	$4,284	$4,248	$1,884	$2,582	$4,466	$4,466	$0
Supplies (560's)	$1,457	$1,694	$1,074	$1,277	$2,351	$2,351	$0
Property (570's)	$0	$0	$0	$0	$0	$0	$0
Other Objects (580's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$267,469	$247,659	$242,069	$34,959	$277,028	$254,297	$22,731

Includes projection for filling of existing vacancies - $0
Payroll Projections include salary accrual of: $10,000

Bi-Weekly PP posted 6/12/2025 $8,814

1130001 TOWN CLERK

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$399,178	$451,342	$454,006	$78,277	$532,283	$535,930	($3,647)
Personal Services-Overtime (102)	$26,133	$14,506	$22,601	$2,400	$25,001	$15,000	$10,001
Personal Services-Other (other 100's)	$13,444	$0	$10,401	$100	$10,501	$2,000	$8,501
Sub-Total--Personal Services	$438,755	$465,847	$487,007	$80,777	$567,785	$552,930	$14,855
Purch. Property Services (540's)	$39,917	$38,729	$48,428	$6,572	$55,000	$55,000	$0
Purch. Other Services (550's)	$97,580	$87,021	$79,961	$28,039	$108,000	$108,000	$0
Supplies (560's)	$29,497	$29,015	$25,751	$9,249	$35,000	$35,000	$0
Property (570's)	$0	$0	$0	$0	$0	$0	$0
Other Objects (580's)	$790	$590	$885	$115	$1,000	$1,000	$0
TOTAL	$606,540	$621,202	$642,032	$124,753	$766,785	$751,930	$14,855

Payroll Projections include salary accrual of: $25,000
Includes projection for filling of existing vacancies - $15,000

Bi-Weekly PP posted 6/12/2025 $19,139
Bi-Weekly Part-Time posted 6/12/2025 $0

1160001 DEPARTMENT OF HUMAN RESOURCES

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$875,504	$970,982	$880,074	$130,633	$1,010,707	$1,050,102	($39,395)
Personal Services-Overtime (102)	$15,778	$2,787	$1,045	$13,955	$15,000	$15,000	$0
Personal Services-Other (other 100's)	$79,267	$11,897	$7,391	$100	$7,491	$5,000	$2,491
Sub-Total--Personal Services	$970,548	$985,666	$888,510	$144,688	$1,033,198	$1,070,102	($36,904)
Purchased Prof. Services (530's)	$331,895	$227,667	$130,723	$140,277	$271,000	$271,000	$0
Purch. Property Services (540's)	$2,510	$2,679	$2,247	$633	$2,880	$2,880	$0
Purch. Other Services (550's)	$8,021	$6,025	$9,274	$20,876	$30,150	$30,150	$0
Supplies (560's)	$13,300	$10,259	$8,024	$3,976	$12,000	$12,000	$0
Property (570's)	$165	$0	$0	$0	$0	$0	$0
Other Objects (580's)	$93	$0	$553	$1,447	$2,000	$2,000	$0
TOTAL	$1,326,533	$1,232,295	$1,039,331	$311,896	$1,351,228	$1,388,132	($36,904)

Payroll Projections include salary accrual of: $35,000
Includes projection for filling of existing vacancies - $30,000

Bi-Weekly PP posted 6/12/2025 $32,816

1190001 REGISTRAR OF VOTERS

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$215,820	$225,865	$218,345	$30,461	$248,807	$265,998	($17,191)
Personal Services-Overtime (102)	$536	$0	$0	$2,500	$2,500	$2,500	$0
Personal Services-Other (other 100's)	$96,067	$144,330	$131,458	$68,542	$200,000	$200,000	$0
Sub-Total--Personal Services	$312,423	$370,195	$349,803	$101,504	$451,307	$468,498	($17,191)
Employee Benefits (520's)	$318	$370	$45	$455	$500	$500	$0
Purchased Prof. Services (530's)	$0	$0	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$22,929	$22,787	$15,694	$13,856	$29,550	$29,550	$0
Purch. Other Services (550's)	$52,585	$67,591	$76,602	$76,398	$153,000	$153,000	$0
Supplies (560's)	$2,762	$1,851	$1,310	$1,690	$3,000	$3,000	$0
Other Objects (580's)	$3,226	$3,966	$2,620	$3,180	$5,800	$5,800	$0
TOTAL	$394,243	$466,759	$446,074	$197,083	$643,157	$660,348	($17,191)

Payroll Projections include salary accrual of: $10,000
Includes projection for filling of existing vacancies - $0

Bi-Weekly PP posted 6/12/2025 $10,231
Bi-Weekly Part-Time posted 6/12/2025 $0

1220001 CITY SHERIFF

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$13,634	$15,011	$14,789	$1,232	$16,021	$16,021	$0
Sub-Total--Personal Services	$13,634	$15,011	$14,789	$1,232	$16,021	$16,021	$0
Employee Benefits (520's)	$4,149	$4,150	$3,458	$692	$4,150	$4,150	$0
TOTAL	$17,783	$19,161	$18,247	$1,924	$20,171	$20,171	$0

Payroll Projections include salary accrual of: $0

Bi-Weekly PP posted 6/12/2025 $616

1250001 PROBATE COURT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Purch. Property Services (540's)	$86,105	$93,575	$86,743	$58,757	$145,500	$145,500	$0
Purch. Other Services (550's)	$16,988	$18,216	$17,033	$4,167	$21,200	$21,200	$0
Supplies (560's)	$12,013	$11,082	$8,062	$9,438	$17,500	$17,500	$0
Property (570's)	$3,775	$0	$1,827	$2,673	$4,500	$4,500	$0
TOTAL	$118,881	$122,873	$113,666	$75,035	$188,700	$188,700	$0

2010001 DEPARTMENT OF FINANCE

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,995,097	$2,090,891	$2,028,006	$209,313	$2,237,319	$2,280,440	($43,121)
Personal Services-Overtime (102)	$19,401	$13,840	$7,314	$12,686	$20,000	$20,000	$0
Personal Services-Other (other 100's)	$3,370	$59,416	$27,234	$0	$27,234	$3,650	$23,584
Sub-Total--Personal Services	$2,017,868	$2,164,146	$2,062,553	$222,000	$2,284,553	$2,304,090	($19,537)
Purchased Prof. Services (530's)	$700	$0	$149	$851	$1,000	$1,000	$0
Purch. Property Services (540's)	$4,958	$5,080	$5,021	$754	$5,775	$5,775	$0
Purch. Other Services (550's)	$33,419	$34,007	$14,001	$42,499	$56,500	$56,500	$0
Supplies (560's)	$21,401	$29,580	$21,929	$6,571	$28,500	$28,500	$0
Property (570's)	$9,503	$3,450	$4,275	$6,725	$11,000	$11,000	$0
Other Objects (580's)	$4,494	$2,072	$2,494	$5,006	$7,500	$7,500	$0
TOTAL	$2,092,344	$2,238,335	$2,110,422	$284,406	$2,394,828	$2,414,365	($19,537)

Payroll Projections include salary accrual of: $80,000
Includes projection for filling of existing vacancies - $50,000

Bi-Weekly PP posted 6/12/2025 $94,657

2040001 DEPARTMENT OF ASSESSMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$442,790	$544,205	$553,583	$109,086	$662,669	$759,599	($96,930)
Personal Services-Overtime (102)	$16,950	$9,104	$9,187	$750	$9,937	$5,000	$4,937
Personal Services-Other (other 100's)	$24,896	$102,718	$19,285	$200	$19,485	$5,960	$13,525
Sub-Total--Personal Services	$484,636	$656,027	$582,055	$110,036	$692,091	$770,559	($78,468)
Employee Benefits (520's)	$1,581	$1,065	$2,421	$11,279	$13,700	$13,700	$0
Purchased Prof. Services (530's)	$30,791	$40,417	$30,088	$26,652	$56,740	$56,740	$0
Purch. Property Services (540's)	$5,059	$5,717	$5,265	$2,735	$8,000	$8,000	$0
Purch. Other Services (550's)	$12,881	$18,666	$47,884	$4,436	$52,320	$52,320	$0
Supplies (560's)	$5,926	$5,469	$3,192	$5,108	$8,300	$8,300	$0
Other Objects (580's)	$1,716	$2,302	$2,065	$570	$2,635	$2,635	$0
TOTAL	$542,591	$729,663	$672,970	$160,817	$833,786	$912,254	($78,468)

Payroll Projections include salary accrual of: $20,000
Includes projection for filling of existing vacancies - $40,000

Bi-Weekly PP posted 6/12/2025 $24,543

2070002 DEPARTMENT OF REVENUE COLLECTION

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$881,047	$866,715	$780,717	$256,000	$1,036,717	$1,129,685	($92,968)
Personal Services-Overtime (102)	$8,103	$10,334	$13,421	$1,200	$14,621	$10,000	$4,621
Personal Services-Other (other 100's)	$0	$6,705	$7,955	$100	$8,055	$0	$8,055
Sub-Total--Personal Services	$889,150	$883,754	$802,094	$257,300	$1,059,393	$1,139,685	($80,292)
Purchased Prof. Services (530's)	$16,349	$16,852	$10,863	$8,837	$19,700	$19,700	$0
Purch. Property Services (540's)	$6,212	$7,502	$7,180	$11,970	$19,150	$19,150	$0
Purch. Other Services (550's)	$138,452	$156,730	$129,862	$54,588	$184,450	$184,450	$0
Supplies (560's)	$9,174	$12,318	$3,907	$8,693	$12,600	$12,600	$0
Property (570's)	$2,128	$2,824	$426	$2,574	$3,000	$3,000	$0
Other Objects (580's)	$1,282	$1,418	$912	$1,513	$2,425	$2,425	$0
TOTAL	$1,062,747	$1,081,398	$955,243	$345,475	$1,300,718	$1,381,010	($80,292)

Payroll Projections include salary accrual of: $35,000
Includes projection for filling of existing vacancies - $155,000

Bi-Weekly PP posted 6/12/2025 $33,000

2100001 DEPARTMENT OF PURCHASING

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$295,754	$297,223	$281,003	$34,286	$315,289	$300,735	$14,554
Personal Services-Overtime (102)	$0	$0	$0	$0	$0	$0	$0
Personal Services-Other (other 100's)	$0	$0	$3,115	$0	$3,115	$0	$3,115
Sub-Total--Personal Services	$295,754	$297,223	$284,118	$34,286	$318,404	$300,735	$17,669
Purch. Property Services (540's)	$1,758	$1,657	$966	$1,584	$2,550	$2,550	$0
Purch. Other Services (550's)	$14,571	$13,881	$12,602	$3,898	$16,500	$16,500	$0
Supplies (560's)	$1,659	$1,978	$870	$1,130	$2,000	$2,000	$0
Other Objects (580's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$313,741	$314,739	$298,556	$40,898	$339,454	$321,785	$17,669
				Bi-Weekly PP posted 6/12/2025		$12,143	

Payroll Projections include salary accrual of: $10,000
Includes projection for filling of existing vacancies - $0

2130001 DEPARTMENT OF AUDIT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$103,403	$123,463	$151,985	$19,052	$171,038	$171,933	($895)
Personal Services-Overtime (102)	$0	$0	$0	$0	$0	$0	$0
Personal Services-Other (other 100's)	$1,380	$6,854	$0	$0	$0	$0	$0
Sub-Total--Personal Services	$104,783	$130,317	$151,985	$19,052	$171,038	$171,933	($895)
Purchased Prof. Services (530's)	$0	$1,336	$0	$2,000	$2,000	$2,000	$0
Supplies (560's)	$357	$522	$295	$1,205	$1,500	$1,500	$0
Property (570's)	$0	$7,150	$0	$10,500	$10,500	$10,500	$0
Other Objects (580's)	$1,128	$1,685	$2,010	$240	$2,250	$2,250	$0
TOTAL	$106,268	$141,010	$154,290	$32,998	$187,288	$188,183	($895)
				Bi-Weekly PP posted 6/12/2025		$6,526	

Payroll Projections include salary accrual of: $6,000
Includes projection for filling of existing vacancies - $0

2190001 DEPARTMENT OF BUDGET CONTROL

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$157,379	$175,525	$162,016	$39,907	$201,923	$215,791	($13,868)
Personal Services-Overtime (102)	$0	$0	$0	$0	$0	$0	$0
Personal Services-Other (other 100's)	$0	$3,375	$3,477	$0	$3,477	$0	$3,477
Sub-Total--Personal Services	$157,379	$178,900	$165,493	$39,907	$205,400	$215,791	($10,391)
Purch. Other Services (550's)	$1,344	$2,246	$1,322	$1,178	$2,500	$2,500	$0
Supplies (560's)	$923	$724	$0	$2,000	$2,000	$2,000	$0
Other Objects (580's)	$0	$0	$0	$150	$150	$150	$0
TOTAL	$159,645	$181,870	$166,815	$43,235	$210,050	$220,441	($10,391)

Payroll Projections include salary accrual of: $6,000
Includes projection for filling of existing vacancies - $20,000

Bi-Weekly PP posted 6/12/2025 $6,953

2220001 DEPT. OF INFORMATION TECHNOLOGY

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,241,835	$1,304,276	$1,204,496	$152,226	$1,356,722	$1,320,809	$35,913
Personal Services-Overtime (102)	$2,967	$3,125	$2,572	$1,428	$4,000	$4,000	$0
Personal Services-Other (other 100's)	$0	$5,261	$37,285	$1,000	$38,285	$0	$38,285
Sub-Total--Personal Services	$1,244,802	$1,312,662	$1,244,352	$154,654	$1,399,006	$1,324,809	$74,197
Purchased Prof. Services (530's)	$670	$2,906	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$562,396	$651,959	$670,281	$166,982	$837,263	$837,263	$0
Purch. Other Services (550's)	$284,390	$317,715	$219,022	$139,307	$358,329	$358,329	$0
Supplies (560's)	$2,976	$1,463	$813	$4,187	$5,000	$5,000	$0
Property (570's)	$18,735	$12,459	$10,256	$9,144	$19,400	$19,400	$0
Other Objects (580's)	$7,945	$6,424	$8,058	$1,992	$10,050	$10,050	$0
TOTAL	$2,121,913	$2,305,588	$2,152,781	$476,267	$2,629,048	$2,554,851	$74,197

Includes projection for filling of existing vacancies - $0
Payroll Projections include salary accrual of: $45,000

Bi-Weekly PP posted 6/12/2025 $53,613

3010001 POLICE DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$25,019,253	$27,215,433	$27,241,675	$3,026,578	$30,268,254	$31,156,133	($887,879)
Personal Services-Overtime (102)	$4,362,622	$4,394,146	$3,436,047	$538,953	$3,975,000	$3,200,000	$775,000
Personal Services-Other (other 100's)	$2,064,608	$1,801,429	$1,581,454	$10,000	$1,591,454	$1,485,024	$106,430
Sub-Total--Personal Services	$31,446,483	$33,411,008	$32,259,176	$3,575,532	$35,834,707	$35,841,157	($6,450)
Employee Benefits (520's)	$401,000	$548,200	$385,503	$169,642	$555,145	$555,145	$0
Purchased Prof. Services (530's)	$3,047,678	$3,127,891	$3,216,474	$24,042	$3,240,516	$3,240,516	$0
Purch. Property Services (540's)	$1,854,752	$1,682,390	$1,707,026	$412,284	$2,119,310	$2,119,310	$0
Purch. Other Services (550's)	$74,508	$123,564	$139,462	$38,953	$178,415	$178,415	$0
Supplies (560's)	$848,653	$953,742	$787,104	$277,051	$1,064,155	$1,064,155	$0
Property (570's)	$93,483	$97,893	$62,378	$39,602	$101,980	$101,980	$0
Other Objects (580's)	$10,047	$9,294	$8,158	$4,587	$12,745	$12,745	$0
Other Uses (590's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$37,776,604	$39,953,982	$38,565,282	$4,541,691	$43,106,973	$43,113,423	($6,450)

Payroll Projections include salary accrual of: $525,000
Includes Workers Compensation credit of - $225,000
Includes DOE credit for SRO positions of - $646,000
Vacant positions create savings in regular salaries but increase use of overtime
Includes projection for filling existing vacancies - $485,000

Bi-Weekly PP posted 6/12/2025	$79,420
Weekly PP posted 6/12/2025	$545,748
Current Year Weekly Average Overtime	$74,298
Last Year's Average Weekly OT	$84,503

3100001 FIRE DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$18,109,869	$19,255,966	$17,015,598	$2,215,083	$19,230,681	$20,095,002	($864,321)
Personal Services-Overtime (102)	$2,142,063	$1,974,090	$2,181,124	$293,876	$2,475,000	$2,050,000	$425,000
Personal Services-Other (other 100's)	$1,325,936	$1,571,232	$1,582,736	$80,000	$1,662,736	$1,193,625	$469,111
Sub-Total--Personal Services	$21,577,868	$22,801,289	$20,779,457	$2,588,959	$23,368,416	$23,338,627	$29,789
Employee Benefits (520's)	$115,238	$128,660	$20,713	$116,987	$137,700	$137,700	$0
Purchased Prof. Services (530's)	$58,388	$63,699	$49,737	$25,273	$75,010	$75,010	$0
Purch. Property Services (540's)	$443,436	$457,890	$474,471	$57,231	$531,702	$531,702	$0
Purch. Other Services (550's)	$67,780	$80,480	$50,515	$36,385	$86,900	$86,900	$0
Supplies (560's)	$639,925	$724,560	$604,855	$136,823	$741,678	$741,678	$0
Property (570's)	$98,088	$84,550	$87,512	$31,588	$119,100	$119,100	$0
Other Objects (580's)	$5,226	$3,515	$1,407	$7,643	$9,050	$9,050	$0
Other Uses (590's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$23,005,949	$24,344,642	$22,068,668	$3,000,888	$25,069,556	$25,039,767	$29,789

Payroll Projections include salary accrual of: $325,000
Includes Workers Compensation credit of - $50,000
Vacant positions create savings in regular salaries but increase use of overtime
Includes projection for filling of existing vacancies - $50,000

Bi-Weekly PP posted 6/12/2025	$22,198
Weekly PP posted 6/12/2025	$369,137
Current Year Weekly Average Overtime	$47,297
Last Year's Average Weekly OT	$37,963

48 DEPARTMENT OF PUBLIC WORKS

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$8,897,758	$9,814,290	$9,415,502	$1,640,468	$11,055,970	$11,472,437	($416,467)
Personal Services-Overtime (102)	$1,131,569	$1,027,621	$940,810	$111,480	$1,052,290	$887,000	$165,290
Personal Services-Other (other 100's)	$913,279	$1,677,619	$1,417,632	$484,043	$1,901,675	$1,881,679	$19,996
Sub-Total--Personal Services	$10,942,606	$12,519,530	$11,773,944	$2,235,991	$14,009,935	$14,241,116	($231,181)
Employee Benefits (520's)	$59,284	$69,168	$75,070	$28,262	$103,332	$103,332	$0
Purchased Prof. Services (530's)	$253,678	$284,566	$80,698	$241,022	$321,720	$321,720	$0
Purch. Property Services (540's)	$6,711,938	$7,141,917	$6,488,384	$1,962,810	$8,451,194	$8,451,194	$0
Purch. Other Services (550's)	$37,563	$37,558	$36,378	$17,859	$54,237	$54,237	$0
Supplies (560's)	$2,324,492	$2,466,987	$2,329,104	$873,491	$3,202,595	$3,202,595	$0
Property (570's)	$6,724	$7,915	$3,559	$15,441	$19,000	$19,000	$0
Other Objects (580's)	$68,401	$65,870	$64,641	$29,923	$94,564	$94,564	$0
Other Uses (590's)	$50,000	$50,000	$0	$50,000	$50,000	$50,000	$0
TOTAL	$20,454,688	$22,643,511	$20,851,780	$5,454,798	$26,306,577	$26,537,758	($231,181)

Department wide payroll	
Bi-Weekly PP posted 6/12/2025	$130,768
Weekly PP posted 6/12/2025	$135,786
	$266,555

5010001 CITY PLAN

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$377,770	$312,979	$297,116	$75,807	$372,923	$476,613	($103,690)
Personal Services-Overtime (102)	$0	$0	$0	$5,500	$5,500	$5,500	$0
Personal Services-Other (other 100's)	$14,943	$2,883	$2,352	$0	$2,352	$0	$2,352
Sub-Total--Personal Services	$392,712	$315,862	$299,468	$81,307	$380,775	$482,113	($101,338)
Employee Benefits (520's)	$792	$876	$279	$721	$1,000	$1,000	$0
Purchased Prof. Services (530's)	$1,528	$1,936	$1,578	$3,422	$5,000	$5,000	$0
Purch. Property Services (540's)	$4,211	$4,097	$3,561	$2,239	$5,800	$5,800	$0
Purch. Other Services (550's)	$14,989	$12,332	$13,533	$10,137	$23,670	$23,670	$0
Supplies (560's)	$2,389	$2,046	$1,383	$1,117	$2,500	$2,500	$0
Property (570's)	$0	$2,106	$445	$1,055	$1,500	$1,500	$0
Other Objects (580's)	$6,569	$7,018	$4,524	$2,676	$7,200	$7,200	$0
TOTAL	$423,189	$346,272	$324,771	$102,674	$427,445	$528,783	($101,338)

Includes projection for filling of existing vacancies - $35,000
Payroll Projections include salary accrual of: $15,000

Bi-Weekly PP posted 6/12/2025 $12,904

5040001 DEPARTMENT OF INSPECTIONS

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$797,428	$794,660	$812,634	$131,812	$944,446	$966,889	($22,443)
Personal Services-Overtime (102)	$3,843	$7,458	$3,583	$3,417	$7,000	$7,000	$0
Personal Services-Other (other 100's)	$2,652	$9,733	$113,381	$500	$113,881	$1,510	$112,371
Sub-Total--Personal Services	$803,922	$811,851	$929,598	$135,729	$1,065,326	$975,399	$89,927
Employee Benefits (520's)	$27,481	$28,733	$26,001	$13,999	$40,000	$40,000	$0
Purchased Prof. Services (530's)	$0	$0	$0	$1,200	$1,200	$1,200	$0
Purch. Other Services (550's)	$2,436	$3,123	$3,318	$1,082	$4,400	$4,400	$0
Supplies (560's)	$3,696	$8,933	$4,954	$8,046	$13,000	$13,000	$0
Property (570's)	$749	$4,494	$2,768	$3,232	$6,000	$6,000	$0
Other Objects (580's)	$5,557	$2,775	$2,859	$4,141	$7,000	$7,000	$0
TOTAL	$843,841	$859,908	$969,497	$167,429	$1,136,926	$1,046,999	$89,927

Includes projection for filling of existing vacancies - $30,000
Payroll Projections include salary accrual of: $35,000

Bi-Weekly PP posted 6/12/2025 $33,406

601 HEALTH DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$3,125,791	$3,686,864	$3,665,863	$393,532	$4,059,395	$4,436,551	($377,156)
Personal Services-Overtime (102)	$39,818	$39,792	$35,149	$2,000	$37,149	$25,000	$12,149
Personal Services-Other (other 100's)	$1,764,459	$1,076,165	$623,234	$120,000	$743,234	$250,905	$492,329
Sub-Total--Personal Services	$4,930,067	$4,802,821	$4,324,246	$515,532	$4,839,777	$4,712,456	$127,321
Employee Benefits (520's)	$1,400	$1,402	$1,450	$50	$1,500	$1,500	$0
Purchased Prof. Services (530's)	$996	$358	$399	$2,401	$2,800	$2,800	$0
Purch. Property Services (540's)	$4,559	$5,506	$4,501	$2,380	$6,881	$6,881	$0
Purch. Other Services (550's)	$10,279	$12,817	$12,987	$5,713	$18,700	$18,700	$0
Supplies (560's)	$34,806	$32,705	$21,418	$14,582	$36,000	$36,000	$0
Other Objects (800's)	$33,849	$37,458	$33,398	$27,287	$60,685	$60,685	$0
TOTAL	$5,015,957	$4,893,068	$4,398,399	$567,944	$4,966,343	$4,839,022	$127,321

Includes projection for filling of existing vacancies - $0
Payroll Projections include salary accrual of: $125,000

Bi-Weekly PP posted 6/12/2025	$196,766
Weekly PP posted 6/12/2025	$0
Seasonal Wages posted 6/12/2025	$0

603 WATERBURY SENIOR CENTER

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$104,444	$146,197	$163,253	$20,013	$183,266	$182,171	$1,095
Personal Services-Overtime (102)	$458	$0	$0	$0	$0	$0	$0
Personal Services-Other (other 100's)	$11,987	$14,772	$33,569	$3,500	$37,069	$33,000	$4,069
Sub-Total--Personal Services	$116,888	$160,969	$196,822	$23,513	$220,335	$215,171	$5,164
Employee Benefits (520's)	$0	$0	$0	$0	$0	$0	$0
Purchased Prof. Services (530's)	$0	$0	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$69,386	$72,237	$73,870	$7,490	$81,360	$81,360	$0
Purch. Other Services (550's)	$0	$0	$4,948	$1,052	$6,000	$6,000	$0
Supplies (560's)	$22,725	$30,560	$30,599	$5,801	$36,400	$36,400	$0
Other Objects (580's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$208,999	$263,766	$306,239	$37,856	$344,095	$338,931	$5,164

Includes projection for filling of existing vacancies - $0
Payroll Projections include salary accrual of: $6,000

Bi-Weekly PP posted 6/12/2025	$7,007

7010001 SILAS BRONSON LIBRARY

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,323,160	$1,410,462	$1,300,307	$239,534	$1,539,841	$1,609,181	($69,340)
Personal Services-Overtime (102)	$6,286	$10,354	$6,099	$600	$6,699	$5,000	$1,699
Personal Services-Other (other 100's)	$85,295	$80,859	$58,864	$3,548	$62,412	$62,412	$0
Sub-Total--Personal Services	$1,414,742	$1,501,675	$1,365,270	$243,682	$1,608,952	$1,676,593	($67,641)
Employee Benefits (520's)	$837	$803	$1,037	$13	$1,050	$1,050	$0
Purch. Property Services (540's)	$159,804	$178,686	$153,982	$51,518	$205,500	$205,500	$0
Purch. Other Services (550's)	$816	$1,915	$1,354	$646	$2,000	$2,000	$0
Supplies (560's)	$61,173	$54,937	$52,558	$20,742	$73,300	$73,300	$0
Property (570's)	$194,885	$193,531	$209,134	$866	$210,000	$210,000	$0
Other Objects (580's)	$225	$6,225	$2,179	$321	$2,500	$2,500	$0
TOTAL	$1,832,481	$1,937,772	$1,785,514	$317,788	$2,103,302	$2,170,943	($67,641)

Payroll Projections include salary accrual of: $45,000
Includes projection for filling of existing vacancies - $75,000

Bi-Weekly PP posted 6/12/2025	$51,635
Weekly PP posted 6/12/2025	$3,253
Bi-Weekly Part-Time posted 6/12/2025	$2,534

1001 DEBT AND SUNDRY

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Employee Benefits (520's)							
ER FICA Costs - General Gov.	$732,551	$761,704	$694,451	$115,549	$810,000	$810,000	$0
ER FICA Costs - Education	$1,054,606	$1,142,561	$1,012,045	$153,955	$1,166,000	$1,166,000	$0
ER Medicare Costs - General Gov.	$1,287,242	$1,362,388	$1,288,998	$100,002	$1,389,000	$1,389,000	$0
ER Medicare Costs - Education	$2,361,526	$2,507,866	$2,138,287	$410,713	$2,549,000	$2,549,000	$0
ER 401(A) Match - General Gov.	$255,000	$245,157	$290,309	($309)	$290,000	$290,000	$0
ER 401(A) Match - Education	$70,038	$78,384	$72,432	$11,568	$84,000	$84,000	$0
Employer Drop Plan Contribution	$28,052	$115,934	$111,477	$10,523	$122,000	$122,000	$0
Group Life Insurance - General Gov.	$320,929	$326,161	$296,847	$38,153	$335,000	$335,000	$0
Group Life Insurance - Education	$473,452	$573,297	$538,851	($48,851)	$490,000	$490,000	$0
Major Medical Health Ins. - Actives	$31,013,337	$34,112,089	$29,540,773	$0	$29,540,773	$29,540,773	$0
Major Medical Health Ins. - Retired	$38,986,663	$37,887,911	$39,959,227	$0	$39,959,227	$39,959,227	$0
Unemployment Comp. - General Gov.	$62,638	$126,173	$58,900	$61,100	$120,000	$120,000	$0
Unemployment Comp. - Education	($7,407)	$168,916	$186,911	$113,089	$300,000	$300,000	$0
Heart and Hypertension Contribution	$1,000,000	$1,000,000	$1,200,000	$0	$1,200,000	$1,200,000	$0
Purchased Prof. Services (530's)							
Professional Services	$260,991	$248,243	$135,103	$114,897	$250,000	$250,000	$0
Auditing	$109,000	$110,500	$117,000	$3,000	$120,000	$120,000	$0
Purch. Other Services (550's)							
General Liability	$1,750,000	$2,500,000	$2,700,000	$0	$2,700,000	$2,700,000	$0
Property Insurance	$1,200,000	$1,500,000	$1,800,000	$0	$1,800,000	$1,800,000	$0
Other Objects (580's)							
Municipal Groups	$383,808	$390,117	$393,803	$6,197	$400,000	$400,000	$0
Holiday Activities	$54,500	$55,500	$55,500	($500)	$55,000	$55,000	$0
Senior Citizen Activities	$145,338	$326,217	$94,846	$35,154	$130,000	$130,000	$0
Area Health Systems	$16,294	$17,000	$0	$17,000	$17,000	$17,000	$0
Culture/Youth Activities	$358,500	$352,000	$151,500	$200,500	$352,000	$352,000	$0
Mayor's Council on Culture	$39,987	$40,542	$40,000	$0	$40,000	$40,000	$0
Taxes to Other Towns	$26,823	$47,314	$25,545	$9,455	$35,000	$35,000	$0
Worker's Compensation	$7,000,000	$7,000,000	$6,500,000	$0	$6,500,000	$6,500,000	$0
Waterbury Promise	$500,000	$500,000	$500,000	$0	$500,000	$500,000	$0
Waterbury Land Bank	$250,000	$250,000	$300,000	$0	$300,000	$300,000	$0
Other Uses (590's)							
Transfers to Capital Improvement Funds	$2,450,000	$3,375,000	$1,500,000	$0	$1,500,000	$1,500,000	$0
Transfers to Debt Service	$21,000,000	$22,500,000	$22,500,000	$0	$22,500,000	$22,500,000	$0
Transfer to WDC	$1,100,000	$1,100,000	$1,145,833	$104,167	$1,250,000	$1,250,000	$0
Transfer to Special Revenue Fund	$2,450,000	$1,950,000	$600,000	$0	$600,000	$600,000	$0
Transfer to Vehicle Replacement Fund	$3,300,000	$2,550,000	$1,500,000	$0	$1,500,000	$1,500,000	$0
Transfer to Golf Courses	$0	$0	$0	$0	$0	$0	$0
Transfer to NVRDC	$250,000	$250,000	$91,667	$8,333	$100,000	$100,000	$0
Contingency	$0	$0	$0	$1,035,000	$1,035,000	$1,035,000	$0
Accruals	$0	$0	$0	$0	$0	$0	$0
TOTAL	$120,283,867	$125,470,974	$117,540,304	$2,498,696	$120,039,000	$120,039,000	$0

1019 RETIREMENT BOARD

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Transfers to Pension Plan	$23,545,674	$23,100,463	$24,709,249	$0	$24,709,249	$24,709,249	$0
Purchased Prof. Services (530's)	$93,342	$44,555	$51,513	$103,487	$155,000	$155,000	$0
Purch. Other Services (550's)	$189	$136	$0	$1,000	$1,000	$1,000	$0
Other Objects (580's)	$0	$0	$0	$1,000	$1,000	$1,000	$0
Transfers to Debt Service	$26,036,220	$25,185,540	$24,334,860	$0	$24,334,860	$24,334,860	$0
TOTAL	$49,675,425	$48,330,694	$49,095,622	$105,487	$49,201,109	$49,201,109	$0
GENERAL GOVERNMENT EXP.	**$271,541,676**	**$282,251,012**	**$268,343,477**	**$19,700,020**	**$288,043,497**	**$288,347,287**	**($303,790)**

800 DEPARTMENT OF EDUCATION

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$88,652,417	$88,403,353	$71,534,123	$15,767,579	$87,301,702	$87,878,765	($577,063)
Personal Services-Overtime	$1,302,840	$1,559,470	$1,309,514	$50,000	$1,359,514	$885,000	$474,514
Personal Services-Early Incentive	$1,109,703	$1,231,933	$967,248	$7,458	$974,705	$899,705	$75,000
Sub-Total--Personal Services	$91,064,960	$91,194,756	$73,810,884	$15,825,036	$89,635,921	$89,663,470	($27,549)
Employee Benefits (520's)	$10,176,570	$9,143,776	$10,111,749	$0	$10,111,749	$10,084,200	$27,549
Purchased Prof. Services (530's)	$352,750	$625,063	$3,736,043	$993,715	$4,729,758	$4,729,758	$0
Purch. Property Services (540's)	$6,018,032	$6,435,170	$5,997,086	$448,556	$6,445,642	$6,445,642	$0
Purch. Other Services (550's)	$37,337,905	$43,469,469	$34,432,313	$6,797,387	$41,229,700	$41,229,700	$0
Supplies (560's)	$4,926,264	$4,547,276	$4,046,742	$1,156,171	$5,202,913	$5,202,913	$0
Property (570's)	$255,861	$188,142	$181,985	$27,715	$209,700	$209,700	$0
Other Objects (580's)	$615,849	$621,759	$590,005	$34,612	$624,617	$624,617	$0
Transfers (590's)	$7,101,536	$1,891,044	$175,360	$9,640	$185,000	$185,000	$0
TOTAL EDUCATION EXP.	**$157,849,726**	**$158,116,454**	**$133,082,167**	**$25,292,833**	**$158,375,000**	**$158,375,000**	**($0)**
TOTAL GENERAL FUND EXP.	**$429,391,402**	**$440,367,466**	**$401,425,645**	**$44,992,852**	**$446,418,497**	**$446,722,287**	**($303,790)**

48 DEPARTMENT OF PUBLIC WORKS

DEPARTMENT SUMMARY PAGE

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$8,897,758	$9,814,290	$9,415,502	$1,640,468	$11,055,970	$11,472,437	($416,467)
Personal Services-Overtime (102)	$1,131,569	$1,027,621	$940,810	$111,480	$1,052,290	$887,000	$165,290
Personal Services-Other (other 100's)	$913,279	$1,677,619	$1,417,632	$484,043	$1,901,675	$1,881,679	$19,996
Sub-Total--Personal Services	$10,942,606	$12,519,530	$11,773,944	$2,235,991	$14,009,935	$14,241,116	($231,181)
Employee Benefits (520's)	$59,284	$69,168	$75,070	$28,262	$103,332	$103,332	$0
Purchased Prof. Services (530's)	$253,678	$284,566	$80,698	$241,022	$321,720	$321,720	$0
Purch. Property Services (540's)	$6,711,938	$7,141,917	$6,488,384	$1,962,810	$8,451,194	$8,451,194	$0
Purch. Other Services (550's)	$37,563	$37,558	$36,378	$17,859	$54,237	$54,237	$0
Supplies (560's)	$2,324,492	$2,466,987	$2,329,104	$873,491	$3,202,595	$3,202,595	$0
Property (570's)	$6,724	$7,915	$3,559	$15,441	$19,000	$19,000	$0
Other Objects (580's)	$68,401	$65,870	$64,641	$29,923	$94,564	$94,564	$0
Other Uses (590's)	$50,000	$50,000	$0	$50,000	$50,000	$50,000	$0
TOTAL	$20,454,688	$22,643,511	$20,851,780	$5,454,798	$26,306,577	$26,537,758	($231,181)

Department-wide payroll

Bi-Weekly PP posted 6/12/2025	$130,768
Weekly PP posted 6/12/2025	$135,786
	$266,555

4010001 OFFICE OF DIRECTOR OF PUBLIC WORKS

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$629,683	$670,687	$626,297	$78,491	$704,788	$692,614	$12,174
Personal Services-Overtime (102)	$474	$254	$1,651	$100	$1,751	$0	$1,751
Personal Services-Other (other 100's)	$13,322	$3,771	$3,109	$100	$3,209	$0	$3,209
Sub-Total--Personal Services	$643,479	$674,713	$631,057	$78,691	$709,749	$692,614	$17,134
Employee Benefits (520's)	$0	$0	$0	$0	$0	$0	$0
Purchased Prof. Services (530's)	$396	$1,777	$2,375	$125	$2,500	$2,500	$0
Purch. Property Services (540's)	$12,627	$12,193	$5,983	$8,056	$14,039	$14,039	$0
Purch. Other Services (550's)	$36,835	$36,613	$35,962	$13,475	$49,437	$49,437	$0
Supplies (560's)	$9,899	$10,719	$10,236	$3,264	$13,500	$13,500	$0
Property (570's)	$1,926	$502	$0	$2,000	$2,000	$2,000	$0
Other Objects (580's)	$4,499	$5,318	$5,504	$12,472	$17,975	$17,975	$0
TOTAL	$709,662	$741,834	$691,116	$118,083	$809,200	$792,065	$17,134

Payroll Projections include salary accrual of: $25,000 Bi-Weekly PP posted 6/12/2025 $26,746
Includes projection for filling of existing vacancies - $0

4040001 DEPARTMENT OF ENGINEERING

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,052,336	$1,143,279	$1,124,789	$254,163	$1,378,952	$1,463,210	($84,258)
Personal Services-Overtime (102)	$14,874	$17,255	$10,725	$4,275	$15,000	$15,000	$0
Personal Services-Other (other 100's)	$123,359	$60,611	$58,780	$29,758	$88,538	$88,538	$0
Sub-Total--Personal Services	$1,190,568	$1,221,145	$1,194,294	$288,196	$1,482,490	$1,566,748	($84,258)
Employee Benefits (520's)	$1,244	$1,115	$3,362	$1,138	$4,500	$4,500	$0
Purchased Prof. Services (530's)	$234,742	$263,569	$60,148	$233,997	$294,145	$294,145	$0
Purch. Property Services (540's)	$87,696	$80,037	$75,752	$46,320	$122,072	$122,072	$0
Purch. Other Services (550's)	$0	$0	$0	$3,500	$3,500	$3,500	$0
Supplies (560's)	$20,037	$21,073	$15,783	$20,217	$36,000	$36,000	$0
Property (570's)	$2,363	$4,064	$666	$12,334	$13,000	$13,000	$0
Other Objects (580's)	$1,315	$2,133	$2,455	$5,304	$7,759	$7,759	$0
TOTAL	$1,537,966	$1,593,137	$1,352,459	$611,007	$1,963,466	$2,047,724	($84,258)

Payroll Projections include salary accrual of: $40,000 Bi-Weekly PP posted 6/12/2025 $42,082
Includes projection for filling of existing vacancies - $130,000 Weekly PP posted 6/12/2025 $0

4070001 PARKS DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,385,095	$1,495,707	$1,363,200	$249,136	$1,612,336	$1,670,053	($57,717)
Personal Services-Overtime (102)	$292,929	$277,968	$228,238	$20,000	$248,238	$195,000	$53,238
Personal Services-Other (other 100's)	$113,208	$173,423	$125,007	$133,453	$258,460	$258,460	$0
Sub-Total--Personal Services	$1,791,233	$1,947,098	$1,716,445	$402,589	$2,119,034	$2,123,513	($4,479)
Employee Benefits (520's)	$7,406	$7,568	$7,978	$1,172	$9,150	$9,150	$0
Purch. Property Services (540's)	$480,426	$465,297	$509,440	$185,300	$694,740	$694,740	$0
Purch. Other Services (550's)	$0	$0	$0	$0	$0	$0	$0
Supplies (560's)	$221,088	$213,674	$248,873	$57,127	$306,000	$306,000	$0
Property (570's)	$0	$0	$0	$0	$0	$0	$0
Other Objects (580's)	$974	$1,465	$1,015	$1,985	$3,000	$3,000	$0
Other Uses (590's)	$50,000	$50,000	$0	$50,000	$50,000	$50,000	$0
TOTAL	$2,551,127	$2,685,102	$2,483,750	$698,174	$3,181,924	$3,186,403	($4,479)

Payroll Projections include salary accrual of: $35,000
Includes projection for filling of existing vacancies - $75,000

Bi-Weekly PP posted 6/12/2025	$8,172
Weekly PP posted 6/12/2025	$24,558
Seasonal Wages posted 6/12/2025	$10,243

4070002 DEPARTMENT OF PUBLIC BUILDINGS

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$877,564	$959,210	$997,889	$182,535	$1,180,424	$1,216,094	($35,670)
Personal Services-Overtime (102)	$122,726	$91,547	$47,637	$27,363	$75,000	$75,000	$0
Personal Services-Other (other 100's)	$15,523	$350	$2,369	$100	$2,469	$350	$2,119
Sub-Total--Personal Services	$1,015,813	$1,051,106	$1,047,895	$209,998	$1,257,893	$1,291,444	($33,551)
Employee Benefits (520's)	$18,050	$20,980	$18,898	$7,702	$26,600	$26,600	$0
Purch. Property Services (540's)	$833,339	$1,037,313	$1,017,547	$546,586	$1,564,133	$1,564,133	$0
Purch. Other Services (550's)	$0	$0	$0	$0	$0	$0	$0
Supplies (560's)	$514,539	$402,165	$436,865	$188,675	$625,540	$625,540	$0
TOTAL	$2,381,741	$2,511,563	$2,521,206	$952,960	$3,474,166	$3,507,717	($33,551)

Payroll Projections include salary accrual of: $20,000
Includes projection for filling of existing vacancies - $50,000

Bi-Weekly PP posted 6/12/2025	$5,444
Weekly PP posted 6/12/2025	$20,330

4070003 BUREAU OF LEISURE

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$353,723	$402,871	$450,358	$63,530	$513,888	$512,051	$1,837
Personal Services-Overtime (102)	$28,309	$31,565	$26,084	$2,000	$28,084	$20,000	$8,084
Personal Services-Other (other 100's)	$451,683	$1,161,085	$995,166	$239,834	$1,235,000	$1,235,000	$0
Sub-Total--Personal Services	$833,715	$1,595,521	$1,471,608	$305,364	$1,776,972	$1,767,051	$9,921
Employee Benefits (520's)	$0	$0	$0	$0	$0	$0	$0
Purch. Professional Services (530's)	$0	$0	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$0	$0	$4,902	$6,048	$10,950	$10,950	$0
Supplies (560's)	$8,990	$7,299	$4,853	$5,247	$10,100	$10,100	$0
Other Objects (580's)	$2,500	$775	$6,029	$2,471	$8,500	$8,500	$0
TOTAL	$845,205	$1,603,594	$1,487,392	$319,130	$1,806,522	$1,796,601	$9,921

Payroll Projections include salary accrual of: $25,000
Includes projection for filling of existing vacancies - $0

Bi-Weekly PP posted 6/12/2025	$19,265
Seasonal Wages posted 6/12/2025	$9,750

4100001 CENTRAL VEHICLE MAINTENANCE

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,147,417	$1,282,733	$1,108,936	$168,978	$1,277,914	$1,341,220	($63,306)
Personal Services-Overtime (102)	$92,804	$71,938	$96,792	$9,000	$105,792	$80,000	$25,792
Personal Services-Other (other 100's)	$1,302	$0	$14,668	$0	$14,668	$0	$14,668
Sub-Total--Personal Services	$1,241,523	$1,354,671	$1,220,396	$177,978	$1,398,374	$1,421,220	($22,846)
Employee Benefits (520's)	$6,665	$7,291	$4,719	$2,647	$7,366	$7,366	$0
Purchased Prof. Services (530's)	$815	$320	$2,700	$1,300	$4,000	$4,000	$0
Purch. Property Services (540's)	$312,202	$264,640	$227,813	$76,687	$304,500	$304,500	$0
Purch. Other Services (550's)	$729	$945	$416	$584	$1,000	$1,000	$0
Supplies (560's)	$498,190	$518,186	$423,724	$108,576	$532,300	$532,300	$0
Property (570's)	$2,436	$3,349	$2,893	$1,107	$4,000	$4,000	$0
Other Objects (580's)	$7,213	$8,179	$5,539	$3,551	$9,090	$9,090	$0
TOTAL	$2,069,772	$2,157,582	$1,888,201	$372,429	$2,260,630	$2,283,476	($22,846)

Includes projection for filling of existing vacancies - $25,000
Payroll Projections include salary accrual of: $25,000

Bi-Weekly PP posted 6/12/2025	$9,407
Weekly PP posted 6/12/2025	$20,033

4100002 REFUSE COLLECTION

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$2,144,713	$2,587,278	$2,427,648	$341,950	$2,769,598	$2,836,054	($66,456)
Personal Services-Overtime (102)	$330,513	$265,512	$189,838	$25,000	$214,838	$190,000	$24,838
Personal Services-Other (other 100's)	$192,131	$201,874	$169,946	$54,921	$224,867	$224,867	$0
Sub-Total--Personal Services	$2,667,357	$3,054,664	$2,787,432	$421,871	$3,209,303	$3,250,921	($41,618)
Employee Benefits (520's)	$15,424	$20,289	$20,940	$3,774	$24,714	$24,714	$0
Purchased Prof. Services (530's)	$0	$0	$0	$0	$0	$0	$0
Purch. Property Services (540's)	$4,761,238	$5,041,599	$4,454,860	$1,013,512	$5,468,372	$5,468,372	$0
Supplies (560's)	$68,292	$96,008	$83,137	$36,863	$120,000	$120,000	$0
Other Objects (580's)	$0	$0	$0	$0	$0	$0	$0
TOTAL	$7,512,311	$8,212,560	$7,346,370	$1,476,019	$8,822,389	$8,864,007	($41,618)

Includes projection for filling of existing vacancies - $50,000
Payroll Projections include salary accrual of: $45,000

Bi-Weekly PP posted 6/12/2025	$8,228
Weekly PP posted 6/12/2025	$46,099
Seasonal Wages posted 6/12/2025	$5,677

4100003 STREET DEPARTMENT

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$1,075,446	$1,069,417	$1,101,805	$276,043	$1,377,848	$1,505,665	($127,817)
Personal Services-Overtime (102)	$241,557	$263,408	$331,587	$20,000	$351,587	$300,000	$51,587
Personal Services-Other (other 100's)	$2,750	$76,505	$48,587	$25,877	$74,464	$74,464	$0
Sub-Total--Personal Services	$1,319,753	$1,409,329	$1,481,979	$321,920	$1,803,899	$1,880,129	($76,230)
Employee Benefits (520's)	$10,111	$11,633	$18,569	$11,683	$30,252	$30,252	$0
Purchased Prof. Services (530's)	$0	$400	$700	$1,300	$2,000	$2,000	$0
Purch. Property Services (540's)	$183,303	$203,334	$154,701	$55,299	$210,000	$210,000	$0
Purch. Other Services (550's)	$0	$0	$0	$300	$300	$300	$0
Supplies (560's)	$961,376	$1,174,216	$1,094,777	$431,223	$1,526,000	$1,526,000	$0
Other Objects (580's)	$51,900	$48,000	$44,000	$4,000	$48,000	$48,000	$0
TOTAL	$2,526,443	$2,846,912	$2,794,726	$825,725	$3,620,451	$3,696,681	($76,230)

Includes projection for filling of existing vacancies - $130,000
Payroll Projections include salary accrual of: $20,000

Bi-Weekly PP posted 6/12/2025	$7,048
Weekly PP posted 6/12/2025	$22,389
Seasonal Wages posted 6/12/2025	$2,291

4100004 NORTH END DISPOSAL

Description	6/30/23 FY23 Audited	6/30/24 FY24 Audited	Year to Date **As of 5/31/25**	Projected 1 month	Projected at Year End	FY25 Approved Budget	Variance Over/(Under)
Personal Services-Salaries (100, 101)	$231,781	$203,108	$214,581	$25,641	$240,222	$235,476	$4,746
Personal Services-Overtime (102)	$7,384	$8,175	$8,258	$3,742	$12,000	$12,000	$0
Personal Services-Other (other 100's)	$0	$0	$0	$0	$0	$0	$0
Sub-Total--Personal Services	$239,166	$211,282	$222,838	$29,384	$252,222	$247,476	$4,746
Employee Benefits (520's)	$384	$293	$605	$145	$750	$750	$0
Purchased Prof. Services (530's)	$17,725	$18,500	$14,775	$4,300	$19,075	$19,075	$0
Purch. Property Services (540's)	$41,107	$37,505	$37,386	$25,002	$62,388	$62,388	$0
Purch. Other Services (550's)	$0	$0	$0	$0	$0	$0	$0
Supplies (560's)	$22,081	$23,647	$10,855	$22,300	$33,155	$33,155	$0
Other Objects (580's)	$0	$0	$99	$141	$240	$240	$0
TOTAL	$320,463	$291,226	$286,559	$81,271	$367,830	$363,084	$4,746

Payroll Projections include salary accrual of: $5,000
Includes projection for filling of existing vacancies - $0

Bi-Weekly PP posted 6/12/2025 $4,378
Weekly PP posted 6/12/2025 $2,377

WATERBURY POLICE DEPARTMENT
THREE YEAR HISTORY - WEEKLY OVERTIME COSTS

FY22	FY23	FY24	FY25	Pay Period Posted	Pay P. Count	FY25 Estimates	Diff. In Estimates
$42,265	$41,154	$22,031	$0	7/11/24	1 B	$0	($22,031)
$70,246	$83,128	$126,420	$94,281	7/18/24	2	$94,281	($32,139)
$82,994	$74,661	$108,143	$98,147	7/25/24	3 B	$98,147	($9,995)
$63,778	$97,593	$103,693	$97,856	8/1/24	4	$97,856	($5,837)
$59,050	$75,289	$91,317	$77,422	8/8/24	5 B	$77,422	($13,896)
$54,689	$77,263	$112,376	$73,964	8/15/24	6	$73,964	($38,411)
$73,037	$96,834	$103,166	$89,066	8/22/24	7 B	$89,066	($14,101)
$49,795	$63,628	$82,785	$82,200	8/29/24	8	$82,200	($585)
$71,426	$67,662	$97,486	$89,952	9/5/24	9 B	$89,952	($7,534)
$47,639	$77,307	$82,357	$73,009	9/12/24	10	$73,009	($9,348)
$42,798	$84,241	$104,077	$89,126	9/19/24	11 B	$89,126	($14,951)
$46,559	$83,910	$106,709	$59,079	9/26/24	12	$59,079	($47,629)
$45,468	$71,937	$83,768	$103,422	10/3/24	13 B	$103,422	$19,654
$58,499	$53,537	$75,946	$94,169	10/10/24	14	$94,169	$18,223
$43,354	$80,731	$96,403	$91,917	10/17/24	15 B	$91,917	($4,486)
$32,535	$65,412	$88,082	$71,726	10/24/24	16	$71,726	($16,356)
$53,667	$84,391	$95,231	$109,224	10/31/24	17 B	$109,224	$13,993
$69,509	$83,183	$69,080	$70,452	11/7/24	18	$70,452	$1,372
$48,503	$84,983	$91,959	$79,717	11/14/24	19 B	$79,717	($12,242)
$48,528	$82,923	$94,202	$63,524	11/21/24	20	$63,524	($30,678)
$61,802	$106,107	$101,431	$81,556	11/27/24	21 B	$81,556	($19,875)
$57,015	$74,287	$104,805	$74,728	12/5/24	22	$74,728	($30,077)
$57,774	$91,752	$83,430	$64,561	12/12/24	23 B	$64,561	($18,869)
$64,335	$70,809	$85,913	$71,058	12/19/24	24	$71,058	($14,854)
$60,742	$60,516	$100,988	$70,066	12/26/24	25 B	$70,066	($30,922)
$59,877	$70,568	$114,721	$53,181	1/2/25	26	$53,181	($61,540)
$59,875	$91,973	$96,254	$59,536	1/9/25	27 B	$59,536	($36,718)
$60,299	$66,696	$65,636	$70,994	1/16/25	28	$70,994	$5,358
$76,321	$80,100	$78,902	$87,038	1/23/25	29 B	$87,038	$8,136
$69,047	$77,707	$76,953	$67,263	1/30/25	30	$67,263	($9,690)
$79,684	$80,635	$84,597	$96,644	2/6/25	31 B	$96,644	$12,047
$64,424	$77,549	$100,588	$57,039	2/13/25	32	$57,039	($43,549)
$70,656	$102,440	$88,353	$86,936	2/20/25	33 B	$86,936	($1,417)
$51,926	$71,950	$78,026	$50,779	2/27/25	34	$50,779	($27,247)
$77,111	$113,434	$65,631	$92,478	3/6/25	35 B	$92,478	$26,847
$87,090	$88,839	$73,136	$110,248	3/13/25	36	$110,248	$37,112
$70,178	$114,227	$62,277	$86,963	3/20/25	37 B	$86,963	$24,686
$60,107	$78,142	$72,809	$79,697	3/27/25	38	$79,697	$6,887
$68,794	$104,323	$71,391	$76,138	4/3/25	39 B	$76,138	$4,747
$78,274	$101,744	$62,910	$85,054	4/10/25	40	$85,054	$22,144
$80,923	$98,024	$90,506	$79,841	4/17/25	41 B	$79,841	($10,666)
$86,786	$110,956	$63,320	$81,553	4/24/25	42	$81,553	$18,233
$87,255	$90,785	$93,174	$105,297	5/1/25	43 B	$105,297	$12,123
$58,500	$113,717	$76,966	$84,571	5/8/25	44	$84,571	$7,605
$67,744	$88,210	$88,097	$77,013	5/15/25	45 B	$77,013	($11,084)
$63,662	$99,896	$93,567	$79,791	5/22/25	46	$79,791	($13,776)
$89,387	$96,553	$97,412	$103,821	5/29/25	47 B	$103,821	$6,410
$72,533	$124,904	$83,190	$117,960	6/5/25	48	$117,960	$34,770
$76,445	$107,223	$115,077	$96,866	6/12/25	49 B	$96,866	($18,211)
$81,548	$85,206	$85,236	$74,349	6/18/25	50	$74,349	($10,887)
$82,754	$108,937	$63,243		6/26/25	51 B	$85,000	$0
$68,194	$82,426	$83,776		7/3/25	52	$85,000	$0
$35,145	$58,240	$81,716		7/10/25	53 B	$85,000	$0
Grant Credits/Adjustments	($302,384)	($156,019)	($225,114)	($316,389)		($316,389)	
Total Cost	$3,088,161	$4,362,622	$4,394,146	$3,714,881		$3,969,881	($359,255)
Budgeted Amount	$2,000,000	$3,200,000	$2,700,000	$3,200,000		$3,975,000	Adj. in Rprt
Budgetary Diff.	($1,088,161)	($1,162,622)	($1,694,146)	($514,881)		$5,119	

Average of last 12 weeks worked	**$88,521**
Current fiscal year weekly OT average	**$74,298**
Prior fiscal year weekly OT average	**$84,503**

WATERBURY FIRE DEPARTMENT
THREE YEAR HISTORY - WEEKLY OVERTIME COSTS

FY22	FY23	FY24	FY25	Pay Period Posted	Pay P. Count	FY25 Estimates	Diff. In Estimates
$49,744	$42,333	$45,949	$34,851	7/11/24	1 B	$34,851	($11,098)
$50,512	$38,818	$58,626	$41,499	7/18/24	2	$41,499	($17,127)
$52,016	$54,387	$37,886	$35,147	7/25/24	3 B	$35,147	($2,738)
$43,046	$40,629	$49,827	$38,417	8/1/24	4	$38,417	($11,411)
$45,007	$48,213	$59,905	$43,227	8/8/24	5 B	$43,227	($16,678)
$83,129	$36,967	$55,656	$47,132	8/15/24	6	$47,132	($8,524)
$62,437	$54,884	$69,394	$27,790	8/22/24	7 B	$27,790	($41,604)
$61,498	$26,108	$45,077	$36,344	8/29/24	8	$36,344	($8,733)
$40,580	$23,689	$58,036	$49,631	9/5/24	9 B	$49,631	($8,405)
$62,857	$39,909	$72,009	$53,186	9/12/24	10	$53,186	($18,823)
$46,585	$57,193	$47,750	$60,789	9/19/24	11 B	$60,789	$13,039
$51,735	$44,395	$56,627	$71,314	9/26/24	12	$71,314	$14,687
$52,489	$31,941	$56,262	$74,156	10/3/24	13 B	$74,156	$17,894
$62,274	$38,664	$52,678	$43,460	10/10/24	14	$43,460	($9,218)
$52,855	$58,304	$52,819	$54,366	10/17/24	15 B	$54,366	$1,546
$39,258	$31,413	$55,844	$46,203	10/24/24	16	$46,203	($9,641)
$57,655	$69,661	$62,849	$54,640	10/31/24	17 B	$54,640	($8,208)
$67,835	$54,906	$49,647	$41,202	11/7/24	18	$41,202	($8,444)
$44,472	$67,287	$60,634	$62,766	11/14/24	19 B	$62,766	$2,132
$53,377	$63,837	$48,636	$39,772	11/21/24	20	$39,772	($8,864)
$42,702	$45,324	$59,423	$57,087	11/27/24	21 B	$57,087	($2,335)
$78,694	$48,458	$56,595	$64,447	12/5/24	22	$64,447	$7,852
$49,110	$83,168	$50,944	$54,451	12/12/24	23 B	$54,451	$3,507
$63,918	$55,729	$50,792	$55,093	12/19/24	24	$55,093	$4,301
$76,387	$50,366	$81,571	$48,472	12/26/24	25 B	$48,472	($33,099)
$111,367	$79,349	$74,699	$82,527	1/2/25	26	$82,527	$7,829
$61,724	$44,002	$58,834	$65,008	1/9/25	27 B	$65,008	$6,174
$55,597	$35,618	$38,959	$20,673	1/16/25	28	$20,673	($18,286)
$37,959	$21,192	$44,011	$51,776	1/23/25	29 B	$51,776	$7,765
$68,354	$38,679	$28,920	$41,486	1/30/25	30	$41,486	$12,565
$41,216	$42,677	$24,139	$37,307	2/6/25	31 B	$37,307	$13,168
$24,851	$44,443	$11,129	$32,687	2/13/25	32	$32,687	$21,559
$31,935	$34,715	$19,012	$48,537	2/20/25	33 B	$48,537	$29,525
$25,879	$25,956	$6,818	$40,101	2/27/25	34	$40,101	$33,283
$35,879	$24,647	$15,625	$50,065	3/6/25	35 B	$50,065	$34,440
$23,069	$28,090	$8,726	$33,284	3/13/25	36	$33,284	$24,558
$17,848	$24,229	$15,318	$31,237	3/20/25	37 B	$31,237	$15,919
$18,715	$16,323	$14,012	$40,477	3/27/25	38	$40,477	$26,464
$25,569	$25,143	$15,116	$41,148	4/3/25	39 B	$41,148	$26,032
$22,273	$34,193	$12,198	$30,390	4/10/25	40	$30,390	$18,193
$30,479	$37,499	$20,217	$28,405	4/17/25	41 B	$28,405	$8,187
$32,643	$23,149	$22,468	$36,901	4/24/25	42	$36,901	$14,433
$37,521	$26,426	$17,400	$55,745	5/1/25	43 B	$55,745	$38,345
$32,298	$19,785	$14,550	$52,726	5/8/25	44	$52,726	$38,176
$26,734	$38,373	$13,406	$46,365	5/15/25	45 B	$46,365	$32,960
$44,429	$32,602	$20,721	$58,902	5/22/25	46	$58,902	$38,181
$22,958	$43,051	$21,114	$59,779	5/29/25	47 B	$59,779	$38,665
$38,842	$43,235	$25,778	$58,482	6/5/25	48	$58,482	$32,704
$33,760	$39,422	$18,857	$47,892	6/12/25	49 B	$47,892	$29,035
$44,221	$41,258	$26,671	$77,345	6/18/25	50	$77,345	$50,675
$39,531	$57,670	$43,524		6/26/25	51 B	$45,000	$0
$25,085	$58,004	$24,437		7/3/25	52	$45,000	$0
$3,979	$10,765	$637		7/10/25	53 B	$30,000	$0
Grant Credits/Adjustments	$0	($55,018)	($78,640)	($39,845)		($39,845)	
Total Cost	$2,404,885	$2,142,063	$1,974,090	$2,364,843		$2,484,843	$420,556
Budgeted Amount	$1,600,000	$1,900,000	$2,050,000	$2,050,000		$2,475,000	Adj. in Rprt
Budgetary Diff.	($804,885)	($242,063)	$75,910	($314,843)		($9,843)	

Average of last 12 weeks worked	**$49,507**
Current fiscal year weekly OT average	**$47,297**
Prior fiscal year weekly OT average	**$37,963**

PROPERTY TAX COLLECTIONS REPORT FOR FY24 AND FY25

PROPERTY TAX COLLECTION AND PROJECTION REPORT: THROUGH MAY 31, 2025

	Current Collected	Remaining Curnt	All Collected	All at Yr End
FY24	$248,126,383	$845,285	$ 257,056,152	$ 251,238,391
FY25	$252,460,525	$5,650,000	$ 262,596,815	$ 265,064,821
	$4,334,143	$4,804,715	$ 5,540,663	$ 13,826,430
	1.75%	568.41%	2.16%	5.50%

	Current Year Taxes		Prior Year Taxes		Supplemental MV		Interest Current Year Tx		Interest Prior Year Taxes		Total	Total
	Actual	YTD & Proj	Actual	YTD & Proj	Actual	YTD & Proj	Actual	YTD & Proj	Actual	YTD & Proj	Collections	Collections
Month	FY 24	FY 25	FY 24	FY 25	FY 24	FY 25	FY 24	FY 25	FY 24	FY 25	FY 24	FY 25
July	$111,291,905	$128,328,999	($461,497)	($1,162,834)	$0	$0	$65,902	$77,336	$185,085	$247,770	$111,081,395	$127,491,270
August	$35,275,330	$19,409,528	$694,212	$748,198	$0	$0	$104,624	$63,561	$299,330	$224,964	$36,373,497	$20,446,251
September	$4,166,644	$3,564,329	($361,996)	$681,946	$0	$0	$134,851	$141,932	$165,663	$241,170	$4,105,162	$4,629,377
October	$1,727,253	$2,155,039	$257,756	$730,768	$0	$0	$59,331	$67,865	$210,108	$268,122	$2,254,448	$3,221,793
November	$1,197,601	$1,812,249	$182,060	$207,866	$0	$0	$31,984	$47,144	$115,557	$272,034	$1,527,202	$2,339,293
December	$7,157,810	$14,258,693	$467,144	$270,704	$9,430	$66,482	$53,864	$83,533	$421,900	$145,886	$8,110,148	$14,825,299
January	$71,270,966	$66,916,669	$341,628	$319,005	$1,431,120	$1,500,824	$87,994	$84,952	$166,675	$142,986	$73,298,383	$68,964,435
February	$8,457,219	$8,971,921	$238,669	$318,493	$589,764	$604,107	$149,928	$132,571	$216,502	$171,294	$9,652,081	$10,198,386
March	$4,454,041	$4,535,852	$460,301	$557,766	$366,681	$427,895	$296,831	$297,069	$238,316	$279,571	$5,816,169	$6,098,153
April	$2,067,362	$1,719,383	$302,136	$449,622	$123,923	$134,825	$165,641	$121,299	$297,050	$474,822	$2,956,112	$2,899,950
May	$1,060,254	$787,863	$332,803	$290,003	$87,392	$77,163	$110,482	$87,194	$290,626	$240,386	$1,881,556	$1,482,609
Subtotal Collection	$248,126,383	$252,460,525	$2,453,217	$3,411,536	$2,608,310	$2,811,296	$1,261,432	$1,204,454	$2,606,811	$2,709,004	$257,056,152	$262,596,815
June	$845,285	$5,650,000	$206,182	$205,000	$43,635	$45,000	$96,744	$95,000	$164,623	$160,000	$1,356,468	$6,155,000
Subtotal Collection	$845,285	$5,650,000	$206,182	$205,000	$43,635	$45,000	$96,744	$95,000	$164,623	$160,000	$1,356,468	$6,155,000
Subtotal Collection	$248,971,668	$258,110,525	$2,659,398	$3,616,536	$2,651,945	$2,856,296	$1,358,175	$1,299,454	$2,771,434	$2,869,004	$258,412,620	$268,751,815
% of Budget	99.5%	101.4%	72.9%	99.1%	102.0%	109.9%	123.5%	118.1%	126.0%	130.4%	99.5%	101.8%
% of Levy	93.9%	96.0%	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
60 day entry			($536,000)	($358,000)							($536,000)	($358,000)
60 day entry			$358,000	$250,000							$358,000	$250,000
Adj. (3rd party fees)			($1,331,945)	($1,278,995)							($1,331,945)	($1,278,995)
Adj. Overpayments			($5,664,284)	($2,300,000)							($5,664,284)	($2,300,000)
Total Year-End	$ 248,971,668	$ 258,110,525	$ (4,514,831)	$ (70,458)	$ 2,651,945	$ 2,856,296	$ 1,358,175	$ 1,299,454	$ 2,771,434	$ 2,869,004	$ 251,238,391	$ 265,064,821
% of Budget	99.5%	101.4%	-123.7%	-1.9%	102.0%	109.9%	123.5%	118.1%	126.0%	130.4%	96.7%	100.4%
% of Levy	93.9%	96.0%	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
Budget	$ 250,142,254	$ 254,492,324	$ 3,650,000	$ 3,650,000	$ 2,600,000	$ 2,600,000	$ 1,100,000	$ 1,100,000	$ 2,200,000	$ 2,200,000	$ 259,692,254	$ 264,042,324
Adjusted Levy	$ 265,163,117	$268,962,974	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
Budget Collect %	96.0%	96.0%	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
Mill Rate	54.19	49.44										
Italics=Projected												

Total Collections	Actuals FY 24	Actuals FY 25	Incremental Difference	Percentage Difference
1st Quarter	$151,560,053	$152,566,898	$1,006,844	0.66%
2nd Quarter	$11,891,798	$20,386,385	$8,494,587	71.43%
3rd Quarter	$88,766,632	$85,260,974	($3,505,658)	-3.95%
April & May	$4,837,668	$4,382,559	($455,109)	-9.41%
Totals	$257,056,152	$262,596,815	$5,540,663	2.16%

	Projection For June	Actuals as of 6/13/25	10 days Balance to Collect
Current Taxes	$5,650,000	$732,787	($4,917,213)
Prior Year Taxes	$205,000	$112,694	($92,306)
Supplemental	$45,000	$22,494	($22,506)
Current Interest	$95,000	$73,679	($21,321)
Prior Interest	$160,000	$62,074	($97,926)
Total	$6,155,000	$1,003,728	($5,151,272)

Potential Tax Impact $ 1,022,497
$491,721
$9,231
$2,251
$2,132
$9,793
$515,127

City of Waterbury
Revenue Collection and
Contractual Disbursements

Revenue Collected for Month Fiscal Year 2024-2025

	Current Taxes	$254,112,073.26		
	Current Interest	$1,114,551.63		
	Prior Taxes	$6,028,213.07		
	Prior Interest	$1,948,569.59		
	Liens	$22,676.00		
	Fees ***	$1,049,633.39		
	Parking Tickets	$257,208.00		
	Advance of Levy	$0.00		
	C-PACE	$0.00		
	Over and Shorts	$649.12		
	Current Revenue Collected	$264,533,574.06		
Plus*	***Deferred Revenue June 2024***	$0.00		
	Total Revenue Collected	$264,533,574.06		
Less *				
	60 Day Entry	$0.00		
	Bounced Checks FY 24-25	$729,778.40		
	Refunds FY 24-25	$877,122.83		
	Bank Fees FY 24-25	$0.00		
	Sub Total Tax Refund, Bounced Checks & Bank Fees	$1,606,901.23		
Less **				
	Water/ Sewer Collections	$4,626,468.25	$	269,160,042.31
			$	(270,000,000.00)
	Tax Serv Fees	$680,449.53	$	(839,957.69)
	Sub Total Tax Serv Contract	$5,306,917.78		
	MTS Taxes and Interest @ 45%	$539,340.22		
	MTS Fees	$0.00		
	Sub Total MTS	$539,340.22		
	Total Contractual Disbursements	$7,453,159.23		

Revenue Collected FY 2024 2025	$264,533,574.06
Less Contractual Disbursements	($7,453,159.23)
Bounced checks and Refunds	
Total Revenue for City of Waterbury	$257,080,414.83

*** *Fees include Warrants,Nsf Checks Fees, Ct Tax Fraud Fees and TaxServ Fees*
*** *Monthly wire Fund Transfers made from Finance Office*

City of Waterbury
Revenue Collection and
Contractual Disbursements

Revenue Collected for Month May 2025

	Current Taxes	$929,435.72	
	Current Interest	$90,926.94	
	Prior Taxes	$292,308.37	
	Prior Interest	$123,378.38	
	Liens	$5,124.00	
	Fees ***	$119,348.96	
	Parking Tickets	$18,463.00	
	Advance of Levy	$0.00	
	C-PACE	$0.00	
	Over and Shorts	$12.44	
	Current Revenue Collected	$1,578,997.81	
Plus*	***Deferred Revenue June 2024***	$0.00	
	Total Revenue Collected	$1,578,997.81	
Less *			
	60 Day Entry	$0.00	
	Bounced Checks FY 24-25	$0.00	
	Refunds FY 24-25	$0.00	
	Bank Fees FY 24-25	$0.00	
	Sub Total Tax Refund, Bounced Checks & Bank Fees	$0.00	
Less **			
	Water/ Sewer Collections	$291,612.38	$1,870,610.19
	Tax Serv Fees	$107,467.81	
	Sub Total Tax Serv Contract	$399,080.19	
	MTS Taxes and Interest @ 45%	$73,627.85	
	MTS Fees	$0.00	
	Sub Total MTS	$73,627.85	
	Total Contractual Disbursements	$472,708.04	

Revenue Collected May 2025	$1,578,997.81
Less Contractual Disbursements	($472,708.04)
Bounced checks and Refunds	
Total Revenue for City of Waterbury	$1,106,289.77

*** *Fees include Warrants,Nsf Checks Fees, Ct Tax Fraud Fees and TaxServ Fees*
*** *Monthly wire Fund Transfers made from Finance Office*

STATE AID FORMULA GRANTS	FY24 ACTUAL RECEIPTS	FY25 CITY ADOPTED BUDGET	RECEIVED TO DATE As of 5/31/25	BALANCE TO BE RECEIVED	TOTAL COLLECTED AT YEAR END	BUDGET IMPACT AT YEAR END
STATE AID - FORMULA GRANTS - CITY						
P.I.L.O.T. -- TIERED REIMBURSEMENT	$9,951,338	$9,460,476	$9,460,475	$0	$9,460,475	($1)
PEQUOT -- STATE PROPERTIES GRANT	$2,637,435	$2,637,435	$1,758,290	$879,145	$2,637,435	$0
MUNICIPAL REVENUE SHARING	$5,067,662	$2,000,000	$0	$0	$0	($2,000,000)
MRSA-MOTOR VEHICLES	$21,996,223	$17,305,061	$17,305,061	$0	$17,305,061	$0
SUPPLEMENTAL REVENUE SHARING GRANT	$11,082,559	$5,582,559	$5,582,559	$0	$5,582,559	$0
MUNICIPAL STABILIZATION GRANT	$0	$0	$0	$0	$0	$0
Subtotal	$50,735,217	$36,985,531	$34,106,385	$879,145	$34,985,530	($2,000,001)
STATE AID - FORMULA GRANTS - EDUCATION						
EDUCATION EQUALIZATION (ECS)	$114,035,542	$113,617,182	$113,942,555	$0	$113,942,555	$325,373
NON-PUBLIC SCHOOL TRANSPORTATION	$0	$0	$0	$0	$0	$0
PUBLIC SCHOOL TRANSPORTATION	$0	$0	$0	$0	$0	$0
Subtotal	$114,035,542	$113,617,182	$113,942,555	$0	$113,942,555	$325,373
Subtotal - Formula Grants	$164,770,759	$150,602,713	$148,048,940	$879,145	$148,928,085	($1,674,628)
STATE AID - REIMBURSEMENTS						
EXEMPTIONS FOR ELDERLY	$16,200	$20,000	$14,318	$0	$14,318	($5,682)
VETERANS ADDITIONAL EXEMPTION	$61,844	$100,000	$37,976	$0	$37,976	($62,024)
ABATEMENT FOR ELDERLY HOUSING	$0	$0	$0	$0	$0	$0
DISTRESSED MUNICIPALITIES	$0	$0	$0	$0	$0	$0
STATE AID - REIMBURSEMENTS - EDUCATION						
SPECIAL EDUCATION REIMBURSEMENTS	$5,503,301	$3,700,000	$4,678,361	$0	$4,678,361	$978,361
NON-PUBLIC SCHOOL SERVICE (NURSES)	$342,562	$185,000	$293,444	$0	$293,444	$108,444
SPECIAL EDUCATION - MEDICAID GRANT	$1,040,780	$650,000	$1,419,894	$10,000	$1,429,894	$779,894
STATE GRANT - OTHER	$196,374	$175,000	$150,753	$24,247	$175,000	$0
Subtotal	$7,161,061	$4,830,000	$6,594,746	$34,247	$6,628,994	$1,798,994
Total	$171,931,820	$155,432,713	$154,643,687	$913,392	$155,557,079	$124,366

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Waterbury Board of Education

FY2024-2025

May
Expenditure Report

ACCOUNT	CLASSIFICATION	FY 25 ORIGINAL BUDGET	FY 25 ADJUSTED BUDGET	MAY EXPENDITURE	MAY ENCUMBRANCE	CURRENT BALANCE	PROJECTED EXP.	PROJECTED DIFFERENCE
Salaries								
511101	Administrators	$11,652,029	$10,659,804	$9,520,932	$0	$1,138,872	$10,770,928	($110,124)
511102	Teachers	$41,409,063	$37,302,136	$30,385,555	$0	$6,916,581	$38,049,889	($747,753)
511104	Superintendent	$454,426	$304,426	$261,808	$0	$42,618	$287,129	$17,297
511106	Early Incentive Certifiied	$796,084	$899,705	$967,248	$0	($67,543)	$967,248	($67,543)
511107	Certified Coaches	$770,000	$770,000	$498,323	$0	$271,677	$797,908	($27,908)
511108	School Psychologists	$1,203,749	$846,832	$633,622	$0	$213,210	$823,537	$23,295
511109	School Social Workers	$2,311,147	$2,151,850	$1,630,562	$0	$521,288	$2,119,846	$32,004
511110	Speech Pathologists	$2,462,183	$2,496,959	$2,390,776	$0	$106,183	$3,017,229	($520,270)
511111	Assistant Superintendent	$173,250	$173,250	$153,260	$0	$19,990	$173,250	$0
511113	Extra Compensatory Stipend	$105,000	$105,000	$130,114	$0	($25,114)	$134,411	($29,411)
511201	Non-Certified Salaries	$2,918,541	$2,948,973	$2,720,952	$0	$228,021	$2,899,779	$49,194
511202	Clerical Wages	$1,286,958	$1,292,022	$933,672	$0	$358,350	$1,048,878	$243,144
511204	Crossing Guards	$391,528	$382,443	$408,570	$0	($26,127)	$449,254	($66,811)
511206	Educational	$450,000	$387,164	$278,479	$0	$108,685	$318,529	$68,635
511212	Substitute Teachers	$350,000	$350,000	$382,921	$3,924	($36,845)	$422,881	($72,881)
511217	Library Aides	$207,477	$207,477	$132,898	$0	$74,579	$143,962	$63,515
511219	School Clerical	$2,338,476	$2,325,853	$1,942,665	$0	$383,189	$2,135,889	$189,964
511220	Fiscal Administration	$796,195	$796,195	$504,883	$0	$291,312	$564,944	$231,251
511222	Transportation Coordinator	$210,967	$210,967	$189,059	$0	$21,908	$211,778	($811)
511223	Office Aides	$180,000	$180,000	$141,371	$0	$38,629	$158,240	$21,760
511225	School Maintenance Non-Certified	$2,563,557	$2,437,784	$2,196,332	$0	$241,452	$2,441,218	($3,434)
511226	Custodians Non-Certified	$6,110,510	$5,629,847	$5,245,953	$0	$383,894	$5,884,360	($254,513)
511227	Overtime - Outside Activities	$245,000	$245,000	$355,847	$0	($110,847)	$375,000	($130,000)
511228	Paraprofessionals	$9,570,536	$9,910,014	$9,203,565	$0	$706,449	$10,073,675	($163,661)
511229	Bus Duty	$265,000	$265,000	$1,329	$0	$263,671	$247,605	$17,395
511232	Attendance Counselors	$129,458	$129,458	$113,928	$0	$15,530	$130,214	($756)
511233	ABA Behaviorial Therapist	$1,426,252	$843,848	$759,892	$0	$83,956	$831,499	$12,349
511234	Interpreters	$201,986	$201,986	$136,396	$0	$65,590	$149,127	$52,859
511236	Snow Removal	$0	$0	$71,960	$0	($71,960)	$71,960	($71,960)
511650	Overtime	$640,000	$640,000	$953,667	$0	($313,667)	$975,000	($335,000)
511653	Longevity	$5,620	$5,620	$6,835	$0	($1,215)	$6,835	($1,215)
511700	Extra Police Protection	$943,423	$943,423	$324,468	$0	$618,955	$992,859	($49,436)
511800	Vacation and Sick Term Payout	$120,055	$416,434	$227,840	$0	$188,594	$292,668	$123,766
522501	Health Insurance-General	$10,000,000	$10,000,000	$10,000,000	$0	$0	$10,000,000	$0
529001	Car Allowance	$75,000	$69,200	$96,374	$0	($27,174)	$110,000	($40,800)
529003	Meal Allowances	$15,000	$15,000	$15,375	$0	($375)	$15,517	($517)
Subtotal Salaries		**$102,778,470**	**$96,543,670**	**$83,917,429**	**$3,924**	**$12,622,317**	**$98,093,045**	($1,549,375)

ACCOUNT	CLASSIFICATION	FY 25 ORIGINAL BUDGET	FY 25 ADJUSTED BUDGET	MAY EXPENDITURE	MAY ENCUMBRANCE	CURRENT BALANCE	PROJECTED EXP.	PROJECTED DIFFERENCE
Purchased Services								
533000	Professional Services	$4,200,000	$4,200,000	$3,306,315	$230,598	$663,087	$3,826,624	$373,376
533009	Evaluation	$2,500	$2,500	$0	$0	$2,500	$0	$2,500
533020	Consulting Services	$406,658	$406,658	$317,976	$71,155	$17,527	$389,131	$17,527
533100	Auditing	$62,000	$62,000	$57,500	$0	$4,500	$57,500	$4,500
539005	Sporting Officials	$25,000	$25,000	$25,000	$0	$0	$25,000	$0
539008	Messenger Service	$33,600	$33,600	$29,251	$374	$3,975	$33,488	$112
543000	General Repairs & Maintenance	$1,288,000	$1,331,671	$1,053,255	$122,084	$156,332	$1,259,449	$72,222
543011	Maintenance - Service Contracts	$975,000	$983,965	$794,959	$105,491	$83,515	$976,363	$7,602
544002	Building Rental	$587,642	$594,006	$550,006	$0	$44,000	$594,006	$0
545002	Water	$250,000	$250,000	$221,498	$0	$28,502	$250,000	$0
545006	Electricity	$3,000,000	$3,700,000	$3,356,371	$0	$343,629	$4,191,471	($491,471)
545013	Security/Safety	$100,000	$86,000	$50,308	$60	$35,632	$56,311	$29,689
551000	Pupil Transportation	$18,790,000	$20,884,000	$16,834,596	$2,267,131	$1,782,272	$20,411,254	$472,746
553001	Postage	$45,000	$45,000	$38,428	$0	$6,572	$42,500	$2,500
553002	Telephone	$200,000	$200,000	$185,441	$2,420	$12,140	$189,775	$10,225
553005	Wide-area Network (SBC)	$93,600	$77,500	$69,647	$7,529	$324	$77,500	$0
556055	Tuition - Outside	$16,000,000	$17,000,000	$14,221,251	$1,002,500	$1,776,249	$17,142,848	($142,848)
556056	Purchased Service - Outside	$3,000,000	$4,175,000	$3,021,737	$256,469	$896,794	$3,716,497	$458,503
557000	Tuition Reimbursement	$10,000	$10,000	$29,974	$0	($19,974)	$35,465	($25,465)
558000	Travel Expenses	$5,000	$5,000	$384	$0	$4,616	$384	$4,616
559001	Advertising	$32,500	$32,500	$10,330	$0	$22,170	$10,330	$22,170
559002	Printing & Binding	$23,000	$23,000	$674	$0	$22,326	$12,532	$10,468
559104	Insurance - Athletics	$21,700	$21,700	$19,851	$0	$1,849	$19,851	$1,849
Subtotal Purchased Services		**$49,151,200**	**$54,149,100**	**$44,194,754**	**$4,065,810**	**$5,888,536**	**$53,318,279**	**$830,821**
Supplies/Materials								
561100	Instructional Supplies	$1,612,104	$1,541,746	$1,117,348	$6,451	$417,948	$1,152,888	$388,858
561200	Office Supplies	$75,590	$62,808	$48,915	$1,740	$12,154	$51,025	$11,783
561204	Emergency/Medical Supplies	$2,000	$2,000	$0	$0	$2,000	$0	$2,000
561210	Intake Center Supplies	$3,500	$3,500	$2,848	$0	$652	$2,848	$652
561211	Recruitment Supplies	$50,000	$50,000	$24,346	$2,429	$23,224	$32,773	$17,227
561212	Medicaid Supplies	$8,000	$8,000	$4,731	$124	$3,145	$5,130	$2,870
561501	Diesel	$173,736	$146,329	$115,294	$0	$31,035	$145,111	$1,218
561503	Gasoline	$210,667	$238,574	$197,219	$8,229	$33,126	$238,573	$1
561505	Natural Gas	$2,000,000	$1,800,000	$1,378,072	$0	$421,928	$1,688,063	$111,937
561507	Janitorial Supplies	$375,000	$375,000	$364,549	$0	$10,451	$373,461	$1,539
561508	Electrical Supplies	$50,000	$50,000	$44,819	$964	$4,218	$47,658	$2,342
561509	Plumbing Supplies	$100,000	$100,000	$96,409	$463	$3,127	$98,273	$1,727
561510	Building & Ground Supplies	$220,000	$220,000	$179,076	$10,845	$30,079	$210,441	$9,559
561511	Propane	$404,688	$404,688	$286,592	$0	$118,096	$286,591	$118,097
567000	Clothing Supplies	$40,000	$46,100	$45,162	$0	$938	$45,162	$938
567001	Crossing Guard Uniforms	$2,000	$2,000	$1,891	$0	$109	$1,891	$109
569010	Recreational Supplies	$15,000	$15,000	$11,906	$0	$3,094	$11,906	$3,094
569029	Athletic Supplies	$137,895	$137,167	$130,060	$0	$7,108	$137,065	$102
Subtotal Supplies/Materials		**$5,480,180**	**$5,202,913**	**$4,049,236**	**$31,245**	**$1,122,431**	**$4,528,859**	**$674,054**

ACCOUNT	CLASSIFICATION	FY 25 ORIGINAL BUDGET	FY 25 ADJUSTED BUDGET	MAY EXPENDITURE	MAY ENCUMBRANCE	CURRENT BALANCE	PROJECTED EXP.	PROJECTED DIFFERENCE
Property								
575008	Furniture-Misc.	$15,000	$15,000	$4,626	$323	$10,051	$9,039	$5,961
575200	Office Equipment	$155,000	$155,000	$139,963	$1,218	$13,819	$154,285	$715
575408	Plant Equipment	$40,000	$39,700	$37,612	$0	$2,088	$39,051	$649
Subtotal Property		**$210,000**	**$209,700**	**$182,202**	**$1,541**	**$25,957**	**$202,375**	**$7,325**
Other/Miscellaneous								
589021	Mattatuck Museum	$12,000	$12,000	$11,980	$0	$20	$11,980	$20
589034	Board of Ed Commissioners	$20,700	$20,700	$21,221	$0	($521)	$22,946	($2,246)
589036	Emergency Fund	$9,450	$9,450	$9,405	$0	$46	$9,405	$46
589056	Waterbury Promise	$500,000	$500,000	$500,000	$0	$0	$500,000	$0
589201	Mileage	$10,000	$13,367	$10,452	$0	$2,915	$12,487	$880
589205	Coaches Reimbursements	$3,000	$4,100	$2,997	$0	$1,103	$3,814	$286
589900	Dues & Publications	$65,000	$65,000	$33,950	$0	$31,050	$33,950	$31,050
591002	Transfer to Sinking Fund	$0	$1,460,000	$0	$0	$1,460,000	$1,460,000	$0
591004	Athletic Revolving Fund	$135,000	$185,000	$175,360	$0	$9,640	$177,860	$7,140
Total Other/Miscellaneous		**$755,150**	**$2,269,617**	**$765,365**	**$0**	**$1,504,252**	**$2,232,442**	**$37,176**
GRAND TOTAL OPERATING BUDGET		**$158,375,000**	**$158,375,000**	**$133,108,986**	**$4,102,521**	**$21,163,494**	**$158,375,000**	**$0**
Other Additional Funding								
	Alliance Non-Reform/Reform	**$57,684,387**	**$57,684,387**	**$42,372,599**	**$0**	**$15,311,788**	**$57,684,387**	**$0**
	GF Surplus 15-16	$1,000,000	$1,000,000	$0	$0	$1,000,000	$0	$1,000,000
	GF Surplus 14-15	$1,000,000	$1,000,000	$0	$0	$1,000,000	$0	$1,000,000
	GF Surplus 16-17	$450,000	$450,000	$0	$0	$450,000	$0	$450,000
	Contingency Surplus	$675,000	$675,000	$0	$0	$675,000	$0	$675,000
	City Non Lapsing Account	$500,000	$500,000	$0	$0	$500,000	$0	$500,000
Total Additional Funding		**$61,309,387**	**$61,309,387**	**$42,372,599**	**$0**	**$18,936,788**	**$57,684,387**	**$3,625,000**
GRAND TOTAL ALL FUNDING		**$219,684,387**	**$219,684,387**	**$175,481,585**	**$4,102,521**	**$40,100,282**	**$216,059,387**	**$3,625,000**

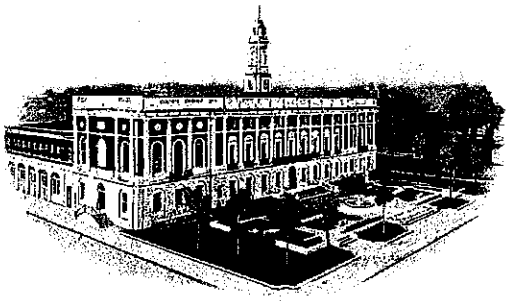
CITY OF WATERBURY - PENSION PLAN

Pension Plan Payments to Retirees

	Fiscal Year 18/19	Fiscal Year 19/20	Fiscal Year 20/21	Fiscal Year 21/22	Fiscal Year 22/23	Fiscal Year 23/24		Fiscal Year 24/25
July	**$4,028,580**	**$4,146,181**	**$4,203,851**	**$4,228,120**	**$4,300,182**	**$4,368,772**		**$4,443,042**
August	**$4,036,612**	**$4,160,287**	**$4,208,925**	**$4,236,796**	**$4,305,591**	**$4,405,472**		**$4,480,321**
September	**$4,030,431**	**$4,157,798**	**$4,193,764**	**$4,235,959**	**$4,278,994**	**$4,413,460**		**$4,481,613**
October	**$4,041,008**	**$4,177,368**	**$4,212,863**	**$4,273,684**	**$4,311,462**	**$4,403,007**		**$4,493,003**
November	**$4,060,917**	**$4,188,672**	**$4,215,503**	**$4,273,008**	**$4,314,876**	**$4,410,718**		**$4,488,471**
December	**$4,054,843**	**$4,157,900**	**$4,189,544**	**$4,255,506**	**$4,319,215**	**$4,411,232**		**$4,625,674**
January	**$4,066,443**	**$4,166,980**	**$4,191,130**	**$4,268,555**	**$4,295,177**	**$4,381,509**		**$4,487,711**
February	**$4,099,624**	**$4,165,375**	**$4,207,153**	**$4,256,974**	**$4,342,060**	**$4,399,507**		**$4,474,650**
March	**$4,083,096**	**$4,152,425**	**$4,189,044**	**$4,278,719**	**$4,340,141**	**$4,426,356**		**$4,491,880**
April	**$4,110,938**	**$4,172,219**	**$4,192,081**	**$4,273,265**	**$4,367,057**	**$4,415,428**		**$4,511,668**
May	**$4,130,441**	**$4,176,598**	**$4,207,456**	**$4,285,305**	**$4,374,569**	**$4,457,190**		**$4,476,115**
June	**$4,114,007**	**$4,159,752**	**$4,205,884**	**$4,296,865**	**$4,369,321**	**$4,449,218**		*$4,449,218*
Total	**$48,856,939**	**$49,981,553**	**$50,417,198**	**$51,162,756**	**$51,918,647**	**$52,941,870**		**$53,903,364**
GF Contribution	**$20,234,860**	**$21,096,073**	**$21,829,498**	**$22,515,092**	**$23,545,674**	**$23,100,463**		**$24,709,249**
Interest Needed	($28,622,079)	($28,885,480)	($28,587,700)	($28,647,664)	($28,372,973)	($29,841,407.01)		($29,194,115)
Bold = Actuals								
Italics = Projections								

	Fiscal Year 18/19	Fiscal Year 19/20	Fiscal Year 20/21	Fiscal Year 21/22	Fiscal Year 22/23	Fiscal Year 23/24		Fiscal Year 24/25
July	**$441,964**	**$438,177**	**$574,007**	**$572,905**	**$423,319**	**$451,727**		**$512,179**
August	**$566,603**	**$553,175**	**$416,649**	**$419,016**	**$466,433**	**$547,463**		**$620,580**
September	**$518,476**	**$503,624**	**$486,596**	**$566,295**	**$615,394**	**$535,425**		**$579,329**
October	**$513,020**	**$592,383**	**$587,591**	**$830,861**	**$511,309**	**$554,886**		**$782,083**
November	**$594,392**	**$543,325**	**$500,243**	**$498,554**	**$501,712**	**$734,249**		**$593,088**
December	**$684,291**	**$674,722**	**$840,782**	**$828,027**	**$829,206**	**$738,478**		**$808,147**
January	**$656,166**	**$656,936**	**$500,735**	**$486,847**	**$490,128**	**$556,713**		**$688,090**
February	**$502,276**	**$511,250**	**$506,307**	**$495,609**	**$593,736**	**$667,451**		**$600,866**
March	**$501,806**	**$507,886**	**$499,113**	**$583,343**	**$594,800**	**$571,793**		**$606,981**
April	**$497,020**	**$588,941**	**$584,268**	**$496,610**	**$502,863**	**$573,334**		**$601,583**
May	**$581,109**	**$504,734**	**$508,838**	**$487,637**	**$505,852**	**$755,578**		**$802,805**
June	**$493,565**	**$500,817**	**$498,536**	**$642,512**	**$706,816**	**$555,490**		*$555,490*
Total	**$6,550,687**	**$6,575,969**	**$6,503,665**	**$6,908,218**	**$6,741,567**	**$7,242,587**		**$7,751,221**

SARAH R. GEARY
MANAGER OF BUDGET
DEVELOPMENT AND OVERSIGHT



OFFICE OF BUDGET CONTROL

THE CITY OF WATERBURY

CONNECTICUT

To: The Honorable Board of Aldermen

From: Sarah R. Geary, Manager of Budget Development and Oversight SRG

Date: July 3, 2025

Subject: Budget Transfers – General Fund FY25

At the request of the Mayor, please give favorable consideration to this budget transfer within the City's **General Fund Budget** for the Fiscal Year ending June 30, 2025 in the amount of $820,000.

Department/Account	Acct Unit #	Acct #	Budget	Projected	From	To
Operating Charges						
Contingency Account	10010006	599001	$1,035,000	$215,000	$820,000	
City Clerk						
Vacation & Sick Term Payout	1100001	511800	$0	$25,000		$25,000
Purchasing						
Salaried Employees	2100001	511500	$300,735	$320,735		$20,000
Fire						
Vacation & Sick Term Payout	3100001	511800	$0	$150,000		$150,000
Inspections						
Vacation & Sick Term Payout	5040001	511800	$0	$75,000		$75,000
Health						
Temporary Employees	6010001	511600	$250,000	$475,000		$225,000
General Financial						
ER Medicare- General	10010004	520103	$1,389,000	$1,444,000		$55,000
ER Medicare- Education	10010004	520104	$2,549,000	$2,614,000		$65,000
401(A) ER Match- General	10010004	520105	$290,000	$325,000		$35,000
Group Insurance- Education	10010004	522002	$490,000	$610,000		$120,000
Transfer to Special Revenue Fund	10010006	591014	$640,000	$690,000		$50,000
Total General Fund Transfers					**$820,000**	**$820,000**

This budget transfer would move a total of $820,000 from the City's Contingency account to accounts in various department budgets that pertain to personnel expenses. The transfers above will allow the departments to end FY25 within budget.

There are several departments that would end the fiscal year over budget without this transfer due to payroll expenses, including vacation and sick payouts that have been made to employees throughout the year. These payouts for accumulated time to employees leaving City employment are difficult to predict and budget for each year in the General Fund. Budgeting too much unnecessarily increases the budget, while budgeting too little leaves the departments and the budget as a whole exposed to large and uncertain shortfalls. Therefore, the City stopped budgeting for these payments in the

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General Fund many years ago and created a Benefits Reserve Fund to assist with these payments when necessary. However, there is adequate funding remaining in the Contingency account in FY25 to transfer to department budgets in order for the vacation and sick payouts to remain in the General Fund. This will leave the balance in the Benefits Reserve Fund available for future years.

A total of $225,000 of this transfer would be used to provide additional funding to the temporary salaries account in the Health Department. This account is used to hire nurses from temporary staffing agencies due to vacancies in the school nurse position. Expense savings in the Health Department's regular salaries account due to these vacancies are not fully covering the overage in the temporary salaries account. This is mainly due to the fact that the regular salaries account is accommodating two years' worth of salary increases that were awarded to the School Nurses Union after FY25 budget development time and therefore, not budgeted within the Health Department's budget. It was anticipated that the Health Department would need a budget transfer from the Contingency account to accommodate increased personnel expenses.

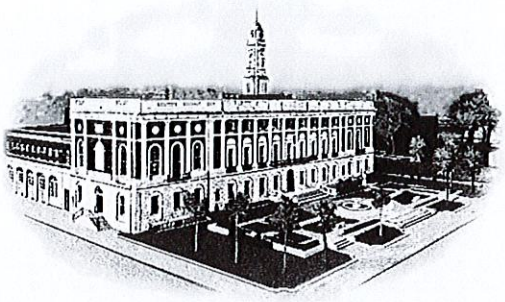
In the General Financial area of the budget, a total of $275,000 is needed to keep various payroll tax and benefit accounts within budget. Over the last year, the City has been successful in filling vacant positions on both the General Government and Education sides, which has caused increased expenses in these accounts. There is also a transfer of $50,000 in this area of the budget to sustain the Summer Internship Program for high school students in Waterbury Public Schools, which began in 2023 and is continuing this summer. The program provides the students with the opportunity to gain work experience in both General Government and Education Departments.

The Contingency account currently has a balance of $1,035,000. After this transfer, the account will have a balance of $215,000.

This request has been vetted by the Office of Budget Control and the Mayor's Office. We respectfully request approval of this item by the Board of Aldermen at its meeting on July 14, 2025.

cc: The Honorable Paul K. Pernerewski, Jr., Mayor, City of Waterbury
Joseph A. Geary, Chief of Staff, City of Waterbury
David Lepore, Advisor to the Mayor, City of Waterbury
Michael LeBlanc, Director of Finance, City of Waterbury
Michael Dalton, City Clerk, City of Waterbury

PAUL K. PERNEREWSKI, JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

July 2, 2025

Honorable Board of Aldermen
c/o Michael Dalton, City Clerk
235 Grand Street
Waterbury, CT 06702

Re: Unrestricted and Restricted Appointments to Boards and Commissions

Dear Honorable Board Members:

I hereby notify this Honorable Board of my appointment of the following individuals to the following Boards and Commissions of the City of Waterbury:

The following Appointments are placed before you for favorable consideration, "not to disapprove" the following individuals:

RESTRICTED APPOINTMENTS:

LITTER CONTROL AND BEAUTIFICATION COMMISSION

Appointee	**Term to be appointed**
William Nichols (R)	1/1/2022-12/31/2025

INLAND WETLANDS AND WATERCOURSES COMMISSION

Appointee	**Term to be appointed**
Jose Morales (R)	1/1/2022-12/31/2025

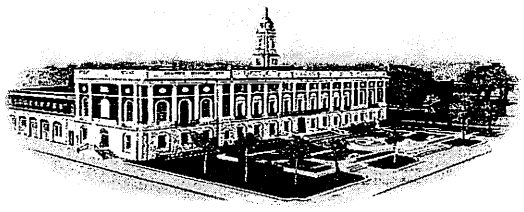
UNRESTRICTED APPOINTMENT:

BOARD OF ETHICS

Appointee	**Term to be appointed**
Mark Cavanaugh (D)	8/1/2020-7/31/2025

Respectfully submitted,

Paul K. Pernerewski, Jr.
Mayor, City of Waterbury



OFFICE OF COMMUNITY DEVELOPMENT

THE CITY OF WATERBURY

CONNECTICUT

DATE: July 1. 2025

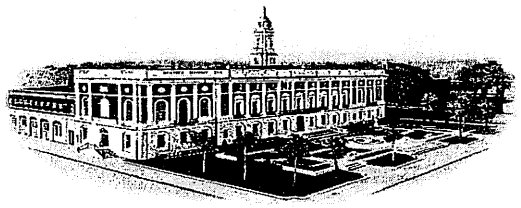
TO: Board of Aldermen, City of Waterbury

FROM: John Goggin
Asst. Director of Community Development

SUBJECT: Approval of Five-Year Consolidated Plan (2025 – 2029)
Approval of CD YR 51 (2025-2026) Annual Action Plan
Approval of the Analysis of Impediments to Fair Housing

At your meeting on July 14, 2025, please approve the City of Waterbury's Five-Year Consolidated Plan (2025-2029); the CD YR 51 (2025-2026) Annual Action Plan, and the updated Analysis of Impediments to Fair Housing, and authorize Mayor Paul K. Pernerewski to submit them and execute all related documents. I have attached a full copy of the Five-Year Plan (2025-2029) which includes the CD YR 51 (2025-2026) Annual Action Plan, the first year Plan of the five-year period. I have also included a copy of the updated Analysis of Impediments to Fair Housing (AI). The AI is a requirement with the submission of the new Five-Year Plan. The CD YR 51 (2025-2026) Annual Action Plan includes the allocations of funds voted on by the Citizens Advisory Committee (CAC) at their meeting on July 2, 2025.

The Five-Year Consolidated Plan (2025-2029), the CD YR 51 (2025-2026) Annual Action Plan and the updated Analysis of Impediments to Fair Housing Choice were developed in compliance with HUD regulations and the City's Citizen Participation Plan (CPP). The Plans were formulated with public input through technical assistance workshops; neighborhood and community meetings; an online survey for residents and agencies; public meetings of the Citizen Advisory Committee (CAC); and two public hearings held before the CAC. The CAC reviewed all applications for CDBG and ESG funding. One public hearing was held at the needs assessment stage of the Consolidated Plan, the Annual Plan and Analysis of Impediments to Fair Housing Choice. The second public hearing during the Plan's development and allocation stage was held on June 17th, to receive public comments on the proposed plans. A schedule of CAC public meetings, hearings, and technical assistance workshops along with the transcripts of both public hearings are available upon request and will be included in the formal submission to HUD. A summary of the CD YR 51 Annual Action Plan allocations was published in the Republican American on June 2nd, and was also posted on the City's website, under the Community Development webpage.



OFFICE OF COMMUNITY DEVELOPMENT

THE CITY OF WATERBURY

CONNECTICUT

A SUMMARY OF THE CITY OF WATERBURY'S CD YR 51 (2025-2026) ANNUAL ACTION PLAN

The City of Waterbury Community Development Office has prepared the City's proposed 2025-2026 Annual Action Plan. The Citizen Advisory Committee (CAC) held two public hearings and a public comment period before voting to recommend the plan before you. This action is undertaken in compliance with federal regulations 24 CFR 91. The Annual Action Plan delineates proposed activities to be funded under the U.S. Department of Housing and Urban Development's (HUD) Community Development Block Grant (CDBG) program. The 2025-2026 Annual Action Plan identifies how this year's funds will be used. For CD YR 51 (2025-2026), the City of Waterbury will receive $2,176,880 in Community Development Block Grant funds; $192,767 in Emergency Solutions Grant funds and $872,241.28 in HOME Investment Partnership funds. The following is a list of programs and investments including the amount of funds proposed for the Action Plan, which is the first-year plan of the five years.

Proposed 2025-2026 Annual Action Plan:

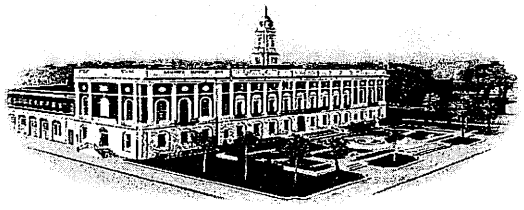
Community Development Block Grant (CDBG) - $2,176,880

Administration & Planning - $435,376

Administration (188 Bank St): $435,376 – Allocation of 20% CDBG funds to provide for program administration.

Social Service Programs - $326,532

1. Catholic Charities (965 South Main St): $9,835.45 - Funds salaries, building facility, and case management at Waterbury Family Center.
2. Center for Human Development (690 East Main St.): $49,835.45 - Funds coordinators salary to support the Hospitality Center
3. Children In Placement (7 Kendrick St): $14,835.45 – Funds will support case management services advocating for neglected children.
4. Community Partners in Action (77-79 Bishop St.): $29,835.45 - Funds case management and other services for Waterbury Reentry Welcome Center (RWC)
5. Greater Waterbury Interfaith Ministries (770 East Main St.): $59,835.45 - Funds food, supplies, utilities, and salaries at soup kitchen and food pantry



OFFICE OF COMMUNITY DEVELOPMENT

THE CITY OF WATERBURY

CONNECTICUT

6. Hispanic Coalition of Greater Waterbury (135 East Liberty St): $14,835.45 – Funds will support the salary for a full-time case manager.
7. Manufacturing Alliance Service Corporation (173 Interstate Ln): $54,835.45 – Funds will cover cost of training, materials, supplies and testing fees for credentials
8. NEST (193 Grand St): $19,835.45 – Funds will be allocated to support staff salaries, educational materials, printing, marketing and promotions, advertising, and overall operations and administration of a homeownership education program.
9. New Opportunities (232 North Elm St): $9,835.45 - funds will be used to support the cost of meals for seniors.
10. Rivera Memorial Foundation (187 Cherry St): $9,835.45 – Funds will be used to support program materials, staffing costs, and operational costs of the Bridge and Mentor Me Afterschool Program.
11. Safe Haven of Greater Waterbury (29 Central Ave): $9,835.45 – Funds will be used for advocate salaries, insurance, occupancy costs, and supplies.
12. Shakesperience (117 Bank St): $9,835.45 – Funds will be used for two Resident Artists and a program manager salary, occupancy expenses, and material costs for Acting Intensive classes.
13. Shakesperience (117 Bank St): $9,835.45 – Funds will be used for salary supplementation for resident artists and a program manager for an After School Enrichment program.
14. Waterbury Senior Shuttle (188 Bank St): $3,835.45 - Funds will be used to support the cost of the free to ride Senior Shuttle.
15. Greater Waterbury YMCA (136 West Main St): $19,835.45 – Funds will be used for salary supplementation for case managers working with youth who are truant.

Improvement Projects - $1,414,972

1. Boys & Girls Club of GW (1037 East Main St): $20,000 – Funds will be used to purchase and install fencing along the Club's property.
2. Family & Children's Aid (30 Holmes Ave): $300,000 – Funds will be used to replace the roof at the FCA Behavioral Health Clinic.
3. Marrakech (29 Robbins St): $22,590 – Funds will be used to repair the driveway at their Robbins Street location.

4. Mattatuck Museum (144 West Main St): $99,993 – Funds will be used to upgrade existing bathroom units to ADA compliant units.
5. Park Improvements (347 Long Hill Rd): $350,000 – Funds will be used to upgrade the basketball court and athletic field at Berkley Park.
6. Park Improvements (283 Sylvan Ave): $350,000 – Funds will be used for the installation of a HVAC unit at the Washington Park Rec Center Gym.
7. Waterbury Development Corp. (83 Bank St): $20,084 – Funds will be used to support the City's Emergency Relocation program.
8. Waterbury Emergency Home Repair Program (WEHRP) (188 Bank St): $50,000 – Funds will be used to focus on repair of major systems that fail, including: roof, electrical, plumbing, heating/cooling in owner-occupied, single-family homes.
9. Greater Waterbury YMCA (136 West Main St): $202,305 – Funds will be used to purchase and install a pool dehumidification HVAC system at the YMCA campus.

Emergency Solutions Grant (ESG) - $192,767

Administration (188 Bank St): $14,457 – Allocation of 7.5% of ESG funds for program administration.

Brian Gibbons Homeless Outreach (270 Church St): $28,576 – Funds will be used to support the Rooted in Home Prevention Program, addressing the need for homeless prevention

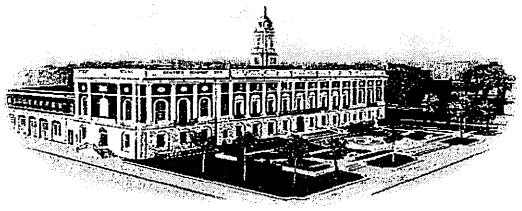
Safe Haven (29 Central Ave): $21,538 – Funds shelter operations for victims of domestic violence.

Salvation Army (74 Central Ave): $21,911 - $10,897 to fund shelter operations; $11,014 to fund salaries and direct financial assistance related to rapid re-housing services.

St. Vincent DePaul (114 Benedict St): $106,285 - $83,225 to fund shelter operations; $23,060 for direct financial assistance related to rapid re-housing services.

HOME Program - $872,241.28

HOME Funds will benefit low and moderate households, targeted as determined by the City. HOME allocations include the following:



OFFICE OF COMMUNITY DEVELOPMENT

THE CITY OF WATERBURY

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Administration: $87,224 (10%) – funds will be used for administration of the HOME program.

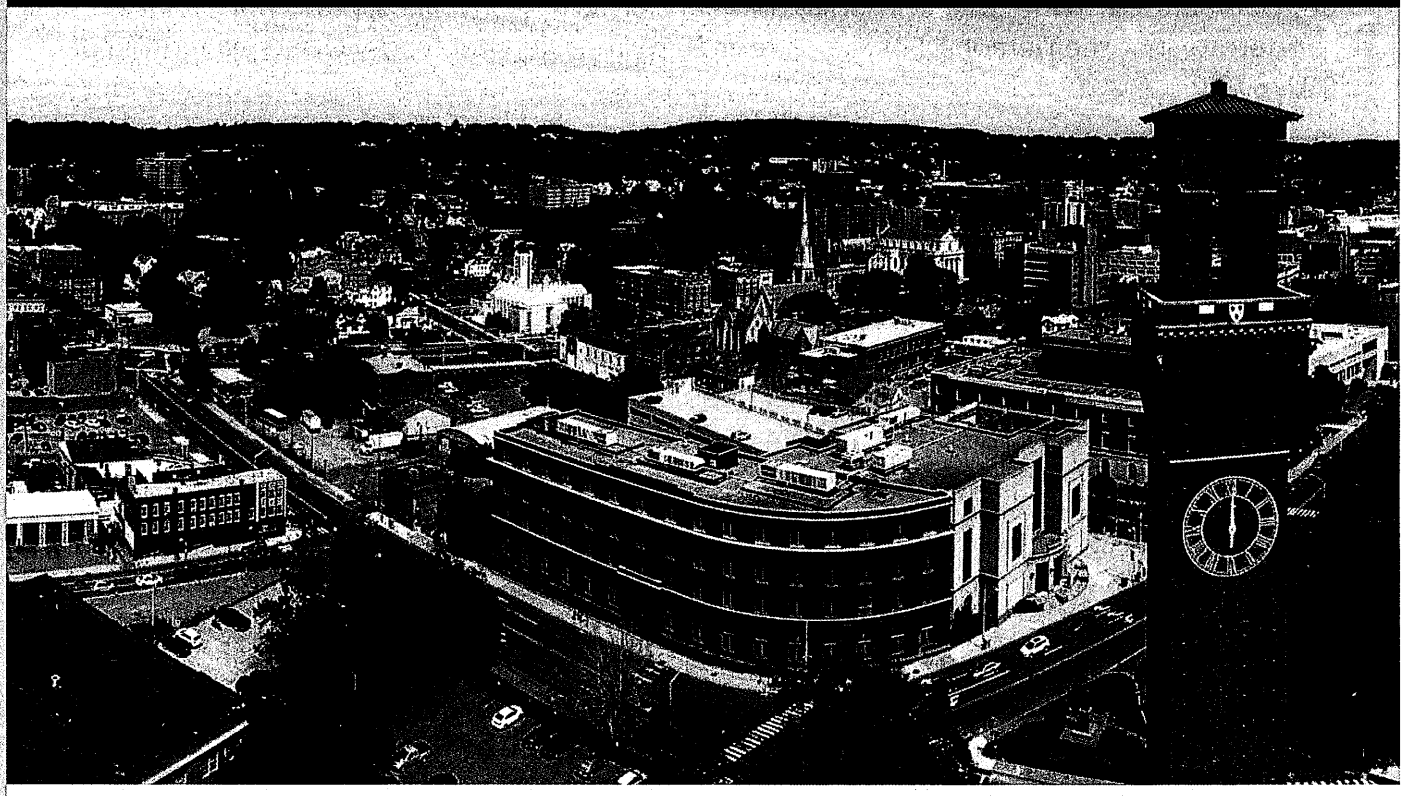
CHDO Set-aside: $130,836 (15%) – funds will be used for CHDO Housing development.

Housing Related Activities: $654,181 - funds will be used for housing activities. **An estimated $2,500 in program income, if realized, will be put back into the program.**

CITY OF WATERBURY

Consolidated Plan for Housing and Community Development

2025 - 2029



WATERBURY

SEAL OF THE CITY OF WATERBURY CONNECTICUT

EXECUTIVE SUMMARY
CONSOLIDATED PLAN OVERVIEW

WHAT IS A CONSOLIDATED PLAN?

The Consolidated Plan for Housing and Community Development is designed to help communities assess their affordable housing and community development needs; identify current community and market conditions; and make data-driven, location-specific investment decisions. All communities receiving funding on an annual basis from the U.S. Department of Housing and Urban Development (HUD) are required to prepare a full Consolidated Plan every five years.

Every year the City of Waterbury Office of Community Development receives 3 federal grants through the U.S. Department of Housing and Urban Development (HUD): Community Development Block Grant (CDBG), the Emergency Solutions Grant (ESG), and the HOME Investment Partnership Program (HOME). These HUD programs are designed to principally benefit very low, low-and moderate-income (LMI) households and individuals with special needs as defined by HUD.

Community Development Block Grant (CDBG) funds can be used to provide safe, decent and affordable housing; public services; community facility and infrastructure improvements to support suitable living environments; and economic opportunities, principally for low- and moderate-income persons. For Fiscal Year 2025, the City received a CDBG allocation of $2,176,880.

Emergency Solutions Grant (ESG) funds are strictly used to assist the homeless or prevent homelessness in the community. Funds can be used for street outreach, emergency shelter, homelessness prevention; rapid re-housing assistance, and supportive services. For Fiscal Year 2025, the City received an ESG allocation of $192,767.

HOME Investment Partnerships Program (HOME) funds are used to fund a wide range of affordable housing activity including building, buying, and/or rehabilitating affordable housing for rent or homeownership or providing direct rental assistance to low-income people often in partnership with local nonprofit groups. For Fiscal Year 2025, the City received a HOME allocation of $872,241.

Waterbury's 2025-2029 Five-Year Consolidated Plan describes the City's housing and community development needs and provides a strategy that describes how its grant funds will be spent.

THE PLANNING PROCESS

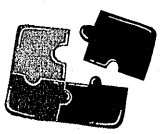
The Waterbury's Community Development Office completed the following steps in order to develop it's 2025-2029 Consolidated Plan and 2025 Annual Action Plan.



Needs Analysis. Reviewed data and analyzed trends to help identify housing and community development needs and issues.



Community Outreach. Gathered input from community stakeholders and service providers through an online survey, community meetings, and topical workshops to help prioritize community needs and issues.



Strategy Development. Developed a five-year strategy to address community needs. The five-year strategy guides implementation and funding decisions over the five-year period.

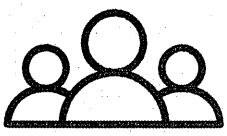


Annual Action Plan. Developed an annual action plan which provides a summary of resources available, proposed actions, and specific activities that will be funded to implement the five-year strategy.

EXECUTIVE SUMMARY
NEEDS ASSESSMENT OVERVIEW

Through data analysis and community outreach, the City identified priority needs to be addressed through this five-year strategy period and with HUD funds.

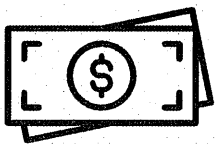
WATERBURY DEMOGRAPHIC OVERVIEW*



Population
107,840 Individuals

Households
42,135 Households

Median Age
36.4



Median Household Income
$46,329 | +14% since 2015

LMI Households
28,310 | 66% of Households

Educational Attainment Age 25+
57% have a H.S. Degree or less



Number of Housing Units
48,385 | 22% Protected Affordable

Median Home Value (2023)
$185,400 | +38% since 2015

Median Rental Contract (2023)
$913 | +25% since 2015

WATERBURY'S PRIORITY NEEDS

Increase Supply of Decent, Safe, and Affordable Housing
Waterbury has a high number of LMI households and a shortage of affordable housing, especially for extremely low-income households. 78% of housing units also have a potential for lead-based paint due to age.

Address Homelessness
Waterbury has 603 permanent supportive housing beds and 138 emergency shelter beds (HMIS, 2025). Shelters are currently at capacity and experiencing long waitlists.

Remove Blight and Unsafe Conditions
54% of vacant housing units in the City are classified as "other vacant"—meaning they are not for rent, for sale, or seasonally vacant - suggesting they are in need of rehabilitation.

Provide Public and Supportive Services
Waterbury is host to a broad range of public services, but there are gaps in youth services, transportation services, employment/education services, healthcare services, and special needs population services.

Remediate Hazardous Environmental Conditions
Waterbury's history as an industrial city has left a legacy of buildings and sites with high levels of toxic/hazardous conditions.

Reduce Cost Burden
41% of Households in Waterbury are cost burdened meaning they spend more than 30% of their income on housing costs. 22% of households spend more than 50%.

Address Aging Infrastructure
78 percent of all housing units in the City were built pre-1980, with 30.2 percent built prior to 1939. The City's streets, water, and sewer lines are also aging and in need of repair.

Provide or Improve Community Facilities
Key facilities that are currently at capacity and in need of additional or expanded facilities include homeless facilities, senior center facilities, and emergency services facilities.

Provide Supportive Housing
18.6% of people in Waterbury have a disability (2023) and 4% were admitted to substance abuse or mental health treatment programs (DMHAS). Waterbury's 62+ population increased by 10% from 2015 to 2020. These populations are in need of more supportive housing options.

Promote Economic and Workforce Development
83% of Waterbury's labor force has an associate degree or less and the unemployment rate is 9.3%.

*All data are from the 2020 American Community Survey 5-Year Estimates unless otherwise noted

EXECUTIVE SUMMARY

GOALS & STRATEGY OVERVIEW

To address the needs identified above, the City has developed the following goals and strategies for the 2025-2029 strategy period.

Increase Decent, Safe & Affordable Housing Resources

- Provide a program to support housing rehabilitation and new construction.
- Enforce housing codes and eliminate lead paint and environmental hazards.
- Provide homeownership counseling, eviction prevention, and fair housing resources.
- Offer technical and financial support to develop housing aligned with local income levels.
- Prioritize the creation of affordable three-or-more bedroom units for larger and homeless families.

Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness

- Provide financial assistance to those experiencing homelessness or at-risk of homelessness.
- Coordinate with the Coordinated Access Network (CAN).
- Support activities targeted to meet the identified needs of the homeless population.

Invest in Community and Neighborhood Facility Improvements

- Support the repair and refurbishment of parks and playgrounds, community center buildings, service centers, and schools.
- Invest in improvements that provide ADA accessibility, address health and safety issues, and ensure access for targeted resident populations.

Invest in Infrastructure Replacement and Improvement

- Invest in infrastructure replacement and improvement to stabilize and revitalize neighborhoods.
- Support improvements to and the replacement of aging infrastructure such as water lines, sewer lines, streets, and sidewalks.

Support the Provision of Public and Supportive Services

- Support youth initiatives including positive youth engagement activities and programs.
- Provide programs and services for the elderly to meet daily living needs as well as socialization within the community.
- Support targeted social service programs that address conditions that impact daily living needs and personal growth.
- Provide basic medical and nutritional resources.

Support Abatement of Hazardous Environmental Conditions

- Invest in the abatement of hazardous environmental conditions to increase the amount of land and buildings available for economic development.

Support Economic and Workforce Development Activities

- Provide technical assistance and support for leveraging financial resources and marketing.
- Support job training, workforce development, and sustainable income employment to advance the local economy and support the economic success of residents.
- Support Food HUB activities, urban farming, and other innovative initiatives to meet changes in the economy.

Provide Administrative Oversight and Management

- Support administrative and planning activities necessary for the implementation of the Consolidated Plan.

EXECUTIVE SUMMARY

2025 PROJECTS

To address the needs identified above, the City will use its CDBG, HOME, and ESG funding for the following Projects.

CDBG Funding

SOCIAL SERVICES

Family Center - *Catholic Charities*

Hospitality Center - *Center for Human Development*

Senior Shuttle Service - *City of Waterbury*

Guardian Ad Litem - *Children in Placement*

Re-entry Center - *Community Partners in Action*

Food Pantry - *Greater Waterbury Interfaith Ministries*

Case Management - *Hispanic Coalition of Greater Waterbury*

Trade Training - *MASC*

Homeownership Education - *NEST*

Senior Meal Program - *New Opportunities*

Bridge & Mentor After School Program - *Rivera Memorial*

Community Service Project - *Safe Haven*

Acting Classes - *Shakesperience*

After School Enrichment Program - *Shakesperience*

Truancy Prevention & Counseling - *GWYMCA*

PROJECTS

Fencing for the Boys & Girls Club

HVAC for Washington Park

Berkley Park Renovations

Waterbury Emergency Home Repair Program (WEHRP)

Behavioral Health Clinic Roof for Family Children's Aid

Marrakech Driveway Repair

Mattatuck Museum Bathroom Revitalization & ADA Compliance

Greater Waterbury YMCA Pool Dehumidification HVAC System

Waterbury Development Corporation Emergency Relocation

ESG Funding

EMERGENCY SHELTER

Safe Haven

Salvation Army

St. Vincent DePaul

RAPID RE-HOUSING

Salvation Army

St. Vincent DePaul

United Way

HOMELESSNESS PREVENTION

Brian Gibbons Homeless Outreach

Salvation Army

HOME Funding

COMMUNITY-BASED HOUSING DEVELOPMENT ORGANIZATION

Create new affordable rental and homeownership opportunities through a Community-Based Housing Development Organization.

HOUSING RELATED ACTIVITIES

Homeowner housing rehabilitation.

Creation of new affordable rental and homeowner opportunities.

Admin Funding

CDBG PROGRAM ADMINISTRATION

ESG PROGRAM ADMINISTRATION

HOME PROGRAM ADMINISTRATION

See the Annual Action Plan for the funding amounts for each project.

Executive Summary

ES-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

This Five-Year Consolidated Plan (the "Plan") for Waterbury, Connecticut covers the calendar years October 2025 to September 2029. It also contains the first Annual Action Plan for this strategy period from October 2025 to September 2026. The Housing and Urban Development (HUD)-funded Community Development Block Grant (CDBG), HOME, and Emergency Solutions Grant (ESG) programs are addressed in this Consolidated Plan. The primary components of the Consolidated Plan are a needs assessment, strategic plan, and action plan inclusive of a description of the process of preparation, consultation, and administration.

In order to establish the objectives and outcomes in this Consolidated Plan, the City of Waterbury completed an extensive needs assessment. This process included consultation with numerous agencies, organizations, and individuals as well as analysis of available reports and data. The needs analysis process identified a range of needs and gaps in resources and services to be addressed with Consolidated Plan resources over the Five-Year Strategy Period. The needs are described in detail in the "NA-Needs Assessment" and "MA-Housing Market Analysis" sections of the Consolidated Plan.

2. Summary of the objectives and outcomes identified in the Plan Needs Assessment Overview

Through data analysis and community consultation, the City identified several priority needs to be addressed through this five-year strategy period. In addition, the City identified objectives/goals and anticipated outcomes to help meet of the priority needs. The priority needs include the following:

- Increase supply of decent, safe, and affordable housing
- Reduce Cost Burden
- Address Homelessness
- Address Aging Infrastructure
- Remove Blight and Unsafe Conditions
- Provide or Improve Community Facilities
- Provide Public and Supportive Services
- Provide Supportive Housing
- Remediate Hazardous Environmental Conditions
- Promote Economic and Workforce Development

The objectives/goals set by the City to address these priority needs include the following:

- Increase decent, safe, and affordable housing resources
- Maintain and increase housing and services for homeless and those at risk of homelessness
- Invest in Community and neighborhood facility improvements
- Invest in infrastructure replacement and improvement
- Support the provision of public and supportive services
- Support abatement of hazardous environmental conditions
- Support economic and workforce development activities
- Provide administrative oversight and management

3. Evaluation of past performance

The City chooses its goals and projects in a manner that addresses identified community needs and gaps in services as established during its citizen participation and local consultation process. Programs and projects which are consistent with goals and objectives of the Consolidated Plan are chosen according to community need and an evaluation of the past performance and implementation capacity of the administering agency.

"Past performance" of sub- recipients is taken into consideration in the awarding of annual CDBG and ESG funds. Citizen Advisory Committee (CAC) members who are responsible for the review and evaluation of applications rely on past performance reports in deciding whether to award future funds. City Staff provides CAC members with the status of all the agencies' expenditures as well as information related to their reporting history or any performance problems such as timeliness and accuracy of invoices and/or reporting documents if applicable.

At the close of each program year the City of Waterbury prepares its Consolidated Annual Performance Evaluation Report (CAPER) which is submitted to HUD within 90 days of the end of the Program Year. The CAPER analyzes the City's use of its annual CDBG, ESG and HOME fund allocations and serves as an evaluation of the City's performance. In general, the City has met its overall goals and timeliness standards with its Consolidated Plan programs.

In addition, the City monitors performance of program/project sub-recipients. The City has a monitoring plan which is made available to public service sub- recipients. The City requires monthly, quarterly and close-out reports of all CDBG public service sub-recipients and performs visits once a year. ESG sub-recipients report quarterly and provide an end of the year close-out report. City staff also works with nonprofits receiving project funds to ensure timely processing of contracts, correct bidding procedures and compliance with all Federal regulations concerning Davis-Bacon wages. Projects are visited several times while they are underway to ensure program compliance.

4. Summary of citizen participation process and consultation process

The CAC and the Office of Community Development provided citizen participation opportunities throughout the 2025-2029 consolidated planning process. The specific citizen participation activities undertaken included the following:

- Three (3) community meetings open to the public to establish needs and gaps in services. These meetings were held at the North End Recreation Center, Hopeville Elementary School, and H.S. Chase Elementary School.
- Four (4) topical workshops for agencies and service providers to identify needs, gaps in services/programming, and potential strategies. The topics for the different workshops included Housing, Economic Development and Community Development, Public Services, and Homelessness & Special Needs. These workshops were held at the Office of Community Development
- An online survey for residents. The resident survey received 472 responses and helped identify needs and priorities.
- An online survey for agencies and service providers. The agency survey received 79 responses and helped identify needs, funding priorities, goals, and potential strategies.
- Two public hearings, including one (1) Needs Public Hearing and one (1) draft Consolidated Plan Public Hearing. The Needs Public Hearing was held on February 11, 2025. Nine (9) agencies provided oral comments, and two (2) agencies provided written comments. The draft Consolidated Plan Public Hearing will be held on June 17, 2025.

5. Summary of public comments

During the 2025-2029 consolidated planning process, citizen participation and consultation highlighted several community needs and gaps in services. The survey identified public facilities/infrastructure improvements, public services, and housing as top priorities. Participants at the community meetings identified the need for housing, facility improvements/infrastructure, economic development, and a range of public services for special needs populations.

Participants at the housing topical workshop commented on the need for eviction prevention programs and support for families at risk of homelessness; housing for seniors and individuals with disabilities on fixed incomes; and better coordination and inclusion of community organizations in planning.

Participants at the economic and community development workshop commented on the need to address blighted properties and create infill housing and facilities in low and moderate income neighborhoods; larger affordable housing units for families; increased shelter capacity; more resources and support for individuals reentering the community after incarceration; better rental housing conditions; improved coordination and communication regarding available services and programs; more accessible shelters and housing for individuals with disabilities; and increased tree canopy and green infrastructure.

Participants at the public services workshop commented on the need for daytime shelter facilities; emotional and mental health support; transportation to after-school programs; after-school and childcare services; basic needs such as clothing and food for individuals experiencing homelessness; centralized resource page for all community services; larger, more accessible venues for job fairs and community events; and better coordination between schools, services providers, and the city to connect people to services.

Participants at the Homelessness and Special Needs Services workshop commented on the need for increased shelter capacity; resources and support for individuals reentering the community after incarceration; youth development programs and recreational facilities; better rental housing conditions; better coordination and dissemination of information about available services and programs; and more accessible shelters and housing for individuals with disabilities.

6. Summary of comments or views not accepted and the reasons for not accepting them

No comments were received that were not accepted by the CAC or the City administration.

7. Summary

The City's 2025-2029 Consolidated Plan incorporates public comments, employs rigorous fiscal oversight, and addresses identified community needs through a variety of programs. On an annual basis the City of Waterbury prepares an Annual Action Plan which provides information on its anticipated resources, its proposed activities and its program for implementation and administration. The Year One Action Plan included in this document provides information on the City's anticipated resources, proposed activities and funding allocations for the one-year period from October 2025 to September 2029.

The Process

PR-05 Lead & Responsible Agencies 24 CFR 91.200(b)

1. Describe agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source

The following are the agencies/entities responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency
Lead Agency	WATERBURY	

CDBG Administrator	WATERBURY	Finance Department/Office of Community Development
HOPWA Administrator	N/A	N/A
HOME Administrator	WATERBURY	Finance Department/Office of Community Development
ESG Administrator	WATERBURY	Finance Department/Office of Community Development
HOPWA-C Administrator	WATERBURY	WATERBURY DEVELOPMENT CORPORATION

Table 1 – Responsible Agencies

Narrative

The Office of Community Development, a division of the City of Waterbury Department of Finance, is the lead agency for the preparation and administration of the Waterbury Consolidated Plan, Annual Action Plans, and CPD Programs. The Plan includes the CDBG, HOME, and ESG programs. Specific activities/programs funded by CDBG and ESG are administered by the appropriate organization through a subrecipient agreement with the Office of Community Development. The City Departments of Public Works and Parks and Recreation administer construction projects on City-owned properties. The Waterbury Development Corporation (WDC) serves as project manager for certain construction projects on behalf of the City under a Project Authorization Letter.

Consolidated Plan Public Contact Information

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Office of Community Development
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Waterbury, CT 06702
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PR-10 Consultation – 91.100, 91.110, 91.200(b), 91.300(b), 91.215(l) and 91.315(l)

1. Introduction

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(l)).

The City of Waterbury promotes coordination with and between public and assisted housing providers and health, mental health, and service agencies in a variety of ways. These activities include representation by provider agencies on the Citizens Advisory Committee (CAC) and participation in and coordination of activities that address homelessness and special housing needs under the Continuum of Care process through the Office of Community Development's involvement locally, and as part of the regional Coordinated Access Network (CAN). In addition, the application process for Consolidated Plan funding each Action Plan Year results in the review of activities to avoid duplication of services and ensure compliance with HUD regulations and the Consolidated Plan. Subrecipient agency monitoring provides project oversight, and preparation of the Consolidated Annual Performance & Evaluation Report (CAPER) provides program accomplishment oversight.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness

Community Development staff serves as a member of the CAN Leadership Committee, which serves the function of the Continuum of Care (CoC). Office of Community Development staff also regularly communicates and consults with the CoC, including attending monthly committee meetings. In addition, several agencies that are members of CAN receive CDBG and/or ESG funding. This provides the opportunity for coordination during the plan development, funding application review, and CAPER processes. The collaboration between the City and agencies and organizations allows the City to maximize the use and impact of its CPD funding.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards and evaluate outcomes, and develop funding, policies and procedures for the administration of HMIS

Community Development staff consults with agencies and organizations who are members of the local CAN on an ongoing basis throughout the program year, including participating in needs analysis and policy and procedure formulation; evaluation and review of applications for funding; development of performance standards and local program objectives; and analysis of annual outcomes and performance as part of the CAPER preparation process.

Community Development staff participates in the Leadership Committee and interacts with CAN and its membership to ensure the goals and outcomes established for the Emergency Solutions Grant Program (ESG) and other special needs housing programs meet the needs of the community.

2. Describe Agencies, groups, organizations and others who participated in the process and describe the jurisdictions consultations with housing, social service agencies and other entities

Agency/Group/ Organization	Agency/Group/ Organization Type	What section of the Plan was addressed by Consultation?	How was the Agency/Group/Organization consulted and what are the anticipated outcomes of the consultation or areas for improved coordination?
Office of Community Development	Other Government - Local	Housing Need Assessment; Market Analysis; Strategy	The Office of Community Development Office is the lead organization for the administration, implementation, and oversight of the CDBG, HOME, and ESG programs.
Waterbury Housing Authority	PHA Housing	Housing Need Assessment; Market Analysis; Strategy	The Waterbury Housing Authority (WHA) provides safe, quality affordable housing for low and moderate income families through public housing and housing choice vouchers. The WHA was consulted via the informational meeting, topical workshops, emails, and through review of their plans, studies, and online resources.
Waterbury Land Bank	Community Development Financial Institution	Housing Need Assessment; Market Analysis	The Waterbury Land Bank Authority works to enhance the quality of life by repurposing vacant lots and structures into community assets. The Waterbury Land Bank was consulted via topical workshops and review of their plans, studies, and online resources.

Waterbury Public Schools/Department of Education	Services – Education	Housing Need Assessment; Market Analysis	The Waterbury Public School District provides education to approximately 18,000 children. The Waterbury Public District was consulted via an online survey and topical workshops.
Waterbury Health Department	Health Agency; Services – Health	Housing Need Assessment; Market Analysis	The Waterbury Health Department provides services to promote healthier families, healthier neighborhoods, and a healthier community. The Waterbury Health Department was consulted via an online survey, informational meeting, topical workshops, and review of their plans, studies, and online resources.
United Way of Greater Waterbury	Services - Homeless	Housing Need Assessment; Market Analysis	The United Way of Greater New Haven provides a range of services in the region and is the lead for the Northwest Coordinated Access Network. The United Way of Greater Waterbury was consulted via an online survey, topical workshops, emails, and review of their plans, studies, and online resources.
Hispanic Coalition	Services – Education	Housing Need Assessment; Market Analysis	The Hispanic Coalition of Greater Waterbury provides needed programs and bilingual services. The Hispanic Coalition was consulted via an online survey, informational meeting, and topical workshops.
NVCOG	Regional Organization; Planning Organization	Housing Need Assessment; Market Analysis	The Naugatuck Valley Council of Governments (NVCOG) is a regional council of government and metropolitan planning organization that delivers projects, plans, and services to improve communities in the region. NVCOG was consulted via topical workshops.
Main Street Waterbury	Neighborhood Organization;	Housing Need Assessment; Market Analysis	Main Street Waterbury advocates, educates and collaborates for the revitalization and enhancement of

	Business and Civic Leaders		downtown Waterbury. Main Street Waterbury was consulted via topical workshops and an online survey.
The Gathering Place Community Church	Civic Leaders	Housing Need Assessment; Market Analysis	The Gathering Place Community Church advocates and provides important social services and facilities to the community. The Gathering Place Community Church was consulted via topical workshops, informational meetings, and an online survey.
Western Connecticut Area Agency on Aging	Services – Elderly Persons	Housing Need Assessment; Market Analysis	The Western Connecticut Areas Agency on Aging enriches the lives of older adults, individuals with disability, and their caregivers by providing supportive services. The WCAAA was consulted via topical workshops and an online survey.
Greater Waterbury YMCA	Services – Children	Housing Need Assessment; Market Analysis	The Greater Waterbury YMCA provides health and wellness programs for people of all ages and abilities. The Greater Waterbury YMCA was consulted via topical workshops and an online survey.
Salvation Army	Services – Homeless	Housing Need Assessment; Market Analysis	The Salvation Army Waterbury Corps provides services, homelessness facilities, and programs to meet the needs of the community. The Salvation Army was consulted via topical workshops, informational meetings, and topical workshops.
Center for Human Development	Services – Health; Services – Homeless	Housing Need Assessment; Market Analysis	The Center for Human Development provides mental health services and a hospitality center. The CHD was consulted via topical workshops, informational meetings, and an online survey.

Children's Community School	Services – Education	Housing Need Assessment; Market Analysis	Children's Community School offers programs designed to foster a supportive environment, personalized learning, and a connected community. The Children's Community School was consulted via topical workshops, informational meetings, and an online survey.
Staywell Health Center	Services – Health	Housing Need Assessment; Market Analysis	Staywell Health Center provides high quality health care and social services for individuals and families. Staywell Health Center was consulted via topical workshops, informational meetings, and an online survey.
NEST	Services – Housing; Services- Education	Housing Need Assessment; Market Analysis	NEST provides housing ownership opportunities through affordable housing development and financial education. NEST was consulted via topical workshops, informational meetings, and an online survey.
MASC	Services – Education; Services - Employment	Housing Need Assessment; Market Analysis	Manufacturing Alliance Service Corp (MASC) provides manufactured-related training. MASC was consulted via topical workshops, informational meetings, and an online survey.
Wheeler Clinic	Services – Health	Housing Need Assessment; Market Analysis	Wheeler Clinic provides behavioral health services. Wheeler Clinic was consulted via topical workshops, informational meetings, and an online survey.
McCall Behavioral Health Network	Services- Health	Housing Need Assessment; Market Analysis	McCall Behavioral Health Network provides services focused on substance use disorders and mental health disorders. McCall Behavioral Health Network was consulted via topical workshops, informational meetings, and an online survey.
LEAD	Services- Education	Housing Need Assessment; Market Analysis	Latinos for Educational Advocacy (LEAD) provides quality educational options, programs, and services for

			children and families. LEAD was consulted via topical workshops, informational meetings, and an online survey.
Catholic Charities	Services – Children	Housing Need Assessment; Market Analysis	Catholic Charities operates a Family Center which provides parenting supportive services, emergency assistance, and case management. Catholic Charities was consulted via topical workshops, informational meetings, and an online survey.
Waterbury Youth Services	Services – Children	Housing Need Assessment; Market Analysis	Waterbury Youth Services provides a range of services that address educational, emotional, and social needs. Waterbury Youth Services was consulted via topical workshops, informational meetings, and an online survey.
Shakesperience	Services – Children; Services – Education	Housing Need Assessment; Market Analysis	Shakesperience provides acting classes and afterschool programs for low- and moderate-income families. Shakesperience was consulted via topical workshops, informational meetings, and an online survey.
Waterbury Bridge to Success	Services – Education	Housing Need Assessment; Market Analysis	Waterbury Bridge to Success advocates and provides for integrated support services in schools. Waterbury Bridge to Success was consulted via topical workshops.
New Opportunities	Services – Homeless	Housing Need Assessment; Market Analysis	New Opportunities Inc offers a variety of social services in Waterbury. New Opportunities was consulted via topical workshops, informational meetings, and an online survey.
Health 360	Services – Health	Housing Need Assessment; Market Analysis	Health 360 is a nonprofit organization that promotes health equity in underserved communities. Health 360 was consulted via topical workshops, informational meetings, and an online survey.

The Community Health Center	Services – Health	Housing Need Assessment; Market Analysis	The Community Health Center is a non-profit healthcare provider, providing comprehensive primary care services. The Community Health Center was consulted via topical workshops, informational meetings, and an online survey.
Trinity Health	Services – Health	Housing Need Assessment; Market Analysis	Trinity Health of New England provides health care and community health services. Trinity Health was consulted via topical workshops, informational meetings, and an online survey.
Saint Mary's Hospital	Services – Health	Housing Need Assessment; Market Analysis	Saint Mary's Hospital is a Catholic, not-for-profit, community teaching hospital providing health services. Saint Mary's Hospital was consulted via topical workshops, informational meetings, and an online survey.
U.S. Senate (Chris Murphy's Office)	Other government – State; Other government – Federal; Civic Leaders	Housing Need Assessment; Market Analysis	Chris Murphy's Office provides advocacy and policy support for a range of social services in Connecticut. Chris Murphy's Office was consulted via topical workshops.
Post University	Major Employer; Services – Education; Services - Employment	Housing Need Assessment; Market Analysis	Post University offers undergraduate and graduate educational programs. Post University was consulted via topical workshops.
Community Mental Health Affiliates	Services – Health	Housing Need Assessment; Market Analysis	Community Mental Health Affiliates provides health and behavioral health services for children, families, and adults. Community Mental Health Affiliates was consulted via topical workshops, informational meetings, and an online survey.

Greater Waterbury Interfaith Ministries	Services – Homeless	Housing Need Assessment; Market Analysis	Greater Waterbury Interfaith Ministries provides a soup kitchen and food pantry. Greater Waterbury Interfaith Ministries was consulted via topical workshops, informational meetings, and an online survey.
Higher Learning Youth Program	Services – Education	Housing Need Assessment; Market Analysis	Higher Learning Youth Program provides life skill classes to youth in Waterbury. Higher Learning Youth Program was consulted via topical workshops, informational meetings, and an online survey.
Waterbury Hospital	Services - Health	Housing Need Assessment; Market Analysis	Waterbury Hospital provides healthcare services and conducts comprehensive outreach programs. Waterbury Hospital was consulted via topical workshops, informational meetings, and an online survey.
The Chrysalis Center	Services – Education; Services - Employment	Housing Need Assessment; Market Analysis	Chrysalis Center provides supportive services such as job training, housing and other community healthcare. The Chrysalis Center was consulted via topical workshops, informational meetings, and an online survey.
Mental Health CT	Services – Health	Housing Need Assessment; Market Analysis	Mental Health CT provides mental health services in the region. Mental Health CT was consulted via topical workshops, informational meetings, and an online survey.
Community Partners in Action	Services – victims; Services - employment	Housing Need Assessment; Market Analysis	Community Partners in Action provides services for people affected by the criminal justice system. Community Partners in Action was consulted via topical workshops, informational meetings, and an online survey.
Connecticut Community Foundation	Services – Education	Housing Need Assessment; Market Analysis	Connecticut Community Foundation provides support to services and programs that foster an equitable and inclusive community. Connecticut

			Community Foundation was consulted via topical workshops, informational meetings, and an online survey.
Republican American	Civic Leader; news outlet	Housing Need Assessment; Market Analysis	Republican American is the local news outlet that provides public notices and other important information to the Waterbury Community. Republican American was consulted via topical workshops.
Greater Waterbury NAACP	Services – Educational	Housing Need Assessment; Market Analysis	Greater Waterbury NAACP advocates for political, educational, social, and economic equality to eliminate race-based discrimination. Greater Waterbury NAACP was consulted via informational meetings.
State Representative Larry Butler	Other government – Federal; Other government – State; Civic Leaders	Housing Need Assessment; Market Analysis	Larry Butler, State Representative, provides advocacy and policy support for a range of social services. Larry Butler was consulted via informational meetings.
Madre Latina Inc	Services – Education	Housing Need Assessment; Market Analysis	Madre Latina provides education activities and advocacy to support generations of Latinas. Madre Latina was consulted via informational meetings.
Little Joys	Services – Education; Services – Children	Housing Need Assessment; Market Analysis	Little Joys provides daycare services in Waterbury. Little Joys was consulted via informational meetings
Oak Street Health	Services – Health; Services – Elderly Persons	Housing Need Assessment; Market Analysis	Oak Street Health provides health care services geared towards seniors. Oak Street Health was consulted via informational meetings.
The Waterbury Times	Other- News Outlet	Housing Need Assessment; Market Analysis	The Waterbury Times is a local news outlet. The Waterbury Times was consulted via informational meetings.

Bouley Manor Neighborhood Association	Neighborhood Organization	Housing Need Assessment; Market Analysis	Bouley Manor Neighborhood Association was consulted via informational meetings
Waterbury Senior Center	Services- Elderly Persons	Housing Need Assessment; Market Analysis	Waterbury Senior Center provides services for seniors. The Waterbury Senior center was consulted via an online survey.
Waterbury Engineering Department	Other government – local	Housing Need Assessment; Market Analysis	The Waterbury Engineering Department provides technical expertise and management for City construction projects. The Waterbury Engineering Department was consulted via an online survey.
Boys & Girls Club of Greater Waterbury	Services – Children; Services – Education	Housing Need Assessment; Market Analysis	The Boys & Girls Club of Greater Waterbury provides youth recreational and educational services. The Boys & Girls Club was consulted via an online survey.
Waterville Community Club	Neighborhood Organization	Housing Need Assessment; Market Analysis	The Waterville Community Club provides advocacy services for the community. The Waterville Community Club was consulted via an online survey and informational meetings.
Waterbury Police Department	Agency – Emergency Management; Other government – local	Housing Need Assessment; Market Analysis	The Waterbury Police Department provides emergency and safety services. The Waterbury Police Department was consulted via an online survey.
Waterbury Opportunities Industrialization Center, Inc.	Services – Education; Services – Employment	Housing Need Assessment; Market Analysis	The Waterbury OIC Inc provides educational and employment services. The Waterbury OIC was consulted via an online survey.
Waterbury Fire Department	Agency – Emergency Management; Other government – Local	Housing Need Assessment; Market Analysis	The Waterbury Fire Department provides emergency and safety services. The Waterbury Fire Department was consulted via an online survey.

TEAM Inc	Services – Education	Housing Need Assessment; Market Analysis	TEAM provides education, support and opportunities to people experiencing poverty. TEAM was consulted via an online survey.
Saint Vincent DePaul	Services – Homeless	Housing Need Assessment; Market Analysis	Saint Vincent DePaul provides homeless services and facilities. Saint Vincent DePaul was consulted via an online survey.
Park Central, Inc.	Services – Education; Services – Children	Housing Need Assessment; Market Analysis	Park Central provides life skill programs to girls and women. Park Central was consulted via an online survey
Waterbury I.T. Department	Other Government – local	Housing Need Assessment; Market Analysis	The Waterbury IT Department provides communication and technology support services. The IT Department was consulted via an online survey.
Waterbury Department of Inspections	Other Government – local	Housing Need Assessment; Market Analysis	The Department of Inspections provides public safety services by providing building permits. The Department of Inspections was consulted via an online survey
Children in Placement – CT, Inc.	Services – Children	Housing Need Assessment; Market Analysis	Children in Placement provides advocacy services for children in the welfare system. Children in Placement were consulted via an online survey.
Connecticut Counseling Centers, Inc.	Services - Health	Housing Need Assessment; Market Analysis	Connecticut Counseling Centers (CCC) provides substance abuse treatment and recovery services. CCC was consulted via an online survey.
Waterbury Board of Alderman	Other government – local	Housing Need Assessment; Market Analysis	Waterbury Board of Alderman was consulted via an online survey and informational meetings.
Waterbury Finance Department	Other government – local	Needs Assessment; Market Analysis; Strategy	The Waterbury Finance Department provides oversight for the Consolidated Planning Process. The Finance Department was consulted via an online survey and direct consultations.

Waterbury Assessor's Office	Other government - local	Housing Need Assessment; Market Analysis	Waterbury's Assessors' Office was consulted via an online survey.
Waterbury City Clerk	Other government - local	Housing Need Assessment; Market Analysis	The Waterbury City Clerk provides a range of public services. The City Clerk was consulted via an online survey.
Waterbury Fair Housing	Services – Fair Housing	Housing Need Assessment; Market Analysis	The Waterbury Fair Housing Officer was consulted via direct consultation and review of reports.

Table 2 – Agencies, groups, organizations who participated

Identify any Agency Types not consulted and provide rationale for not consulting

The City has consulted the agencies necessary to develop its Consolidated Plan in a manner that addresses its identified housing and community development needs with the resources provided.

Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?
Waterbury 2022- 2027 Affordable Housing Plan	City Plan Commission	The Waterbury Affordable Housing Plan outlines goals and strategies for addressing the affordable housing needs in Waterbury. The goals from this strategic plan overlap with the goals of this Consolidated Plan.
NVCOG Hazard Mitigation Plan	NVCOG	The NVCOG Hazard Mitigation Plan identifies strategies for addressing potential natural hazards in the region. The Consolidated Plan aims to mitigate natural hazard risks, especially for low- and moderate-income households.
5-Year and Annual Public Housing Authority Plan	Public Housing Authority	The Public Housing Authority's Strategic Plan's outlines their

		restoration, and revitalization plans for the next five-year period. Their plans will help further the goals and strategies outlined in this Consolidated Plan.
Naugatuck Valley Corridor Comprehensive Economic Development Strategy	NVCOG/Naugatuck Valley Corridor Economic Development District	The Naugatuck Valley Corridor Comprehensive Economic Development Strategy outlines goals and strategies for the region to help bolster economic development. The Consolidated Plan overlaps with these goals particularly around workforce development and infrastructure improvements.

Table 3 – Other local / regional / federal planning efforts

Describe cooperation and coordination with other public entities, including the State and any adjacent units of general local government, in the implementation of the Consolidated Plan (91.215(l))

There are numerous public entities that the City of Waterbury coordinates and cooperates with in the implementation of the Consolidated Plan. Among state agencies, the Connecticut Department of Economic and Community Development as well as the Connecticut Department of Social Services are most often engaged. Adjacent communities participating in the Naugatuck Valley Council of Governments (NVCOG) and Naugatuck Valley Corridor Comprehensive Economic Development Strategy (NVCEDS), as well as the State Department of Energy and Environment are partners in addressing site remediation projects.

Narrative (optional):

The City has consulted the agencies necessary to develop its Consolidated Plan in a manner that addresses its identified housing and community development needs with the resources provided.

PR-15 Citizen Participation – 91.105, 91.115, 91.200(c) and 91.300(c)

1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

The citizen participation process for the preparation of this Consolidated Plan was conducted by the Citizens Advisory Committee (CAC) with assistance from the Office of Community Development, in accordance with the Citizen Participation Plan (CPP). The CAC is comprised of Waterbury residents representing various neighborhoods and organizations. The CAC and the Office of Community Development provided citizen participation opportunities throughout the 2025-2029 consolidated planning process. The specific citizen participation activities undertaken included the following:

- Three (3) community meetings open to the public to establish needs and gaps in services. These meetings were held at the North End Recreation Center, Hopeville Elementary School, and H.S. Chase Elementary School.
- Four (4) topical workshops for agencies and service providers to identify needs, gaps in services/programming, and potential strategies. The topics for the different workshops included Housing, Economic Development and Community Development, Public Services, and Homelessness & Special Needs. These workshops were held at the Office of Community Development
- An online survey for residents. The resident survey received 472 responses and helped identify needs and priorities.
- An online survey for agencies and service providers. The agency survey received 79 responses and helped identify needs, funding priorities, goals, and potential strategies.
- Two public hearings, including one (1) Needs Public Hearing and one (1) draft Consolidated Plan Public Hearing. The Needs Public Hearing was held on February 11, 2025. Nine (9) agencies provided oral comments, and two (2) agencies provided written comments. The draft Consolidated Plan Public Hearing will be held on June 17, 2025.

Supporting documentation including public notices, community meeting comments, topical workshop notes, and minutes of the public hearings are attached as part of the Citizen Participation Appendix [TO COME].

Citizen Participation Outreach

Sort Order	**1**
Mode of Outreach	Public Notice for Community Meetings - Newspaper
Target of Outreach	General Public

Summary of Response/Attendance	Public Notice for the Community Meetings was published on 12/30/2024 in the Republican American Newspaper. The Public Notice is included in the Appendix.
Summary of Comments Received	N/A
Summary of comments not accepted and reasons	N/A
URL (if applicable)	
Sort Order	**2**
Mode of Outreach	Public Notice of Availability of Funding and Public Hearing – Newspaper
Target of Outreach	General Public
Summary of Response/Attendance	Public Notice of Availability of Funding and Public Hearing was published on 1/22/2025 in the Republican American Newspaper. The Public Notice is included in the Appendix.
Summary of Comments Received	N/A
Summary of comments not accepted and reasons	N/A
URL (if applicable)	https://legals.rep-am.com/court/75948/
Sort Order	**3**
Mode of Outreach	Social Media Posts for Community Meetings and Survey
Target of Outreach	General Public
Summary of Response/Attendance	Published social media content on City of Waterbury – Mayor's Facebook and Instagram pages. The posts were also shared on the social media pages of the Waterbury Development Corporation, Waterbury Public Schools, Waterbury Senior Center, Waterbury Health Department, NEST CT, and Waterbury Regional Chamber.

Summary of Comments Received	N/A
Summary of comments not accepted and reasons	N/A
URL (if applicable)	N/A
Sort Order	**4**
Mode of Outreach	Email Outreach for Community Meetings and Survey
Target of Outreach	General Public; Agencies and Service Providers
Summary of Response/Attendance	Emails were sent out to almost 4,500 city employees, 170 Community Organizations & over 30,000 residents registered with Park & Rec. The emails invited individuals to attend community meetings and topical workshops. They also were asked to take the survey and share the information with others.
Summary of Comments Received	N/A
Summary of comments not accepted and reasons	N/A
URL (if applicable)	N/A
Sort Order	**5**
Mode of Outreach	Community Meeting: North End Recreation Center
Target of Outreach	General Public; Agencies and Service Providers
Summary of Response/Attendance	19 attendees
Summary of Comments Received	The comments received at this community meeting identified needs and strategies relating to housing, facility improvements/infrastructure, homelessness, public services, and economic development. All comments received are documented in the Appendix [TO COME]. In general, participants

	highlighted the need for more affordable and transitional housing; blight control; sidewalk improvements; increased shelter capacity and facilities; job training; youth services; elderly and disabled and transportation; better local and regional transportation; job training and job creation; small business programs; and revitalization of downtown and vacant lots.
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable, into the needs assessment.
URL (if applicable)	N/A
Sort Order	**6**
Mode of Outreach	Community Meeting: Hopeville School
Target of Outreach	General Public; Agencies and Service Providers
Summary of Response/Attendance	1 attendee
Summary of Comments Received	The comments received at this community meeting identified the need for reentry programs and housing; job training and resume services; employment programs; youth initiatives; programs and services for the elderly; and targeted social service programs. All comments received are documented in the Appendix [TO COME].
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable, into the needs assessment.
URL (if applicable)	N/A
Sort Order	**7**
Mode of Outreach	Community Meeting: Chase School
Target of Outreach	General Public; Agencies and Service Providers

Summary of Response/Attendance	7 attendees
Summary of Comments Received	The comments received at this community meeting identified needs and strategies relating to housing, facility improvements/infrastructure, homelessness, public services, and economic development. All comments received are documented in the Appendix [TO COME]. In general, the comments identified the need for home purchasing and renovating assistance to Waterbury residents to help reduce blight; sidewalk and road improvements; downtown revitalization and blight removal; walkable recreation centers; increased shelter capacity and programming; community initiatives and programming (sports leagues) for all ages; and technology education for youth.
Summary of comments not accepted and reasons	While all comments were considered and incorporated, where applicable, into the needs assessment, the comment "lower the taxes" was not accepted due to it being outside the scope of this plan.
URL (if applicable)	N/A
Sort Order	**8**
Mode of Outreach	Topical Workshop: Housing
Target of Outreach	Agencies and Service Providers
Summary of Response/Attendance	18 participants
Summary of Comments Received	The comments received at this topical workshop revealed the need for eviction prevention programs and support for families at risk of homelessness; housing for seniors and individuals with disabilities on fixed incomes; better coordination and inclusion of community organizations in planning. There was also a suggestion to target specific neighborhoods for improvements based on need and data to ensure funding is distributed based on need rather than equally across all neighborhoods.
Summary of comments not accepted and reasons	All comments were accepted.

URL (if applicable)	N/A
Sort Order	**9**
Mode of Outreach	Topical Workshop: Economic and Community Development
Target of Outreach	Agencies and Service Providers
Summary of Response/Attendance	21 participants
Summary of Comments Received	The comments received at this topical workshop revealed the need to address blighted properties and create infill housing and facilities in low and moderate income neighborhoods; larger affordable housing units for families; increased shelter capacity; more resources and support for individuals reentering the community after incarceration; better rental housing conditions; improved coordination and communication regarding available services and programs; more accessible shelters and housing for individuals with disabilities; and increased tree canopy and green infrastructure in low-and moderate income neighborhoods.
Summary of comments not accepted and reasons	All comments were accepted.
URL (if applicable)	N/A
Sort Order	**10**
Mode of Outreach	Topical Workshop: Public Services
Target of Outreach	Agencies and Service Providers
Summary of Response/Attendance	16 participants
Summary of Comments Received	The comments received at this topical workshop revealed the need for daytime shelter facilities; emotional and mental health support; transportation to after-school programs; after-school and childcare services; basic needs such as clothing and food for individuals experiencing homelessness; centralized resource page for all community services; larger, more accessible venues for job

	fairs and community events; and better coordination between schools, services providers, and the city to connect people to services.
Summary of comments not accepted and reasons	All comments were accepted.
URL (if applicable)	N/A
Sort Order	**11**
Mode of Outreach	Topical Workshop: Homelessness and Special Needs Services
Target of Outreach	Agencies and Service Providers
Summary of Response/Attendance	44 participants
Summary of Comments Received	The comments received at this topical workshop revealed the need for increased shelter capacity; resources and support for individuals reentering the community after incarceration; youth development programs and recreational facilities; better rental housing conditions; better coordination and dissemination of information about available services and programs; and more accessible shelters and housing for individuals with disabilities.
Summary of comments not accepted and reasons	All comments were accepted.
URL (if applicable)	N/A
Sort Order	**12**
Mode of Outreach	Community Survey
Target of Outreach	General Public
Summary of Response/Attendance	472 responses

Summary of Comments Received	The full survey results can be found in the Appendix [TO COME]. Overall, the survey identified public facilities/infrastructure improvements, public services, and housing as top priorities. Key housing needs include financial assistance for home repairs, affordable rental housing for seniors, and home energy efficiency improvements. The top public facilities and infrastructure needs are street and sidewalk improvements and youth centers. Neighborhood services priorities include cleaning up abandoned lots and buildings and enforcing building codes. Homelessness and special needs priorities include services for neglected children, mental health, and domestic violence. Economic development priorities include job creation, retention, and hazardous site remediation. Additionally, respondents emphasized the need for affordable housing, rent control, food security, public safety, better schools, recreational activities, road repairs, solar street lighting, public transit, and community programs.
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable into the needs assessment.
URL (if applicable)	N/A
Sort Order	**13**
Mode of Outreach	Agency Survey
Target of Outreach	Agencies and Service Providers
Summary of Response/Attendance	79 responses
Summary of Comments Received	The Agency Survey asked respondents to identify which goals from the 2020-2024 Consolidated Plan remain relevant, the priority housing or community development needs not captured by these goals, and additional programs or projects to consider for the Consolidated Plan. Respondents identified "increase affordable housing resources," "support youth initiatives," and "invest in infrastructure and facility improvements" as the top three relevant goals for Waterbury today. The goals "increase the supply of affordable 3+ bedroom units" and "abatement of hazardous environmental conditions" were seen as less relevant. However, all goals were rated between moderate and high importance, with none below moderate. Respondents also suggested additional programs and projects for the Consolidated Plan, covering housing, public

	services, public facility improvements, community development, safety, and economic development needs.
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable, into the needs assessment and strategy development.
URL (if applicable)	N/A
Sort Order	**14**
Mode of Outreach	Needs Public Hearing
Target of Outreach	Public and Agencies
Summary of Response/Attendance	In total 20 people attended the public hearing, 3 attendees were staff members, 5 were CAC members, and 12 were organization representatives. At the public hearing, 9 agencies/organizations provided oral comments, and 2 agencies/organizations provided written comments.
Summary of Comments Received	The CAC provided an overview of the planning schedule and application process. Public speakers highlighted various needs, such as healthcare and case management for vulnerable individuals, funding for youth programs and facilities, support for soup kitchens and food panties, reentry services for formerly incarcerated individuals, and education and family support programs. Written statements emphasized the importance of services for domestic violence survivors and youth programs. A full transcript of this meeting can be found in the Appendix [TO COME].
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable, into the needs assessment and strategy development.
URL (if applicable)	N/A
Sort Order	**15**
Mode of Outreach	Community Survey Technical Assistance Workshops
Target of Outreach	General Public, Elderly, Youth

Summary of Response/Attendance	The Office of Community Development Staff held 6 technical assistance workshops to help individuals take the online community survey. These workshops were hosted at the following locations: St. Margaret Willow Plaza Senior Center; Mt. Olive Senior Center; Grace House; South End Rec Center; and two were held at Waterbury Senior Center.
Summary of Comments Received	All comments were received via the online survey.
Summary of comments not accepted and reasons	All comments were accepted and incorporated, where applicable into the needs assessment and strategy development.
URL (if applicable)	N/A

Table 4 – Citizen Participation Outreach

Needs Assessment

NA-05 Overview

Needs Assessment Overview

The housing needs for moderate, low, very low, and extremely low-income households in Waterbury presented in the following Housing Needs Tables utilize the 2016-2020 American Community Survey (ACS) and Comprehensive Housing Affordability Strategy (CHAS) data provided by the U.S. Department of Housing and Urban Development (HUD) to determine the extent of households with housing problems. CHAS and ACS estimates are based on survey data collected annually from a sample of the U.S. population.

The definition of housing problems in the following section is a non-duplicative count of households with housing problems as defined by HUD as 1) housing unit lacks complete kitchen facilities; 2) housing unit lacks complete plumbing facilities; 3) household is overcrowded (a housing unit with more than one person per room); 4) household is cost burdened (housing costs, including utilities, exceed 30% of gross income). The definition of severe housing problems is 1) housing unit lacks kitchen; 2) housing unit lacks complete plumbing; 3) household is severely overcrowded (>1.5 people per room); and 4) household is severely cost burdened (housing costs, including utilities, exceed 50% of gross income).

Affordability

The following Needs Assessment addresses housing needs based on the income of very low, low-, and median-income households. As described in the assessment, the primary housing need relates to a lack of income combined with a shortage of affordable housing units. The housing needs are disproportionate for Asian households when compared to community statistics.

Assisted Housing

In terms of assisted housing units, the Waterbury Housing Authority (WHA) is the primary provider, owning and operating 735 units. There is a waiting list of 584 applicants for public housing and 753 for housing choice vouchers. WHA has completed a Voluntary Compliance Agreement with HUD and has attained High Performer status for eight consecutive years. There is a need to rehabilitate units as well as a need to receive more funding for both public housing units and vouchers.

Homelessness

Waterbury has an active Continuum of Care process managed by the Northwest Coordinated Access Network (CAN) that addresses numerous homeless and special needs issues. There are currently two homeless shelters (Salvation Army and St. Vincent DePaul) and a domestic abuse shelter (Safe Haven). The shelters are at capacity, and waiting lists are long. There is also a growing number of children and elderly individuals experiencing homelessness. The primary need is for more permanent affordable housing and increased shelter capacity.

Special Needs

Special needs populations in Waterbury include persons with behavioral health disorders such as mental illness or substance abuse disorders; persons with disabilities; persons with HIV/AIDS; previously incarcerated persons; youth; elderly; and victims of domestic violence and sexual assault. The primary need for these populations includes affordable and supportive housing options and specialized services. In addition, infrastructure improvements are crucial for persons with disabilities to ensure they can navigate the community safely and independently.

Non-Housing Needs

The evolving demographics of Waterbury have significantly impacted the demand for certain public facilities, putting a strain on existing public facilities. Key facilities that are currently at capacity and in need of additional or expanded facilities include homeless facilities, senior center facilities, and emergency services facilities. Over the past five years, Waterbury has made significant strides in enhancing parks and recreation areas within low- and moderate-income neighborhoods. These improvements have fostered community engagement and provided safe, enjoyable spaces for residents. Other public facilities that are in need of improvements include City streets and sidewalks, water and sewer lines, and youth centers.

Public Service Needs

The City of Waterbury is host to a broad range of public services that help meet the needs of its residents. These services are provided through a cooperative effort between the City and non-profit service providers. During consultation with the public, agencies, and service providers, there were several public service needs brought up consistently, highlighting an existing gap in service. The needs include youth services, transportation services, employment/education services, healthcare services and special needs population services.

NA-10 Housing Needs Assessment – 24 CFR 91.205 (a,b,c)

Summary of Housing Needs

The tables and narratives below present housing need data for Waterbury as provided by HUD pre-populated tables that use data from the 2016-2020 ACS and the HUD CHAS database. In instances where more recent or locally generated data presents a clearer picture of the City's current needs and conditions, additional data and observations will be presented in the narrative.

Between 2015 and 2020, Waterbury's population decreased by 2% from 109,550 to 107,840 residents, while the number of households increased by 5% from 40,215 to 42,135 (see **Table 5**). However, it is important to note that as of 2023, the population is 114,994, which is a 5% increase since 2015 and a 6.6% increase since 2020.

Median income increased 14% between 2015 and 2020; however, when adjusted for inflation, the purchasing power of this income increased by only 3%. Most households in Waterbury (67%) have incomes below 80% of the HUD Area Median Family Income (HAMFI), and 47% have incomes below 50% of the HAMFI (see **Table 6**). Households with young children and/or elderly members are more likely to have low incomes compared to the general population. Specifically, 83% of households with at least one child aged 6 or younger, and 74% of households with at least one person aged 75 or older, fall into the low-, very low-, and extremely low-income categories.

The most common housing problem faced by households in Waterbury is housing cost burden. **Table 7** shows a nonduplicative count of households making under 100% of the Area Median Income (AMI) that have a housing problem. Households may have more than one housing problem, but **Table 7** counts each household once according to its most severe problem. Of the households in **Table 7**, 3% are lacking complete plumbing or kitchen facilities (substandard housing); 5% are overcrowded or severely overcrowded (but are not substandard); 87% have a housing cost burden of greater than 30% of income (but are not overcrowded or substandard), including 46% that have a housing cost burden of over 50% of income (severe cost burden); 5% have zero or negative household income, but no other housing problems.

Both renter and owner households face these issues; 36% of renter households making 100% or less of AMI and 24% of owner households making 100% or less of AMI face one or more severe housing problems (lacks kitchen or complete plumbing, severe overcrowding, severe cost burden). Overcrowded and severely overcrowded households are primarily families who are renting.

Demographics	Base Year: 2015	Most Recent Year: 2020	% Change
Population	109,550	107,840	-2%
Households	40,215	42,135	5%
Median Income	$40,467.00	$46,329.00	14%

Table 1 – Housing Needs Assessment Demographics

Data Source: 2015 Census (Base Year), 2016-2020 ACS (Most Recent Year)

Number of Households Table

	0-30% HAMFI	>30-50% HAMFI	>50-80% HAMFI	>80-100% HAMFI	>100% HAMFI
Total Households	11,940	7,735	8,635	3,920	9,905
Small Family Households	4,005	2,750	3,585	1,625	5,030
Large Family Households	765	875	610	310	745
Household contains at least one person 62-74 years of age	2,265	1,645	1,510	900	1,775
Household contains at least one person age 75 or older	1,535	1,080	1,130	525	795
Households with one or more children 6 years old or younger	2,165	1,615	1,480	370	715

Table 2 – Total Households Table

Data Source: 2016-2020 CHAS

Housing Needs Summary Tables

1. Housing Problems (Households with one of the listed needs)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Substandard Housing - Lacking complete plumbing or kitchen facilities	350	50	70	30	500	0	35	0	4	39
Severely Overcrowded - With >1.51 people per room (and complete kitchen and plumbing)	110	4	0	15	129	0	0	20	25	45
Overcrowded - With 1.01-1.5 people per room (and none of the above problems)	245	85	115	230	675	30	4	80	4	118
Housing cost burden greater than 50% of income (and none of the above problems)	5,205	815	80	0	6,100	1,620	805	160	15	2,600
Housing cost burden greater than 30% of income (and none of the above problems)	1,280	2,525	785	30	4,620	350	1,300	1,295	225	3,170
Zero/negative Income (and none of the above problems)	700	0	0	0	700	195	0	0	0	195

Table 3 – Housing Problems Table

Data Source: 2016-2020 CHAS

2. Housing Problems 2 (Households with one or more Severe Housing Problems: Lacks kitchen

or complete plumbing, severe overcrowding, severe cost burden)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Having 1 or more of four housing problems	5,910	955	265	275	7,405	1,650	845	255	45	2,795
Having none of four housing problems	3,720	3,785	4,325	1,355	13,185	655	2,155	3,785	2,245	8,840
Household has negative income, but none of the other housing problems	0	0	0	0	0	0	0	0	0	0

Table 4 – Housing Problems 2

Data Source: 2016-2020 CHAS

3. Cost Burden > 30%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	2,645	1,445	315	4,405	640	760	600	2,000
Large Related	485	360	0	845	80	255	65	400
Elderly	1,530	645	160	2,335	885	795	440	2,120
Other	2,370	930	390	3,690	385	320	345	1,050
Total need by income	7,030	3,380	865	11,275	1,990	2,130	1,450	5,570

Table 5 – Cost Burden > 30%

Data Source: 2016-2020 CHAS

4. Cost Burden > 50%

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
NUMBER OF HOUSEHOLDS								
Small Related	0	0	425	425	565	370	0	935
Large Related	0	0	35	35	70	35	0	105
Elderly	1,125	190	0	1,315	660	305	50	1,015
Other	0	1,820	160	1,980	345	0	0	345

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Total need by income	1,125	2,010	620	3,755	1,640	710	50	2,400

Table 6 – Cost Burden > 50%

Data Source: 2016-2020 CHAS

5. Crowding (More than one person per room)

	Renter					Owner				
	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	>80-100% AMI	Total
NUMBER OF HOUSEHOLDS										
Single family households	330	64	115	245	754	30	4	60	29	123
Multiple, unrelated family households	30	25	0	0	55	0	0	35	0	35
Other, non-family households	0	0	0	0	0	0	0	0	0	0
Total need by income	360	89	115	245	809	30	4	95	29	158

Table 7 – Crowding Information – 1/2

Data Source: 2016-2020 CHAS

	Renter				Owner			
	0-30% AMI	>30-50% AMI	>50-80% AMI	Total	0-30% AMI	>30-50% AMI	>50-80% AMI	Total
Households with Children Present	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Table 8 – Crowding Information – 2/2

Describe the number and type of single-person households in need of housing assistance.

HUD and CHAS data do not provide information on housing needs for single-person households, only on "other" nonfamily households (see **Tables 9-11**). An estimate of single-person households in need of housing assistance may be extrapolated from the percentage of single-person households and nonfamily households in the general population. The 2016-2020 ACS shows that of the 16,912 nonfamily households in Waterbury, 14,529 were single-person households (86%). Based on this analysis, it is likely that most of the "other" households reported in **Tables 9-11** consist of single-person households in need of housing assistance. "Other" households represent 33% of all renter households facing a cost burden over 30% of income and 53% of all renter households facing a cost burden over 50% of income. Most

"other" households facing housing costs burdens in Waterbury are renters, though there are some "other" owner households also facing housing cost burden.

The 2023 ACS (Five-Year Estimates, Tables S2501, DP02) estimates there are 15,834 people living alone in Waterbury, representing 34.5% of all households in Waterbury. Of these single-person households, 6,125 (38.6%) are individuals over the age of 65. Additionally, 2023 ACS estimates (Table S1701) report that 23.9% of Waterbury residents have incomes below the poverty level; but for unrelated individuals (those in nonfamily or single-person households), 31.3% have incomes below the poverty level.

Estimate the number and type of families in need of housing assistance who are disabled or victims of domestic violence, dating violence, sexual assault, and stalking.

Disability: 2023 ACS data (Tables S1810, C18130) estimate that 18.6% (21,152 people) of the total civilian, noninstitutionalized population of Waterbury have a disability, and 6,076 of those with a disability also have incomes below the poverty level. Individuals with a disability comprise 22.6% of all persons with incomes below the poverty level in the city. Approximately 9.3% of the City's population have an independent living difficulty, while 8.6% have a cognitive disability. **Table 24** indicates that 508 disabled families received housing choice vouchers, and 1,868 families requested accessibility features.

Domestic Violence, Dating Violence, Sexual Assault, and Stalking: Due to the sensitive nature of violence, assault, and stalking, it is difficult to accurately estimate the number of families impacted. According to the 2023 Family Violence Arrest Report provided by the State of Connecticut, Waterbury had 938 family violence arrest incidents out of a total of 1,783 offenses in 2023. Of these offenses, 48.9% were breach of peace, 18% were assaults, 10.1% were violations of court orders, 6.7% were risk of injury, 4.8% were threatening, and 2.8% were strangulations. An additional 8% were classified as "other." Less than 1% of cases were homicide, kidnapping, sexual assault, and stalking (2, 1, 3, and 7 cases, respectively).

Waterbury is included in the Balance of State CoC. According to the State's Annual Point-in-Time Count of Individuals and Families Experiencing Homelessness for the Balance of State CoC, 569 homeless adults (38% of all homeless adults) self-reported as being a survivor of domestic violence.

What are the most common housing problems?

As was the case in the 2020-2024 Consolidated Plan, the most common housing problem in Waterbury continues to be cost burden, rather than issues of substandard housing or overcrowding. According to **Table 7**, roughly 87% of housing problems are due to cost burdens alone. Of the 42,135 households in Waterbury, roughly 41% face cost burden issues. Severe cost burden (in which cost burden is greater than 50% of income) affects 22% of households in Waterbury.

Are any populations / household types more affected than others by these problems?

According to **Table 8**, a larger percentage of renter households (36%) than owner households (24%) making 0-100% of AMI face one or more housing problems.

Table 9 (Cost Burden >30%) and **Table 10** (Cost Burden > 50%) break down cost burdens by type of household for the income groups 0-30% AMI (extremely low income), 30-50% AMI (very low income), and 50-80% AMI (low income). According to **Table 9**, of all households experiencing cost burdens, there are 6,405 (38%) cost burdened small families, and 4,740 (28%) cost burdened "other" households, which may suggest that those living alone, and single-parent households, are disproportionately affected by cost burden.

According to **Table 10**, there are 6,155 households experiencing severe cost burden. The largest groups affected by severe housing costs were elderly and "other" households—each representing 38% of all severely cost burdened households. 77% of severely cost burdened elderly households are making less than 30% AMI, while 93% of severely cost burdened "other" households are making less than 50% AMI.

Describe the characteristics and needs of low-income individuals and families with children (especially extremely low-income) who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered 91.205(c)/91.305(c)). Also discuss the needs of formerly homeless families and individuals who are receiving rapid re-housing assistance and are nearing the termination of that assistance

The characteristics and needs of low-income individuals and families with children who are currently housed but are at imminent risk of either residing in shelters or becoming unsheltered might include lack of money to pay for healthcare, childcare, and transportation costs along with other issues that include lack of employment, lack of state benefits and services, lack of family support, problems with mental health, chronic health conditions, substance use disorders, history of domestic violence, and lack of stable continuous income. Having affordable and secure housing is the end goal for homeless families exiting programs in the City. The needs of formerly homeless families and individuals that are receiving rapid re-housing assistance and are nearing the termination of that assistance are affordable, stable, and safe housing; supportive services such as healthcare, affordable childcare, money management, budget counseling, accessible transportation, and community resources; and services such as continued case management and follow-ups to sustain and promote wellness and stability. The need for employment and support that makes work possible, such as affordable childcare and access to jobs, was discussed often during the Needs Assessment process.

If a jurisdiction provides estimates of the at-risk population(s), it should also include a description of the operational definition of the at-risk group and the methodology used to generate the estimates:

Waterbury does not provide an estimate of the population at risk of becoming unhoused, as this can be due to several factors that are not captured in available data. However, **Table 7** shows that there are 6,825 households that are earning less than 30% of the AMI and paying more than 50% of their income toward housing, which offers some insight into the prevalence of those at-risk of homelessness.

Specify particular housing characteristics that have been linked with instability and an increased risk of homelessness

There are several housing and household characteristics linked with instability and increased risk of homelessness. These characteristics are listed below:

- A high unemployment rate with a resulting loss of income available for housing
- The lack of assets available for rental security deposits
- The long waiting lists for both public housing units and Housing Choice Vouchers
- The reduction of the number of affordable housing units resulting from the demolition of structures, structures with non-habitable units due to deteriorated conditions, and the lack of construction of additional affordable units
- Mental health and substance use issues leading to displacement from private housing, increasing the pressure on homeless facilities or resulting in people being unsheltered
- Those affected by domestic/sexual violence or abuse are at an increased risk of homelessness.
- Those affected by chronic health condition(s) or disabilities are at an increased risk of homelessness
- Those who have been evicted or foreclosed upon are at an increased risk of homelessness
- The lack of affordable housing units in the region surrounding Waterbury

Discussion

There are multiple housing needs in Waterbury. Strategies to best address these needs are discussed in the Strategic Plan section.

NA-15 Disproportionately Greater Need: Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has a disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

Waterbury is predominantly White (38.9%) and Hispanic or Latino (36.6%); 18.9% of the population is Black or African American, and just 1.7% is Asian. 2023 ACS data (Table S1903) indicates that the median household income in Waterbury is $51,652; however, Hispanic households have a median income of $46,175, and Black / African American households have a median income of $42,519.

HUD asks grantee communities to evaluate the extent that any racial or ethnic group has a disproportionately greater housing need in comparison to the needs of the population as a whole. For this purpose, disproportionately greater need exists when the percentage of people in a category of need, who are members of a particular racial or ethnic group, is at least 10% higher than the percentage of people in the category as a whole. The tables below present statistics for households, with housing problems broken down by income and race/ethnic group. As discussed in NA-10, the most common housing problem in Waterbury is cost burden, which is the result of insufficient income combined with low inventory of affordable housing units. Cost burden is most prevalent among households with incomes of less than 50% of the area median. According to **Tables 13-16**, of the 17,990 households with housing problems with incomes below 100% of AMI, 14,815 (82%) have incomes of less than 50% of AMI. Asian households have disproportionately greater needs compared to the jurisdiction as a whole, especially those with incomes less than 30% of the area median.

While it does not have disproportionately greater needs related to housing problems, Waterbury's Hispanic population faces significant housing challenges, as many face language barriers which can make finding safe, decent, and affordable housing and accessing resources a challenge. The Hispanic population represents the largest, most recent group immigrating to Connecticut overall and specifically Waterbury. The Hispanic population includes those from several Latin American countries, as well as Puerto Rico. Some groups are more recent immigrants than others, but all groups experience similar cultural and language barriers to assimilation and employment. When Waterbury had a predominantly manufacturing economic base, immigrants from a variety of countries and cultures were able to find employment with a decent livable wage; as the manufacturing industry in Waterbury has declined, this is no longer the case.

0%-30% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	9,190	2,745	0
White	3,250	750	0
Black / African American	1,685	620	0
Asian	140	10	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	3,825	1,260	0

Table 9 – Disproportionally Greater Need 0 - 30% AMI

Data Source: 2016-2020 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

30%-50% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	5,625	2,110	0
White	1,955	985	0
Black / African American	1,285	340	0
Asian	35	45	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	1,950	715	0

Table 10 – Disproportionally Greater Need 30 - 50% AMI

Data Source: 2016-2020 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

50%-80% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	2,605	6,030	0
White	1,005	2,570	0
Black / African American	520	925	0
Asian	20	130	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	980	2,300	0

Table 11 – Disproportionally Greater Need 50 - 80% AMI

Data Source: 2016-2020 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

80%-100% of Area Median Income

Housing Problems	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	570	3,345	0
White	265	2,110	0
Black / African American	90	325	0
Asian	15	30	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	190	880	0

Table 12 – Disproportionally Greater Need 80 - 100% AMI

Data Source: 2016-2020 CHAS

*The four housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than one person per room, 4. Cost Burden greater than 30%

Discussion

Among households in Waterbury between 0-30% AMI, 77% of the jurisdiction as a whole has one or more of four housing problems. Within this group, 93% of Asian households (140 households) have housing problems, which shows disproportionately greater need as compared to the population as a

whole. No other racial or ethnic groups in the 0-30% AMI range have a disproportionately greater need related to housing problems.

Among households in Waterbury between 30-50% AMI, 73% of the jurisdiction as a whole has one or more of four housing problems, and no racial or ethnic groups have a disproportionately greater need within the income group.

Among households in Waterbury between 50-80% AMI, 30% of the jurisdiction as a whole has one of more of the four housing problems, and no racial or ethnic groups have a disproportionately greater need within the income group.

Among households in Waterbury between 80-100% AMI, 15% of the jurisdiction as a whole has one or more of the four housing problems. Within this income group, 33% of Asian households (15 households) have housing problems, which is a disproportionately greater need as compared to the population as a whole. However, because the Asian population in this income range is very small, this data may be unreliable due to margins of error.

According to **Tables 13-16**, Asian households have a disproportionally greater need, particularly those whose income is less than 30% of the AMI and those making 80-100% of the AMI. No other racial or ethnic group is disproportionately affected by housing problems.

NA-20 Disproportionately Greater Need: Severe Housing Problems – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has a disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction

HUD asks grantee communities to evaluate the extent that any racial or ethnic group has a disproportionately greater housing need in comparison to the needs of the population as a whole. For this purpose, disproportionately greater need exists when the percentage of people in a category of need, who are members of a particular racial or ethnic group, is at least 10% higher than the percentage of persons in the category as a whole. The tables below (see **Tables 17-20**) present statistics, provided by HUD from its 2016-2020 CHAS database, for households with severe housing problems broken down by income and racial/ethnic group. Of the 10,200 households with severe housing problems with incomes below 100% of the median, 9,360, or 92%, have incomes of less than 50% of the median. Asian households have disproportionately greater rates of severe housing problems when income is less than 30% and between 80-100% of the AMI.

0%-30% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	7,560	4,375	0
White	2,475	1,530	0
Black / African American	1,395	905	0
Asian	110	40	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	3,300	1,785	0

Table 13 – Severe Housing Problems 0 - 30% AMI

Data Source: 2016-2020 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

30%-50% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	1,800	5,940	0
White	720	2,220	0
Black / African American	500	1,125	0
Asian	10	80	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	405	2,265	0

Table 14 – Severe Housing Problems 30 - 50% AMI

Data Source: 2016-2020 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

50%-80% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	520	8,110	0
White	205	3,370	0
Black / African American	90	1,355	0
Asian	0	155	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	215	3,070	0

Table 15 – Severe Housing Problems 50 - 80% AMI

Data Source: 2016-2020 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

80%-100% of Area Median Income

Severe Housing Problems*	Has one or more of four housing problems	Has none of the four housing problems	Household has no/negative income, but none of the other housing problems
Jurisdiction as a whole	320	3,600	0
White	119	2,260	0
Black / African American	15	410	0
Asian	15	30	0
American Indian, Alaska Native	0	0	0
Pacific Islander	0	0	0
Hispanic	175	900	0

Table 16 – Severe Housing Problems 80 - 100% AMI

Data Source: 2016-2020 CHAS

*The four severe housing problems are:
1. Lacks complete kitchen facilities, 2. Lacks complete plumbing facilities, 3. More than 1.5 persons per room, 4. Cost Burden over 50%

Discussion

Among households in Waterbury between 0-30% AMI, 63% of the jurisdiction as a whole has one or more severe housing problems. Among this income group, 73% of Asian households (110 households) have severe housing problems, which is a disproportionately greater need as compared to the population as a whole.

Among households in Waterbury, between 30-50% AMI, 23% of the jurisdiction as a whole have one or more severe housing problems. No racial or ethnic groups have a disproportionately greater need related to housing problems within the income group.

Among households in Waterbury between 50-80% AMI, 6% of the jurisdiction as a whole has one or more severe housing problems. No racial or ethnic groups have a disproportionately greater need related to housing problems within the income group.

Among households in Waterbury between 80-100% AMI, 8% of the jurisdiction as a whole has one or more severe housing problems. Among this income group, 33% of Asian households (15 households) have severe housing problems, which is a disproportionately greater need. However, because the population of Asian households within this income range in Waterbury is so small, this data may be unreliable due to margins of error.

According to **Tables 17-20**, Asian households experience a disproportionately greater need related to severe housing problems as compared to the population as a whole when income is less than 30% and between 80-100% of AMI.

NA-25 Disproportionately Greater Need: Housing Cost Burdens – 91.205 (b)(2)

Assess the need of any racial or ethnic group that has a disproportionately greater need in comparison to the needs of that category of need as a whole.

Introduction:

Housing cost burden is the predominant housing need in Waterbury; **Table 21** indicates that 41% of households (17,265) in Waterbury are cost burdened, paying more than 30% of income towards housing costs. This is the result of low incomes and the lack of available affordable housing in both Waterbury and the surrounding region.

HUD asks grantee communities to evaluate the extent that any racial or ethnic group has a disproportionately greater housing need in comparison to the needs of the population as a whole. For this purpose, a disproportionately greater need exists when the percentage of people in a category of need, who are members of a particular racial or ethnic group, is at least 10% higher than the percentage of persons in the category as a whole.

Housing Cost Burden

Housing Cost Burden	<=30%	30-50%	>50%	No / negative income (not computed)
Jurisdiction as a whole	23,935	8,045	9,220	935
White	12,470	3,075	3,260	320
Black / African American	3,830	1,635	1,830	255
Asian	385	95	120	0
American Indian, Alaska Native	0	0	0	0
Pacific Islander	0	0	0	0
Hispanic	6,765	2,920	3,545	330

Table 17 – Greater Need: Housing Cost Burdens AMI

Data Source: 2016-2020 CHAS

Discussion:

For the jurisdiction as a whole, 59% of households have no housing cost burden, with 41% having a cost burden. **Table 21** indicates that 19% of all households in Waterbury have a housing cost burden of between 30-50% of their income. Among this income group, no racial or ethnic group has a disproportionately greater rate of housing cost burden. In addition, 22% of all households in Waterbury have a severe housing cost burden of over 50% of their income; no racial or ethnic group has a disproportionately greater incidence of housing cost burden within this income range.

Housing cost as a high percentage of income is prevalent throughout Connecticut. People earning the state minimum wage ($16.35/hour, as of January 1, 2025), which is higher than the federal minimum wage, must work approximately 72 hours to afford the median gross rent in Waterbury ($1,180, per

2023 ACS Table DP04). Cost burden, housing affordability, and the need for higher household income levels affect many, though there are no racial/ethnic groups facing a disproportionately greater need related to cost burden compared to the jurisdiction as a whole.

NA-30 Disproportionately Greater Need: Discussion – 91.205(b)(2)

Are there any Income categories in which a racial or ethnic group has disproportionately greater need than the needs of that income category as a whole?

At the 0-30% and 80-100% AMI level, Asian households have a disproportionately greater need related to housing problems (Tables 13-20). Asian households are also disproportionately affected by severe housing problems. At the 30-50% and 50-80% AMI levels, no racial or ethnic groups have a disproportionately greater need related to housing problems, including severe housing problems.

If they have needs not identified above, what are those needs?

Additional housing needs include lack of English proficiency and translation services. With a language barrier, it is more difficult for recent immigrants and others with limited English proficiency to find housing or participate in City programs to address this need. The immigration status of individuals may also hinder their desire or ability to use City services to find housing.

Are any of those racial or ethnic groups located in specific areas or neighborhoods in your community?

According to HUD Community Planning and Development Maps, the Asian population is most heavily concentrated Census Tract 3528, with approximately 7 percent of the population reporting as Asian. The City's Neighborhoods Map identifies this area as the Captain Neville Industrial Park, East Mountain, Riedville Industrial Park, and Scott Road neighborhoods.

The Hispanic population is most heavily concentrated in Census Tracts 3522, 3510, 3501.01, 3503, 3505, 3514, and 3516.01, with 47-71 percent of the population in these tracts reporting as persons of Hispanic origin. The City's Neighborhoods Map identifies these areas as the Brookside Industrial Park, Fairmount, Boulevard, Hill Street, New PAC, W.O.W, Central Business District, Washington Hill, and Town Plot neighborhoods.

NA-35 Public Housing – 91.205(b)

Introduction

The Housing Authority of the City of Waterbury (WHA) provides affordable housing to Waterbury families that lack the means to purchase or rent housing at market prices. According to the WHA's Fiscal Year 2024 Annual Report, the WHA has a portfolio of 735 units of public housing spread across 18 public housing complexes, with 100% occupancy. The largest of the WHA's owned and managed properties is the Berkeley Heights Apartments with 254 units, offering up to 4-bedroom units. Three developments are designated as elderly housing – Bergin Apartments (76 units), Roosevelt Apartments (48 units), and the Kelly Apartments (30 units). In FY24, over 2,000 individuals (including 262 senior citizens or disabled persons) were served through the WHA Public Housing program, and 4,866 individuals (including 500 senior citizens or disabled persons) were served through the Housing Choice Voucher programs.

The WHA achieved High Performer status under HUD's Section 8 Management Assessment Program (SEMAP) for the eighth consecutive year and served 184 new families. The WHA's waiting list for public housing is currently 584, while the waiting list for Housing Choice Vouchers is 753.

Totals in Use

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers in use	N/A	136	735	2,024	90	1,819	Total – 70 Waterbury Residents – 21 Out of Waterbury – 49	33	136

Table 18 - Public Housing by Program Type

***includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition**

Data Source: PIC (PIH Information Center)

Characteristics of Residents

	Program Type							
	Certificate	Mod-Rehab	Public Housing	Vouchers				
				Total	Project -based	Tenant -based	Special Purpose Voucher	
							Veterans Affairs Supportive Housing	Family Unification Program
Average Annual Income	N/A	11,436	15,697	17,386	14,927	17,707	14,611	19,474
Average length of stay	N/A							
Average Household size	N/A	2.03	2.84		2.53	2.33	1.97	2.03
# Homeless at admission	N/A							
# of Elderly Program Participants (>62)	N/A	22	264	472	13	430	22	0
# of Disabled Families	N/A	19	156	594	27	525	10	8
# of Families requesting accessibility features	N/A							
# of HIV/AIDS program participants	N/A							
# of DV victims	N/A	3	0	0	0	0	0	1

Table 19 – Characteristics of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Race of Residents

Program Type									
Race	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
White	N/A	65	325	1,152	64	1,008	50	20	73
Black/African American	N/A	71	401	1,022	26	996	20	13	72
Asian	N/A	0	4	6	0	4	0	0	0
American Indian/Alaska Native	N/A	0	4	8	0	8	0	0	0
Pacific Islander	N/A	0	1	3	0	3	0	0	0
Other	N/A	0	0	0	0	0	0	0	0

***includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition**

Table 20 – Race of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Ethnicity of Residents

Program Type									
Ethnicity	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project - based	Tenant - based	Special Purpose Voucher		
							Veterans	Family	Disabled

							Affairs Supportive Housing	Unification Program	*
Hispanic	N/A	42	209	837	26	811	65	16	73
Not Hispanic	N/A	94	426	1,072	64	1,008	5	17	72

*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition

Table 21 – Ethnicity of Public Housing Residents by Program Type

Data Source: PIC (PIH Information Center)

Section 504 Needs Assessment: Describe the needs of public housing tenants and applicants on the waiting list for accessible units:

Section 504 of the Rehabilitation Act of 1973 prohibits Federal agencies and Non-Federal agencies receiving Federal funds from excluding people with disabilities, including psychiatric disabilities, from programs or activities – including specific housing programs – because of their disability. As part of its Voluntary Compliance Agreement with HUD, WHA has converted 5 percent (37 units) of its public housing units to full ADA compliance in accordance with Section 504. As is the situation with housing authorities throughout Connecticut, WHA is experiencing a demand for units much in excess of the capacity of its programs and managed housing units. The situation is evidenced by the waiting lists maintained by the authority. The current waiting list for WHA-managed units is 584 households. Similarly, there are extensive waiting lists for Housing Choice Vouchers (HCVs). The current waiting list for HCV is 753 households.

Most immediate needs of residents of Public Housing and Housing Choice voucher holders

The most immediate needs of voucher-holders include finding units that are code compliant and within the established Fair Market Rate (FMR). The lack of funds for property owners to bring units into code compliance, including Section 504 requirements, limits the available inventory. The lack of funds for security deposits by voucher holders is another hurdle to overcome toward gaining occupancy in sound/affordable housing. Lack of funds also impacts the WHA's ability to develop additional units and rehabilitate existing units. In discussions with City staff and local housing providers, the stock of affordable housing units available for occupancy has been dwindling due to a change toward more outside-of-Waterbury absentee landlords, changing occupancy patterns, and a shift toward more market-driven housing.

How do these needs compare to the housing needs of the population at large

According to Tables 22 and 24, of the total 2,204 vouchers in use, 29 percent of voucher recipients are disabled families, compared to 18.6 percent of the total noninstitutionalized population in the City. Approximately 21% of households in public housing are disabled families, which is similar to the rate of disabilities in the City population as a whole. Disabilities may hinder employment and could contribute to a higher need for housing assistance for such families.

Black/African American families are a disproportionately large part of the voucher recipient population. Black/African American families make up 18.9 percent of the City's population but account for 50 percent of all voucher recipients.

Discussion

See narrative above.

NA-40 Homeless Needs Assessment – 91.205(c)

Introduction:

The City of Waterbury is part of the Northwest CAN. The CAN is made up of a local team of agencies that assist people experiencing homelessness by connecting them to local services and assistance. Waterbury's Office of Community Development is responsible for the administration of Waterbury's Emergency Solutions Grant Program (ESG). The Office of Community Development staff are active participants in the activities of the CAN Leadership Committee to ensure ESG funding is used in a coordinated and effective manner. Listed below are several facilities/programs in the City which provide direct housing opportunities for the homeless and others. They include the following:

- St. Vincent DePaul Shelter: 114 Benedict Street. This shelter provides emergency and longer-stay housing as well as a soup kitchen for both individuals and families. This shelter is able to serve up to 108 people, with an additional space for 16 individuals in emergency situations. Shelter guests are also offered individualized and comprehensive case management services.
- St. Vincent DePaul's Society of Support Permanent Supportive Housing Program: This program provides homeless individuals with mental health and/or substance abuse disorders and families with disabilities with leasing assistance for safe and affordable housing at scattered sites throughout the City. The program serves over 22 housing units and provides case management and support services.

- Salvation Army Shelter: 74 Central Avenue. This shelter provides emergency and longer-stay housing for families with children only. CDBG also funds a food pantry at the site.
- Safe Haven: This facility provides emergency shelter and free comprehensive support services to victims of domestic violence and their children. CDBG also funds operational support for the organization.
- The Center of Human Development (CHD) Hospitality Center: 690 East Main Street. This facility provides daytime services and resources for homeless persons on a drop-in basis. This facility serves 60 to 80 people each day.
- There are numerous food pantries that provide both hot meals and bagged food to the food insecure. Often, the sites are prime locations for the provision of homelessness prevention, outreach and counseling.

There is collaboration among these organizations and facilities to provide services to the maximum extent possible with a recognition of capacity and financial resources limitations. As noted from the descriptions above, these facilities provide shelter and services to individuals experiencing homelessness. In addition to clients served by these facilities, Waterbury has homeless outreach teams to connect underserved individuals to services. The Homeless Outreach Team led by the Western Connecticut Mental Health Network coordinates homeless services. Services include evaluation, referral and follow-up to individuals residing in homeless shelters and in other places frequented by individuals experiencing homelessness. Waterbury Public Schools also provides services to address problems that unhoused children and youth face in enrolling, attending, and succeeding in school. A Community Connection Coordinator connects students experiencing homelessness with services and resources. The Brian Gibbons Homeless Outreach Team regularly visits homeless campsites, factories, and abandoned buildings several times a week to provide food, clothing, and other basic needs.

If data is not available for the categories "number of persons becoming and exiting homelessness each year," and "number of days that persons experience homelessness," describe these categories for each homeless population type (including chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth):

In Fiscal Year 2024, the average length of time people are experiencing homelessness (both sheltered or unsheltered) is 216.2 days. The average length of time is significantly shorter for those who are in a shelter, with an average length of time closer to 67.57 days. 16.2 percent of households experiencing homelessness are families with children, and less than 1 percent of households are reported as being veterans or unaccompanied youth.

Additional information about the number of persons becoming and exiting homelessness each year and the number of days that persons experience homelessness is provided by Waterbury service providers. In Fiscal Year 2023, St. Vincent DePaul's Homeless Shelter served 613 unduplicated single men and women, and 43 families with 64 children. Additionally, the Shelter's rapid re-housing program assisted 25 unduplicated clients and 13 households. From December 1, 2023, through April 15, 2024, Waterbury shelters were at full capacity, leading to the opening of the designated cold weather shelter site at the Courtyard by Marriott. This site served 13 families, including 14 adults and 31 children, highlighting the significant proportion of single parent/guardian households experiencing homelessness.

St. Vincent DePaul's Permanent Supportive Housing program, which provides housing assistance and long-term case management for homeless individuals and families with mental health and substance abuse disorders, served 4 families and 17 single adults, totaling 25 unduplicated adults and 5 unduplicated children in Fiscal Year 2023. The Center for Human Development (CHD) Hospitality Center served an estimated 546 sheltered and unsheltered individuals experiencing homelessness in Fiscal Year 2022. The Safe Haven Shelter reported serving 52 domestic violence and sexual assault victims experiencing homelessness in Fiscal Year 2022. The Salvation Army Shelter reported serving 250 individuals experiencing homelessness in Fiscal Year 2022.

According to Connecticut's EdSight data dashboard, during the 2023-2024 school year, the Waterbury School District had 553 students experiencing homelessness, representing 5.3% of the student population. Direct consultations with agencies and service providers indicate an increase in unsheltered homeless populations over the past five years. Shelters are at capacity, and waiting lists are long. There is also a growing number of children and elderly individuals experiencing homelessness.

Nature and Extent of Homelessness: (Optional)

Race:	Sheltered:	Unsheltered (optional)
Ethnicity:	Sheltered:	Unsheltered (optional)

Estimate the number and type of families in need of housing assistance for families with children and the families of veterans.

According to the 2024 Continuum of Care Balance of State (BOS) Point-in-Time (PIT) Count), in the BOS Region there were 277 households with children in emergency shelters or transitional housing. During this PIT there were no unsheltered households with children. The PIT also counted 141 homeless veterans but did not indicate their family status. According to HMIS data provided by the CAN an estimated 16.2 percent of the homeless population in Waterbury were families in 2024 and less than 1 percent reported a veteran status.

CT Data Collaborative 211 data show that in 2023, there were 1,027 calls regarding minors and adults with children (0-17 years old) from Waterbury who were in need of services related to housing insecurity. These calls equated to 2,227 children in Waterbury in need of housing insecurity services. ACS data estimates that there are approximately 15,991 households in Waterbury with one or more people under 18 years of age. This suggests that roughly 6.4% percent of households with children under 18 years of age are in need of housing assistance. This is similar to Connecticut's EdSight data dashboard, which identified that 5.3% of the Waterbury School District student population experienced homelessness during the 2023-2024 school year.

No additional data is collected regarding veteran status for families in need of housing assistance in Waterbury. About 2.5% of Waterbury's population are veterans.

Describe the Nature and Extent of Homelessness by Racial and Ethnic Group.

According to 2024 Continuum of Care Balance of State (BOS) Point-in-Time (PIT) Count, 34.8% of individuals experiencing homelessness are white, 31.3% are Hispanic, and 29.4% are Black or African American. For unsheltered individuals experiencing homelessness, 52.5% are White, 26.0% are Black or African American, and 15.1% are Hispanic. In Waterbury, the racial breakdown of the homeless population is similar to the BOS region. According to HMIS data provided by the CAN, 26 percent of individuals experiencing homelessness are white, 35 percent are Hispanic, and 34 percent are Black or African American. Data from the Connecticut Data Collaborative shows that 41% of households experiencing evictions in Waterbury are Latino households, 33% of households are White, and 23% are Black.

Describe the Nature and Extent of Unsheltered and Sheltered Homelessness.

According to 2024 Continuum of Care Balance of State (BOS) Point-in-Time (PIT) Count, in the BOS Region 17% (465 people) of the homeless population was unsheltered. Of those 465 people, 15 were chronically homeless. All of the unsheltered population were adults, and the vast majority were 25 years of age or older. According to HMIS data provided by the CAN, Waterbury has a higher percentage of

persons experiencing unsheltered homelessness compared to the BOS region, at 32 percent (68 people). According to direct consultation with agencies and service providers, there are significantly higher amounts of unsheltered populations due to lack of shelter capacity. Shelter waitlists are significant and more individuals are utilizing warming facilities. Additionally, in the first time in many years children and elderly individuals are experiencing unsheltered homelessness. Due to limited affordable housing availability, the length of stays in shelters have also increased considerably, contributing to the lack of shelter space.

Discussion:

See narratives above.

NA-45 Non-Homeless Special Needs Assessment - 91.205 (b,d)

Introduction:

As part of the City's consolidated planning process, the needs of persons requiring supportive housing and services were analyzed and discussed. Through direct consultation with the public, agencies, and service providers, several special needs populations were identified. The following narratives describe the housing and supportive service needs of the identified special needs populations in Waterbury that are not homeless.

Describe the characteristics of special needs populations in your community:

There are several groups of people with special needs that are not chronically homeless. Special needs populations in Waterbury include persons with behavioral health disorders such as mental illness or substance abuse disorders; persons with disabilities; persons with HIV/AIDS; previously incarcerated persons; youth; elderly; and victims of domestic violence and sexual assault.

Persons with behavioral health disorders: According to the Department of Mental Health and Addiction Services (DMHAS) 2023 Report, 4,574 Waterbury residents were admitted to substance abuse or mental health treatment programs. 2,029 were admitted for substance abuse, 2,270 were admitted for mental health, and 275 were admitted for both substance abuse and mental health.

Persons with disabilities: 2023 ACS data (Tables S1810, C18130) estimate that 18.6% (21,152 people) of the total civilian, noninstitutionalized population of Waterbury have a disability and 6,076 of those with a disability also have incomes below the poverty level. Approximately 9.3% of the City's population have disability that presents an independent living difficulty, while 8.6% have a cognitive disability.

Persons with HIV/AIDS: According to the Connecticut Department of Health, in 2020, an estimated 697 people in Waterbury are living with HIV.

Previously incarcerated persons: According to 2023 CT State of Reentry Report, 82% of people leaving prison have a moderate to serious substance abuse disorder and 93% have five years or less of employment or vocation training.

Youth: According to the Connecticut Data Collaborative's Department of Mental Health and Addiction Services Regional Data Stories for Northwestern Connecticut, in Waterbury, an estimated 10% of boys and 8 percent of girls are disengaged, meaning they are not enrolled in school and are not employed. In addition, the CT Department of Education reported 437 drug, alcohol, and/or tobacco incidents in the 2021-2022 school year.

Elderly: The housing and supportive service needs of elderly people in Waterbury are critical given the city's aging population. With 74% of households that include at least one person aged 75 or older falling into low-, very low-, and extremely low-incomed categories, seniors are particularly vulnerable to rising housing prices due to their fixed incomes.

Domestic and Sexual Assault Victims: Due to the sensitive nature of violence, assault, and stalking, it is difficult to accurately estimate the number of families impacted. According to the 2023 Family Violence Arrest Report provided by the State of Connecticut, Waterbury had 938 total family violence arrest incidents, with a total of 1,783 offenses in 2023.

What are the housing and supportive service needs of these populations and how are these needs determined?

Persons with behavioral health disorders: There is an increased demand for emotional and mental health support, especially in the wake of the pandemic, emphasizing the importance of providing safe spaces where individuals can express their feelings and receive support. Supportive housing programs for those with behavioral health disorders are essential for ensuring their well-being and stability.

Persons with disabilities: There is a significant need for accessible housing that accommodates physical disabilities, including features such as wheelchair accessibility and first-floor apartments. Infrastructure improvements, such as sidewalks, and accessible public transportation services, are also crucial to ensure that individuals with disabilities can navigate their communities safely and independently. Providing comprehensive support services tailored to assist with daily living activities is vital to help these individuals maintain their independence and quality of life.

Persons with HIV/AIDS: Access to affordable healthcare services is critical for persons with HIV/AIDS, as it allows them to manage their condition effectively and maintain their overall health.

Previously incarcerated persons: Housing and supportive services for previously incarcerated persons are crucial for their successful reintegration into society. There is a significant need for reentry programs that provide housing and employment opportunities, helping individuals transition smoothly back into the community. In addition, support services, including job training and mental health and substance abuse counseling, are essential to address the various challenges faced during reentry.

Youth: There is a significant need for affordable housing options for young individuals and families, providing them with stability and security. In addition to housing, recreational centers, youth development programs, and after-school programs are important for engaging youth in the community safely. There is also a need for transportation to these programs, ensuring youth have access to these services.

Elderly: The housing and supportive service needs of elderly people in Waterbury are critical given the city's aging population. There is a need for more affordable and accessible housing options for seniors.

Domestic and Sexual Assault Victims: Domestic Violence and sexual assault victims are in need of safe and secure shelter facilities and comprehensive support services such as counseling and legal assistance.

These needs were determined by data analysis and direct consultation with the public, agencies, and service providers.

Discuss the size and characteristics of the population with HIV/AIDS and their families within the Eligible Metropolitan Statistical Area:

Connecticut has two Ryan White Part A Programs including the Hartford Transitional Grant Area (TGA) and New Haven Eligible Metropolitan Area (EMA). Waterbury is part of the New Haven EMA. According to the Connecticut Department of Health's 2021 Epidemiologic Profile of HIV, of the 22,019 HIV infections reported in CT between 1981-2019, 12,418 were residents of the New Haven EMA. Of those living with HIV in the New Haven EMA, 64% percent are 50 years or older and 66 percent are male; 71 percent are people of color (38% Black or African American and 32% percent Hispanic). According to the Connecticut Department of Health, in 2020, an estimated 697 people in Waterbury are living with HIV.

If the PJ will establish a preference for a HOME TBRA activity for persons with a specific category of disabilities (e.g., persons with HIV/AIDS or chronic mental illness), describe their unmet need for housing and services needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2) (ii))

N/A

Discussion:

As an active member of the CAN, the City is made aware of special needs as they arise. The City can provide support to programs and projects that address needs in a number of ways including the provision of technical assistance, implementation support, and financial assistance, as funds are available.

NA-50 Non-Housing Community Development Needs – 91.215 (f)

Describe the jurisdiction's need for Public Facilities:

The evolving demographics of Waterbury have significantly impacted the demand for certain public facilities, putting a strain on existing public facilities. Key facilities that are currently at capacity and in need of additional or expanded facilities include homeless facilities, senior center facilities, and emergency services facilities.

Homeless Shelters and Facilities: From December 1, 2023, through April 15, 2024, Waterbury shelters were at full capacity, leading to the opening of the designated cold weather shelter site at the Courtyard by Marriott. Direct consultations with agencies and service providers indicate there have been a continued increase in unsheltered homeless populations over the past five years. Shelters are at capacity, and wait lists are long. There is also a growing number of children and elderly individuals experiencing unsheltered homelessness due to the lack of shelter capacity. Waterbury currently has three residential shelters in Waterbury: St. Vincent DePaul, Salvation Army, and Safe Haven. All three of the shelters operate at capacity. Waterbury also has a daily drop-in facility at the Hospitality Center, but there is need for increased shelter capacity and warming facilities to accommodate the growing number of homeless individuals.

Senior Center Facilities: Since the last five-year strategy period, the number of persons over the age of 65 in Waterbury has increased by 2% and is expected to continue rising. This demographic shift has led to higher attendance rates at the Waterbury Senior Center, putting pressure on the existing facility and programs. Consequently, there is a growing need for a larger senior center to adequately meet the needs of all seniors in the community.

Emergency Services Facilities: As Waterbury's population grows and more housing is developed to meet the needs of the community, there will be a corresponding need for more resources for police, fire, ambulance, and other emergency services facilities.

How were these needs determined?

The City reviewed previous CAPERs and funding requests of recent years, has summarized information gathered through direct consultation with the public, agencies, and service providers, and has consulted various data sources to determine needs, including 2020 ACS data and various City and Statewide reports.

Describe the jurisdiction's need for Public Improvements:

Over the past five years, Waterbury has made significant strides in enhancing parks and recreation areas within low- and moderate-income neighborhoods. These improvements have fostered community engagement and provided safe, enjoyable spaces for residents. Other public facilities that are in need of improvements include City streets and sidewalks, water and sewer lines, and youth centers.

Waterbury's roads and streets are crucial for connecting residents to jobs, services, and recreational activities. In income-eligible areas, there is a pressing need to maintain and reconstruct streets and sidewalks to support redevelopment efforts, improve accessibility, and mitigate tripping and falling hazards. Additionally, there is a need to upgrade drainage, water, and sewer lines, especially in older neighborhoods that require revitalization.

Youth centers and recreation facilities also require attention. Many of these centers are housed in older buildings and need renovations to become safer, more enjoyable, and accessible spaces for youth.

Lastly, despite improvements to parks, there is a broader need for green infrastructure, such as planting street trees, to enhance the urban environment.

How were these needs determined?

The City reviewed previous CAPERs and funding requests of recent years, has summarized information gathered through direct consultation with the public, agencies, and service providers, and has consulted various data sources to determine needs, including 2020 ACS data and various City and Statewide reports.

Describe the jurisdiction's need for Public Services:

The City of Waterbury is host to a broad range of public services that help meet the needs of its residents. These services are provided through a cooperative effort between the City and non-profit service providers. During consultation with the public, agencies, and service providers, there were several public service needs brought up consistently, highlighting an existing gap in service. The needs include youth services, transportation services, employment/education services, healthcare, and special needs population services.

Youth Services: A primary need in Waterbury includes youth programs. After-school programs and affordable childcare provide youth with safety and educational opportunities that enhance their well-being. Since the pandemic, there has also been an increase in the need for emotional and mental health support for youth. Providing a safe space and activity for youth to help them express their feelings and receive needed support is high priority. As noted, approximately 5% of the student population is homeless, highlighting the need for basic needs services and housing services for youth.

Transportation Services: There is a need for increased and subsidized transportation services for low-income, senior, and/or disabled residents to help them get to medical and work-related appointments. Transportation was also identified as a significant barrier to youth programming and after school activities. Providing coordinated transportation services between schools and youth programming would help reduce this barrier to entry.

Employment/Education Services: Waterbury's unemployment rate in 2023 was 5.1 and 56.7% of the population have a high school degree or less. Higher educational attainment is directly related to higher wages. There is a need for job training programs, especially for youth between the ages of 16 to 18 and previously incarcerated individuals. Waterbury previously used its funding to support the Manufacturing Alliance Service Corporation's job training program. There is a need for more job training programs, especially in up-and-coming industries such as information technology, construction, and environmental jobs.

Special Needs Population Services: Programming and supportive services are needed for Waterbury's special needs population including disabled individuals, previously incarcerated individuals, persons with behavioral health disorders, elderly individuals, homeless and near homeless individuals, and victims of

domestic violence or sexual assault. Supportive services and programming for these populations include life skills and educational or employment training, recreational programming, transportation services, counseling services, medical services, and nutritional services.

How were these needs determined?

The City reviewed previous CAPERs and funding requests of recent years, has summarized information gathered through direct consultation with the public, agencies, and service providers, and has consulted various data sources to determine needs, including 2020 ACS data, Bureau of Labor Statistics data, and various City and Statewide reports.

Housing Market Analysis

MA-05 Overview

Housing Market Analysis Overview:

The Housing Market Analysis presented in the following sections utilizes the 2016-2020 American Community Survey (ACS) and Comprehensive Housing Affordability Strategy (CHAS) data provided by the U.S. Department of Housing and Urban Development (HUD) along with more recent ACS and local data to determine recent market trends. CHAS and ACS estimates are based on survey data collected annually from a sample of the U.S. population.

The City of Waterbury is located in New Haven County and the Naugatuck Valley Council of Governments (NVCOG). As of 2020, the City had a population of 107,840 people across 29 square miles. Waterbury has 47 distinct neighborhoods. However, it is important to note that as of 2023, the population is 114,994, which is a 5% increase since 2015 and a 6.6% increase since 2020.

Number of Housing Units: According to Table 31, Waterbury has a total of 48,385 housing units. Approximately 55% of all occupied units in the City are renter-occupied units. Most housing units in Waterbury (58 percent) are within multifamily structures, ranging from 2 units to 20+ units. Single-family structures, however, make up 42 percent of units, 91 percent of which are detached units. In 2024, Waterbury's housing stock was determined to be 22% protected affordable units – amounting to a total of 10,657 units.

Cost of Housing: Median home values and contract rents are lower in Waterbury than in the State and County overall. However, the cost of housing has significantly increased since 2015. According to 2023 ACS data, Waterbury's median home value has increased by 37.8% since 2015 from $134,500 to $185,400 in 2023. Median rental contract has also increased from $729 in 2015 to $913 in 2023, equating to a 25% increase.

Condition of Housing: Homes within the City are also older; 2023 ACS data indicate that 76.5 percent of all housing units in the City were built pre-1980, with 31.2 percent built prior to 1939. These older units are more likely to need maintenance and rehabilitation than newer homes, including concerns related to lead-based paint. In addition, the number of vacant units in the City classified as "other vacant"—meaning they are not for rent, for sale, or seasonally vacant—suggests a significant portion of the housing stock may require substantial financial investment to become livable again. High housing cost burdens in the City likely prevent many households from making these necessary improvements.

MA-10 Number of Housing Units – 91.210(a)&(b)(2)

Introduction

The tables and narrative below describe Waterbury's housing market by property type and unit size according to tenure. The housing stock in Waterbury is diverse; Table 31 indicates that 42 percent of all units in the City are single-family structures (attached and detached) while 58 percent are some type of multifamily structure, ranging from duplexes to large multifamily (20+ units). Structures with 2-4 units in them are the most common type of multifamily housing in the City, making up 32 percent of all units, and 56 percent of all multifamily units. Owner-occupied units are generally larger than renter-occupied units in Waterbury; Table 32 indicates that 68 percent of all owner-occupied units have three or more bedrooms, compared to only 27 percent of renter-occupied units.

All residential properties by number of units

Property Type	Number	%
1-unit detached structure	18,405	38%
1-unit, attached structure	1,860	4%
2-4 units	15,585	32%
5-19 units	7,360	15%
20 or more units	5,120	11%
Mobile Home, boat, RV, van, etc	55	0%
Total	***48,385***	***100%***

Table 1 – Residential Properties by Unit Number

Data Source: 2016-2020 ACS

Unit Size by Tenure

	Owners		Renters	
	Number	%	Number	%
No bedroom	50	0%	1,060	5%
1 bedroom	450	2%	5,320	23%
2 bedrooms	5,610	30%	10,530	45%
3 or more bedrooms	12,860	68%	6,245	27%
Total	***18,970***	***100%***	***23,155***	***100%***

Table 2 – Unit Size by Tenure

Data Source: 2016-2020 ACS

Describe the number and targeting (income level/type of family served) of units assisted with federal, state, and local programs.

Connecticut's Affordable Housing Appeals List, provided by the State's Department of Housing, details the number of housing units in a community that are protected affordable units and how those units are protected. In Connecticut, a municipality with less than 10% of all housing units as protected affordable units is subject to the Affordable Housing Land Use Appeals Procedures detailed in C.G.S Section 8-30g, whereas a municipality with greater than 10% affordable housing is exempt from such procedures.

In 2024, Waterbury's housing stock was determined to be 22% protected affordable units – amounting to a total of 10,657 units. As such, Waterbury is exempt from the procedures outlined in C.G.S Section 8-30g. Protected affordable units are further broken down by government assistance, tenant rental assistance, CHFA/USDA mortgages, and deed restricted units. 53 percent of all protected affordable units in Waterbury are government-assisted units, while 31 percent receive tenant rental assistance. An additional 16 percent have CHFA/USDA mortgages and 36 units (less than 1 percent) are deed restricted.

Provide an assessment of units expected to be lost from the affordable housing inventory for any reason, such as expiration of Section 8 contracts.

According to the State's historical Affordable Housing Appeals Lists, Waterbury has consistently maintained at least 20% of the housing stock as protected affordable units since 2002. As of 2024, the City had 10,657 protected affordable units within its housing stock, a slight increase from 2023, in which there were 10,292 such units. The increase is associated with an increase in government assisted units (246) and the number of CHFA/USDA mortgages (127). Waterbury does not anticipate a loss in affordable housing units.

Does the availability of housing units meet the needs of the population?

Waterbury has less single-family housing stock than multifamily units (42 percent vs. 58 percent). Many single-family units, although not all, are likely to be owner-occupied; according to 2023 ACS data (Table B25032), 78 percent of all owner-occupied units in Waterbury are single-family, detached structures. The City's homeowner vacancy rate of 1.7 percent, combined with the smaller single-family home inventory, suggests that the demand for homeownership exceeds the existing supply for such within the City.

The City's renter-occupied housing stock, however, is more diverse than that of owner-occupied units; according to 2023 ACS data, 30 percent are in structures of 3-4 units, 16 percent are within duplexes, and 14 percent are within structures of 5-9 units. This diversity indicates the City has a variety of middle-housing options suitable for a range of renters.

Describe the need for specific types of housing:

Waterbury's 2022-2027 Affordable Housing Plan identifies a need for units of an appropriate size for smaller households. There is a mismatch between unit size and household sizes within the City

indicating a need for smaller units. Table 32 shows that 2% of owner-occupied units have 1 (or less) rooms, while 98% have 2+ bedrooms; however, 2023 ACS data indicates that 30.1 percent of owner units are single-person households. Similarly, 28% of renter-occupied units have 1 (or less) bedrooms, while 38 percent of renter households are single-person households.

The City's Affordable Housing Plan identifies a need for rental housing for extremely low-income households (those making less than 30% of the AMI). The Plan's housing gap analysis identifies a gap of 5,255 rental units needed for those making less than 30% of the AMI and a gap of 4,265 rental units for those making 80% or more of the AMI. There was a surplus of units within the 30-80% AMI income range. The Plan interpreted that the City has more severe affordability issues among extremely low-income households compared to other affordability tiers, with many extremely low-income renters spending more than 30% or 50% of their household incomes to afford their units.

Discussion

See the narratives above.

MA-15 Housing Market Analysis: Cost of Housing - 91.210(a)

Introduction

According to Table 5, median income in Waterbury increased 14%, from $40,467 in 2015 to $46,329 in 2020; however, when adjusted for inflation, the purchasing power of this income increased by only 3 percent. According to Table 33, the median home value declined 1% between 2015 and 2020, from $134,500 (2015 dollars) to $132,700 (2020 dollars). Median contract rent increased 12% between 2015 and 2020, from $729 (2015 dollars) to $815 (2020 dollars). When adjusted for inflation, median contract rent has remained stable - increasing just 1 percent over this timeframe.

More recent data show that median home value has actually increased substantially, outpacing inflation. In addition, median contract rent has continued to increase, potentially outpacing household income gains for some households.

Cost of Housing

	Base Year: 2015	Most Recent Year: 2020	% Change
Median Home Value	$134,500	$132,700	(1%)
Median Contract Rent	$729	$815	12%

Table 3 – Cost of Housing

Data Source: 2015 Census (Base Year), 2016-2020 ACS (Most Recent Year)

Rent Paid	Number	%
Less than $500	4,505	19.5%
$500-999	13,405	57.9%
$1,000-1,499	4,775	20.6%
$1,500-1,999	390	1.7%
$2,000 or more	85	0.4%
Total	***23,160***	***100.0%***

Table 4 - Rent Paid

Data Source: 2016-2020 ACS

Housing Affordability

Number of Units affordable to Households earning	Renter	Owner
30% HAMFI	3,760	No Data
50% HAMFI	11,780	4,410
80% HAMFI	19,785	9,190

Number of Units affordable to Households earning	Renter	Owner
100% HAMFI	No Data	11,635
Total	***35,325***	***25,235***

Table 5 – Housing Affordability

Data Source: 2016-2020 CHAS

Monthly Rent

Monthly Rent ($)	Efficiency (no bedroom)	1 Bedroom	2 Bedroom	3 Bedroom	4 Bedroom
Fair Market Rent	893	1,079	1,335	1,701	2,052
High HOME Rent	713	901	1,110	1,391	1,539
Low HOME Rent	713	901	1,110	1,311	1,463

Table 6 – Monthly Rent

Data Source: HUD FMR and HOME Rents

Is there sufficient housing for households at all income levels?

No, Waterbury's 2022 Affordable Housing Plan (AHP) includes a gap analysis that assesses differences between the number of households within certain income categories compared to the number of units affordable for those households. The analysis found significant gaps in rental units for households making 0-30% of AMI (shortage of 5,255 units) as well as those making 80%+ of AMI (shortage of 4,265 units). There was a surplus, however, of units within the price range of households making 30-80% of AMI. The AHP concludes that many extremely low-income households are renting more expensive units at an increased cost burden. The limited supply of units affordable for those making 80%+ of AMI and the surplus of units affordable to the 30-80% AMI range encourages "renting down" – where households making 80%+ AMI are renting units with rents lower than what they could comfortably afford.

Additionally, Table 35 indicates there are 3,760 rental units in Waterbury affordable to households making 30% or less of the HAMFI. According to 2016-2020 CHAS data for the City, there are 9,630 renter households within this income range, producing a shortage of 5,870 units affordable to this income level. There does not appear to be a shortage of affordable units for other renter household income ranges (50%+) or owner-occupied units within this income range.

How is affordability of housing likely to change considering changes to home values and/or rents?

According to Table 33, median home value decreased 1% between 2015 and 2020. However, when looking at more recent ACS data, the median home value actually increased between 2015 and 2023 by 37.8% from $134,500 to $185,400. This increase is even more stark when looking at the increase in the

median home sale price. From 2019 to 2024, the median home sales price for single family homes has doubled from $132,000 to $265,900. This is the highest median sales price Waterbury has ever experienced. Median condominium sales also increased between 2019 and 2024 by 162% from $65,000 to $170,000. When adjusted for inflation, this increase is still significant, with single family-home prices increasing by 62% and condominium median sale prices increasing by 111% between 2019 and 2024 (The Warren Group). The increase in prices coupled with a low homeowner vacancy rate of 1.5% is likely to continue to increase home sale prices and price potential new homeowners out of the market.

According to Table 33, median contract rent increased 12% from 2015 to 2020. However, from 2015 to 2023, the median contract rent increased by 25% from $729 in 2015 to $913 in 2023 (2023 5-Year ACS). Recent rental market trends for Waterbury indicate that the rent price for units in Waterbury, regardless of unit size, have continued to increase. From 2023 to 2024, rent prices have increased by 12 percent. When analyzed by unit size, studio rental unit prices have declined 2 percent, while prices for 1- to 4-bedroom units have increased (13 percent for 1-bedroom, 17 percent for 2-bedrooms, 10 percent for 3-bedrooms, and 31 percent for 4-bedrooms) (Zumper Rental Market Research). Unless household income rises concurrently with rent increases, more renter-occupied households are likely to become cost-burdened or potentially, unable to afford monthly rent for themselves and/or family.

How do HOME rents / Fair Market Rent compare to Area Median Rent? How might this impact your strategy to produce or preserve affordable housing?

Waterbury's median contract rent was $815 in 2020 and $886 in 2023 (ACS 2023 1-Year Estimates). 2023 Fair Market Rents (see Table 36), range from $893 for an efficiency unit to $2,052 for a four-bedroom unit. 2023 HOME rent ranges from $713 for efficiency units to $1,539 for four-bedroom units (High HOME Rent).

HOME and Fair Market Rents are based on Waterbury, CT HUD Metro FMR Area, which has a significantly higher median household income compared to the City of Waterbury. This discrepancy suggests that Fair Market Rents and HOME rents may not be affordable for Waterbury residents, particularly those with low and moderate incomes. Waterbury will continue to focus on producing and preserving affordable housing that meets the needs of low- and moderate-income households, rather than adhering strictly to the Fair Market rents and HOME rents.

Discussion

See the narratives above.

MA-20 Housing Market Analysis: Condition of Housing – 91.210(a)

Introduction

A major factor influencing housing conditions in the City is the age of the housing stock; 2023 ACS data indicates that 76.5 percent of all housing units in the City were built pre-1980, with 31.2 percent built prior to 1939. These older units are more likely to need maintenance and rehabilitation than newer homes, and homes built prior to 1980 are considered at risk for lead-based paint hazards.

In addition to the presence of older homes, vacancy rates also imply that many vacant units in the City are in need of rehabilitation; 54 percent of all vacant units in the City are classified as "other vacant," which means they are not for rent or sale or seasonally vacant, and may be in need of significant financial investment to improve conditions and become productive again. The greatest housing problem in the City is cost burden, which impacts the ability for households to invest in rehabilitation projects to improve living conditions. The City of Waterbury offers funding for housing rehabilitation projects to low- and moderate-income households through the Community Develop Block Grant (CDBG) Program and the Waterbury HOME Improvement Program (WHIP).

Describe the jurisdiction's definition of "standard condition" and "substandard condition but suitable for rehabilitation":

Waterbury follows the State of Connecticut Basic Building Code for its definition of "substandard condition" which closely aligns with HUD's Housing Quality Standards for safe decent housing. Substandard conditions include units lacking heat, water, electricity, and/or plumbing or waste disposal. Units found to be unsanitary, unfit, or inappropriate for safe human occupancy are also deemed substandard if they pose a direct or potential health or safety risk. Such conditions can arise due to an emergency such as flood or fire, breakdown of equipment or materials, or lack of structural or unit maintenance. Unless the structure is damaged beyond repair, many of these units may be rehabilitated, although costs often exceed long-term returns.

The definitions for "conditions" included in Table 37 are aligned with the definition of housing problem used by HUD. These numbers are a non-duplicative count of households with housing problems including those that 1) occupy units with physical defects (lacking complete kitchen or bedroom); 2) live in overcrowded conditions (a housing unit with more than one person per room); 3) have a housing cost burden, including utilities, exceeding 30% of gross income, or have a severe housing cost burden, including utilities, exceeding 50% of gross income.

Condition of Units

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With one selected Condition	6,205	33%	11,385	49%
With two selected Conditions	55	0%	635	3%
With three selected Conditions	0	0%	90	0%

Condition of Units	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
With four selected Conditions	0	0%	0	0%
No selected Conditions	12,720	67%	11,055	48%
Total	***18,980***	***100%***	***23,165***	***100%***

Table 7 - Condition of Units

Data Source: 2016-2020 ACS

Year Unit Built

Year Unit Built	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
2000 or later	845	4%	744	3%
1980-1999	3,335	18%	4,255	18%
1950-1979	7,485	39%	9,115	39%
Before 1950	7,310	39%	9,050	39%
Total	***18,975***	***100%***	***23,164***	***99%***

Table 8 – Year Unit Built

Data Source: 2016-2020 CHAS

Risk of Lead-Based Paint Hazard

Risk of Lead-Based Paint Hazard	Owner-Occupied		Renter-Occupied	
	Number	%	Number	%
Total Number of Units Built Before 1980	14,795	78%	18,165	78%
Housing Units build before 1980 with children present	1,485	8%	625	3%

Table 9 – Risk of Lead-Based Paint

Data Source: 2016-2020 ACS (Total Units) 2016-2020 CHAS (Units with Children present)

Vacant Units

	Suitable for Rehabilitation	Not Suitable for Rehabilitation	Total
Vacant Units	-	-	-
Abandoned Vacant Units	-	-	-
REO Properties	-	-	-
Abandoned REO Properties	-	-	-

Table 10 - Vacant Units

Need for Owner and Rental Rehabilitation

According to 2023 ACS data (Table DP04), 9.1% of all housing units in the City are vacant, with a 1.5% homeowner vacancy rate and a 5.6% rental vacancy rate. Vacancy rates have declined since 2015, when overall vacancy was 15.1% (3.3% homeowner and 9.0% rental). The ACS classifies vacancy status (Table B25004); one such status is "other vacant", which can include properties that are in disrepair or abandoned, or for some other reason are not available for rent or sale. While overall vacancy rates have declined since 2015, the share of vacant units represented by "other vacant" has increased from 50% in 2015 to 54% in 2023.

Table 37 details the number of housing units, by tenure, facing one or more housing conditions (problems) including physical defects, overcrowding, and cost burden; 33% of owner-occupied housing units and 49% of renter-occupied units face at least one of these housing problems. 2020 ACS data (Table DP04) indicate that approximately 1.2% of occupied housing units lack complete plumbing and/or kitchen facilities. According to Table 7, renter-occupied units are more likely to face this condition than owner-occupied units. As discussed in the Needs Assessment, the most common housing problem in Waterbury is cost burden, with 87% of households facing cost burdens greater than 30%. This issue contributes to an inability to spend more on property investment and rehabilitation, as households have difficulty affording basic housing costs.

Estimated Number of Housing Units Occupied by Low- or Moderate-Income Families with LBP Hazards

Lead is a toxic chemical that has numerous adverse health effects on young children. While lead-based paint was banned from new construction in 1978, many older homes may still have paint with lead. Table 39 indicates that 78% of owner-occupied units, and 78% of renter-occupied units in Waterbury were built before 1980, indicating they may be at risk of lead-based paint hazards. Children living in or visiting these buildings may be at an increased risk of lead exposure, as paint chips or dust may be ingested by young children; according to Table 39, 8% of owner-occupied units and 3% of renter-occupied units were built pre-1980 and have children present.

Discussion

The City of Waterbury receives annual funding from the Community Develop Block Grant (CDBG) Program. CDBG funding is used to provide decent housing and a suitable living environment, with a focus on low- and moderate-income people. According to the City's website, Waterbury receives approximately $2.1 million in CDBG funding annually, of which they allocate 15% to support non-profit public service programs.

In addition to CDBG funding, the City receives approximately $1 million in funding from the HOME Investment Partnerships (HOME) Program, a HUD program that works towards building, buying, and/or rehabilitating affordable housing. The City distributes this money through the Waterbury HOME Improvement (WHIP) Program to low- and moderate-income homeowners in single-family homes, condominium units, or manufactured housing. To receive this funding, a property must have been built

pre-1978 and is subject to a lead-based paint inspection/risk assessment. Eligible rehabilitation costs include regulatory violations, emergency repairs lead paint abatement, and items related to health, safety, and livability.

According to the 2020 CT Department of Public Health's Childhood Lead Poisoning Surveillance Report, a total of 3,397 children under the age of 6 living in Waterbury were tested for lead poisoning in 2020. 92.6% had blood lead levels in the safe range 0-3.5 micrograms per deciliter (mcg/dL). 252 children (7.4%) tested had blood lead levels of more than 3.5 mcg/dL. 81 children (2.4%) tested had blood lead level of more than 5 mcg/dL. The City's level of 2.4% is higher than the state-wide rate of 1.7% of tested children having blood lead levels of over 5 mcg/dL. The City continues to address this issue through housing rehabilitation funding and the Healthy Homes Renovation and Lead Abatement Program.

MA-25 Public and Assisted Housing – 91.210(b)

Introduction

The Housing Authority of the City of Waterbury (WHA) is the primary housing provider serving the needs of the lowest-income residents in the City. The sections below provide an overview of WHA's inventory and its programs.

Totals Number of Units

	Program Type								
	Certificate	Mod-Rehab	Public Housing	Vouchers					
				Total	Project -based	Tenant -based	Special Purpose Voucher		
							Veterans Affairs Supportive Housing	Family Unification Program	Disabled *
# of units vouchers available	N/A	140	735	2,143	97	1,774	126	136	150
# of accessible units	N/A		37						
*includes Non-Elderly Disabled, Mainstream One-Year, Mainstream Five-year, and Nursing Home Transition									

Table 11 – Total Number of Units by Program Type

Data Source: PIC (PIH Information Center)

Describe the supply of public housing developments:

According to the WHA's Fiscal Year 2024 Annual Report, the WHA has a portfolio of 735 units of public housing spread across 18 public housing complexes, with 100% occupancy. The largest of the WHA's owned and managed properties is the Berkeley Heights Apartments, with 254 units, offering up to 4-bedroom units. Three developments are designated as elderly housing – Bergin Apartments (76 units), Roosevelt Apartments (48 units), and the Kelly Apartments (30 units). In FY24, over 2,000 individuals (including 262 senior citizens or disabled persons) were served through the WHA Public Housing program, and 4,866 individuals (including 500 senior citizens or disabled persons) were served through the Housing Choice Voucher programs.

WHA's 2025 5-year and Annual Public Housing Authority Plan highlights the following projects to enhance the supply of public housing developments:

- West Grove Redevelopment Project: In September 2022the WHA was awarded $420,000.00 in Congressionally Directed Spending Funds to complete demolition of remaining structures. In October of 2023, the WHA and its non-profit, Brass City Residences, Inc., were awarded $7.2 million in Community Investment Funds for the project. All structures have been demolished and the WHA and its development partners, Heritage Housing, Inc., are in the process of selecting a Construction Manager for the project. WHA hopes to break ground in Spring of 2025. This new 46-unit development will be completed utilizing 4% Low Income Housing Tax Credits, state funding, and conventional funding. The WHA has committed 46 project-based vouchers (PBVs) to this project
- The Begg Building: Following a Request for Proposal for the disposition of the William V. Begg Building, the selection process, and subsequent negotiations, the WHA entered into a Land Disposition Agreement (LDA) with Winn Development for the $1,000,000 purchase of the Begg Building. The LDA sets a closing date on or before 06/30/25 to provide time for Winn to put together their financing package. In the meantime, Winn pays the WHA $1,000 monthly for carrying costs. The WHA has committed 50 PBVs to this project.
- Lawrence Crest: In January of 2025, the WHA closed on the former Lawrence Crest co-op following negotiations with CHFA and the co-op. This 13-unit complex will receive priority need funding to address immediate issues while the WHA submits a State-Sponsored Housing Portfolio SSHP application to the CT Dept. of Housing. This funding will be used for the complete interior and exterior renovation. The WHA has committed 13 PBVs to this project.
- Repositioning: In December of 2024, the WHA submitted Rental Assistance Demonstration (RAD) program applications for all units with the exception of the Truman Apartments, for which the WHA will pursue a Section 18 application.. The WHA seeks to optimize resources so that it can better meet the affordable housing needs of the Waterbury community, expand its affordable housing footprint, and ensure the long-term sustainability of housing programs owned and operated by the WHA.

Describe the number and physical condition of public housing units in the jurisdiction, including those that are participating in an approved Public Housing Agency Plan:

The WHA has 735 units. Inspection scores utilizing the Uniform Physical Condition Standard (UPCS) inspection protocol can be found in Table 42. Scores between 90-100 are considered high-performing properties, scores between 60-89 are considered standard performers, and scores below 60 are considered failing properties. The WHA is transitioning to utilizing HUD's new National Standards for the Physical Inspection of Real Estate (NSPIRE) and has formed a special NSPIRE team to inspect all units and complete major repairs. In fiscal year 2024, the WHA completed several quality-of-life projects for their tenants including the following: replacement of all kitchens and bathrooms at Kelly Apartments; the replacement of the playscape and the installation of a picnic area with a pavilion at Berkeley Heights; new parking lot, replacement of existing asphalt parking lots and basketball court, replacement of all concrete sidewalks, and the repair/replacement of stairs and walls at Pearl Lake Apartments; installation of a generator system and replacement of bathroom exhaust fans at the Bergin Building; and installation of additional smoke detectors PHA-wide.

Public Housing Condition

Public Housing Development	Average Inspection Score
Berkeley Heights	92
Austin Road and S End Turnky	91
Truman Apartments	88
Franklin D. Roosevelt Apartments	99
William Kelly Apartments	98

Table 12 - Public Housing Condition

Describe the restoration and revitalization needs of public housing units in the jurisdiction:

The restoration and revitalization needs of WHA public housing units are established via HUD protocol and on an emergency basis, if necessary. Per HUD regulations, every five years the WHA performs a Capital Needs Assessment on its public housing developments to establish a five-year action plan of capital improvement projects. These projects are funded annually by the HUD Capital Fund Program. In addition, the WHA seeks

out funding for other safety and security projects through HUD's competitive Health Related Hazard Fund and Emergency Safety & Security Fund, both of which the WHA has received in recent years.

With regard to non-public housing units, the WHA is in the process of developing a new family housing complex on West Grove Street. Expected to break ground in summer of 2025, this new construction will consist of 46 units which will be supported by 46 Project Based Vouchers. In addition, the WHA recently acquired Lawrence Crest, a 13-unit development which will be renovated and supported by 13 Project Based Vouchers. The WHA is also working with Winn Development on the disposition and rehabilitation of currently WHA owned Begg Building, a 76unit elderly high-rise which will be supported by 50 Project Based Vouchers.

Describe the public housing agency's strategy for improving the living environment of low- and moderate-income families residing in public housing:

According to the WHA's 5-year and Annual PHA Plan, the WHA aims to improve the living environment for LMI families residing in public housing through several strategic initiatives. The strategy includes the following:

- Expand the supply of public housing: The WHA will develop new units on West Grove St, Lawrence Crest, and the Begg Building, and apply for additional Section 8 vouchers.
- Enhance the quality of public housing: The WHA has reorganized its property management and maintenance staff, added self-service kiosks, and partnered with the Waterbury Police Department for community events and security improvements. The WHA has also upgraded its security camera system and installed new smoke detectors and fire alarm systems. Renovations and modernization are ongoing through the Capital Fund Program.
- Expand range of housing choices: The WHA will expand the range and quality of housing choices available to participants in the Housing Authority of the City of Waterbury Programs including Section 8 Housing Choice Vouchers. The WHA will continue to attract new landlords who want to participate in the voucher program.
- Improve voucher management: The WHA has improved voucher management by redesigning its website and social media presence, allowing tenants and landlords to complete tasks online.
- Promote Self-Sufficiency and Asset Development of Assisted Households: The WHA hired a Family Self Sufficiency Coordinator to work with Section 8 clients and a Resident Service Coordinator to work with Public Housing tenants to ensure clients receive support and services.

- Provide education and extracurricular activities: The WHA is committed to providing educational opportunities and extracurricular activities for tenants such as financial literacy, art, Afro-Latin history, youth professional development, and other classes.

MA-30 Homeless Facilities and Services – 91.210(c)

Introduction

The following table and narratives summarize the facilities and services available to the homeless population in Waterbury.

Facilities and Housing Targeted to Homeless Households

	Emergency Shelter Beds		Transitional Housing Beds	Permanent Supportive Housing Beds	
	Year Round Beds (Current & New)	Voucher / Seasonal / Overflow Beds	Current & New	Current & New	Under Development
Households with Adult(s) and Child(ren)				189	
Households with Only Adults				233	
Chronically Homeless Households				160	
Veterans				21	
Unaccompanied Youth				0	

Table 13 - Facilities and Housing Targeted to Homeless Households

Describe mainstream services, such as health, mental health, and employment services to the extent those services are use to complement services targeted to homeless persons

As discussed in other sections, the CAN Leadership Committee includes representatives of numerous service agencies and programs. This organizational structure provides identification of mainstream services to complement services targeted to homeless persons. Shelters have scheduled times when various mainstream service organization representatives are on site. These organizations perform assessments and intake tasks as well as enter individuals and families into their caseload files as appropriate. The following provides a list of services and service providers available to persons experiencing homelessness.

- United Way: Serves as a clearinghouse and referral service for various agencies.
- Social Security Administration: Assists the homeless to register for and access income benefits.
- Western Connecticut Mental Health Network: Administers specific mental health programs as well as care coordination and referrals through the Housing Homeless Services division.
- Connecticut Legal Services: Provides legal consultation to homeless individuals with legal issues such as eviction and pending criminal actions
- Waterbury Housing Authority: the Services Coordinator works with homeless shelters to place homeless persons and families in public housing units.
- The Center of Human Development (CHD) Hospitality Center: 690 East Main Street. This facility provides daytime services and resources for homeless persons on a drop-in basis. This facility serves 60 to 80 people each day.
- New Opportunities: Provides resources to homeless and economically disadvantaged individuals such as employment education and training, family services, nutrition services, elder services, and housing services.
- Greater Waterbury Reentry Welcome Center: 77 Bishop Street: This facility was opened in 2021 and provides formerly incarcerated individuals with basic need items and services and connects individuals to housing resources.
- St. Vincent DePaul Shelter: 114 Benedict Street. This shelter provides emergency and longer-stay housing as well as a soup kitchen for both individuals and families. Shelter guests are also offered individualized and comprehensive case management services.
- St. Vincent DePaul's Society of Support Permanent Supportive Housing Program: This program provides homeless individuals with mental health and/or substance abuse disorders and families with disabilities with leasing assistance for safe and affordable housing at scattered sites throughout the City. The program serves over 22 housing units and provides case management and support services.
- Salvation Army Shelter: 74 Central Avenue. This shelter provides emergency and longer-stay housing for families with children only. CDBG also funds a food pantry at the site.
- Safe Haven: This facility provides emergency shelter and free comprehensive support services to victims of domestic violence and their children. CDBG also funds operational support for the organization.
- Food Pantries: Waterbury has a number of food pantries that provide food to persons experiencing homelessness. In addition, the Greater Waterbury Interfaith Ministry operates a soup kitchen which provides a warm meal to persons experiencing homelessness.

List and describe services and facilities that meet the needs of homeless persons, particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth. If the services and facilities are listed on screen SP-40 Institutional Delivery Structure or screen MA-35 Special Needs Facilities and Services, describe how these facilities and services specifically address the needs of these populations.

As shown in Table 43, there are 603 permanent supportive housing beds in Waterbury. 160 of the beds are for chronically homeless individuals, 189 are for households with children, and 21 are for veterans are their families. None of the beds are limited to unaccompanied youth.

In addition, there are a total of 138 Emergency Shelter beds in Waterbury. Safe Haven has 15 beds which are limited to households who have experienced domestic abuse. The Salvation Army Shelter is limited to families with children and provides both emergency and longer-stay beds. St. Vincent DePaul provides beds for both families and individuals and provides both emergency and longer-stay beds.

MA-35 Special Needs Facilities and Services – 91.210(d)

Introduction

There are many programs, facilities, and services to meet the needs of populations with special needs in Waterbury. Again, agencies and organizations serving those with special housing and service needs – such as the elderly, person with disabilities, persons with behavioral health disorders, person living with HIV/AIDS, and persons recently released from prison and their families – are represented by the local CAN network. The narrative that follows describes supportive housing needs of these populations and describes how the City utilizes or plans to utilize its federal entitlement dollars to address the identified needs of these populations.

Including the elderly, frail elderly, persons with disabilities (mental, physical, developmental), persons with alcohol or other drug addictions, persons with HIV/AIDS and their families, public housing residents and any other categories the jurisdiction may specify, and describe their supportive housing needs

To address the needs of the elderly and frail elderly, there is a need for affordable and accessible housing in a variety of configurations. Independent living in a "senior only" environment, affordable assisted living options, as well as housing developments for grandparents raising grandchildren are needed. Health services and transportation linkages are also crucial to this population.

Lack of accessible and affordable housing continues to be a significant problem for persons with disabilities. The City has a need for additional accessible or adaptable rental units appropriate for individuals with physical and developmental disabilities. Additionally, the public and non-profit agencies need to be made aware of the differing needs of the elderly who are disabled and younger individuals with disabilities. Not-for-profit developers and human service providers should be encouraged to develop additional affordable, accessible, and adaptable housing. Supportive housing options for persons with developmental disabilities are also important.

Persons with behavioral health disorders are in need of community-based transitional and permanent housing with case management and counseling services to help address needs and support community integration and stability. There is also a need for supervised cooperative apartments, group living, or shared housing options with off-site case management support.

Persons living with HIV/AIDS, like others suffering from chronic illness, require stable housing or supports to help them maintain stable housing while treating their illness. Waterbury does not receive HOPWA funds directly to assist this population, such as emergency housing, shared housing arrangements, apartments, single room occupancy (SRO) dwellings, and community residences, but funding is available through the State the New Haven MSA HOPWA distribution.

Describe programs for ensuring that persons returning from mental and physical health institutions receive appropriate supportive housing

The City of Waterbury has been proactive in working with agencies and organizations in addressing the issues and needs of persons experiencing homelessness through the local Coordinated Action Network (CAN) process. Currently, 2-1-1 for the State does most of the filtering of clients when they call in for services. If they qualify, they are vetted to their local CAN. The City works closely with the local CAN and

participating agencies to ensure clients do not get released and immediately become homeless. If something is brought to the City's attention that this is going to occur, the City works with the local CAN and DOH to take appropriate steps. The local CAN conducts the assessment, including housing needs and works with the individual to develop a plan and outline available services.

Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. 91.315(e)

The City of Waterbury utilizes its federal entitlement resources to address the housing and supportive services needs of special needs populations both homeless and non-homeless. In support of these actions the City allocates local funding and encourages leveraging of other resources to meet needs. Over the upcoming program year, with the use of CDBG, ESG, and HOME funding the City will support the provision of decent, safe and affordable housing for persons with disabilities, the elderly, victims of domestic violence, homeless and persons at-risk of homelessness. The City has also assisted veterans, recently incarcerated individuals, persons trying to overcome substance abuse, and individuals suffering from mental health issues through housing supports and services.

The WHA provides housing and supports to the elderly, frail elderly, and disabled populations. The WHA has a plan for improving accessibility within its developments as part of its renovation and housing unit development programming.

The majority of the housing that supports special needs populations are developed and managed by local non-profit agencies participating in the regional CAN. City staff participate in the local network through involvement on planning committees, support of project development and program oversight. The City allocates Consolidated Plan funding and other resources in support of CAN provider agency activities.

The City utilizes its CDBG and HOME funding to provide housing and supportive services to persons who are not homeless but have other special needs such as a physical or mental disability. Projects based upon project merit and capacity for implementation.

For entitlement/consortia grantees: Specify the activities that the jurisdiction plans to undertake during the next year to address the housing and supportive services needs identified in accordance with 91.215(e) with respect to persons who are not homeless but have other special needs. Link to one-year goals. (91.220(2))

There are several programs funded with CDBG funds that address special needs individuals and families. These programs include:

- Catholic Charities – Provides care management and crisis resolution.
- Center for Human Development – Operates a drop-in center that provides referrals to services.

- Children in Placement – Provides volunteer Guardians ad Litem to the courts in Connecticut to deliver vital services for the overall well-being of children.
- Community Partners in Action – Provides services to adults who are being released from prison to Greater Waterbury or who have a criminal history.
- Greater Waterbury Interfaith Ministries – Operates a soup kitchen and food pantry.
- Hispanic Coalition of Greater Waterbury – Provides a range of case management services for the Hispanic community in Waterbury.
- Manufacturing Alliance Service Corporation – Provides manufacturing CNC training to youth and other low-income residents.
- New Opportunities – Operates a meal program for seniors.
- Rivera Memorial Foundation – Provides academic support, mentorship, and personal development opportunities for underserved youth.
- Safe Haven – Provides advocacy, counseling, and emergency shelter for victims of domestic violence and sexual assault.
- Shakesperience Productions – Provides acting classes for low- and moderate- income youth.
- Greater Waterbury YMCA – Operates a truancy prevention and counseling program to provide important case management services for youth.
- Waterbury Senior Shuttle – Provides on-demand transportation for senior citizens.

In addition, CDBG funds are used to support improvements to facilities that provide assistance to special needs populations. These include the following:

- Waterbury Boys & Girls Club – Provides a safe place for community youth to learn and grow.
- Washington Park Recreational Center – Provides recreational opportunities and a safe space for youth to engage with the community.
- Berkely Park – Provides recreational opportunities to youth, improvements will help increase accessibility to persons with disabilities.
- Family and Children's Aid Behavioral Health Clinic – Provides outpatient and behavioral health and substance abuse services to children, families, and adults.
- Greater Waterbury YMCA – Provides a safe space for people of all ages and abilities to engage in a range of recreational programming.

All of the programs listed above address needs in accordance with 91.215(e) and are linked to 1-year goals per 91.220(2).

MA-40 Barriers to Affordable Housing – 91.210(e)

Negative Effects of Public Policies on Affordable Housing and Residential Investment

There are no identified public policies that have negative effects on affordable housing and residential investment. Rather, the influx of external investors buying up properties has led to a low vacancy rate (for sale or for rent) in Waterbury, creating negative effects on affordable housing and residential investment. This scarcity of available housing units means that many residents struggle to find affordable places to buy or rent. The high demand and limited supply drive up rental and purchase prices, making it increasing difficult for LMI families to secure housing.

The low vacancy rate has also resulted in long waitlists for public housing and housing choice vouchers. Many individuals and families who rely on housing assistance programs find themselves waiting for extended periods before they can access affordable housing. This situation leaves them with limited choices about where to live, often forcing them into less desirable and overcrowded living conditions.

The high prices paid by external landlords for blighted properties can also deter local investors from engaging in residential development. Local developers may find it economically unfeasible to compete with the inflated prices.

MA-45 Non-Housing Community Development Assets – 91.215 (f)

Introduction

The tables and narratives presented below describe the City's labor force and economy. Data is pulled from 2020 ACS data and reflects market conditions at a point in time comparable to other HUD-funding recipient municipalities in the nation.

Economic Development Market Analysis

Business Activity

Business by Sector	Number of Workers	Number of Jobs	Share of Workers %	Share of Jobs %	Jobs less workers %
Agriculture, Mining, Oil & Gas Extraction	108	11	0.2%	0.0%	-0.2%
Arts, Entertainment, Accommodations	3,414	2,472	7.8%	6.4%	-1.4%
Construction	1,708	1,264	3.9%	3.3%	-0.6%
Education and Health Care Services	14,415	17,199	33.1%	44.5%	11.5%
Finance, Insurance, and Real Estate	1,862	1,133	4.3%	2.9%	-1.3%
Information	603	522	1.4%	1.4%	0.0%
Manufacturing	5,140	3,158	11.8%	8.2%	-3.6%
Other Services	1,431	1,349	3.3%	3.5%	0.2%
Professional, Scientific, Management Services	4,636	2,458	10.6%	6.4%	-4.3%
Public Administration	1,348	1,721	3.1%	4.5%	1.4%
Retail Trade	5,509	5,399	12.6%	14.0%	1.3%
Transportation & Warehousing	1,782	747	4.1%	1.9%	-2.2%
Wholesale Trade	1,631	1,184	3.7%	3.1%	-0.7%
Grand Total	43,587	38,617	100.0%	100.0%	0.0%

Table 14 - Business Activity

Data Source: 2016-2020 ACS (Workers), 2020 Longitudinal Employer-Household Dynamics (Jobs) (Census on the Map, 2020)

Labor Force

Total Population in the Civilian Labor Force	52,200
Civilian Employed Population 16 years and over	47,325
Unemployment Rate	9.34
Unemployment Rate for Ages 16-24	25.05
Unemployment Rate for Ages 25-65	6.09

Table 15 - Labor Force

Data Source: 2016-2020 ACS

Occupations by Sector	Number of People
Management, business and financial	7,160
Farming, fisheries and forestry occupations	1,600
Service	6,970
Sales and office	10,415
Construction, extraction, maintenance and repair	4,180
Production, transportation and material moving	4,025

Table 16 – Occupations by Sector

Data Source: 2016-2020 ACS

Travel Time

Travel Time	Number	Percentage
< 30 Minutes	30,241	67.3%
30-59 Minutes	10,874	24.2%
60 or More Minutes	3,864	8.6%
Total	44,979	100%

Table 17 - Travel Time

Data Source: 2016-2020 ACS

Education:

Educational Attainment by Employment Status (Population 16 and Older)

Educational Attainment	In Labor Force		
	Civilian Employed	Unemployed	Not in Labor Force
Less than high school graduate	4,449	550	4,825
High school graduate (includes equivalency)	13,907	1,690	5,350
Some college or Associate's degree	11,685	936	2,926
Bachelor's degree or higher	8,814	266	1,036

Table 18 - Educational Attainment by Employment Status

Data Source: 2016-2020 ACS

Educational Attainment by Age

	Age				
	18–24 yrs	25–34 yrs	35–44 yrs	45–65 yrs	65+ yrs
Less than 9th grade	215	575	760	2,565	2,275
9th to 12th grade, no diploma	1,280	1,345	1,110	3,465	1,915
High school graduate, GED, or alternative	4,425	6,035	4,280	10,635	5,590
Some college, no degree	3,330	3,740	2,230	5,535	2,315
Associate's degree	570	1,115	815	2,160	915
Bachelor's degree	420	2,150	1,755	2,220	970
Graduate or professional degree	40	1,120	1,030	1,850	1,180

Table 19 - Educational Attainment by Age

Data Source: 2016-2020 ACS

Educational Attainment – Median Earnings in the Past 12 Months

Educational Attainment	Median Earnings in the Past 12 Months
Less than high school graduate	$ 28,158
High school graduate (includes equivalency)	$ 31,687
Some college or Associate's degree	$ 35,497
Bachelor's degree	$ 52,878
Graduate or professional degree	$ 56,865

Table 20 – Median Earnings in the Past 12 Months

Data Source: 2016-2020 ACS

Based on the Business Activity table above, what are the major employment sectors within your jurisdiction?

According to the Business Activity table, the business sector in Waterbury with the most workers and the most jobs is Education and Healthcare Services. In this sector, the number of jobs exceeds the number of workers, reflecting an in-flow of labor from outside of Waterbury. Other major sectors are Manufacturing and Retail. In the Manufacturing sector, the number of workers outpaces the number of jobs indicting an outflow of manufacturing workers to jobs outside of Waterbury. According to the Connecticut Labor Market Information from the Office of Research, as of September 2023, the top largest employers in the City were the Waterbury Board of Education, Grandview Adult Behavioral, St. Mary's Hospital, Waterbury Hospital, and Naugatuck Valley Community College.

Describe the workforce and infrastructure needs of the business community:

There is a need to attract more healthcare, education, and manufacturing and technology-based companies to Waterbury. Combined with this is the need to improve education levels, particularly among the community's underemployed and youth.

Another major need is for improved sites and buildings to accommodate the emerging twenty-first-century economy. Waterbury's history as an industrial city has left a legacy of buildings and sites with high levels of toxic/hazardous conditions. The cost of remediation adversely impacts the ability to rehabilitate this inventory at financially feasible costs.

Describe any major changes that may have an economic impact, such as planned local or regional public or private sector investments or initiatives that have affected or may affect job and business growth opportunities during the planning period. Describe any needs for workforce development, business support or infrastructure these changes may create.

Waterbury is focused on revitalizing downtown, attracting and retaining businesses, and improving quality of life. The Waterbury Development Corporation (WDC) is the City's designated non-profit

economic and community organization. WDC works on a range of projects throughout the City that stimulate and support economic development, promote investment in education, rehabilitate and maintain the City's housing stock, eliminate urban blight and decay, and improve the quality of life. This focus on attracting businesses into Waterbury will require more workforce training, cleaner and more attractive industrial parks, and affordable housing to meet the workforce needs of these new businesses.

How do the skills and education of the current workforce correspond to employment opportunities in the jurisdiction?

Most of Waterbury's labor force has an associate degree or less which results in barriers to entry into the workforce and lower wages. As Waterbury continues to expand into the healthcare, education, and manufacturing sectors, it will be crucial that workforce training expands to bring the local workforce up to speed.

Describe any current workforce training initiatives, including those supported by Workforce Investment Boards, community colleges and other organizations. Describe how these efforts will support the jurisdiction's Consolidated Plan.

The Northwest Regional Workforce Investment Board (NRWIB) partners closely with Naugatuck Valley Community College (NVCC) and Northwest Connecticut Community College (NCCC) to support programs for out-of-school youth.

At NVCC, the Workforce Achievers Value Education (WAVE) program is implemented to ensure high risk students are assisted in completing education and career pathways. With funding from the Workforce Innovation and Opportunity Act (WIOA), the WAVE program not only provides academic, project-driven classes to participants, but also demonstrates the value of the skills participants are learning through relevant paid and unpaid internships, certificates, and projects.

NCCC partners with NRWIB to provide the Team Success program. Team Success is a mentoring scholarship program available to students entering NCCC between the ages of 16 and 24, particularly those who face barriers that keep them from seeking higher education, job training, or support services. The program provides a network of support along each student's path to obtaining a credential that helps them develop confidence and competences in academic, professional, and social skills.

NRWIB provides job training to a 41-community region which includes Waterbury. CDBG funding has been used for several years to pay tuition for 13 additional students yearly to attend the Manufacturing Alliance Service Corporation (MASC) Program – CNC Entry Level Manufacturing Program. The program is open to LMI Waterbury residents, individuals recently released from incarceration, displaced workers, and veterans.

The Connecticut Youth Employment Program (CYEP) provides youth ages 14-24 with meaningful summer employment, internships, and job placements in their local communities, empowering them to

develop skills for their future career though. CYEP serves young people, particularly those who are economically disadvantaged or face barrier to employment.

Does your jurisdiction participate in a Comprehensive Economic Development Strategy (CEDS)?

Yes, Waterbury is a participant of the 18-Community Naugatuck Valley Corridor (NCV) CEDS.

If so, what economic development initiatives are you undertaking that may be coordinated with the Consolidated Plan? If not, describe other local/regional plans or initiatives that impact economic growth.

The NVC CEDS outlines several goals that may be coordinated with Waterbury's Consolidated plan. The goals include the following:

- Support improvement of the Transportation system, including the continued upgrade of the Waterbury branch rail line infrastructure, extension and completion of the Naugatuck River Greenway trail project and other regional multi-use trails, implementation of road improvements that provide safe travel for all users regardless of mode, age, and mobility, and equitable allocation of transportation investments.
- Work in collaboration with the Naugatuck Valley Regional Brownfields Pilot and the Connecticut Landbank as well as our state and federal partners to assess, remediate and reclaim and redevelop our brownfield sites throughout the NVC EDD/CEDS 19 communities.
- Continue to develop local infrastructure that supports economic expansion while maintaining and protecting the environment.
- Provide opportunities for job growth, advancement and job training, utilizing and identifying Connecticut Industry clusters as the engine to support and sustain the regional economy, supporting and encouraging private investment in all these areas

Discussion

The City enforces the provisions of Section 3 of the Housing and Community Development Act of 1968 to facilitate the employment of local residents. In addition, the City has adopted a Good Jobs Ordinance to require the employment of Waterbury residents on City-funded projects.

MA-50 Needs and Market Analysis Discussion

Are there areas where households with multiple housing problems are concentrated? (include a definition of "concentration")

The Waterbury Land Bank Authority identified 13 neighborhoods close to downtown, where incidences of blighted and dilapidated housing appear to be greatest. These neighborhoods include Berkely Heights, Brooklyn, Crownbrook, Hillside, Hopeville, New PAC, North End, Overlook (southern half), South End, Washington Hill, Waterville, Willow Plaza, and WOW.

Are there any areas in the jurisdiction where racial or ethnic minorities or low-income families are concentrated? (include a definition of "concentration")

Waterbury uses HUD's definition of "racially or ethnically concentrated areas of poverty (R/ECAPs)" to identify areas in the City that have a concentration of racial or ethnic minorities and low-income families. R/ECAPs are neighborhoods where a significant portion of the population is both racially/ethnically concentrated (50% or more non-white) and experiences high poverty rates (40% or more). These areas are characterized by a number of minority residents living in poverty. As shown in the Racially or Ethnically Concentrated Areas of Poverty Map, seven Census Tracts in Waterbury have a high concentration of minority residents living in poverty.

What are the characteristics of the market in these areas/neighborhoods?

The characteristics of the market in these neighborhoods include aging housing stock, lack of funds for investment in rehabilitation, aging infrastructure, and concentration of the population with supportive social service needs.

Are there any community assets in these areas/neighborhoods?

These neighborhoods are served by numerous social services programs, many of which receive CDBG funding. In addition, several City agencies and organizations have started to focus investment in these neighborhoods, such as the Waterbury Land Bank.

Are there other strategic opportunities in any of these areas?

These neighborhoods are located near the City Center and several of them are included in the City's opportunity zones and neighborhood revitalization zones, making them a strategic location for investment. In addition, these areas have a large stock of vacant industrial buildings and properties that could be redeveloped.

MA-60 Broadband Needs of Housing occupied by Low- and Moderate-Income Households - 91.210(a)(4), 91.310(a)(2)

Describe the need for broadband wiring and connections for households, including low- and moderate-income households and neighborhoods.

According to 2024 CT Broadband Data, Waterbury has 26,180 broadband serviceable locations, with less than 1% of those locations lacking access to wireline broadband speeds at 25 Mbps download and 3 Mbps upload. 78% of eligible locations have an internet subscription, however, only 26% of consumer subscriptions meet the FCC definition of 'served' (100 Mbps download speeds and 20 Mbps upload speeds). Areas in Waterbury with higher poverty rates tend to have lower percentages of internet subscriptions, suggesting that pricing may be a barrier to access. This highlights the need for affordable broadband solutions to ensure equitable access for all households.

Describe the need for increased competition by having more than one broadband Internet service provider serve the jurisdiction.

Waterbury is served by several broadband internet service providers including Frontier, NetSpeed, Crown Castle Fiber, Optimum, Xfinity (Comcast), Cox Communications, Fusion, Verizon, and T-Mobile. However, most of Waterbury is primarily served by only two providers, Frontier and Comcast, and some areas of the City have access to only one provider. Increased competition among broadband internet service providers is needed to ensure more affordable and accessible services throughout the City. More providers would drive competitive pricing and improve service quality, benefiting all residents, especially those in underserved areas.

MA-65 Hazard Mitigation - 91.210(a)(5), 91.310(a)(3)

Describe the jurisdiction's increased natural hazard risks associated with climate change.

The City of Waterbury is within the Naugatuck Valley Region and vulnerable to loss of life and property due to a variety of natural hazards. According to the City's Municipal Annex to the Naugatuck Valley Council of Government's (NVCOG) Hazard Mitigation Plan (HMP), precipitation events are expected to become more frequent and intense and annual temperatures are expected to continue to increase. The HMP indicates that Waterbury should expect the 24-hour rainfall amount for a 10% annual change storm to range from 5.4-6.0 inches or more. Waterbury can anticipate increased precipitation (especially in winter months) and drought risk (especially in summer months). The intensity of tropical storms, hurricanes, wind events, and flash flooding events are expected to increase from these precipitation and temperatures changes.

Waterbury is bisected by the Naugatuck River and many of the surrounding areas are developed. The River has numerous flood control dams, levees, and detention reservoirs, eliminating the majority of flood concerns. Waterbury has limited areas within the 100-year flood zone and no critical facilities are located with a special flood hazard area. Localized flooding, however, is an issue across the City related to the limited storm drainage service areas. Over half of the City is classified as a developed land cover. Recent development within the City has been focused on remediation and redevelopment of brownfield sites; this approach reduces the risks associated with flooding and downstream contamination from these sites, while focusing on densification in areas with existing emergency response infrastructure.

Waterbury's housing stock is also vulnerable to damage associated with high winds. Homes built pre-1990 were not designed to current wind mitigation standards and are more susceptible to such damage. According to 2023 ACS data (Table DP04), 90.9 percent of housing units in Waterbury were built pre-1990 and are likely susceptible to increased wind damage from hurricanes, tropical storms, and thunderstorm events.

Describe the vulnerability to these risks of housing occupied by low- and moderate-income households based on an analysis of data, findings, and methods.

The City's vulnerability to natural hazards is spread across the community and while low- and moderate-income households may not be disproportionately vulnerable to such hazards, they are likely to be impacted by such events to a greater extent than higher income households. According to the HMP, Waterbury has a medium-to-high level of social vulnerability; the Social Vulnerability Index (SVI) measures four categories – socioeconomic status, household composition and disability, minority status and language, and housing type and transportation.

The SVI socioeconomic characteristics consider the following: the population below 150% poverty level, unemployment, housing cost burden, education (population with no high school diploma), and health insurance. According to the 2022 SVI County Maps, Waterbury has some of the highest socioeconomically vulnerable census tracts within the NVCOG. As related to hazard mitigation, these more vulnerable areas present challenges like a lack of financial resources, the need for additional

assistance during disaster (due to disabilities), language barriers, an increase presence of lower-quality housing, and a lack of transportation access for evacuation.

Strategic Plan

SP-05 Overview

Strategic Plan Overview

The first several sections of this Consolidated Plan focus on an assessment of needs and community conditions. Community needs were identified through the review of applicable data, studies and analyses; statistical research; consultation with public and private agencies, stakeholders, and organizations; surveys of citizens and provider agencies; community needs meetings and public hearings; and participation by the Citizens Advisory Committee. In response to the identified community needs, the City's Strategic Plan includes geographic priorities, a description of priority needs, market influences on these needs, anticipated resources to meet identified needs, goals, and a description of the institutional structure for the delivery of programs.

Within the strategic plan several specific components will be addressed, including public housing, barriers to affordable housing, homelessness, lead-based paint hazards, and poverty. There is also a description of monitoring procedures to assess progress toward achieving goals.

SP-10 Geographic Priorities – 91.215 (a)(1)

Geographic Area

Table 1 - Geographic Priority Areas

1	**Area Name:**	Citywide
	Area Type:	Citywide Program
	Other Target Area Description:	Citywide Program
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	There are no specified neighborhood boundaries. Programs and projects that directly benefit low- and moderate-income persons or households, regardless of where they reside in the City, fall under this benefit category
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
2	**Area Name:**	Income-Eligible Low- and Moderate-Income Areas
	Area Type:	Low- and Moderate- Income Areas
	Other Target Area Description:	Low- and Moderate- Income Neighborhoods
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	
	Other Revital Description:	

	Identify the neighborhood boundaries for this target area.	Under HUD regulations, any census block group with a percentage of low- and moderate-income population over 51% is considered an eligible area for low- and moderate-income area benefit. There are numerous census block groups within the City that have low- and moderate-income populations over 51% as presented in the Income-Eligible Low- and Moderate-Income Areas Map.
	Include specific housing and commercial characteristics of this target area.	Residents within these areas tend to have lower incomes, which results in paying a greater proportion of their earnings for housing and/or may need to accept less expensive rental units with physical deterioration. The characteristics of the City's Income-Eligible LMI Areas, are the age of housing, lack of funds for investment in rehabilitation, old infrastructures, and concentration of the population with supportive social service needs. These areas are served by numerous social services programs, many of which receive CDBG funding. In addition to addressing housing and social service needs in these neighborhoods, the City has been improving neighborhood schools and making improvements to parks and recreational facilities.
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	These areas have existed as LMI areas over the past several decades. The consultation and citizen participation process for the development of this plan reaffirmed their importance to the overall revitalization of the city.
	Identify the needs in this target area.	These areas need housing rehabilitation and community reinvestment, infrastructure and public facility improvements, and the provision of supportive and social-service programming.
	What are the opportunities for improvement in this target area?	Community assets include a program of new school construction as well as improvements in infrastructure and recreational facilities. These areas are served by numerous social services programs, many of which receive CDBG funding.

	Are there barriers to improvement in this target area?	Age and condition of infrastructure and buildings can present problems with reinvestment and renovation in a cost-effective way.
3	**Area Name:**	Targeted Low- and Moderate-Income Areas
	Area Type:	Targeted Low- and Moderate- Income Areas
	Other Target Area Description:	Targeted Low- and Moderate- Income Areas
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	
	Other Revital Description:	
	Identify the neighborhood boundaries for this target area.	The Targeted Low-and Moderate- Income areas include the Central Business District, Walnut Orange Walsh (W.O.W.), South End, Washington Hill, New Pac, North End, Crownbrook, and Willow Plaza neighborhood. These neighborhoods are delineated on the Targeted Low- and Moderate-Income Areas Map attached in the Appendices and Map Attachment.
	Include specific housing and commercial characteristics of this target area.	There is an extent of substandard housing and abandonment of non-residential structures as well as infrastructure deficiencies throughout the targeted areas. In addition, these neighborhoods have a higher percentage of extremely low-income populations, unemployment, and economic development potential.
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	These neighborhoods have been targeted areas for redevelopment for many years, identified within many local strategic plans.
	Identify the needs in this target area.	The targeted areas need reinvestment in infrastructure, residential and non-residential properties, the provision of social and supportive services, and other efforts to empower local residents and revitalize their community.
	What are the opportunities for improvement in this target area?	The targeted areas have access to social service agencies and facilities to encourage revitalization and growth.

	Are there barriers to improvement in this target area?	Limitations on funding availability are a barrier to making improvements.
4	**Area Name:**	Opportunity Zones
	Area Type:	Local Target area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Other
	Other Revital Description:	Opportunity Zones
	Identify the neighborhood boundaries for this target area.	The federal Opportunity Zones include census tracts 3501, 3505, 3515, and 3517. These areas are delineated on the Opportunity Zones Map attached in the Appendix [TO COME] and map attachment.
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	The federal Opportunity Zones program was designed to incentivize public and private stakeholders to work together to rebuild American cities.
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	
5	**Area Name:**	Neighborhood Revitalization Zones
	Area Type:	Local Target Area
	Other Target Area Description:	
	HUD Approval Date:	
	% of Low/ Mod:	
	Revital Type:	Other
	Other Revital Description:	Neighborhood Revitalization Zones

	Identify the neighborhood boundaries for this target area.	Neighborhood Revitalization Zones include Willow Plaza, W.O.W., Hillside, and Brooklyn neighborhoods. These neighborhoods are delineated on the Neighborhood Revitalization Zones Map attached in the Appendices and Map Attachment.
	Include specific housing and commercial characteristics of this target area.	
	How did your consultation and citizen participation process help you to identify this neighborhood as a target area?	
	Identify the needs in this target area.	
	What are the opportunities for improvement in this target area?	
	Are there barriers to improvement in this target area?	

Table 2 - Geographic Priority Areas

General Allocation Priorities

Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA)

CDBG allocations are not limited to specific geographic areas of Waterbury but must serve low- and moderate-income residents. The City makes allocations based on the level of benefit for very low, low-, and moderate-income residents and provides support for activities/programs in low- and moderate-income neighborhoods. A majority of Waterbury's residents are low- or moderate-income and activities that benefit all residents therefore benefit individuals who meet HUD income eligibility requirements.

The allocation of HOME resources is not strictly limited to any specific geographic area of the city but serves the criteria set by HUD for eligibility, along with meeting the specific goals and objectives set forth in Waterbury's Five-Year Consolidated Plan. The City reviews each application for HOME funds to ensure that funding is aligned with priority needs identified in the Strategic Plan. Priority is determined based upon anticipated impacts of proposed projects on the surrounding neighborhoods and potential benefits to low- and moderate-income residents.

The allocation of Emergency Solutions Grant (ESG) program funds is limited to eligible emergency shelters and day shelters located within the City of Waterbury; homelessness prevention and street outreach activities; and programs and projects that re-house the homeless.

Waterbury's Consolidated Plan investment will be especially directed toward Waterbury's Targeted Low- and Moderate-Income Areas. These areas represent (1) neighborhoods in which vacant, and/or blighted housing conditions, and the absence of retail, educational, and social enrichment opportunities require long-term investment to improve sustainability; (2) emerging growth neighborhoods undergoing revitalization where development momentum has been established, but where additional investment is needed; (3) neighborhoods where existing residents need housing assistance to prevent dislocation; (4) neighborhoods with high rates of unemployment (5) neighborhoods in which there is a dense concentration of tax-delinquent, vacant, abandoned, and underutilized housing and commercial facilities; and (6) gateways to the city that set the tone for visitors' interaction with the city.

The rationale for allocating resources addresses housing and community development needs in areas where low- and moderate-income concentrations, low owner-occupancy rates, substandard housing conditions, and the need for infrastructure improvements were evident. Focusing investment in these targeted low-income areas is anticipated to result in increased affordable housing opportunities and will leverage private investment to ensure that neighborhood-oriented services and adequate community facilities are provided. The City will also leverage its federal funds to foster affordable housing, homeownership, employment and economic opportunities, neighborhood revitalization, community facility improvements, and other improvements to the city.

SP-25 Priority Needs - 91.215(a)(2)

Priority Needs

1	**Priority Need Name**	Increase Supply of Decent, Safe, and Affordable Housing
	Priority Level	High
	Population	Extremely Low Low Moderate Middle Large Families Families with Children Elderly Public Housing Residents
	Geographic Areas Affected	Citywide Program Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Increase Decent, Safe & Affordable Housing Resources
	Description	• Increase accessibility to affordable housing and housing resources through a program of rehabilitation, new construction, enforcement of housing codes, and abatement of lead paint and other environmental hazards. • Homeownership counseling, housing loss and eviction prevention services, and fair housing resources also increase accessibility to housing choice. • The Waterbury Housing Authority currently administers approximately 735 public housing units and 1,868 Section 8 Vouchers. Due to the age of many developments, there is a range of rehabilitation needs. • There is a shortage of decent, affordable units for the voucher program.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes.

2	**Priority Need Name**	Reduce Cost Burden
	Priority Level	High
	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Public Housing Residents Individuals
	Geographic Areas Affected	Citywide Program
	Associated Goals	Increase Decent, Safe & Affordable Housing Resources
	Description	• The most common housing problem in Waterbury is cost burden on households trying to afford decent, safe housing. • Cost burden is magnified by the fact that there is a shortage of decent, affordable units in Waterbury's housing stock. • The demand for rental assistance far outweighs the availability of vouchers • There is a continued need to support efforts by the Housing Authority to improve and increase its housing resources and seek additional housing vouchers.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes.
3	**Priority Need Name**	Address Homelessness
	Priority Level	High

	Population	Extremely Low Low Large Families Families with Children Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Victims of Domestic Violence Unaccompanied Youth Persons with Mental Disabilities Persons with Alcohol or Other Addictions
	Geographic Areas Affected	Citywide Program
	Associated Goals	Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness Support the Provision of Public and Supportive Services
	Description	The needs of the homeless and near homeless in the city exceeds the capacity of existing shelters and programs. There is a need to continue to provide shelter, supports, and services to reduce incidences of homelessness; to help homeless and at-risk households find employment and housing stability; and to provide appropriate and affordable housing. There is a need to maintain and increase the availability of housing for the homeless and those at-risk of homelessness with financial assistance and coordination with the Coordinated Access Network.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. Priority was also established to coordinate efforts with the long-term strategies of the Coordinated Access Network of providers.
4	**Priority Need Name**	Address Aging Infrastructure
	Priority Level	High
	Population	Non-housing Community Development

	Geographic Areas Affected	Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Invest in Infrastructure Replacement and Improvement Abatement of Hazardous Environmental Conditions
	Description	There is a need to invest in public, community, and neighborhood infrastructure and facility improvements in support of community development and neighborhood revitalization. The support of infrastructure and facility improvements helps to promote neighborhood stabilization activities and provide a suitable living environment for residents. Improvements to aging infrastructure and community facilities, including the repair and refurbishment of parks and playgrounds, community center buildings, service centers, and schools. The age and condition of housing combined with the age of the infrastructure in city neighborhoods is an obstacle to maintaining a suitable living environment. Many water and sewer lines are over 100 years old and many streets and sidewalks have uneven surfaces that need repair. The replacement of aging infrastructure such as water lines, sewer lines, streets, and sidewalks need to be supported.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. This priority also strengthens local efforts to improve the physical condition of neighborhoods, commercial areas, and physical infrastructure and reinvest in the community to promote renewal and revitalization.
5	**Priority Need Name**	Remove Blight and Unsafe Conditions
	Priority Level	High
	Population	Non-housing Community Development
	Geographic Areas Affected	Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones

	Associated Goals	Increase Decent, Safe & Affordable Housing Resources Invest in Community and Neighborhood Facility Improvements Invest in Infrastructure Replacement and Improvement Abatement of Hazardous Environmental Conditions
	Description	• There is a need to support housing and community development activities with an investment in infrastructure replacement and improvement in order to stabilize and revitalize neighborhoods. • There is a need to expand decent, safe, and affordable housing opportunity through a program of rehabilitation, new construction, enforcement of housing codes, anti-blight efforts, and the remediation or abatement of lead paint and other environmental hazards. • The existence of severely dilapidated structures in many neighborhoods requires code enforcement, anti-blight efforts, and, as needed, a demolition and clearance program.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. This priority also strengthens local efforts to improve the physical condition of neighborhoods, commercial areas, and physical infrastructure and reinvest in the community to promote renewal and revitalization. Local infrastructure improvements provide visible change and promote community revitalization and growth. The removal of blight and hazardous conditions also promotes revitalization and stabilization.
6	**Priority Need Name**	Provide or Improve Community Facilities
	Priority Level	High
	Population	Non-housing Community Development
	Geographic Areas Affected	Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Invest in Community and Neighborhood Facility Improvements Support the Provision of Public and Supportive Services

	Description	• There is a need to invest in community facility improvements in support of community development and neighborhood revitalization. Improvements to or the creation of new community facilities need to be supported, including the repair and refurbishment of parks and playgrounds, community center buildings, service centers, and schools. • There is a need to ensure that all facilities are available and accessible to all residents. • There is a need to repair and restore several parks, many of which are historically significant having been designed by Frederick Law Olmstead. • There are several community center buildings that are home to numerous programs for youth and seniors as well as the surrounding neighborhoods as a whole that need repair and program support.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. This priority also strengthens local efforts to improve the physical condition of neighborhoods, commercial areas, and physical infrastructure and reinvest in the community to promote renewal and revitalization. Such investment provides visible change and promotes positive community image.
7	**Priority Need Name**	Provide Public and Supportive Services
	Priority Level	High

	Population	Extremely Low Low Moderate Families with Children Public Housing Residents Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Unaccompanied Youth Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Victims of Domestic Violence Non-housing Community Development
	Geographic Areas Affected	Citywide Program Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Support the Provision of Public and Supportive Services

	Description	• To provide for a suitable living environment, there are a range of social service needs to be provided to serve the community, including access to food and household necessities; literacy, education, job skill development; and socialization programs to support low- and moderate-income households and vulnerable populations. • The youth are the future of the city. To address current needs and build for the future, programs to improve educational attainment as well as provide positive after-school activities and mentoring, recreational opportunities, daycare, and basic nutrition are needed. • The elderly of the community need basic life necessities such as food, medical care, affordable utility costs, and transportation. These basic needs must be supported. The provision of socialization opportunities to address isolation is also of importance to elderly residents. • There is a need for counseling services for a variety of personal and family issues to help address dysfunctional situations and issues, such as mental health and addiction services. This includes the need for supportive housing that addresses supportive service needs as well as the need for housing.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. Priority was also established to coordinate efforts with local social and supportive service providers.
8	**Priority Need Name**	Provide Supportive Housing
	Priority Level	High

	Population	Extremely Low Low Moderate Large Families Families with Children Elderly Chronic Homelessness Individuals Families with Children Mentally Ill Chronic Substance Abuse veterans Persons with HIV/AIDS Victims of Domestic Violence Elderly Frail Elderly Persons with Mental Disabilities Persons with Physical Disabilities Persons with Developmental Disabilities Persons with Alcohol or Other Addictions Persons with HIV/AIDS and their Families Victims of Domestic Violence
	Geographic Areas Affected	Citywide Program Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness
	Description	There is a need for counseling services for a variety of personal and family issues to help address dysfunctional situations and issues such as mental health and addiction services. This includes the need for supportive housing that addresses these needs as well as the need for housing.

	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. Priority was also established to coordinate efforts and long-term strategies of the local Coordinated Access Network of providers.
9	**Priority Need Name**	Remediate Hazardous Environmental Conditions
	Priority Level	High
	Population	Non-housing Community Development
	Geographic Areas Affected	Citywide Program Income-Eligible Low- and Moderate-Income Areas Targeted Low-and Moderate-Income Areas Opportunity Zones Neighborhood Revitalization Zones
	Associated Goals	Abatement of Hazardous Environmental Conditions
	Description	The decline of manufacturing has left a legacy of abandoned and often obsolete buildings with high barriers to re-use due to hazardous environmental conditions requiring expensive abatement costs. Without environmental cleanup, there is a shortage of land available for new development.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. This priority also strengthens local efforts to improve the physical condition of neighborhoods, commercial areas, and physical infrastructure and reinvest in the community to promote renewal and revitalization. The removal of blight and hazardous conditions promotes revitalization and stabilization.
10	**Priority Need Name**	Promote Economic and Workforce Development
	Priority Level	High
	Population	Non-housing Community Development
	Geographic Areas Affected	Citywide Program

	Associated Goals	Support Economic and Workforce Development
	Description	Not unlike many older cities in New England, Waterbury's economy has lost its strong manufacturing base. This has affected all aspects of its economy. The changing economy has resulted in either service jobs that often do not provide a living wage, or technologically challenging jobs that require a level of education not being attained by many people in Waterbury. There is a need to provide technical assistance and support for leveraging financial resources and marketing to assist the transition of Waterbury into a competitive economy. Include job training, workforce development, and sustainable income employment to advance the local economy and support the economic success of residents. Support innovative initiatives to meet changes in the economy.
	Basis for Relative Priority	Priority was established as a result of ongoing community input, citizen and community stakeholder participation, past program experience, and data analyses undertaken as part of this plan development process and other local planning needs and gaps-analysis processes. Priority was also established to coordinate efforts with local economic development activities and employment training initiatives.
11	**Priority Need Name**	Provide Administrative Support
	Priority Level	High
	Population	Non-housing Community Development
	Geographic Areas Affected	Citywide Program
	Associated Goals	Provide Administrative Oversight and Management
	Description	To accomplish the implementation of the Consolidated Plan Strategy it is necessary to provide administrative support and project oversight. Administrative and planning activities include plan development; project oversight and monitoring; reporting; regulatory compliance; community outreach; and education.
	Basis for Relative Priority	Priority was established as a result of ongoing community input and past program experience.

Table 3 – Priority Needs Summary

Narrative (Optional)

The intent of the Consolidated Plan is to meet three basic objectives: 1) To provide decent, safe, and affordable housing 2) to provide suitable living environments; and 3) provide economic opportunities. HUD also looks to achieve three outcomes with the Consolidated Plan programs. These are 1) to improve the availability/accessibility of housing or services; 2) to increase affordability; and 3) to ensure sustainability of the community and its residents.

In order to establish a program that meets HUD's objectives and outcomes for Consolidated Plans, the City of Waterbury has completed an extensive needs assessment. This process included public outreach through both a resident and agency survey, community needs assessment meetings, stakeholder meetings and consultation with numerous agencies, organizations, and individuals. Needs were also assessed through the analysis of available reports and data. Citizen participation has been carried out under the leadership of the Citizens Advisory Committee (CAC) inclusive of a needs public hearing, solicitation of proposed programs, and CAC meetings open to the public.

A public hearing on this Consolidated Plan will be held during the public comment period prior to approval of a final Consolidated Plan.

SP-30 Influence of Market Conditions – 91.215 (b)

Influence of Market Conditions

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
Tenant Based Rental Assistance (TBRA)	Lack of standard units available influences this program. Waterbury's housing market has high housing costs relative to income and a scarcity of vacant units available for rent or sale. The majority of Waterbury's low- and moderate-income households are housing cost burdened. TBRA bridges the gap for low- and moderate-income households to access affordable housing. Waterbury's public housing is fully occupied with a long waitlist. There is an overwhelming waitlist for vouchers, leaving many households who cannot be assisted. The need for PHA to apply and receive additional vouchers is high. The City is aware that the availability of housing stock appropriate for occupancy and use under the TBRA program is limited. To address this, the City will support efforts to create affordable housing opportunity through creation of new units or rehabilitation of substandard units The City also recognizes that there is a need to tie the provision of housing to job training and work skill development to help households move from homelessness and at-risk of homelessness to a more stable and sustainable living situation. TBRA linked with job training/skill development is a model the City will support to address this need.
TBRA for Non-Homeless Special Needs	Lack of units with supportive services influences this program. Based upon consultations with homeless housing and social service agencies, a need for supportive housing has been identified as a priority. Special needs households include those with disabilities as well as dysfunctional households facing a variety of issues. The market characteristic impacting this priority is a shortage of privately owned and operated housing units that provide supportive housing programs. This problem is intensified by the lack of public funds to support such programs

Affordable Housing Type	Market Characteristics that will influence the use of funds available for housing type
New Unit Production	Affordable rents will not financially support the cost of new unit production. There is a shortage of safe, decent, and affordable housing for those with extremely low incomes and those with moderate incomes. Construction costs for new units, low unit availability, and high demand preclude the new construction of naturally occurring affordable housing without the use of subsidy. The HOME program can provide some resources to address this issue.
Rehabilitation	Affordable rents will not financially support the cost of major rehabilitation projects. Like the new unit production need discussed above, the achievable rents and income levels in Waterbury often result in rehabilitation for affordable units in the private marketplace to be financially infeasible. This issue is intensified by the age and condition of the housing stock.
Acquisition, including preservation	Lack of funding available to finance projects. There are opportunities to improve the conditions and affordability of housing by the acquisition of vacant, deteriorating structures. These structures are, for the most part, multi-unit in nature or previously non-residential buildings appropriate for conversion. The cost and complexity of acquisition and rehabilitation of these structures usually requires implementation by an experienced housing development entity and financial assistance. When structures are of historic or architectural value, the cost can be increased. Similar to new unit production, the HOME program can provide resources for this type of development.

Table 4 – Influence of Market Conditions

SP-35 Anticipated Resources - 91.215(a)(4), 91.220(c)(1,2)

Introduction

The City of Waterbury receives funding under three (3) federal programs through HUD on an annual basis. During the first program year of this Five-Year Plan, the City will receive entitlement allocations in the following amounts:

CDBG: $2,176,880.00

ESG: $192,767.00

HOME: $872,241.28

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,176,880	14,000	0		8,000,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $2,000,000/yr

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	872,241.28	2,500	0		3,400,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $850,000/yr
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	192,767	0	0		760,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $190,000/yr

Table 5 - Anticipated Resources

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City of Waterbury attempts to leverage its federal resources with private, state, and local funding resources to meet the housing and community development needs identified in its Consolidated Plan. By using leveraged funds to complement its Consolidated Plan resources, the City is able to provide assistance to more individuals and households in need. Activities implemented during the First Action Plan (PY 2025-2026) and those in subsequent years will generate matching funds for CDBG, HOME, and ESG activities from a variety of sources including the State of Connecticut, Low Income Tax Credits, and other forms of matches including foundation support and private donations.

HOME

The HOME Match requirement will be met with carry over in excess of $14 million from previous years, as noted on HUD form 40107-A. For the past several years, the City has not had a match requirement due to its level of distress.

ESG

Match requirements for the ESG program are met by a combination of funding sources including federal, state, foundation, and private contributions funneled through each of the three recipient agencies: Safe Haven, Salvation Army, and St. Vincent DePaul.

For the first program year, each agency has designated matching funds as part of their application for ESG funding. Safe Haven reported matching/leveraged funding totaling $443,726; Salvation Army reported $464,117 in matching/leveraged resources; and St. Vincent DePaul reported $581,321 in matching/leveraged resources. These funds total near $1.5 million in leverage/matching funds for the $186,821 in Emergency Solutions Grant funds received through HUD.

Funding includes shelter operations, rapid re-housing, and homelessness prevention.

Additional Resources

Additional resources are also leveraged in support of local housing and community development efforts. Mindful of the limited resources available, the City's Office of Community Development encourages all entities seeking Consolidated Plan funding to leverage additional resources to match what can provided through CDBG, HOME, and ESG funds.

If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The Waterbury Land Bank, established in 2022, identifies property that can be acquired, stabilized, and repurposed, to help improve the City and the quality of life of its residents. The program acquires real property and supports redevelopment activities that help catalyze neighborhood improvement, reduce conditions of blight, and advance existing community improvement plans. To promote community stability, owner occupancy is the priority of the Waterbury Land Bank. The land bank has developed Policies and Procedures for the acquisition and disposition of property that outline the public benefit of the program. Its purpose is to stimulate development, provide affordable housing opportunity, eliminate blight and promote community revitalization.

https://waterburylandbank.org/application/files/3916/7165/2315/WLB_Policies_and_Procedures_for_Acq_and_Disp_Real_Property.pdf

Discussion

See narratives above

SP-40 Institutional Delivery Structure – 91.215(k)

Explain the institutional structure through which the jurisdiction will carry out its consolidated plan including private industry, non-profit organizations, and public institutions.

Responsible Entity	Responsible Entity Type	Role	Geographic Area Served
Office of Community Development	Government	Economic Development Homelessness Non-homeless special needs Ownership Planning Public Housing Rental neighborhood improvements public facilities public services	Jurisdiction
City of Waterbury	Government	Economic Development Homelessness Non-homeless special needs Ownership Planning Public Housing Rental neighborhood improvements public facilities public services	Jurisdiction
Waterbury Housing Authority	PHA	Public Housing	Jurisdiction
Citizen Advisory Committee	Other	Planning	Jurisdiction

Table 6 - Institutional Delivery Structure

Assess of Strengths and Gaps in the Institutional Delivery System

The goals outlined previously in this Strategic Plan will be carried out by several city departments and organizations. The structure is summarized as follows:

Office of Community Development

The Office of Community Development is the designated office for the overall administration of the CDBG, HOME, and ESG funds under the supervision of the Finance Director. Responsibilities include coordination of the citizen participation process; preparation of the Consolidated Plan and annual applications for funding; preparation of all subrecipient agreements with subgrantees; program oversight; monitoring of activities; reporting; and preparation of the Consolidated Annual Performance Report (CAPER).

Other City of Waterbury Departments

Other City departments including public works, parks and recreation, health, economic development and the department of building inspections assist in the identification of needs and help develop goals, projects and programs to improve the quality of life of City residents and its community infrastructure. These departments also implement and provide project management for infrastructure projects selected for funding.

The City Health Department has the responsibility of inspecting housing units suspected of containing lead paint and other environmental hazards. In addition, the Healthy Homes program funded by a HUD grant is administered by a division of the Health Department. The Corporation Counsel reviews contracts before recommending execution by the Mayor and the Finance Department provides financial oversight.

Subrecipient Agencies and Organizations

There is a diverse network of social service agencies, neighborhood organizations, housing production organizations, and organizations providing support and assistance to city residents that are crucial to the success of the City's housing, community development, revitalization and community supports programming.

Waterbury Housing Authority

The Waterbury Housing Authority is responsible for providing decent, affordable housing for lower-income households. This includes both public housing units and Section 8 Vouchers.

Citizens Advisory Committee

The Citizens Advisory Committee (CAC), comprised of Waterbury residents and representatives from agencies and businesses with involvement in the Waterbury Community, is responsible for the citizen participation element of the CDBG program. This includes hosting public hearings; soliciting applications for CDBG funding; review of the applications and recommendations for activities to be funded; and the amount of such funding.

Availability of services targeted to homeless persons and persons with HIV and mainstream services

Homelessness Prevention Services	Available in the Community	Targeted to Homeless	Targeted to People with HIV
Homelessness Prevention Services			
Counseling/Advocacy	X	X	X
Legal Assistance	X	X	X
Mortgage Assistance			
Rental Assistance	X	X	X
Utilities Assistance	X	X	X
Street Outreach Services			
Law Enforcement	X	X	
Mobile Clinics	X	X	X
Other Street Outreach Services	X	X	X
Supportive Services			
Alcohol & Drug Abuse	X	X	X
Child Care	X	X	
Education	X	X	X
Employment and Employment Training	X	X	X
Healthcare	X	X	X
HIV/AIDS	X		X
Life Skills	X	X	X
Mental Health Counseling	X	X	X
Transportation	X	X	X
Other			
Other			

Table 7 - Homeless Prevention Services Summary

Describe how the service delivery system including, but not limited to, the services listed above meet the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth)

As cited throughout this document, the structure of the Coordinated Access Network (CAN) and the active participation of Leadership Committee members is the key organization meeting the needs of the homeless. City staff actively participate in the local CAN process to ensure it remains current with the needs articulated and strategies developed by the CAN and its participating agencies.

Describe the strengths and gaps of the service delivery system for special needs population and persons experiencing homelessness, including, but not limited to, the services listed above

The strength of the delivery system is an active CAN Leadership Committee representing numerous agencies/programs. Active participation by member agencies and a coordinated approach to the delivery of services has been crucial to assessing and addressing the needs of the homeless, at-risk and special needs populations.

There is a gap in the amount of permanent affordable housing and supportive housing relative to need among special needs populations and persons experiencing homelessness. There is limited unit availability among the existing housing stock and a need for greater financial resources to support development and programming.

Provide a summary of the strategy for overcoming gaps in the institutional structure and service delivery system for carrying out a strategy to address priority needs

Waterbury will continue to rely on the CAN structure to identify and develop programming to overcome gaps in resources and services to the homeless and special needs populations. The Office of Community Development – as part of its planning, application, and monitoring processes and working with the Citizen's Advisory Committee – will continue to identify needs and overcome gaps in services.

SP-45 Goals Summary – 91.215(a)(4)

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	**Increase Decent, Safe & Affordable Housing Resources**	2025	2029	Affordable Housing Homeless Non-Homeless Special Needs	Citywide	Reduce Cost Burden Increase Supply of Decent, Safe, and Affordable Housing Remove Blight and Unsafe Conditions Provide Public and Supportive Services	CDBG: $250,000 HOME: $3,825,000	Residential Rehabilitation: 95 Housing Units Rental units constructed: 10 Household Housing Unit Homeowner Housing Added: 10 Household Housing Unit

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
2	**Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness**	2025	2029	Affordable Housing Homeless Non-Homeless Special Needs	Citywide	Address Homelessness Provide Supportive Housing Provide Public and Supportive Services	CDBG: $350,000 ESG: $878,500	Tenant-based rental assistance / Rapid Rehousing: 5,000 Households Assisted Homeless Person Overnight Shelter: 5,500 Persons Assisted Homelessness Prevention: 750 Persons Assisted Relocation - 100 Households Assisted Public service activities other than Low/Moderate Income Housing Benefit: 3,250 Persons Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
3	**Invest in Community and Neighborhood Facility Improvements**	2024	2029	Non-Housing Community Development	Citywide Income-Eligible LMI Areas Targeted LMI Areas	Provide or Improve Existing Community Facilities	CDBG: $6,500,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 115,908 Persons Assisted
4	**Support the Provision of Public and Supportive Services**	20240	2029	Non-Housing Community Development	Citywide Income-Eligible LMI Areas Targeted LMI Areas	Provide or Improve Existing Community Facilities Provide Public and Supportive Services Address Homelessness	CDBG: $1,500,000	Public service activities other than Low/Moderate Income Housing Benefit: 30,000 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 600 Households Assisted

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
5	**Invest in Infrastructure Replacement and Improvement**	2024	2029	Non-Housing Community Development	Citywide Income-Eligible LMI Areas Targeted LMI Areas	Address Aging Infrastructure Remove Blight and Unsafe Conditions	CDBG: $1,125,000	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 115,908 Persons Assisted
6	**Support Abatement of Hazardous Environmental Conditions**	2024	2029	Non-Housing Community Development	Citywide Opportunity Zones	Address Aging Infrastructure Remove Blight and Unsafe Conditions Remediate Hazardous Environmental Conditions		
7	Support for Economic and Workforce Development	2024	2029	Non-Housing Community Development	Citywide Opportunity Zones	Promote Economic and Workforce Development	CDBG: $250,000	Public service activities other than Low/Moderate Income Housing Benefit: 60 Persons Assisted
8	Provide Administrative Oversight and Management	2024	2029	Non-Housing Community Development	Citywide	Provide Administrative Support	CDBG: $2,150,000 HOME: $425,000 ESG: $71,250	15 Other

Table 8 – Goals Summary

Goal Descriptions

1	**Goal Name**	**Increase Decent, Safe & Affordable Housing Resources**
	Goal Description	Increase accessibility to decent safe and affordable housing and housing resources through a program of rehabilitation, new construction, enforcement of housing codes, and abatement of lead paint and other environmental hazards. Homeownership counseling, housing loss and eviction prevention services, and fair housing resources also increase accessibility to housing choice. Increase housing affordability by providing technical and financial resources to add decent housing units responsive to the income levels prevalent in Waterbury. It is important to increase the supply of affordable three-or-more bedroom units for larger families and homeless families seeking permanent housing and supports.
2	**Goal Name**	**Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness**
	Goal Description	Maintain and increase the availability of housing for the homeless, those at-risk of homelessness, and special needs populations with financial assistance; coordination with the Coordinated Access Network (CAN); and through support of activities targeted to meet the identified needs of the homeless population.
3	**Goal Name**	**Invest in Community and Neighborhood Facility Improvements**
	Goal Description	Invest in public, community, and neighborhood facility improvements in support of community development and neighborhood revitalization and to provide suitable living environments for residents. Improvements to neighborhood and community facilities will be supported, including the repair and

		refurbishment of parks and playgrounds, community center buildings, service centers, and schools. Improvements will be directed toward the provision of ADA accessibility, addressing health and safety issues, and ensuring access for targeted resident populations. Programs and projects that protect public health and safety would also fall under this goal.
4	**Goal Name**	**Support the Provision of Public and Supportive Services**
	Goal Description	Support the provision of a range of public and supportive services for youth, seniors, special needs populations, and low- and moderate-income individuals and families. Projects will support youth initiatives including positive youth engagement activities and programs; mentoring; and educational, job skill, and life skills attainment programs to help youth succeed in the economy and society. Projects will provide programs and services for the elderly to meet daily living needs as well as socialization within the community, including socialization programs, affordable transportation, and access to goods and services. Projects will support the provision of targeted social service programs to address conditions that impact daily living needs and personal growth of lower-income individuals and families as well as the community as a whole. Projects will provide basic medical and nutritional resources to sustain low- and moderate-income persons in the community.
5	**Goal Name**	**Invest in Infrastructure Replacement and Improvement**
	Goal Description	Support housing and community development activities with investments in infrastructure replacement and improvement in order to stabilize and revitalize neighborhoods. Projects will be directed to improvements to and the replacement of aging infrastructure such as water lines, sewer lines, streets, and sidewalks.
6	**Goal Name**	**Support Abatement of Hazardous Environmental Conditions**

	Goal Description	Make strategic investments in the abatement of hazardous environmental conditions to increase the amount of land and buildings available for economic development.
7	**Goal Name**	**Support Economic and Workforce Development Activities**
	Goal Description	Provide technical assistance and support for leveraging financial resources and marketing to assist the transition of Waterbury into a competitive twenty-first-century economy. Include job training, workforce development, and sustainable income employment to advance the local economy and support the economic success of residents. Support Food HUB activities, urban farming, and other innovative initiatives to meet changes in the economy.
8	**Goal Name**	**Provide Administrative Oversight and Management**
	Goal Description	Support administrative and planning activities necessary for the implementation of the Consolidated Plan, including plan development; project oversight and monitoring; reporting; regulatory compliance; community outreach; education and raising public awareness of projects, programs, and resources.

Estimate the number of extremely low-income, low-income, and moderate-income families to whom the jurisdiction will provide affordable housing as defined by HOME 91.315(b)(2)

Annually (on average) over the Strategy Period, the City of Waterbury estimates providing rehabilitation and accessibility improvements to 14 homeowner housing units and creating 2 decent and affordable rental units and 2 homeowner units per program year through rehabilitation or new construction

Over the Five-Year Strategy Period, this average would rehabilitate 70 owner-occupied units; create 10 decent, safe, and affordable rental units and 20 decent safe and affordable homeowner units.

SP-50 Public Housing Accessibility and Involvement – 91.215(c)

Need to Increase the Number of Accessible Units (if Required by a Section 504 Voluntary Compliance Agreement)

Section 504 of the Rehabilitation Act of 1973 prohibits Federal agencies and Non-Federal agencies receiving Federal funds from excluding people with disabilities, including psychiatric disabilities, from programs or activities – including specific housing programs – because of their disability. As required by HUD's Voluntary Compliance Agreement, the WHA has converted 5 percent (37 units) of its public housing units to full ADA compliance in accordance with Section 504. As is the situation with housing authorities throughout Connecticut, the WHA is experiencing a demand for units much in excess of the capacity of its programs and managed housing units. The situation is evidenced by the waiting lists maintained by the authority. The current waiting list for WHA-managed units is 584 households. Similarly, there are extensive waiting lists for Housing Choice Vouchers (HCVs). The current waiting list for HCV is 753 households.

Activities to Increase Resident Involvements

WHA has a Family Self Sufficiency (FSS) program that teaches life skills, household budgeting, and money management, among others. Participants in the program are assisted in attaining educational advancement (e.g., GED or post-secondary), completing job training, obtaining employment, and/or advancing within their current career. Families are helped in their quest to transition from subsidized housing to eventual homeownership. The FSS program provides financial incentives through an escrow savings account for continual participation and investment in the program. A career development and financial counseling program is also provided to housing authority residents. WHA has a Family Self Sufficiency Coordinator on staff to coordinate these programs.

Is the public housing agency designated as troubled under 24 CFR part 902?

No

Plan to remove the 'troubled' designation

Not Applicable

SP-55 Barriers to affordable housing – 91.215(h)

Barriers to Affordable Housing

There are no identified public policies that have negative effects on affordable housing and residential investment. As discussed throughout this document, the lack of affordable housing can be attributed to the following factors:

- High unemployment and lower-paying jobs, which result in incomes that are not sufficient to afford market-rate housing
- Shortage of publicly assisted housing, including Section 8 Vouchers and public housing units
- Shortage of vacant units appropriate for residential rehabilitation at a reasonable cost
- Shortage of available developable land suitable for affordable residential development without substantial financial investment and underwriting.
- Lack of financial resources necessary to develop or redevelop properties for either residential or economic development, both of which are needed.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

There are two approaches to this strategy:

- One approach is to improve economic and employment conditions as described in other sections of this plan to increase income levels in order to fill the gap between available resources and housing costs.
- The second approach is to pursue private investment in affordable housing through creative financing and the pursuit of resources beyond HOME and other locally available resources.

The City has established a land bank with the purpose of acquiring and assembling land for future reuse and development. This is a beginning step in helping to revitalize the community and develop much needed housing and economic development opportunity. The City has seen an increase in economic development and therefore there is an increased demand for housing to support the workforce. The City has utilized ARPA funds to acquire properties with the intent of developing affordable housing for workers. In addition, the City continues to use its HOME funding to create new housing opportunities. To achieve its long-term goals, the City is committed to securing the significant financial resources necessary to increase affordable housing opportunities.

SP-60 Homelessness Strategy – 91.215(d)

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City participates in and supports the local CAN and its initiatives and projects.

Prior to becoming part of the Regional Coordinated Access Network (CAN), the City had cooperatively developed the Waterbury Ten Year Plan to End Homelessness in collaboration with its homeless housing and service providers. Once the City of Waterbury joined the Balance of State CoC and the CAN process was instituted, the City shifted its homelessness strategy to participate in and support these regional homelessness prevention and support networks.

The City has an active network of local housing and service providers that participate in the CAN process to support regional initiatives, while at the same time assisting the homeless and at-risk within the city. In support of this, the City of Waterbury utilizes its ESG funding to support these agencies, providing prevention services, outreach, food, shelter, supportive services, and rehousing supports to the homeless and at-risk populations.

Addressing the emergency and transitional housing needs of homeless persons

The City of Waterbury supports efforts to decrease or end homelessness within Waterbury and contributes both staff time and financial resources to support the local Continuum of Care/Coordinated Access Networks' (CAN) initiatives.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again.

To help persons experiencing homelessness make the transition to permanent housing and independent living, the City of Waterbury proactively works with local agencies and organizations to provide a broad range of services and resources. The following provides a list of services and service providers available to persons experiencing homelessness:

- United Way: Serves as a clearinghouse and referral service for various agencies.
- Social Security Administration: Assists the homeless to register for and access income benefits.

- Western Connecticut Mental Health Network: Administers specific mental health programs as well as care coordination and referrals through the Housing Homeless Services division.
- Connecticut Legal Services: Provides legal consultation to homeless with legal issues such as eviction and pending criminal actions
- Waterbury Housing Authority: The Services Coordinator works with homeless shelters to place homeless persons and families in public housing units.
- The Center of Human Development (CHD) Hospitality Center: 690 East Main Street. This facility provides daytime services and resources for homeless persons on a drop-in basis. This facility serves 60 to 80 people each day.
- New Opportunities: Provides resources to homeless and economically disadvantaged individuals such as employment education and training, family services, nutrition services, elder services, and housing services.
- Greater Waterbury Reentry Welcome Center: 77 Bishop Street: This facility was opened in 2021 and provides formerly incarcerated individuals with basic need items and services and connects individuals to housing resources.
- St. Vincent DePaul Shelter: 114 Benedict Street. This shelter provides emergency and longer-stay housing as well as a soup kitchen for both individuals and families. Shelter guests are also offered individualized and comprehensive case management services.
- St. Vincent DePaul's Society of Support Permanent Supportive Housing Program: This program provides homeless individuals with mental health and/or substance abuse disorders and families with disabilities with leasing assistance for safe and affordable housing at scattered sites throughout the City. The program serves over 22 housing units and provides case management and support services.
- Salvation Army Shelter: 74 Central Avenue. This shelter provides emergency and longer-stay housing for families with children only. CDBG also funds a food pantry at the site.
- Safe Haven: This facility provides emergency shelter and free comprehensive support services to victims of domestic violence and their children. CDBG also funds operational support for the organization.

Help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to become homeless after being discharged from a publicly funded institution or system of care, or who are receiving assistance from public and private agencies that address housing, health, social services, employment, education or youth needs

The City of Waterbury has been proactive in working with agencies and organizations in addressing the issues and needs of persons experiencing homelessness through the local CAN process. Currently, 2-1-1 for the State does most of the filtering of clients when they call in for services. If they qualify, they are vetted to their local CAN. The City works closely with the local CAN and participating agencies to ensure clients do not get released and immediately become homeless. If something is brought to the City's attention that this is going to occur, the City works with the local CAN and DOH to take appropriate steps. The local CAN conducts the assessment, including housing needs and works with the individual to develop a plan and outline available services.

SP-65 Lead based paint Hazards – 91.215(i)

Actions to address LBP hazards and increase access to housing without LBP hazards

The Waterbury Health Department through its Healthy Homes program is providing lead paint hazard inspection, preparation of abatement specifications, and financial assistance for abatement. This program is being financed by a HUD Lead Based Paint Hazard Control Grant Program and City in-kind matching funds.

Based upon current grant funds available for the next 2 years, the goal is to remediate or abate 60 units per year. It is anticipated that this 60-unit-per-year goal will be extended for the balance of the period covered by this Consolidated Plan, conditional on the success of obtaining future grants.

How are the actions listed above related to the extent of lead poisoning and hazards?

According to the 2020 CT Department of Public Health's Childhood Lead Poisoning Surveillance Report, a total of 3,397 children under the age of 6 living in Waterbury were tested for lead poisoning in 2020. 92.6% had blood lead levels in the safe range 0-3.5 micrograms per deciliter (mcg/dL). 252 children (7.4%) tested had blood lead levels of more than 3.5 mcg/dL. 81 children (2.4%) tested had blood lead level of more than 5 mcg/dL. The City's level of 2.4% is higher than the state-wide rate of 1.7% of tested children having blood lead levels of over 5 mcg/dL. These results, plus the age of Waterbury's housing stock indicated there is a need to address lead-based paint (LBP) hazards much in excess of available resources.

How are the actions listed above integrated into housing policies and procedures?

These actions are integrated into the overall operation of the City Health Department, with City resources used to assist in program implementation. The HOME program requires assessment and abatement of LBP hazards as part of any rehabilitation project. The Waterbury Housing Authority requires assessment and abatement of any unit to be occupied by a household with children under the age of 6 receiving assistance through the Section 8 Voucher Program.

SP-70 Anti-Poverty Strategy – 91.215(j)

Jurisdiction Goals, Programs and Policies for reducing the number of Poverty-Level Families

There are numerous social service programs, some of which are funded in part with CDBG funds, to address the needs of people living in poverty. Also, as described previously, the primary reason that people are living in poverty is the lack of income needed to afford life's necessities. As seen in the housing needs discussion, the primary need is cost burden, with people paying over 30% and often over 50% of their income for housing. The same is the case with nutrition, where a combination of income and SNAP funds is still not sufficient for basic nutritional needs, and people still remain in poverty.

To address the objectives of reducing the number of people living in poverty, the strategy is to increase employment opportunities and potentially raise incomes through an aggressive economic development program. The components of this program will include:

- An increase in, and the coordination of, educational and job skills programs with an emphasis on youth, young adults and the underemployed
- A continuing emphasis on keeping students in school in order to attain the level of education needed to compete for twenty-first-century jobs
- A coordinated marketing program to attract business and industry to Waterbury

The City, through the Northwest Regional Workforce Investment Board (NRWIB), provides a range of workforce training programs such as the Workforce Achievers Value Education (WAVE) program, Team Success program, and the Connecticut Youth Employment program.

The City enforces the provisions of Section 3 of the Housing and Community Act of 1968 to facilitate the employment of local residents. In addition, the City has adopted a Good Jobs Ordinance that requires it to meet resident employment goals for publicly funded projects over $500,000.

How are the Jurisdiction poverty reducing goals, programs, and policies coordinated with this affordable housing plan

Waterbury's poverty reducing goals, programs, and policies align with this affordable housing plan through the shared goal of increasing income to reduce the gap between resources and housing costs. As described earlier, the greatest housing problem in Waterbury is the number of households paying over 30% of their income for housing.

SP-80 Monitoring – 91.230

Describe the standards and procedures that the jurisdiction will use to monitor activities carried out in furtherance of the plan and will use to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

The City of Waterbury enters into a Subrecipient Agreement with each agency funded under a Consolidated Plan grant (CDBG, HOME, ESG). A mandatory Technical Assistance Meeting is held annually at the beginning of the program year. This meeting guides the subrecipients through the program, contract requirements, reporting requirements, and monitoring process. Each agency receives a Program Handbook containing all of the necessary contract documents, monitoring and reporting documents, and the payment request forms and requisition guidelines. They also receive a digital file containing all necessary contract documents, monitoring forms as well as payment request forms and payment requisition guidelines.

Each Program Year, a desk review and an on-site project monitoring of each subrecipient is conducted by city staff. The desk review monitoring consists of a review of the application, contract, timeliness, and quality of the reporting, as well as review of the vouchers and bills which have been submitted for reimbursement during the year. The desk review is conducted by CD staff prior to scheduling a formal onsite visit. The desk review is filed electronically, as well as sent to each sub-recipient along with their Monitoring Notification Letter confirming the date and time of the mutually scheduled annual on-site monitoring visit, while the funded program is taking place. An entrance conference is held that includes a review of all required documents, followed by a tour of the agency and/or program with the opportunity to meet all key staff members, if possible. An exit interview takes place at the end of this monitoring visit, discussing any issues/concerns. The visit provides feedback to the subrecipient about what they have done well and discusses any areas that might require improvement. A post monitoring letter is sent within 30 days of the visit, detailing any findings that may need to be resolved. Throughout the year, subrecipients submit vouchers for reimbursement of approved expenses; the City monitors the account balance and reimburses subrecipients, pending compliance of all financial commitments. Community Development staff maintain regular contact with each agency to ensure the accuracy of its payment requests and to confirm that the programs remain on target with their proposed goals. Monitoring is generally performed during the period of April through July.

Throughout the year, sub-recipients submit vouchers for reimbursement of approved expenses, and the City monitors the account balance and reimburses subrecipients, pending compliance of all financial commitments. Community Development staff maintain regular contact with each agency to ensure the accuracy of their payment requests and to confirm that the programs remain on target with their proposed goals.

Physical development projects are monitored by the Office of Community Development's Construction Specialist. The Construction Specialist makes visits, checking on the projects' progress, and verifies that

the work is being completed to the specifications. The Construction Specialist meets on-site with the contractors and property owners to discuss any issues. Permits, Certificates of Approval, and Certificates of Occupancy are obtained when required. The property owner, contactor, Construction Specialist and/or architect, and owner are required to sign off on every payment request before being processed. After projects are completed, they receive both onsite and rent and income monitoring to assure long-term compliance.

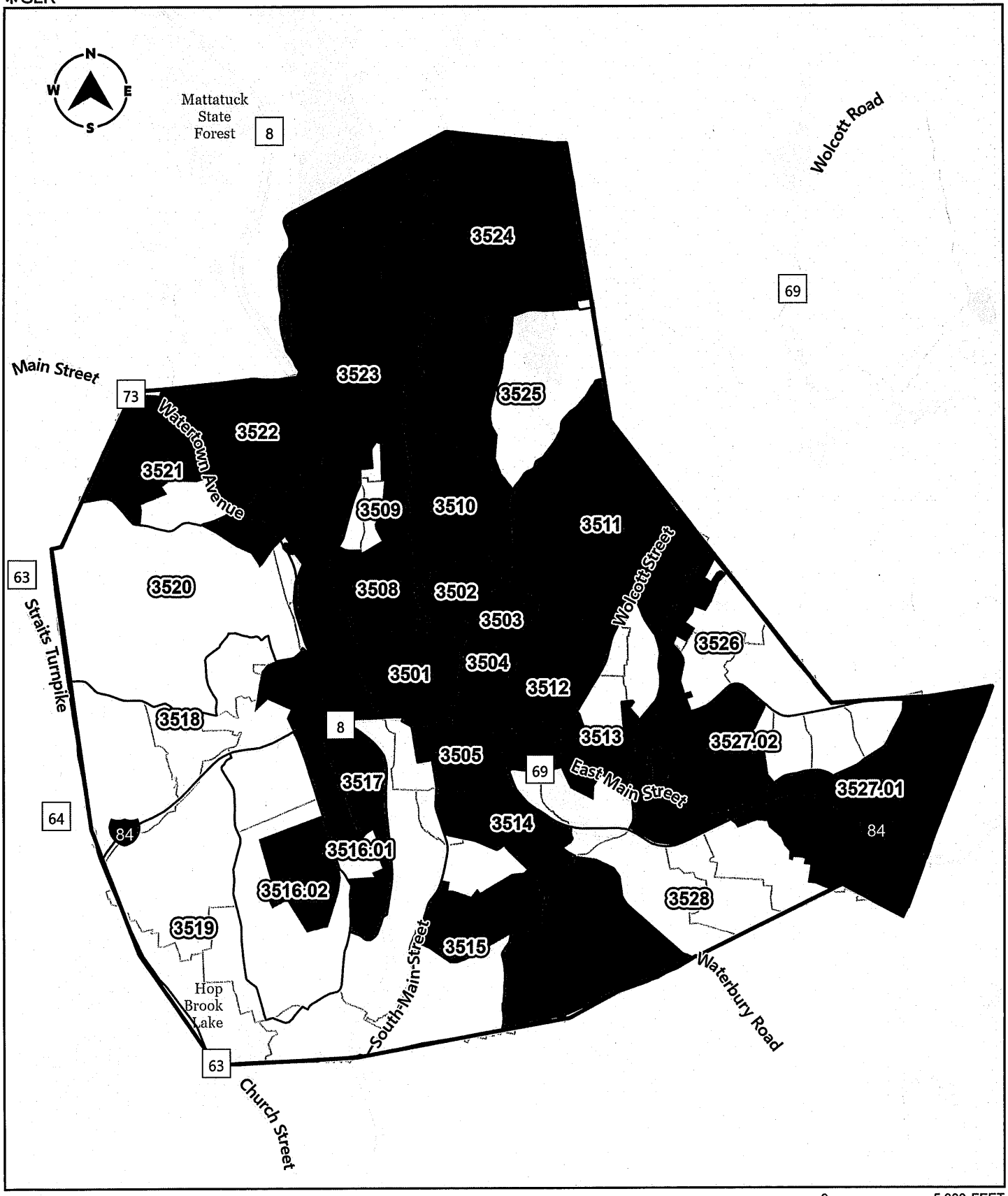
The City will continued to require general contractors to market their projects to minority and women owned businesses by encouraging them to respond to their ads in local newspapers, minority publications, the State's Department of Administrative Services lists, local contracting groups, and firms they have contracted with in the past.

Onsite monitoring is conducted to ensure owners maintain their properties to HOME's standards and rent and income monitoring is conducted to verify the units are being rented to individuals and families that income qualify and the rents are within the HOME Program limit.

SLR

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05.12.25



Source(s): U.S Census Bureau; U.S Department of Housing & Urban Development CPD Mapping

Census Tracts

Low & Moderate Income Census Blocks

0 5,000 FEET

CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

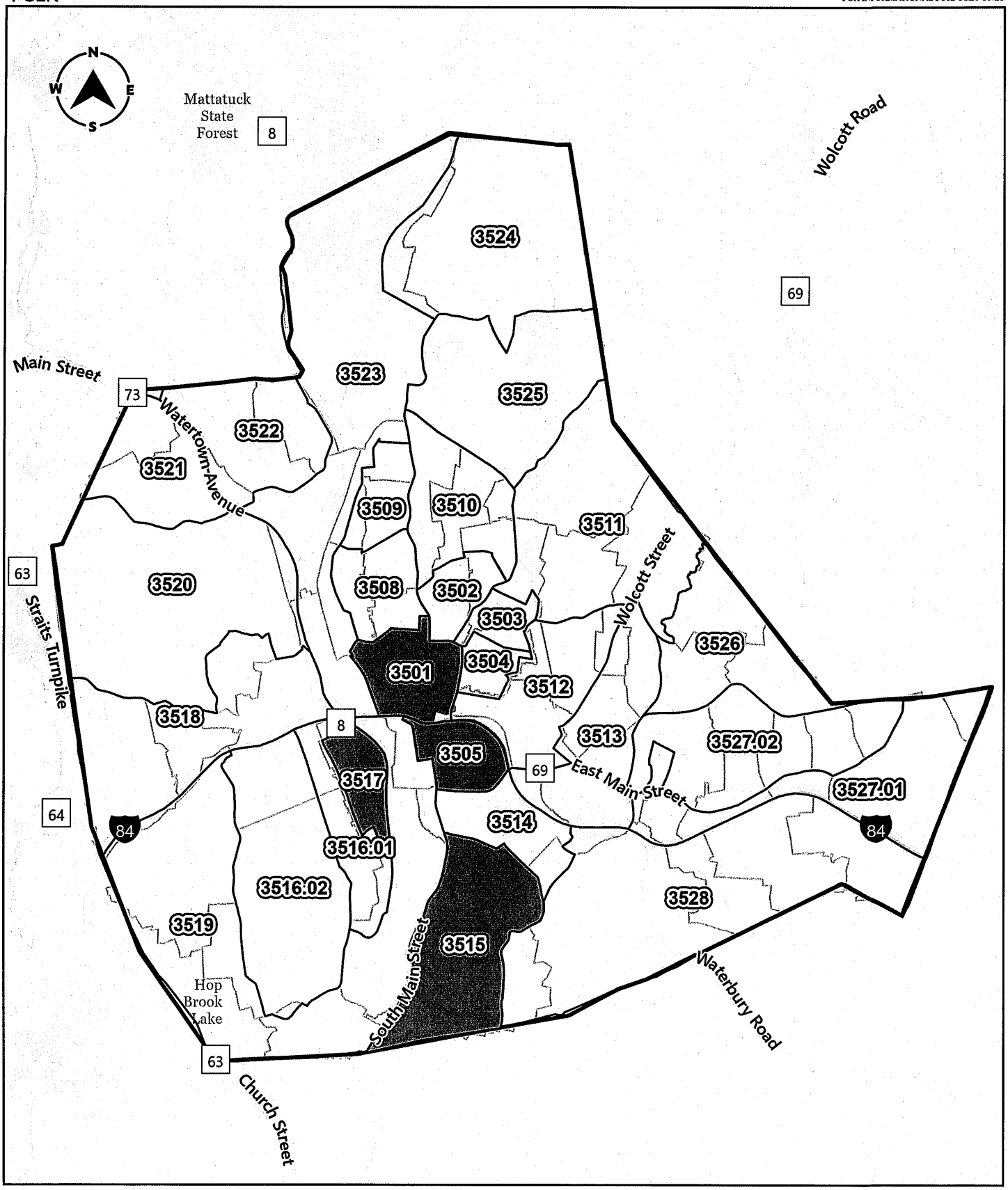
Income Eligible Low- and Moderate-Income Areas

Figure 1

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05.12.25



Source(s): U.S Census Bureau; portal.gov/choosect/opportunity-zones

Census Tracts

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CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

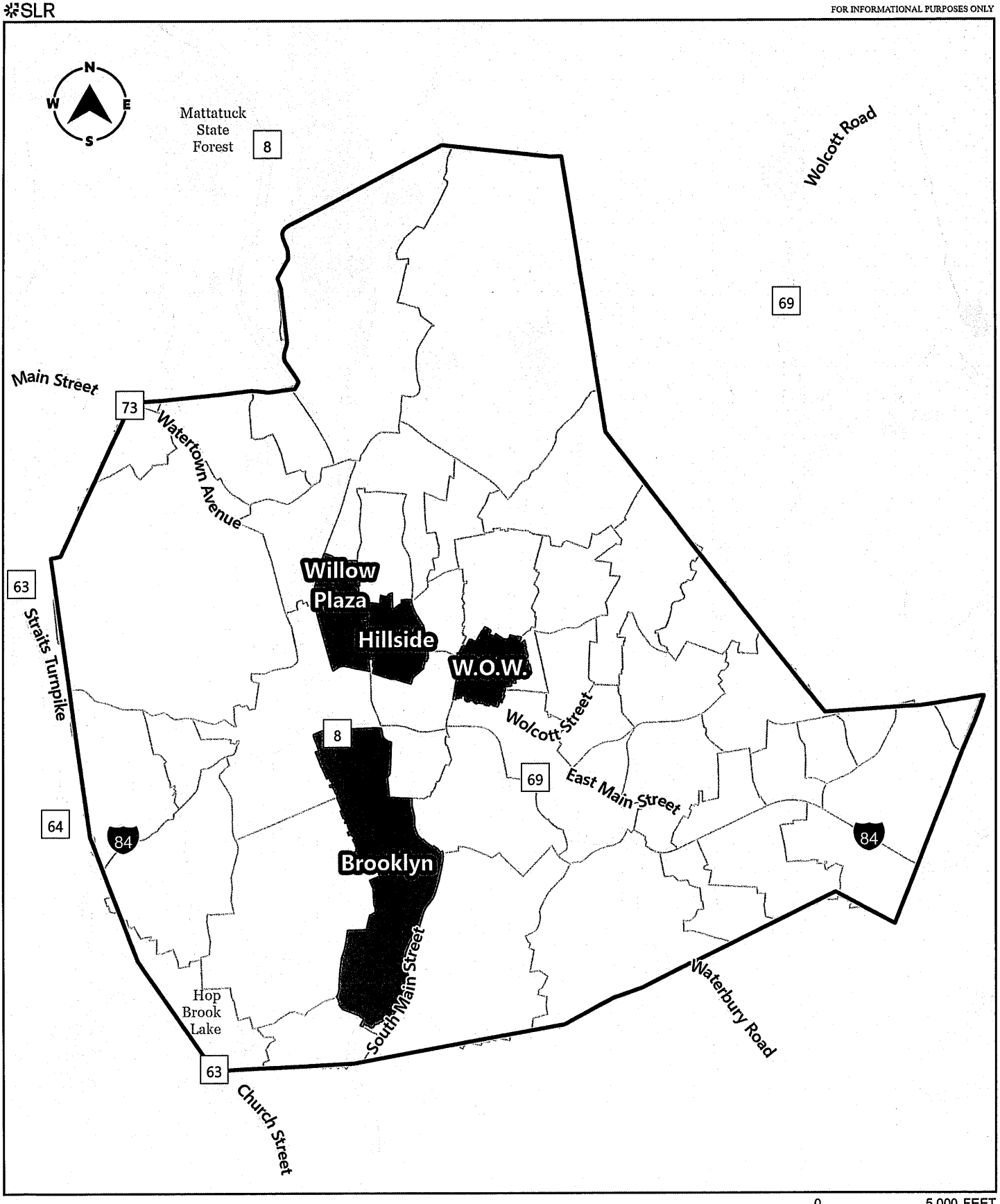
Opportunity Zones

Figure 2

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Source(s): City of Waterbury

Neighborhoods

Neighborhood Revitalization Zones

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CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

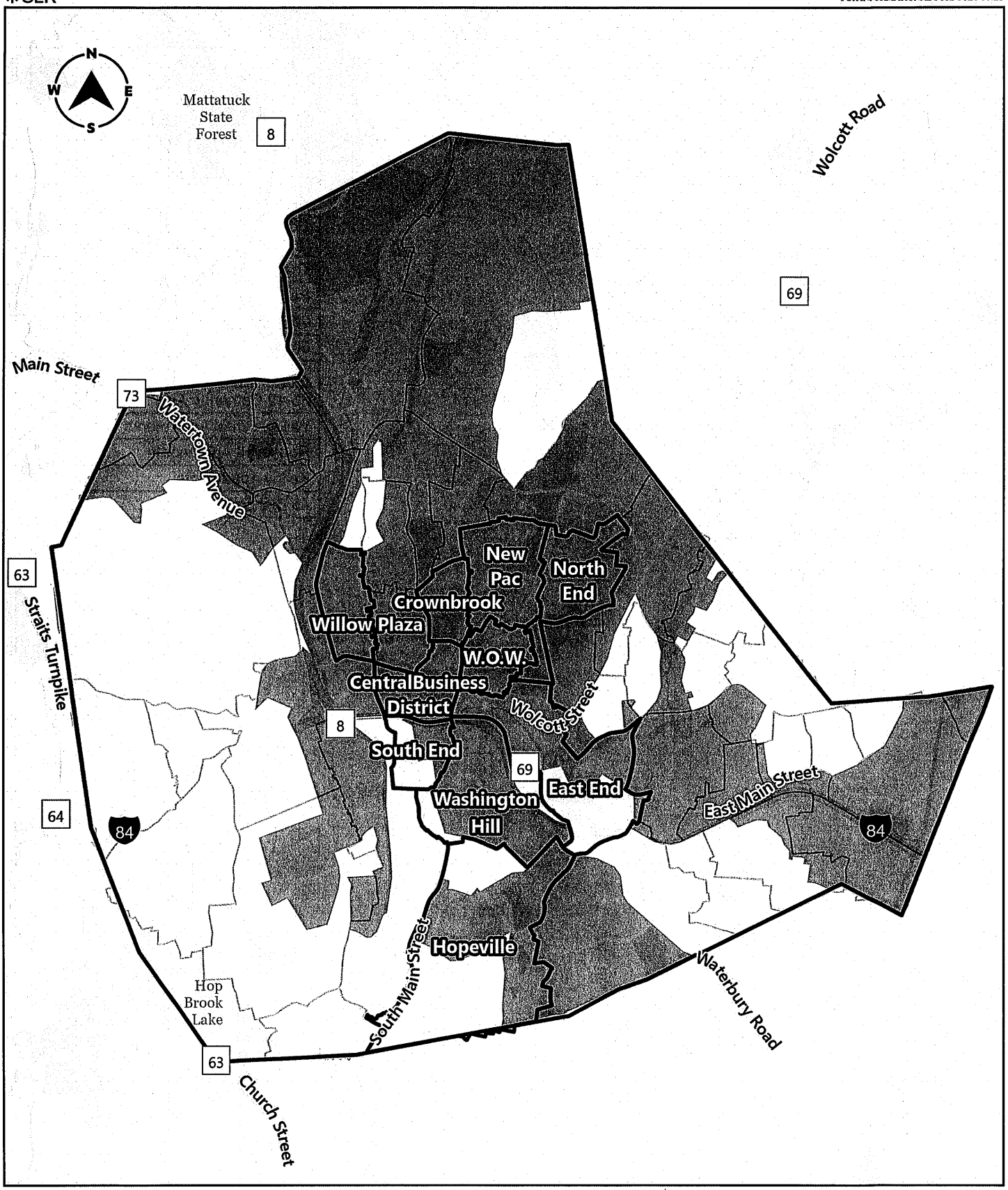
Neighborhood Revitalization Zones

Figure 3

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Source(s): U.S Census Bureau; U.S Department of Housing & Urban Development CPD Mapping; City of Waterbury

Low & Moderate Income Census Blocks

Targeted Neighborhoods

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CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

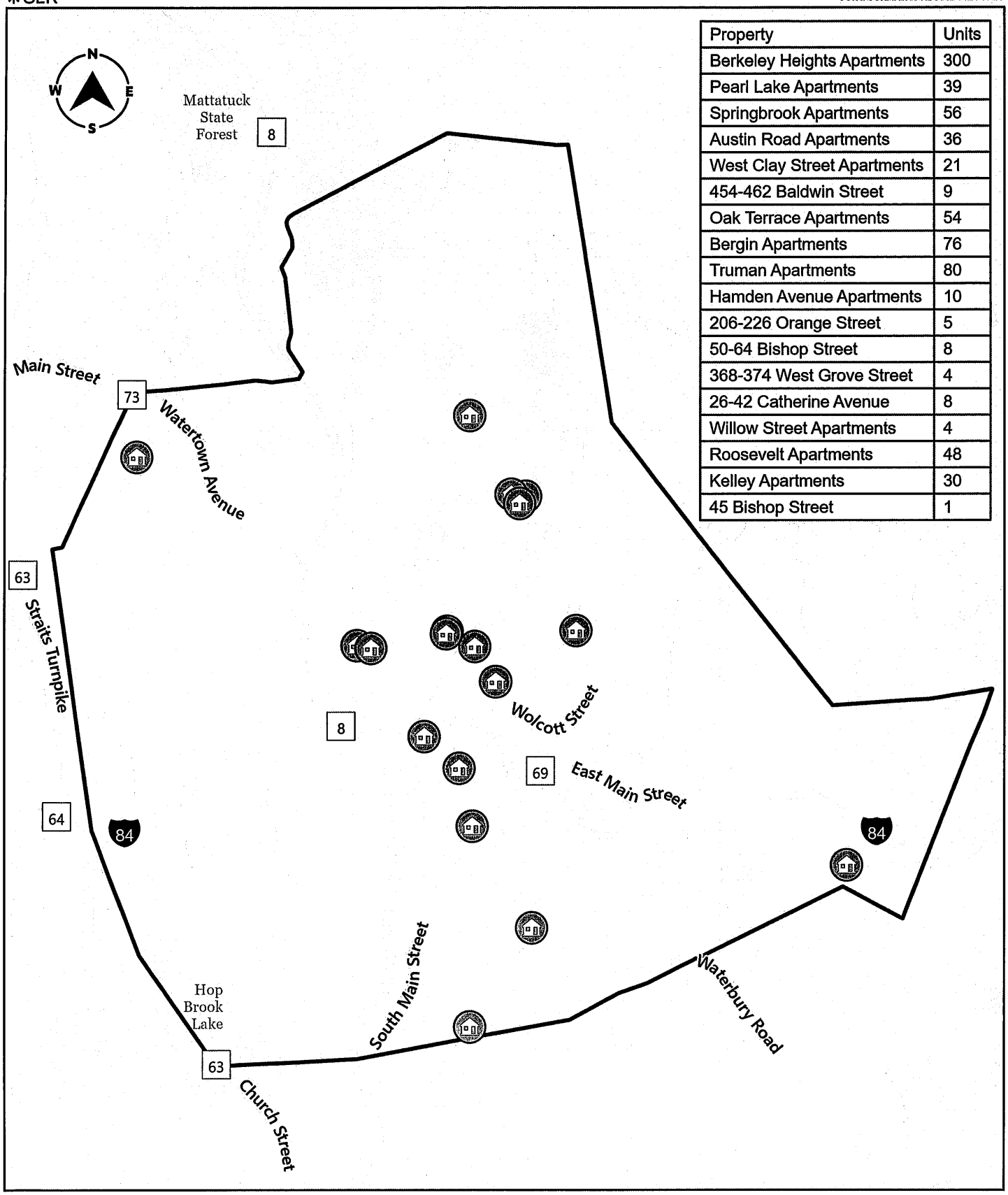
Targeted Low- and Moderate-Income Areas

Figure 4

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Property	Units
Berkeley Heights Apartments	300
Pearl Lake Apartments	39
Springbrook Apartments	56
Austin Road Apartments	36
West Clay Street Apartments	21
454-462 Baldwin Street	9
Oak Terrace Apartments	54
Bergin Apartments	76
Truman Apartments	80
Hamden Avenue Apartments	10
206-226 Orange Street	5
50-64 Bishop Street	8
368-374 West Grove Street	4
26-42 Catherine Avenue	8
Willow Street Apartments	4
Roosevelt Apartments	48
Kelley Apartments	30
45 Bishop Street	1

Source(s): U.S Department of Housing & Urban Development CDP Mapping; City of Waterbury

Waterbury Housing Authority Properties

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CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

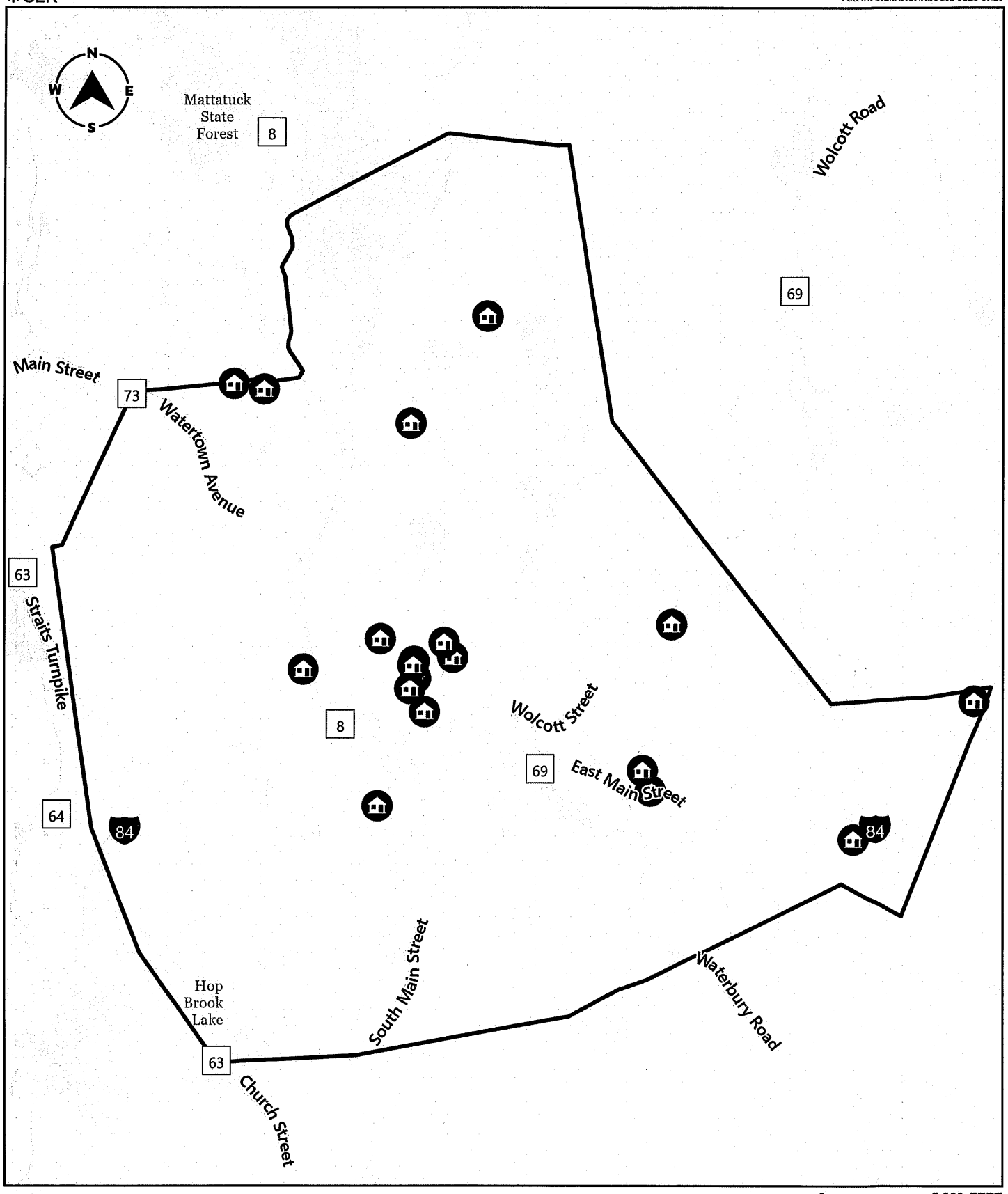
Waterbury Housing Authority Properties

Figure 5

SLR

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05.12.25



Source(s): U.S Department of Housing & Urban Development CDP Mapping

Project-Based Section 8 Housing

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2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

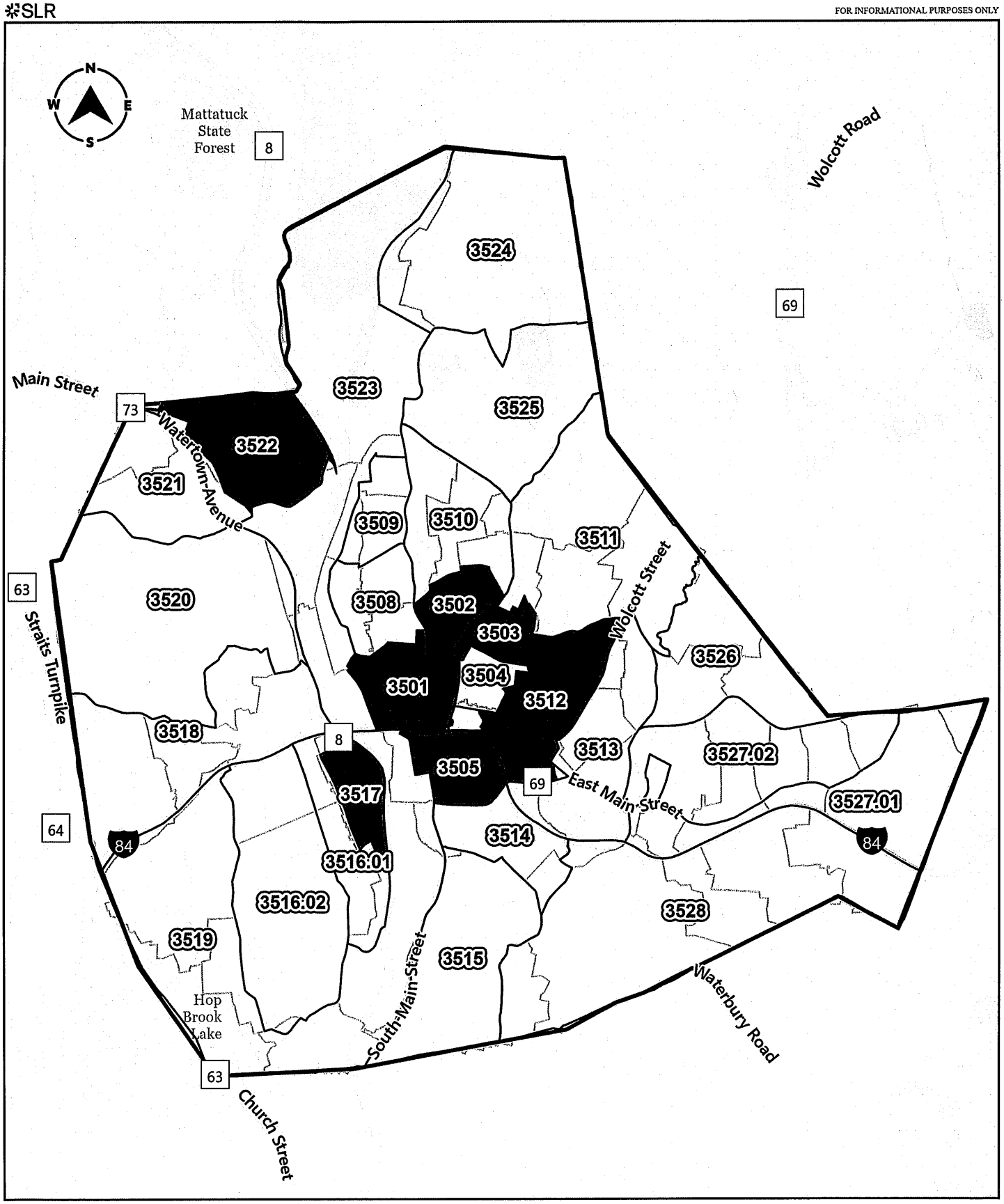
Project-Based Section 8 Housing

Figure 6

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Racially or Ethnically Concentrated Areas of Poverty

Census Tracts

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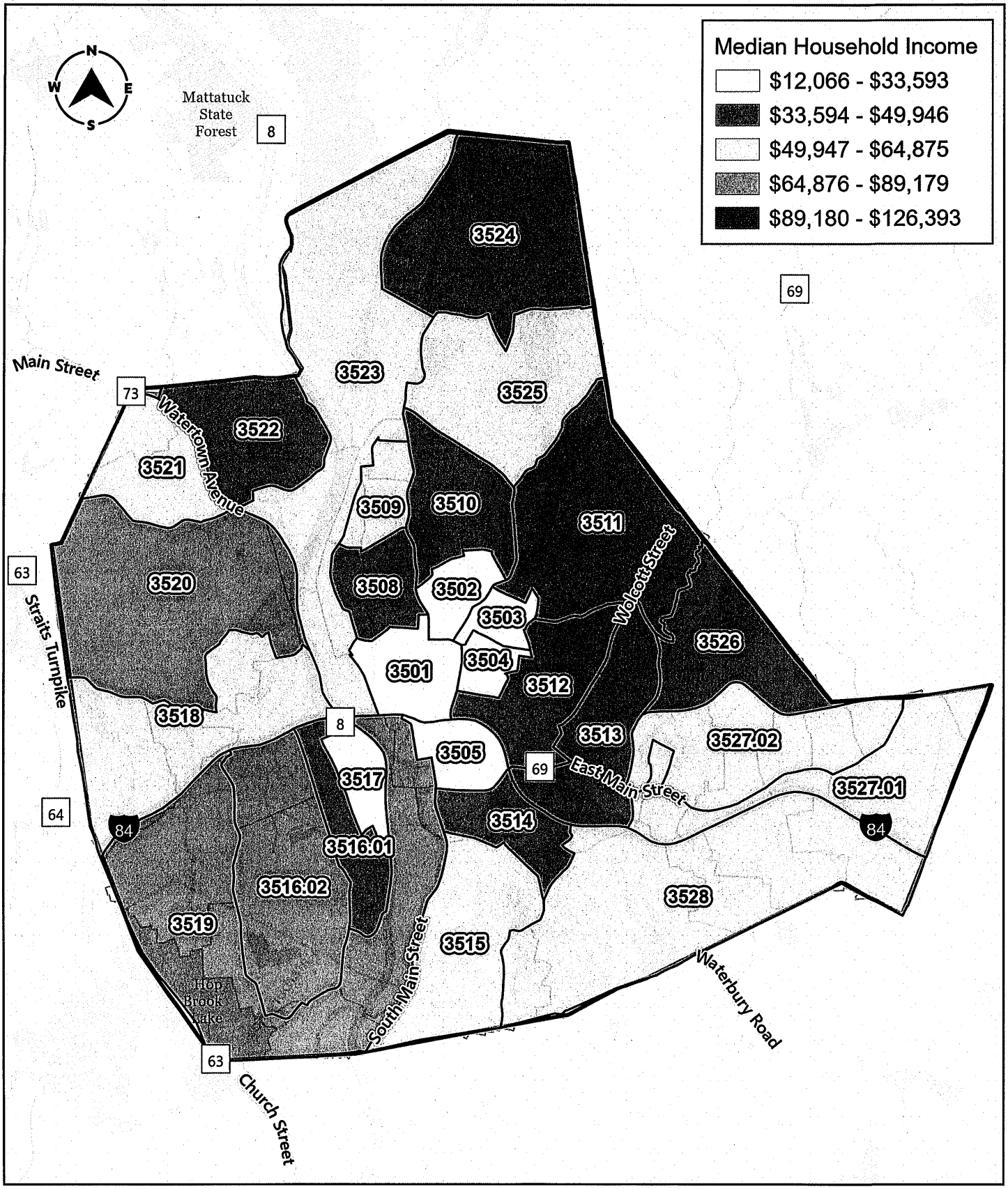
Source(s): U.S Census Bureau; U.S Department of Housing & Urban Development CPD Mapping

CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

Racially or Ethnically Concentrated Areas of Poverty

Figure 7

05.12.25



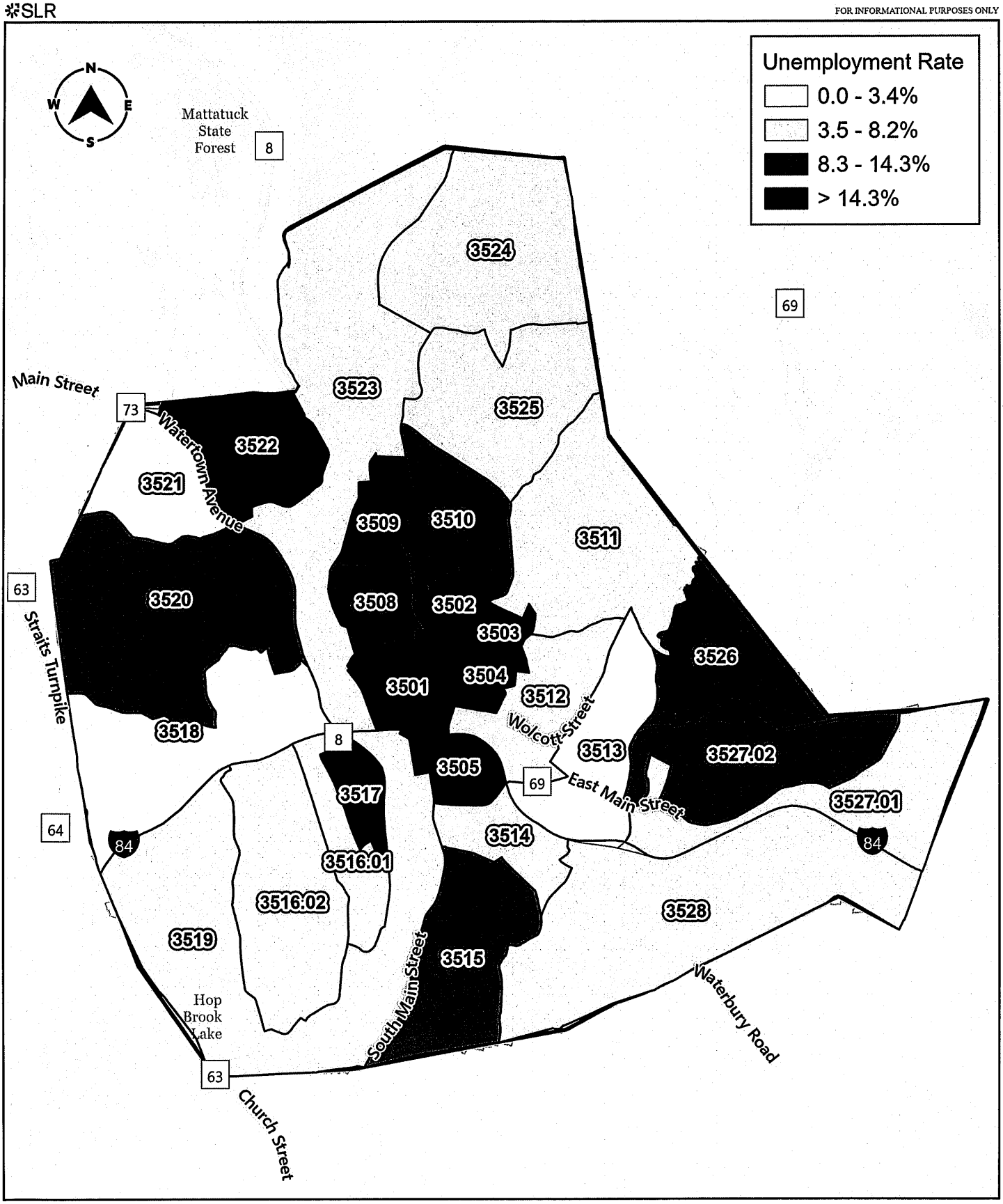
Source(s): U.S Census Bureau; U.S Department of Housing & Urban Development CPD Mapping

CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

Median Household Income

Figure 8

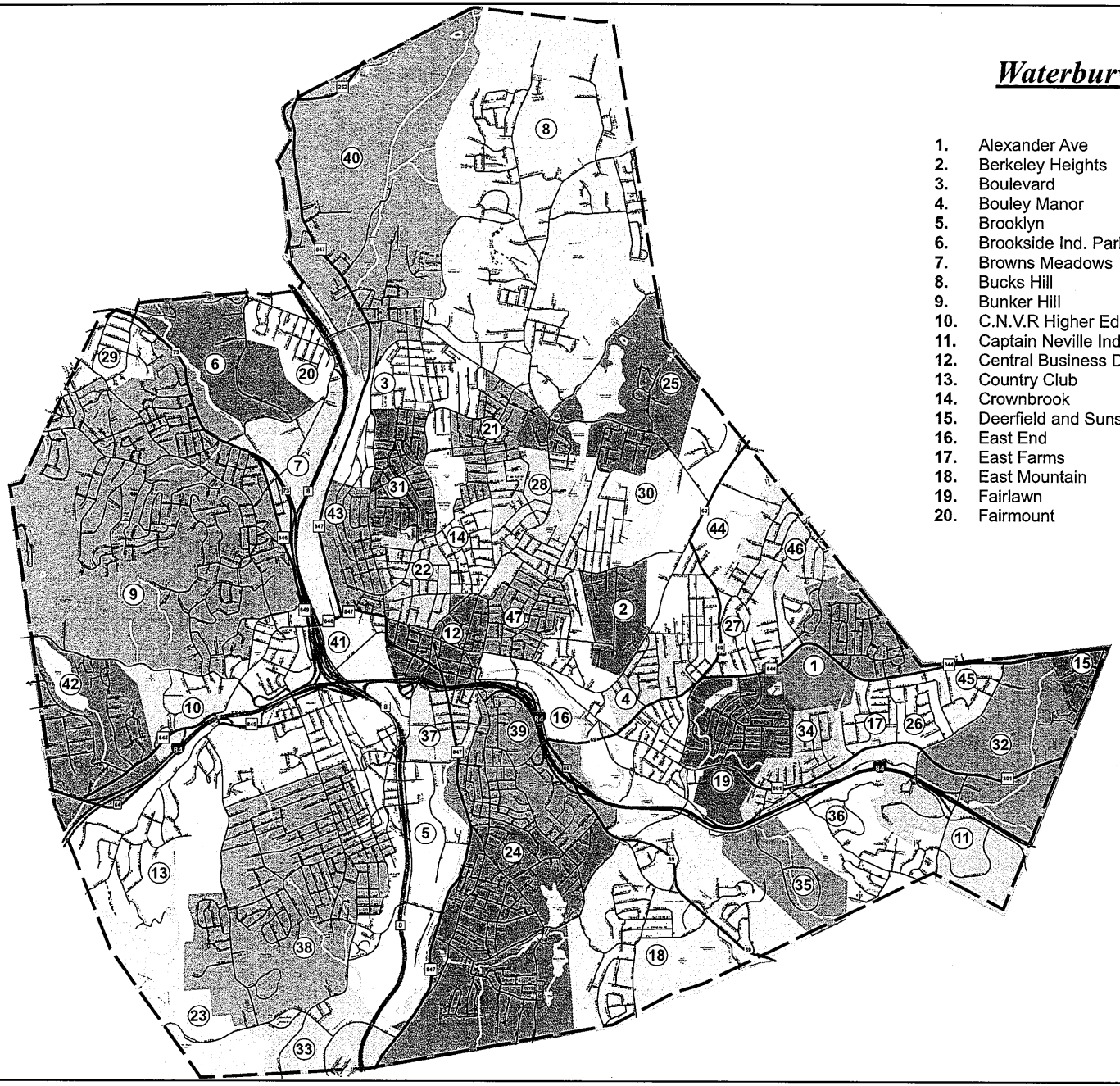
05.12.25



Source(s): U.S Census Bureau; U.S American Community Survey 5-Year Estimates (2019-2023)

CITY OF WATERBURY
2025-2029 CONSOLIDATED PLAN
FOR HOUSING AND COMMUNITY DEVELOPMENT

Unemployment Rate
Figure 9



Waterbury Neighborhoods

1. Alexander Ave
2. Berkeley Heights
3. Boulevard
4. Bouley Manor
5. Brooklyn
6. Brookside Ind. Park
7. Browns Meadows
8. Bucks Hill
9. Bunker Hill
10. C.N.V.R Higher Educ. Center
11. Captain Neville Ind. Park
12. Central Business District
13. Country Club
14. Crownbrook
15. Deerfield and Sunset
16. East End
17. East Farms
18. East Mountain
19. Fairlawn
20. Fairmount
21. Hill Street
22. Hillside
23. Hop Brook
24. Hopeville
25. Lakewood
26. Maplewood Manor
27. Mill Plain
28. New PAC
29. Newtown Heights
30. North End
31. Overlook
32. Pierpont Road
33. Platts Mills
34. Reidville
35. Reidville Ind. Park
36. Scott Road
37. South End
38. Town Plot
39. Washington Hill
40. Waterville
41. West End
42. West Side Manor
43. Willow Plaza
44. Wolcott Road
45. Woodhaven
46. Woodtick Road
47. W.O.W.

0 1,500 3,000 6,000 Feet

City of Waterbury
Public Works Department

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Expected Resources

AP-15 Expected Resources – 91.220(c)(1,2)

Introduction

Anticipated Resources

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 1				Expected Amount Available Remainder of ConPlan $	Narrative Description
			Annual Allocation: $	Program Income: $	Prior Year Resources: $	Total: $		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	2,176,880	14,000	0		8,000,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $2,000,000/yr

HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	872,241.28	2,500	0		3,400,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $850,000/yr
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	192,767	0	0		760,000	Expected amount available assumes a similar annual allocation over each of the remaining 4 years. Estimate $190,000/yr

Table 1 - Expected Resources – Priority Table

Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

The City of Waterbury attempts to leverage its federal resources with private, state, and local funding resources to meet the housing and community development needs identified in its Consolidated Plan. By using leveraged funds to complement its Consolidated Plan resources, the City is able to provide assistance to more individuals and households in need. Activities implemented during the First Annual Action Plan (PY

2025-2026) and those in subsequent years will generate matching funds for CDBG, HOME, and ESG activities from a variety of sources including the State of Connecticut, Low Income Tax Credits, and other forms of matches including foundation support and private donations.

HOME

The HOME Match requirement will be met with carry over in excess of $14 million from previous years, as noted on HUD form 40107-A. For the past several years, the City has not had a match requirement due to its level of distress.

ESG

Match requirements for the ESG program are met by a combination of funding sources including federal, state, foundation, and private contributions funneled through each of the three recipient agencies: Safe Haven, Salvation Army, and St. Vincent DePaul.

For the first program year, each agency has designated matching funds as part of their application for ESG funding. Safe Haven reported matching/leveraged funding totaling $443,726; Salvation Army reported $464,117 in matching/leveraged resources; and St. Vincent DePaul reported $581,321 in matching/leveraged resources. These funds total near $1.5 million in leverage/matching funds for the $186,821 in Emergency Solutions Grant funds received through HUD.

Funding includes shelter operations, rapid re-housing, and homelessness prevention.

Additional Resources

Additional resources are also leveraged in support of local housing and community development efforts. Mindful of the limited resources available, the City's Office of Community Development encourages all entities seeking Consolidated Plan funding to leverage additional resources to match what can provided through CDBG, HOME, and ESG funds.

If appropriate, describe publically owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

The Waterbury Land Bank, established in 2022, identifies property that can be acquired, stabilized, and repurposed, to help improve the City and the quality of life of its residents. The program acquires real property and supports redevelopment activities that help catalyze neighborhood improvement, reduce conditions of blight, and advance existing community improvement plans. To promote community stability, owner occupancy is the priority of the Waterbury Land Bank. The land bank has developed Policies and Procedures for the acquisition and disposition of property that outline the public benefit of the program. Its purpose is to stimulate development, provide affordable housing opportunity, eliminate blight and promote community revitalization.

https://waterburylandbank.org/application/files/3916/7165/2315/WLB_Policies_and_Procedures_for_Acq_and_Disp_Real_Property.pdf

Discussion

See narratives above

Annual Goals and Objectives

AP-20 Annual Goals and Objectives

Goals Summary Information

Sort Order	Goal Name	Start Year	End Year	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
1	Increase Decent, Safe & Affordable Housing Resources	2025	2026	Affordable Housing Homeless Non-Homeless Special Needs	Citywide	Reduce Cost Burden Increase Supply of Decent, Safe, and Affordable Housing Remove Blight and Unsafe Conditions	CDBG: $50,000 HOME: $785,017	Residential Rehabilitation 19 Housing Units Rental units constructed: 2 Household Housing Unit Homeowner Housing Added: 2 Household Housing Unit

2	Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness	2025	2026	Affordable Housing Homeless Non-Homeless Special Needs	Citywide	Address Homelessness Provide Supportive Housing Provide Public and Supportive Services	CDBG: $69,919.45 ESG: $178,310.00	Tenant-based rental assistance / Rapid Rehousing: 1,000 Households Assisted Homeless Person Overnight Shelter: 1,130 Persons Assisted Homelessness Prevention: 150 Persons/HH Assisted Relocation: 20 Households Assisted Public service activities other than Low/Moderate Income Housing Benefit: 625 Persons Assisted
3	Invest in Community and Neighborhood Facility Improvements	2025	2026	Non-Housing Community Development	Citywide CDBG Income-Eligible Neighborhoods Targeted CDBG Income-Eligible Neighborhoods	Provide or Improve Existing Community Facilities	CDBG: $1,109,305.25	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: 115,900 Persons Assisted

4	Support the Provision of Public and Supportive Services	**2025**	**2026**	Non-Housing Community Development	Citywide CDBG Income-Eligible Target Neighborhoods Targeted CDBG Income-Eligible Target Neighborhoods	Provide Public and Supportive Services	CDBG: $274,177.25	Public service activities other than Low/Moderate Income Housing Benefit: 6,855 Persons Assisted Public service activities for Low/Moderate Income Housing Benefit: 120 Households Assisted
5	Invest in Infrastructure Replacement and Improvement	**2025**	**2026**	Non-Housing Community Development	Citywide CDBG Income-Eligible Target Neighborhoods Targeted CDBG Income-Eligible Target Neighborhoods	Address Aging Infrastructure Remove Blight and Unsafe Conditions	CDBG: $0	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit: N/A
6	Support Abatement of Hazardous Environmental Conditions	**2025**	**2026**	Non-Housing Community Development	Citywide Opportunity Zones	Address Aging Infrastructure Remove Blight and Unsafe Conditions Remediate Hazardous Environmental Conditions	CDBG: $0	Other: N/A

7	Support Economic and Workforce Development Activities	2025	2026	Non-Housing Community Development	Citywide Opportunity Zones	Promote Economic and Workforce Development	CDBG: $54,835.45	Public service activities other than Low/Moderate Income Housing Benefit: 12 Persons Assisted
8	Provide Administrative Oversight and Management	2025	2026	Non-Housing Community Development	Citywide	Provide Administrative Support	CDBG: $435,376 HOME: $87,224 ESG: $14,457	Other: 3

Table 2 – Goals Summary

Goal Descriptions

1	**Goal Name**	**Increase Decent, Safe & Affordable Housing Resources**
	Goal Description	Increase accessibility to decent safe and affordable housing and housing resources through a program of rehabilitation, new construction, enforcement of housing codes, and abatement of lead paint and other environmental hazards. Homeownership counseling, housing loss and eviction prevention services, and fair housing resources also increase accessibility to housing choice. Increase housing affordability by providing technical and financial resources to add decent housing units responsive to the income levels prevalent in Waterbury. It is important to increase the supply of affordable three-or-more bedroom units for larger families and homeless families seeking permanent housing and supports.
2	**Goal Name**	**Maintain and Increase Housing & Services for Homeless and Those At-Risk of Homelessness**

	Goal Description	Maintain and increase the availability of housing for the homeless, those at-risk of homelessness, and special needs populations with financial assistance; coordination with the Coordinated Access Network (CAN); and through support of activities targeted to meet the identified needs of the homeless population.
3	**Goal Name**	**Invest in Community and Neighborhood Facility Improvements**
	Goal Description	Invest in public, community, and neighborhood facility improvements in support of community development and neighborhood revitalization and to provide suitable living environments for residents. Improvements to neighborhood and community facilities will be supported, including the repair and refurbishment of parks and playgrounds, community center buildings, service centers, and schools. Improvements will be directed toward the provision of ADA accessibility, addressing health and safety issues, and ensuring access for targeted resident populations. Programs and projects that protect public health and safety would also fall under this goal.
4	**Goal Name**	**Support the Provision of Public and Supportive Services**
	Goal Description	Support the provision of a range of public and supportive services for youth, seniors, special needs populations, and low- and moderate-income individuals and families. Projects will support youth initiatives including positive youth engagement activities and programs; mentoring; and educational, job skill, and life skills attainment programs to help youth succeed in the economy and society. Projects will provide programs and services for the elderly to meet daily living needs as well as socialization within the community, including socialization programs, affordable transportation, and access to goods and services. Projects will support the provision of targeted social service programs to address conditions that impact daily living needs and personal growth of lower-income individuals and families as well as the community as a whole. Projects will provide basic medical and nutritional resources to sustain low- and moderate-income persons in the community.
5	**Goal Name**	**Invest in Infrastructure Replacement and Improvement**

	Goal Description	Support housing and community development activities with investments in infrastructure replacement and improvement in order to stabilize and revitalize neighborhoods. Projects will be directed to improvements to and the replacement of aging infrastructure such as water lines, sewer lines, streets, and sidewalks.
6	**Goal Name**	**Support Abatement of Hazardous Environmental Conditions**
	Goal Description	Make strategic investments in the abatement of hazardous environmental conditions to increase the amount of land and buildings available for economic development.
7	**Goal Name**	**Support Economic and Workforce Development Activities**
	Goal Description	Provide technical assistance and support for leveraging financial resources and marketing to assist the transition of Waterbury into a competitive twenty-first-century economy. Include job training, workforce development, and sustainable income employment to advance the local economy and support the economic success of residents. Support Food HUB activities, urban farming, and other innovative initiatives to meet changes in the economy.
8	**Goal Name**	**Provide Administrative Oversight and Management**
	Goal Description	Support administrative and planning activities necessary for the implementation of the Consolidated Plan, including plan development; project oversight and monitoring; reporting; regulatory compliance; community outreach; education and raising public awareness of projects, programs, and resources.

Projects

AP-35 Projects – 91.220(d)

Introduction

Projects

#	Project Name
1	Catholic Charities Family Center
2	Center for Human Development Hospitality Center
3	Waterbury Senior Shuttle Service
4	Children in Placement Guardian Ad Litem
5	Community Partners in Action Re-Entry Center
6	Greater Waterbury Interfaith Ministries Food Pantry
7	Hispanic Coalition of Greater Waterbury Case Management
8	Manufacturing Alliance Service Corp.
9	NEST Homeownership Education
10	New Opportunities Senior Meal Program
11	Rivera Memorial Bridge & Mentor After School Program
12	Safe Haven Community Service
13	Shakesperience After School Enrichment
14	Shakesperience LMI Acting Classes
15	Greater Waterbury YMCA Truancy Prevention and Counseling
16	Boys and Girls Club Fencing
17	Washington Park HVAC
18	Berkley Park Renovations
19	Waterbury Emergency Home Repair Program
20	Family Children's Aid Behavioral Health Clinic Roof
21	Marrakech Driveway Repair
22	Mattatuck Museum Bathroom and ADA Compliance
23	Greater Waterbury YMCA Pool HVAC
24	Waterbury Development Corporation Emergency Relocation
25	CDBG Administration
26	HOME Administration
27	HOME CHDO Housing Development
28	HOME Housing Related Activities
29	ESG25

Table 3 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs The projects were selected to meet identified needs in the community with the resources provided. Limited financial resources with which to finance programs and projects are the greatest obstacle to meeting the municipality's underserved needs.

AP-38 Project Summary

Project Summary Information

	Project Name	Project Description	Proposed Accomplishments	Proposed Award
	CDBG Program			
1	Catholic Charities Family Center	Catholic Charities' program provides a caring environment for parents to build on their strengths, gain confidence in their abilities, learn better parenting skills, and promote healthy child growth and development. Families receiving case management and crisis resolution services can access parent education and basic needs programs on site in one convenient, neighborhood-based location.	150 p	$9,835.45
2	Center for Human Development Hospitality Center	The Center for Human Development Waterbury Hospitality Center is a well-known resource for community members experiencing homelessness. It provides much needed respite and holistic support, serving as many as 80-100 individuals daily. It serves as a warming center through the winter months and a cooling center during the summertime. The center offers a safe place for participants to meet for socialization and comradery; connection to jobs, case management, and public benefits; sustenance via daily snacks; basic needs such as showering and clothing; substance use, behavioral and medical health care access; and transportation.	625 p	$49,835.45

3	Waterbury Senior Shuttle Service	The Waterbury Senior Shuttle Service provides weekly rides from 8:00am to 5:00pm Monday through Friday and Saturday rides from 8:00am to 1:00pm. The program follows the Waterbury school policy for cancellations and delays. Clients are picked up in a clearly marked livery vehicle. No shuttle pickup or returns are done in unmarked cars. Passengers present a "Waterbury Senior Shuttle ID card which is obtained prior to their first ride from the Community Development Office. The requesting senior calls the Office who then completes the application and forwards the applicant by mail the proper ID card as well as a program information brochure. After receiving the card, a senior can call the livery service and make a reservation for a round-trip ride. Reservations are taken daily from 9:00 am to Noon.	400 p	$3,835.45
4	Children in Placement Guardian Ad Litem	For 46 years, Children in Placement (CIP) has provided volunteer Guardians ad Litem (GALs) to the courts in Connecticut. When judges appoint CIP GALs, an independent organization, separate from the child welfare and judicial systems, takes action. A CIP Regional Manager collaborates with a GAL volunteer to thoroughly assess the details of each case. They offer detailed, impartial recommendations that not only influence the direction of the case but also provide essential guidance and resources for the child and their family. This approach ensures that the child's best interests are prioritized and effectively addressed. Throughout the process, CIP fosters open communication, keeping all parties informed about the case's developments. This proactive approach reduces delays, accelerates the path to a safe and nurturing home, and delivers vital services for the overall well-being of the child or children involved.	150 p	$14,835.45

5	Community Partners in Action Re-Entry Center	The Re-Entry Welcome Center assists adults who are being released from prison to Greater Waterbury or who have a criminal history needed. and already live in the area. Services for participants are provided for up to 12 months and longer. The Center serves as a hub providing participants with essential reentry services and coordinating referrals to 30+ community partners providing services on-site and in the community. Partners include the CT Department of Correction (DOC), City of Waterbury, local halfway houses, Career Resources, Inc., CT Association of Human Services, and 30+ members of the Greater Waterbury Reentry Council. For those who enroll in the Center pre-release, DOC drops participants off at the Center on the day of their release. This helps to reduce the trauma people often experience on the day of their release.	375 p	$29,835.45
6	Greater Waterbury Interfaith Ministries Food Pantry	Approximately, 10% of daily guests who visit the Soup Kitchen are homeless or near homeless (staying with friends/relatives) and 16% are elderly. Without the assistance of the GWIM in partnership with the City of Waterbury, Community Development Program and the CDBG grant, people would go hungry. While 3,000 individuals will be assisted through this funding, the frequency of their access to our programs is daily.	3000 p	$59,835.45
7	Hispanic Coalition of Greater Waterbury Case Management	Funds to continue providing the community with the services needed and assistance through case management. The Hispanic Coalition will provide a range of Case Management services geared towards the emerging needs of each client. All community members will have access to a case manager, who is knowledgeable of the different types of resources available within the community and will guide the client to access services as identified and needed.	1300 p	$14,835.45

8	Manufacturing Alliance Service Corp. MASC)	Funding to provide manufacturing CNC training to low-income residents of Waterbury. This provides an opportunity for residents to learn a trade in a high demand field that provides higher than average wages to assist city residents to provide for themselves and their families.	12 p	$54,835.45
9	NEST Homeownership Education	HELPP empowers households to navigate the often-overwhelming housing market with confidence. They provide clear, actionable guidance on everything from assisting with rent payments to exploring mortgage options and knowing fair housing laws. By educating people on their rights and responsibilities - whether as tenants, homeowners, or landlords - they not only prevent common pitfalls like housing discrimination and predatory lending while fostering financial security and long-term stability.	375 p/ 120 hh	$19,835.45
10	New Opportunities Senior Meal Program	Program offers nutritious meals daily to underserved seniors along with a support activity that can include arts & crafts, fitness, self-care, inter-cultural learning activities as well as educational classes.	1000 p	$9,835.45
11	Rivera Memorial Bridge & Mentor After School Program	Program provides academic support, mentorship, and personal development opportunities for underserved youth in Waterbury. Funding from the CDBG grant will be used for program materials (educational supplies, technology, and enrichment resources) and staffing costs to ensure a high-quality afterschool experience. Through mentorship and targeted academic assistance, the program fosters confidence, improves school performance, and equips students with essential life skills.	80 p	$9,835.45
12	Safe Haven Community Service Project	Program offers 24/7/365 crisis intervention, individual and peer group counseling, and advocacy for victims of domestic and sexual violence who do not require shelter. It also provides Community Education and Prevention programs for individuals ranging from Pre-K through college. Safe Haven receives numerous referrals from our criminal court-based Family Violence Victim Advocate (FVVA) program, which serves around 3,000	150 p	$9,835.45

		victims annually.		
13	Shakesperience After School Enrichment	Program can provide cohesive and effective results for 20-30 LMI participants and the greater community as a whole. Shakesperience conducts 16-week Intensives on Tuesdays and Thursdays in the fall and spring, all of which emphasize performance skills and ensemble Acting work, for students in grades 2-12.	20 p	$9,835.45
14	Shakesperience LMI Acting Classes	Program provides cohesive and effective results for 30-40 LMI participants and the greater community as a whole. Shakesperience conducts 7-week ensemble Acting work for Intensives in the fall, winter, and spring on Saturdays, all of which emphasize performance skills and businesses, for students in grades 2-12.	30 p	$9,835.45
15	Greater Waterbury YMCA Truancy Prevention and Counseling	Program provides services Monday through Friday for home, school, and office visits and also utilizes non-traditional hours. Case managers attend PPT meetings (The Planning and Placement Team which is an interdisciplinary team of parents and educators that make decisions regarding one's child). CDBG funding will allow the Truancy Prevention and Counseling Program to continue to provide these important case management services to youth in Waterbury.	200 p	$19,835.45
16	Boys and Girls Club Fencing	1037 E Main St, Waterbury, CT: Funding to enhance the safety, functionality and security of the Boys & Girls Club facility by installing fencing along the perimeter of the Club's property.	1,000 p	$20,000.00

17	Washington Park HVAC	For the installation of HVAC in the Washington Park Rec Center Gym. Currently the building has only a steam boiler system and radiators for heating the space, therefore, during the warmer months, windows are the only way to try and cool the space. These funds would be used to add a forced air system which would condition the space, as well as filter the air in the gym. This new HVAC system would also allow the city to efficiently control the temperature of the gym and the humidity levels, making it more comfortable for various indoor activities year-round.	11,000 p	$350,000.00
18	Berkley Park Renovations	This project would include replacement of the basketball court that is currently at the park, as well as upgrades and reconstruction of the current baseball field, so that it can also be utilized as a softball field for organized sports. This project will also include ADA accessibility upgrades to both areas of the park, making it more welcoming to individuals and their families who are in need of such accommodations.	114,990 p	$350,000.00
19	Waterbury Emergency Home Repair Program	The Waterbury Emergency Home Repair Program (WEHRP). The program will focus on addressing major systems that fail, including: roof, electrical, plumbing, heating/cooling.	5 hu	$50,000.00
20	Family Children's Aid Behavioral Health Clinic Roof	30 Holmes Ave, Waterbury, CT: Roof replacement at 30 Holmes Ave, the Behavioral Health Clinic for FCA.	14,000 p	$300,000.00
21	Marrakech Driveway Repair	29 Robbins St, Waterbury, CT: To remove and replace the dilapidated driveway and parking area at Robbins Street location.	4 hh	$22,590.00
22	Mattatuck Museum Bathroom and ADA Compliance	144 W Main St, Waterbury, CT: Both existing bathroom units require significant renovations to address their non-compliance with ADA standards. The current facilities fail to meet accessibility requirements, making them challenging for individuals with disabilities. This renovation project will focus on updating the bathrooms to ensure they are accessible, functional, and fully compliant with ADA guidelines. The renovations will include removing the existing flooring and upgrading countertops, sinks, and lighting fixtures. Key compliance measures include installing	35,000 p	$99,993.00

		grab bars in accessible toilet stalls and sinks and ADA compliant toilets and sinks at appropriate heights.		
23	Greater Waterbury YMCA Pool HVAC	136 W Main St, Waterbury, CT: The proposed project will replace the current Desert Aire pool dehumidification HVAC system. This system regulates heating and cooling of the air in the pool area and improves air quality, prevents condensation, mold growth, and corrosion, reduces energy costs and maintains consistent water and air temperatures.	22,000 p	$202,305.35
24	Waterbury Development Corporation Emergency Relocation	83 Bank St, Waterbury, CT: Funds will be used for lodging, storage, replacement housing payments and moving expenses for individuals displaced by the City's code enforcement agencies due to lack of essential services.	20 hh	$20,084.00
25	CDBG Administration	To provide program oversight, reporting, monitoring and other activities necessary for the implementation of the CDBG program		$435,376.00
	HOME Program			
26	HOME Administration	To provide program oversight, reporting, monitoring and other activities necessary for the implementation of the HOME program		$87,224.00
27	HOME CHDO Housing Development	To support the creation of new affordable rental and homeownership opportunity by Community-based Housing Development Organization (CHDO). Currently, NEST is Waterbury's only designated CHDO.	4 hu	$130,836.00
28	HOME Housing Related Activities	To provide funding to support homeownership housing rehabilitation and the creation of new affordable rental and homeownership opportunity	14 hu	$654,181.00
	ESG Program			

29	ESG25	Emergency Solutions Grant (ESG) Funding can be utilized to provide emergency shelter, prevention services and re-housing assistance to the homeless and persons at-risk of homelessness. For the PY 25-26 Program Year the City has allocated its ESG resources as follows: $115,660 was allocated for Emergency Shelter (Safe Haven $21,538, Salvation Army $10,897, St. Vincent DePaul $83,225); $34,074 was allocated for Rapid Re-Housing Assistance (Salvation Army $11,014 & St. Vincent DePaul $23,060) and $28,576 was allocated for Homeless Prevention through Brian Gibbons Homeless Outreach Services. $14,457 was allocated for ESG program administration, reporting and oversight.	Tenant-based rental assistance / Rapid Rehousing: 1,000 Households Assisted Overnight Shelter: 1,130 Persons Assisted Homelessness Prevention: 150 persons	$192,767.00

AP-50 Geographic Distribution – 91.220(f)

Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

The activities proposed for funding in this Annual Action Plan have not been specifically distributed geographically.

Geographic Distribution

Target Area	Percentage of Funds
Citywide	**60%**
LMI Areas	**40%**
Target LMI Areas	
Opportunity Zones	
Neighborhood Revitalization Zones	

Table 4 - Geographic Distribution

Rationale for the priorities for allocating investments geographically

The activities proposed for funding in this Annual Action Plan have not been specifically distributed geographically.

Discussion

Affordable Housing

AP-55 Affordable Housing – 91.220(g)

Introduction

One Year Goals for the Number of Households to be Supported	
Homeless	1,150
Non-Homeless	23
Special-Needs	-
Total	

Table 5 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through	
Rental Assistance	1,000
The Production of New Units	4
Rehab of Existing Units	19
Acquisition of Existing Units	0
Total	

Table 6 - One Year Goals for Affordable Housing by Support Type

Discussion

AP-60 Public Housing – 91.220(h)

Introduction

The Waterbury Housing Authority (WHA) is the primary provider of housing to very low-income households in the city. WHA receives funding on an annual basis from HUD to support the provision of housing, to make repairs on existing properties, and to provide programs and supportive services to public housing residents.

Actions planned during the next year to address the needs to public housing

The WHA's Annual Plan outlines actions to be taken over the upcoming program year with the funds it receives. These actions are outlined in the Public Housing Authority (PHA) Plan.

The City has not directly allocated any of its FY 2025 funding to support improvements on public housing properties. However, many of the public facility improvements and social service programs benefit public housing residents along with other community residents.

Actions to encourage public housing residents to become more involved in management and participate in homeownership

To promote self-sufficiency and asset development of assisted households, the Waterbury Housing Authority has a Resident Initiatives Coordinator who works with clients ensuring they receive the support and services they need to succeed. The WHA also offers a Family Self Sufficiency Program to help families move from publicly assisted housing to homeownership. These programs are outlined in the PHA Plan.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

Not applicable – the PHA is not designated as troubled.

Discussion

The WHA receives funding on an annual basis from HUD to provide housing, make repairs on existing properties, and provide programs and supportive services to public housing residents. Although the City has not directly allocated any of its FY 2025 funding to support improvements on public housing properties at this time, many of the public facility improvements and social service programs provided with funding benefit public housing residents along with other community residents.

AP-65 Homeless and Other Special Needs Activities – 91.220(i)

Introduction

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

The City participates in and supports the local CAN and its initiatives and projects.

Prior to becoming part of the Regional Coordinated Access Network (CAN), the City had cooperatively developed the Waterbury Ten Year Plan to End Homelessness in collaboration with its homeless housing and service providers. Once the City of Waterbury joined the Balance of State CoC and the CAN process was instituted, the City shifted its homelessness strategy to participate in and support these regional homelessness prevention and support networks.

The City has an active network of local housing and service providers that participate in the CAN process to support regional initiatives, while at the same time assisting the homeless and at-risk within the city. In support of this, the City of Waterbury utilizes its ESG funding to support these agencies, providing prevention services, outreach, food, shelter, supportive services, and rehousing supports to the homeless and at-risk populations.

Addressing the emergency shelter and transitional housing needs of homeless persons

The City of Waterbury supports efforts to decrease or end homelessness within Waterbury and contributes both staff time and financial resources to support the local Continuum of Care/Coordinated Access Networks' (CAN) initiatives.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

To help persons experiencing homelessness make the transition to permanent housing and independent living, the City of Waterbury proactively works with local agencies and organizations to provide a broad range of services and resources. The following provides a list of services and service providers available to persons experiencing homelessness:

- United Way: Serves as a clearinghouse and referral service for various agencies.

- Social Security Administration: Assists the homeless to register for and access income benefits.
- Western Connecticut Mental Health Network: Administers specific mental health programs as well as care coordination and referrals through the Housing Homeless Services division.
- Connecticut Legal Services: Provides legal consultation to homeless with legal issues such as eviction and pending criminal actions
- Waterbury Housing Authority: The Services Coordinator works with homeless shelters to place homeless persons and families in public housing units.
- The Center of Human Development (CHD) Hospitality Center: 690 East Main Street. This facility provides daytime services and resources for homeless persons on a drop-in basis. This facility serves 60 to 80 people each day.
- New Opportunities: Provides resources to homeless and economically disadvantaged individuals such as employment education and training, family services, nutrition services, elder services, and housing services.
- Greater Waterbury Reentry Welcome Center: 77 Bishop Street: This facility was opened in 2021 and provides formerly incarcerated individuals with basic need items and services and connects individuals to housing resources.
- St. Vincent DePaul Shelter: 114 Benedict Street. This shelter provides emergency and longer-stay housing as well as a soup kitchen for both individuals and families. Shelter guests are also offered individualized and comprehensive case management services.
- St. Vincent DePaul's Society of Support Permanent Supportive Housing Program:. This program provides homeless individuals with mental health and/or substance abuse disorders and families with disabilities with leasing assistance for safe and affordable housing at scattered sites throughout the City. The program serves over 22 housing units and provides case management and support services.
- Salvation Army Shelter: 74 Central Avenue. This shelter provides emergency and longer-stay housing for families with children only. CDBG also funds a food pantry at the site.

Safe Haven: This facility provides emergency shelter and free comprehensive support services to victims of domestic violence and their children. CDBG also funds operational support for the organization.

Helping low-income individuals and families avoid becoming homeless, especially extremely

low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Discussion

The City of Waterbury has been proactive in working with agencies and organizations in addressing the issues and needs of persons experiencing homelessness through the local CAN process. Currently, 2-1-1 for the State does most of the filtering of clients when they call in for services. If they qualify, they are vetted to their local CAN. The City works closely with the local CAN and participating agencies to ensure clients do not get released and immediately become homeless. If something is brought to the City's attention that this is going to occur, the City works with the local CAN and DOH to take appropriate steps. The local CAN conducts the assessment, including housing needs and works with the individual to develop a plan and outline available services.

AP-75 Barriers to affordable housing – 91.220(j)

Introduction:

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

Discussion:

There are no identified public policies that have negative effects on affordable housing and residential investment. As discussed throughout this document, the lack of affordable housing can be attributed to the following factors:

- High unemployment and lower-paying jobs, which result in incomes that are not sufficient to afford market-rate housing
- Shortage of publicly assisted housing, including Section 8 Vouchers and public housing units
- Shortage of vacant units appropriate for residential rehabilitation at a reasonable cost
- Shortage of available developable land suitable for affordable residential development without substantial financial investment and underwriting.
- Lack of financial resources necessary to develop or redevelop properties for either residential or economic development, both of which are needed.

Strategy to Remove or Ameliorate the Barriers to Affordable Housing

There are two approaches to this strategy:

- One approach is to improve economic and employment conditions as described in other sections of this plan to increase income levels in order to fill the gap between available resources and housing costs.
- The second approach is to pursue private investment in affordable housing through creative financing and the pursuit of resources beyond HOME and other locally available resources.

The City has established a land bank with the purpose of acquiring and assembling land for future reuse and development. This is a beginning step in helping to revitalize the community and develop much needed housing and economic development opportunity. The City has seen an increase in economic development and therefore there is an increased demand for housing to support the workforce. The City has utilized ARPA funds to acquire properties with the intent of developing affordable housing for workers. In addition, the City continues to use its HOME funding to create new housing opportunities. To achieve its long-term goals, the City is committed to securing the significant financial resources necessary to increase affordable housing opportunities.

AP-85 Other Actions – 91.220(k)

Introduction:

The Strategic Plan addresses the issue of meeting underserved needs through a variety of initiatives. Several of these initiatives are proposed for funding in this Annual Plan. As has been the situation in the past, and most likely in the future, the primary obstacle to these actions is a lack of funding.

Actions planned to address obstacles to meeting underserved needs

The Office of Community Development provide coordination and pursue additional resources.

Actions planned to foster and maintain affordable housing

The City will pursue additional state and federal resources as well as encourage creative financing with HOME funds to leverage these resources.

Actions planned to reduce lead-based paint hazards

The City will, based upon HUD funding, continue to implement the Healthy Homes Program.

Actions planned to reduce the number of poverty-level families

The City will continue to pursue economic and workforce development and the creation of high-quality employment opportunities. Actions will include brownfields remediation, re-entry assistance, trade training, after school and summer programs, and truancy prevention and counseling. Waterbury Development Corporation (WDC) the City's designated non-profit economic and community organization works to coordinate between the private and public sector in Waterbury to support and attract businesses, promote investment in education, rehabilitate and maintain the city's housing stock, eliminate urban blight and decay, manage construction projects, and improve the overall quality of life.

Actions planned to develop institutional structure

The City's current institutional structure is considered satisfactory but the City will monitor and make improvements as necessary to increase efficiency and coordination.

Actions planned to enhance coordination between public and private housing and social service agencies

The Coordinated Action Network and Citizen's Advisory Committee will continue to assist the Office of Community Development to coordinate public and private housing and social service agencies.

Discussion:

The City's Consolidated Plan addresses the needs of Waterbury and its residents through a variety of strategies and initiatives. As described throughout this Plan, the primary obstacle to these actions is a lack of funding. The Plan attempts to address the needs identified with resources available.

Program Specific Requirements

AP-90 Program Specific Requirements – 91.220(l)(1,2,4)

Introduction:

Community Development Block Grant Program (CDBG)
Reference 24 CFR 91.220(l)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the next program year and that has not yet been reprogrammed	$14,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in the grantee's strategic plan	
3. The amount of surplus funds from urban renewal settlements	
4. The amount of any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.	
5. The amount of income from float-funded activities	
Total Program Income	$14,000

Other CDBG Requirements

1. The amount of urgent need activities	0

HOME Investment Partnership Program (HOME)
Reference 24 CFR 91.220(l)(2)

1. **A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:**
   The City does not offer assistance other than what is listed in § 92.205(b).

2. **A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:**

   The Waterbury HOME Program uses recapture only. The amount subject to recapture is the direct subsidy provided to the homeowner, which is the amount below the fair market value that allows the purchaser to buy the property and/or the assistance used for down payment and/or closing costs. The guidelines for recapture are as follows:

   A declaration of land use restrictive covenant will be recorded and will run with the land during the affordability period.

- Direct subsidy of $14,999 and under requires a 5-year affordability period.
- Direct subsidy of $15,000 to $39,999 requires a 10-year affordability period.
- Direct subsidy over $40,000 requires a 15-year affordability period.

   The principal balance is decreased evenly over the period of affordability.

   In the event the homeowner conveys, transfers, or sells the HOME Assisted Unit during the affordability period, the entire amount of the HOME loan shall become immediately due and payable by the owner to the City of Waterbury, as reduced pursuant to the terms of the Promissory Note.

   In the event that the Net Sale Proceeds are insufficient to repay the entire principal balance of the Note, then the outstanding principal balance shall equal the Net Sale Proceeds. The term "Net Sale Proceeds" shall equal the sales price of the Mortgaged Premises, less any real estate commissions, conveyance taxes, recording fees, reasonable attorney fees, and repayment of superior mortgage loans.

   In the event the homeowner fails to maintain the property as their principal residence during the affordability period, the entire amount of the HOME loan shall become immediately due and payable by the owner to the City of Waterbury.

3. **A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:**

   See narrative above.

4. **Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:**

   The City does not anticipate refinancing any existing debt with its HOME funds during the 2025-2026 Annual Action Plan year.

5. **If applicable to a planned HOME TBRA activity, a description of the preference for persons with special needs or disabilities. (See 24 CFR 92.209(c)(2)(i) and CFR 91.220(l)(2)(vii)).**

   Not applicable.

6. **If applicable to a planned HOME TBRA activity, a description of how the preference for a specific category of individuals with disabilities (e.g. persons with HIV/AIDS or chronic mental illness) will narrow the gap in benefits and the preference is needed to narrow the gap in benefits and services received by such persons. (See 24 CFR 92.209(c)(2)(ii) and 91.220(l)(2)(vii)).**

   Not applicable

7. **If applicable, a description of any preference or limitation for rental housing projects. (See 24 CFR 92.253(d)(3) and CFR 91.220(l)(2)(vii)). Note: Preferences cannot be administered in a manner that limits the opportunities of persons on any basis prohibited by the laws listed under 24 CFR 5.105(a).**

   Not applicable

Emergency Solutions Grant (ESG)
Reference 91.220(l)(4)

1. **Include written standards for providing ESG assistance (may include as attachment)**

   The City of Waterbury has been using the policies and procedures developed for the Homelessness Prevention and Rapid Re-Housing Program (HPRP) as the foundation and the written standards for the provision of ESG assistance. These policies and procedures should also be evaluated periodically to ensure their effectiveness and changes made to them as necessary to meet ESG requirements. It is anticipated that the City of Waterbury, in collaboration with CAN, will evaluate program results and that the policies and procedures will change to reflect the needs and future direction for the use of ESG funds. The key items required in the written standards per Section 576.400 (e) (3) (i – ix) are included as an attachment to this document. See the Appendix [TO COME] for a copy of the ESG Standards for Waterbury.

2. **If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.**

   The coordinated access system has the United Way of Connecticut 2-1-1 system as the entry point for the state. The 2-1-1 contact specialist assesses the individual's or family's situation, completes an

initial screening assessment in the new Homeless Management Information System (HMIS) ECM, and refers the case to the appropriate CAN. There are eight CANs and Waterbury is joined with Litchfield/Torrington to serve the 32-town region. The Connecticut Coalition to End Homelessness works closely with all eight CANs on planning and implementation.

The City has an active network of local housing and service providers that participate in the CAN process to support regional initiatives, while at the same time assisting the homeless and at-risk within the city. In support of this, the City of Waterbury utilizes its ESG funding to support these agencies, providing prevention services, outreach, food, shelter, supportive services, and rehousing supports to the homeless and at-risk populations.

3. **Identify the process for making sub-awards and describe how the ESG allocation available to private nonprofit organizations (including community and faith-based organizations).**

The City of Waterbury held a formal application process to award its FY 2025 ESG funds as part of its regular CDBG citizen participation process. The details of the process can be found in the section on citizen participation and in Appendix [TO COME]. The City publishes the Notices of Funding Availability (NOFA) in the *Republican-American*, posts them on the city's website and the Community Development Office website, and contacts all current recipients and those who have requested information. The CAN is provided with this information and it distributes via email to its extensive network. The criteria used to evaluate the applications were:

- **Eligibility**: Projects submitted for funding had to be for eligible activities. The uses of these funds were limited to the expenditure limits of 60% for shelter expense and street outreach, and 40% for homelessness prevention, rapid rehousing assistance, and HMIS. The City allocated 7.5% for program administration. All of the applications submitted were for eligible activities.
- **Experience in Implementing Similar Programs:** Of the applications submitted, all have been active locally with success. They have excellent track records.
- **Collaboration**: The four providers collaborate well with each other and participate in CAN. Decisions on funding levels and allocations for future rounds will continue to get more difficult as the agencies' other funders reduce levels and added pressure is placed on the shelter operations' limits.
- **HMIS**: Data quality and participation in the HMIS was also a consideration. The domestic violence shelter is exempt from participation but does track clients through the Efforts to Outcomes (ETO) software system.

4. **If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.**

The City of Waterbury is unable to meet the homeless participation requirement in Section 576.405(a). As such, its plan for reaching out and consulting with homeless or formerly homeless individuals in considering and making policies and decisions regarding any facilities or services that

receive funding under ESG shall be to consult with the CAN. The Waterbury CAN includes a broad representation of government agencies, service providers, the Waterbury Housing Authority, private landlords, and consumers (formerly homeless). It provides the forum to present information and solicit input. In addition, information has also been distributed to the Downtown Hospitality Center (CHD) that serves the homeless during the day

5. Describe performance standards for evaluating ESG.

The City of Waterbury requires every recipient of Consolidated Plan funds to identify measurable outcomes relative to their program or project. This information is used to monitor program performance along with the monthly direct benefit activity reports (DBARs). The information for ESG recipients includes the following:

- Total number of households/persons assisted
- Total number of extremely low-, low-, and moderate-income persons or households
- Demographic breakdown of persons/households assisted
- Description of special needs (disability, frail elderly, chronic homeless, etc.)
- Percent of total project or program that the ESG funds represent
- Amount of funds leveraged
- Amount and source of match
- Number of homeless persons that obtained permanent housing

During the upcoming program year, these standards may be expanded in consultation with the CAN so that additional performance measurements can be taken. These include the effectiveness of each service provider in targeting its assistance to those who need it most; reducing the number of people living on the streets or in shelters; shortening the time people spend homeless; and reducing each program participant's housing barriers or housing stability risks. The HMIS (Empowered Case Management) software has the ability to track these performance standards so that a better determination of program success can be gauged.

The City of Waterbury and many other service providers, government agencies, the Waterbury Housing Authority, private landlords, and consumers (formerly homeless) belong to the Waterbury CAN and sit on several of the committees. The current committee structure is based around the CAN.

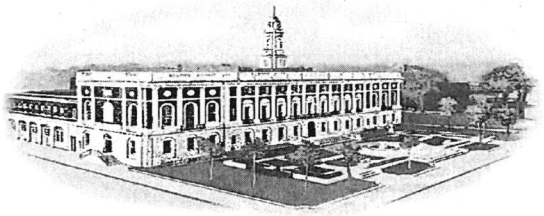
The CAN Operations Committee meets once a month to train and discuss any policy changes with all involved providers. The CAN Leadership Committee has two votes at the Connecticut Balance of State (BOS) Steering Committee and oversees and creates policies and procedures for the CAN; it meets monthly. One member of the Steering Committee also sits on the CAC, so there is a direct correlation between community need and funding recommendations. The Community Development Director is also a member of the Leadership Committee.

As developing the performance standards for activities funded under ESG will be an ongoing

process, the City will seek input and active participation from the CAN in its efforts to determine standards, policies, and procedures. The CT Balance of State Continuum of Care is the official recognized body and the Waterbury agencies and service providers will continue as active members and to have influence as voting and/or decision-making members.

Appendix - Alternate/Local Data Sources

1	Data Source Name
	List the name of the organization or individual who originated the data set.
	Provide a brief summary of the data set.
	What was the purpose for developing this data set?
	Provide the year (and optionally month, or month and day) for when the data was collected
	Briefly describe the methodology for the data collection.
	Describe the total population from which the sample was taken.
	Describe the demographics of the respondents or characteristics of the unit of measure, and the number of respondents or units surveyed.



OFFICE OF THE DIRECTOR OF FINANCE
THE CITY OF WATERBURY
CONNECTICUT

Date: June 30, 2025

To: Members, Board of Aldermen
Mayor Paul K. Pernerewski, Jr.
Sarah Geary, Manager of Budget Development & Oversight

From: Michael LeBlanc, Director of Finance MJL

Subject: Capital Project & NC Special Programs - Quarterly Budget Status Report

Attached are the Capital Projects and Non-Capital (NC) Special Programs activity reports through the period ending June 30, 2025 for your review.

The status summary reports are provided on a quarterly basis to provide current budget, expenditure and commitment progress updates on the City's approved capital projects and non-capital special appropriation programs.

If you have any questions or would like to request additional financial information on any of these projects or programs, please contact me at (203) 574-6840.



Capital Projects

Quarterly Budget Status Report

June 30, 2025

City of Waterbury
FINANCE DEPARTMENT

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
113 Town Clerk							
041 - 05	**Town Clerk Public Act 05-228 $40 fee = $36 remit to State, $3 CP, $1 AU55005**						OT60221
6022111320795	**Town Clerk Public Act 05-228 $3**						
1 - General Appropriation 500000		388,506.00	0.00	0.00	0.00	0.00	388,506.00
64203 - Gov. Documents Retentio 564203		12,000.00	44,261.90	0.00	44,261.90	0.00	-32,261.90
75002 - Cabinets 575002		24,475.00	126,969.28	7,310.91	134,280.19	0.00	-109,805.19
75008 - Furniture-Misc 575008		15,000.00	15,000.00	0.00	15,000.00	0.00	0.00
75200 - Office Equipment 575200		6,875.00	6,875.00	0.00	6,875.00	0.00	0.00
Posting Activity Total		446,856.00	193,106.18	7,310.91	200,417.09	0.00	246,438.91
041 - 05 subtotal:		446,856.00	193,106.18	7,310.91	200,417.09	0.00	246,438.91
113 subtotal:		446,856.00	193,106.18	7,310.91	200,417.09	0.00	246,438.91

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
201 Finance Dept							
019 - 05	**Capital Improvement Fund**						OT60180
6018020122155	**Community Improvement Activities**						
33000 - Professional Services 533000		0.00	72,100.00	0.00	72,100.00	0.00	-72,100.00
43000 - General Repairs & Maint 543000		659,173.87	297,232.71	2,181.20	299,413.91	12,150.79	347,609.17
59001 - Advertising 559001		1,377.58	34,184.90	1,223.54	35,408.44	0.00	-34,030.86
89005 - Municipal Groups 589005		773,918.00	550,987.90	153,580.87	704,568.77	673.89	68,675.34
89016 - Culture/Youth Activity 589016		15,000.00	158,036.02	124,473.61	282,509.63	0.00	-267,509.63
89030 - Moving-Relocation 589030		11,400.00	4,430.00	0.00	4,430.00	0.00	6,970.00
89054 - Community Awareness 589054		0.00	5,000.00	0.00	5,000.00	0.00	-5,000.00
89201 - Mileage 589201		0.00	85.00	0.00	85.00	0.00	-85.00
Posting Activity Total		1,460,869.45	1,122,056.53	281,459.22	1,403,515.75	12,824.68	44,529.02
6018020123205	**Anti-Blight Activities**						
43000 - General Repairs & Maint 543000		224,564.00	146,505.47	7,991.86	154,497.33	5,650.00	64,416.67
Posting Activity Total		224,564.00	146,505.47	7,991.86	154,497.33	5,650.00	64,416.67
6018020123445	**Property & Economic Development**						
33037 - Good Jobs Oversight 533037		0.00	1,812.50	8,937.50	10,750.00	0.00	-10,750.00
89006 - Economic Development 589006		2,939,017.64	2,394,338.86	482,097.30	2,876,436.16	48,026.43	14,555.05
Posting Activity Total		2,939,017.64	2,396,151.36	491,034.80	2,887,186.16	48,026.43	3,805.05
6018020127505	**Revaluation**						
33013 - Revaluation 533013		750,000.00	678,860.03	0.00	678,860.03	0.00	71,139.97
Posting Activity Total		750,000.00	678,860.03	0.00	678,860.03	0.00	71,139.97
6018020127765	**Park Road Property Acquisition**						
47010 - Acquisition Costs 547010		1,000,000.00	1,000,000.00	0.00	1,000,000.00	0.00	0.00
Posting Activity Total		1,000,000.00	1,000,000.00	0.00	1,000,000.00	0.00	0.00
6018020127935	**Historic Sites Project**						
45014 - Buildings and Grounds S 545014		275,000.00	0.00	0.00	0.00	125,000.00	150,000.00
Posting Activity Total		275,000.00	0.00	0.00	0.00	125,000.00	150,000.00
6018020128105	**Renewable Energy Consultant**						
33000 - Professional Services 533000		102,500.00	26,606.25	1,850.00	28,456.25	10,000.00	64,043.75
Posting Activity Total		102,500.00	26,606.25	1,850.00	28,456.25	10,000.00	64,043.75
6018020128375	**Redevelopment of 36 North Main Street**						
89006 - Economic Development 589006		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
Posting Activity Total		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
019 - 05 subtotal:		7,001,951.09	5,620,179.64	782,335.88	6,402,515.52	201,501.11	397,934.46
027 - 05	**Lawson Technology Projects**						OT60226

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
201 Finance Department							
027 - 05	**Lawson Technology Projects**						OT60226
6022620124955	**INFOR Lawson Upgrade Projects**						
33020 - Consulting Services 533020		1,494,092.20	242,348.30	0.00	242,348.30	5,660.00	1,246,083.90
39003 - Training Fees 539003		10,000.00	0.00	0.00	0.00	0.00	10,000.00
70501 - Hardware 570501		300,000.00	297,419.53	0.00	297,419.53	0.00	2,580.47
70504 - Software 570504		105,000.00	99,303.51	0.00	99,303.51	3,876.51	1,819.98
Posting Activity Total		1,909,092.20	639,071.34	0.00	639,071.34	9,536.51	1,260,484.35
027 - 05 subtotal:		1,909,092.20	639,071.34	0.00	639,071.34	9,536.51	1,260,484.35
159 - 01	**American Rescue Plan Act - Fiscal Recovery Funding**						FD60050
6005020128001	**Summer Recreation - Address Inequities**						
11600 - Temporary Employees		1,160,064.88	1,160,064.88	0.00	1,160,064.88	0.00	0.00
89055 - Program Costs 589055		312,601.00	312,601.00	0.00	312,601.00	0.00	0.00
Posting Activity Total		1,472,665.88	1,472,665.88	0.00	1,472,665.88	0.00	0.00
6005020128051	**COVID-19 Mitigation and Prevention**						
11600 - Temporary Employees		310,426.48	310,426.48	0.00	310,426.48	0.00	0.00
11650 - Overtime		15,065.93	15,065.93	0.00	15,065.93	0.00	0.00
33000 - Professional Services 533000		301,279.17	301,279.17	0.00	301,279.17	0.00	0.00
53001 - Postage 553001		28,800.73	28,800.73	0.00	28,800.73	0.00	0.00
59002 - Printing & Binding 559002		5,487.29	5,487.29	0.00	5,487.29	0.00	0.00
61204 - Emergency/Medical Suppl 561204		8,031.90	8,031.90	0.00	8,031.90	0.00	0.00
61219 - Citywide COVID-19 561219		5,774.65	5,774.65	0.00	5,774.65	0.00	0.00
65000 - Food Supplies 565000		4,101.23	4,101.23	0.00	4,101.23	0.00	0.00
70501 - Hardware 570501		3,092.90	3,092.90	0.00	3,092.90	0.00	0.00
70504 - Software 570504		32,452.94	32,452.94	0.00	32,452.94	0.00	0.00
89057 - COVID-19 Testing 589057		67,884.42	67,884.42	0.00	67,884.42	0.00	0.00
Posting Activity Total		782,397.64	782,397.64	0.00	782,397.64	0.00	0.00
6005020128111	**Reentry Welcome Center Program**						
75501 - Building Improvements 575501		42,402.62	42,402.62	0.00	42,402.62	0.00	0.00
Posting Activity Total		42,402.62	42,402.62	0.00	42,402.62	0.00	0.00
6005020128121	**NRWIB Workforce Development Programs**						
89053 - Sub-recipient Payments 589053		650,000.00	603,491.98	13,555.71	617,047.69	32,952.31	0.00
Posting Activity Total		650,000.00	603,491.98	13,555.71	617,047.69	32,952.31	0.00
6005020128131	**Health Department-Health Advisory Services**						
33000 - Professional Services 533000		6,037.78	6,037.78	0.00	6,037.78	0.00	0.00

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
201 Department of Finance							
159 - 01	**American Rescue Plan Act - Fiscal Recovery Funding**						FD60050
Posting Activity Total		6,037.78	6,037.78	0.00	6,037.78	0.00	0.00
6005020128141	**Health Department-Community Services Office**						
11500 - Salaries (Non BOE salaries)		338,000.00	210,018.41	124,181.00	334,199.41	0.00	3,800.59
Posting Activity Total		338,000.00	210,018.41	124,181.00	334,199.41	0.00	3,800.59
6005020128151	**Waterbury Community Services Investment Program (WCSIP)**						
89055 - Program Costs 589055		1,679,589.66	1,583,848.80	95,740.86	1,679,589.66	0.00	0.00
Posting Activity Total		1,679,589.66	1,583,848.80	95,740.86	1,679,589.66	0.00	0.00
6005020128161	**Community Services & Development Offices**						
33000 - Professional Services 533000		46,069.92	20,905.92	25,164.00	46,069.92	0.00	0.00
47000 - Construction Services 547000		1,341,119.00	1,341,119.00	0.00	1,341,119.00	0.00	0.00
75000 - Furn, Fix, Equip 575000		109,443.78	109,443.78	0.00	109,443.78	0.00	0.00
Posting Activity Total		1,496,632.70	1,471,468.70	25,164.00	1,496,632.70	0.00	0.00
6005020128201	**Acquisition of John St./Congress Ave. Property**						
47010 - Acquisition Costs 547010		410,000.00	410,000.00	0.00	410,000.00	0.00	0.00
Posting Activity Total		410,000.00	410,000.00	0.00	410,000.00	0.00	0.00
6005020128211	**Acquisition of Branch St/Division St Property (St. Lucy's)**						
47010 - Acquisition Costs 547010		400,000.00	400,000.00	0.00	400,000.00	0.00	0.00
Posting Activity Total		400,000.00	400,000.00	0.00	400,000.00	0.00	0.00
6005020128301	**Downtown Underground Utility Improvements-Bank, No./So. Main**						
33014 - Testing 533014		14,171.00	12,021.00	2,150.00	14,171.00	0.00	0.00
33016 - Engineering 533016		1,098,336.00	730,653.94	367,682.06	1,098,336.00	0.00	0.00
47000 - Construction Services 547000		10,200,090.00	6,142,222.62	2,879,555.80	9,021,778.42	1,178,311.58	0.00
Posting Activity Total		11,312,597.00	6,884,897.56	3,249,387.86	10,134,285.42	1,178,311.58	0.00
6005020128351	**One Exchange Place Elevator Replacement**						
47000 - Construction Services 547000		400,000.00	0.00	400,000.00	400,000.00	0.00	0.00
Posting Activity Total		400,000.00	0.00	400,000.00	400,000.00	0.00	0.00
6005020128401	**DPW - Public Buildings Projects General Appropriation**						
1 - General Appropriation 500000		1,669,381.80	0.00	0.00	0.00	0.00	1,669,381.80
33016 - Engineering 533016		0.00	1,408,708.72	64,603.18	1,473,311.90	196,069.90	-1,669,381.80
Posting Activity Total		1,669,381.80	1,408,708.72	64,603.18	1,473,311.90	196,069.90	0.00
6005020128601	**DPW - Park Projects General Appropriation**						
1 - General Appropriation 500000		462,361.25	0.00	0.00	0.00	0.00	462,361.25
33016 - Engineering 533016		0.00	413,238.01	19,766.79	433,004.80	29,356.45	-462,361.25
Posting Activity Total		462,361.25	413,238.01	19,766.79	433,004.80	29,356.45	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
201 Department of Finance							
159 - 01	**American Rescue Plan Act - Fiscal Recovery Funding**						FD60050
6005020128611	**DPW - Park Projects Outdoor Pool Design Services**						
33016 - Engineering 533016		795,821.28	759,442.37	36,378.91	795,821.28	0.00	0.00
Posting Activity Total		795,821.28	759,442.37	36,378.91	795,821.28	0.00	0.00
6005020128621	**313 Mill Street Park**						
33000 - Professional Services 533000		271,978.05	271,978.05	0.00	271,978.05	0.00	0.00
47000 - Construction Services 547000		2,824,066.65	2,824,066.65	0.00	2,824,066.65	0.00	0.00
Posting Activity Total		3,096,044.70	3,096,044.70	0.00	3,096,044.70	0.00	0.00
6005020128631	**DPW-Park Playscape Replacements**						
47000 - Construction Services 547000		4,395,314.95	4,395,314.95	0.00	4,395,314.95	0.00	0.00
Posting Activity Total		4,395,314.95	4,395,314.95	0.00	4,395,314.95	0.00	0.00
6005020128641	**DPW-Washington Park Pool Facilities**						
33000 - Professional Services 533000		73,435.82	29,810.82	26,971.25	56,782.07	16,653.75	0.00
33014 - Testing 533014		25,000.00	4,269.31	7,528.74	11,798.05	13,201.95	0.00
47000 - Construction Services 547000		5,068,072.96	1,154,796.80	3,160,180.21	4,314,977.01	753,095.95	0.00
Posting Activity Total		5,166,508.78	1,188,876.93	3,194,680.20	4,383,557.13	782,951.65	0.00
6005020128651	**DPW-Fulton Park Pool Facilities**						
33000 - Professional Services 533000		62,710.94	19,085.94	25,972.50	45,058.44	17,652.50	0.00
33014 - Testing 533014		20,000.00	2,565.75	7,253.94	9,819.69	10,180.31	0.00
47000 - Construction Services 547000		802,589.78	781,387.92	20,943.38	802,331.30	258.48	0.00
99001 - Contingent Appropriatio 599001		250,000.00	64,363.80	185,636.20	250,000.00	0.00	0.00
Posting Activity Total		1,135,300.72	867,403.41	239,806.02	1,107,209.43	28,091.29	0.00
6005020128661	**DPW-Martin Luther King Jr. Park Improvements**						
47000 - Construction Services 547000		950,000.00	0.00	770,032.07	770,032.07	179,967.93	0.00
Posting Activity Total		950,000.00	0.00	770,032.07	770,032.07	179,967.93	0.00
6005020128701	**Broadband Expansion**						
70500 - Computer Equipment 570500		569,500.00	455,600.00	113,900.00	569,500.00	0.00	0.00
Posting Activity Total		569,500.00	455,600.00	113,900.00	569,500.00	0.00	0.00
6005020128721	**Fire Station 7 Renovations**						
33000 - Professional Services 533000		9,265.17	2,015.17	0.00	2,015.17	7,250.00	0.00
47000 - Construction Services 547000		2,747,100.76	2,628,670.24	118,430.52	2,747,100.76	0.00	0.00
Posting Activity Total		2,756,365.93	2,630,685.41	118,430.52	2,749,115.93	7,250.00	0.00
6005020128741	**Police Building Improvements**						
47000 - Construction Services 547000		549,845.58	260,845.58	288,075.55	548,921.13	924.45	0.00
Posting Activity Total		549,845.58	260,845.58	288,075.55	548,921.13	924.45	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
201 Department of Finance							
159 - 01	**American Rescue Plan Act - Fiscal Recovery Funding**						FD60050
6005020128761	**Police Radio Hardware Refresh**						
70501 - Hardware 570501		2,081,359.00	0.00	520,339.75	520,339.75	1,561,019.25	0.00
Posting Activity Total		2,081,359.00	0.00	520,339.75	520,339.75	1,561,019.25	0.00
6005020128771	**Police Vehicle Replacements**						
74005 - Cruisers 574005		974,312.60	0.00	957,353.06	957,353.06	16,959.54	0.00
Posting Activity Total		974,312.60	0.00	957,353.06	957,353.06	16,959.54	0.00
6005020128781	**Building Improvements -1871 Baldwin Street**						
47000 - Construction Services 547000		675,000.00	0.00	598,340.09	598,340.09	76,659.91	0.00
Posting Activity Total		675,000.00	0.00	598,340.09	598,340.09	76,659.91	0.00
6005020128901	**Acquisition of Property at 170 Freight Street (Brownfield)**						
47010 - Acquisition Costs 547010		2,300,000.00	2,300,000.00	0.00	2,300,000.00	0.00	0.00
Posting Activity Total		2,300,000.00	2,300,000.00	0.00	2,300,000.00	0.00	0.00
6005020128911	**Freight Street Environmental Assessment**						
33000 - Professional Services 533000		369,113.40	57,296.45	123,687.22	180,983.67	188,129.73	0.00
Posting Activity Total		369,113.40	57,296.45	123,687.22	180,983.67	188,129.73	0.00
6005020128991	**SLFRF Administrative Costs**						
11500 - Salaries (Non BOE salaries)		62,374.66	62,374.66	0.00	62,374.66	0.00	0.00
11650 - Overtime		318.62	318.62	0.00	318.62	0.00	0.00
33000 - Professional Services 533000		1,671,210.89	1,232,647.81	368,242.44	1,600,890.25	70,320.64	0.00
33031 - Misc-Legal Exp 533031		228,352.86	213,423.86	14,929.00	228,352.86	0.00	0.00
89055 - Program Costs 589055		1,251.75	1,251.75	0.00	1,251.75	0.00	0.00
Posting Activity Total		1,963,508.78	1,510,016.70	383,171.44	1,893,188.14	70,320.64	0.00
6005020180811	**COVID Premium Pay Stipends**						
11500 - Salaries (Non BOE salaries)		838,000.00	838,000.00	0.00	838,000.00	0.00	0.00
Posting Activity Total		838,000.00	838,000.00	0.00	838,000.00	0.00	0.00
6005020190001	**Personnel Costs**						
11500 - Salaries (Non BOE salaries)		115,168.85	0.00	50,000.00	50,000.00	0.00	65,168.85
Posting Activity Total		115,168.85	0.00	50,000.00	50,000.00	0.00	65,168.85
6005020199991	**Unallocated ARP Funds**						
1 - General Appropriation 500000		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		0.00	0.00	0.00	0.00	0.00	0.00
159 - 01 subtotal:		49,853,230.90	34,048,702.60	11,386,594.23	45,435,296.83	4,348,964.63	68,969.44
160 - 02	**American Rescue Plan Act - County Allocation**						FP60051
6005120128102	**GWCCF: CBD Investment Program**						

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
201 Department of Finance							
160 - 02	**American Rescue Plan Act - County Allocation**						FP60051
89055 - Program Costs 589055		1,500,000.00	262,256.56	1,237,743.44	1,500,000.00	0.00	0.00
Posting Activity Total		1,500,000.00	262,256.56	1,237,743.44	1,500,000.00	0.00	0.00
6005120128112	**WBDC Small Business Assistance**						
89055 - Program Costs 589055		1,100,000.00	352,399.86	242,533.26	594,933.12	505,066.88	0.00
Posting Activity Total		1,100,000.00	352,399.86	242,533.26	594,933.12	505,066.88	0.00
6005120128122	**WAMS/Palace HVAC Split**						
33000 - Professional Services 533000		100,703.24	0.00	98,747.74	98,747.74	1,955.50	0.00
75411 - HVAC 575411		4,542,663.55	2,418,184.78	1,994,447.99	4,412,632.77	130,030.78	0.00
Posting Activity Total		4,643,366.79	2,418,184.78	2,093,195.73	4,511,380.51	131,986.28	0.00
6005120128152	**Acquisition of Cole St/East Main St Property (St. Mary's)**						
33000 - Professional Services 533000		23,199.73	15,699.73	7,500.00	23,199.73	0.00	0.00
47010 - Acquisition Costs 547010		1,000,000.00	1,000,000.00	0.00	1,000,000.00	0.00	0.00
Posting Activity Total		1,023,199.73	1,015,699.73	7,500.00	1,023,199.73	0.00	0.00
6005120128162	**Acquisition of 13 Wolcott Street (Sacred Heart)**						
47010 - Acquisition Costs 547010		950,000.00	950,000.00	0.00	950,000.00	0.00	0.00
Posting Activity Total		950,000.00	950,000.00	0.00	950,000.00	0.00	0.00
6005120128202	**Freight St. Corridor Redevelopment Project**						
33000 - Professional Services 533000		379,884.00	219,241.39	133,049.68	352,291.07	27,592.93	0.00
47000 - Construction Services 547000		3,596,460.00	3,160,905.76	435,554.24	3,596,460.00	0.00	0.00
89030 - Moving-Relocation 589030		104,726.24	104,726.24	0.00	104,726.24	0.00	0.00
Posting Activity Total		4,081,070.24	3,484,873.39	568,603.92	4,053,477.31	27,592.93	0.00
6005120128302	**DPW-Hamilton Park Phase 1 Improvements**						
33000 - Professional Services 533000		53,242.38	56,368.38	-3,126.00	53,242.38	0.00	0.00
47000 - Construction Services 547000		9,085,303.84	8,688,431.32	275,451.82	8,963,883.14	121,420.70	0.00
75415 - Signage 575415		75,150.82	70,775.64	4,375.18	75,150.82	0.00	0.00
Posting Activity Total		9,213,697.04	8,815,575.34	276,701.00	9,092,276.34	121,420.70	0.00
6005120199992	**Unallocated ARP Funds-County**						
1 - General Appropriation 500000		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		0.00	0.00	0.00	0.00	0.00	0.00
160 - 02 subtotal:		22,511,333.80	17,298,989.66	4,426,277.35	21,725,267.01	786,066.79	0.00
186 - 03	**DECD Redevelopment Phase III**						SD60057
6005720128503	**21 West Main Street and 24-30 Bank Street Properties**						
33000 - Professional Services 533000		255,000.00	3,876.00	102,000.00	105,876.00	153,000.00	-3,876.00
33016 - Engineering 533016		314,150.00	0.00	281,760.16	281,760.16	32,389.84	0.00

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ACTIVITY GROUP: CP							
201 Finance Dept							
186 - 03	**DECD Redevelopment Phase III**						SD60057
47000 - Construction Services 547000		1,959,850.00	0.00	610,879.00	610,879.00	336,550.00	1,012,421.00
47010 - Acquisition Costs 547010		4,466,000.00	4,465,967.00	0.00	4,465,967.00	0.00	33.00
89050 - Administrative Costs 589050		5,000.00	0.00	0.00	0.00	0.00	5,000.00
Posting Activity Total		7,000,000.00	4,469,843.00	994,639.16	5,464,482.16	521,939.84	1,013,578.00
186 - 03 subtotal:		7,000,000.00	4,469,843.00	994,639.16	5,464,482.16	521,939.84	1,013,578.00
201 subtotal:		88,275,607.99	62,076,786.24	17,589,846.62	79,666,632.86	5,868,008.88	2,740,966.25

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
219 Budget							
019 - 05	**Capital Improvement Fund**						OT60180
6018021999995	**Unallocated-Capital Imp Fund**						
47000 - Construction Services 547000		8,046,993.66	0.00	0.00	0.00	0.00	8,046,993.66
Posting Activity Total		8,046,993.66	0.00	0.00	0.00	0.00	8,046,993.66
019 - 05 subtotal:		8,046,993.66	0.00	0.00	0.00	0.00	8,046,993.66
020 - 05	**Vehicle Replacement Fund**						OT60185
6018521999995	**Unallocated-Vehicles**						
1 - General Appropriation 500000		2,254,340.82	0.00	0.00	0.00	0.00	2,254,340.82
Posting Activity Total		2,254,340.82	0.00	0.00	0.00	0.00	2,254,340.82
020 - 05 subtotal:		2,254,340.82	0.00	0.00	0.00	0.00	2,254,340.82
219 subtotal:		10,301,334.48	0.00	0.00	0.00	0.00	10,301,334.48

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
222 Information Technology							
019 - 05	**Capital Improvement Fund**						OT60180
6018022222925	**Network Backbone Equipment Replacements**						
70500 - Computer Equipment 570500		240,000.00	179,648.46	37,700.26	217,348.72	0.00	22,651.28
Posting Activity Total		240,000.00	179,648.46	37,700.26	217,348.72	0.00	22,651.28
6018022222935	**Replace Obsolete Desktop Computers**						
70500 - Computer Equipment 570500		577,727.51	379,590.02	89,108.90	468,698.92	0.00	109,028.59
Posting Activity Total		577,727.51	379,590.02	89,108.90	468,698.92	0.00	109,028.59
6018022225605	**Miscellaneous IT Improvements**						
33000 - Professional Services 533000		0.00	16,400.00	0.00	16,400.00	0.00	-16,400.00
70500 - Computer Equipment 570500		920,000.00	264,018.78	19,314.68	283,333.46	0.00	636,666.54
Posting Activity Total		920,000.00	280,418.78	19,314.68	299,733.46	0.00	620,266.54
6018022225975	**Storage Spaces Direct Cluster Servers**						
70500 - Computer Equipment 570500		85,000.00	83,318.26	0.00	83,318.26	0.00	1,681.74
Posting Activity Total		85,000.00	83,318.26	0.00	83,318.26	0.00	1,681.74
6018022225995	**Exchange Email Server Upgrade**						
70500 - Computer Equipment 570500		87,000.00	78,471.80	0.00	78,471.80	0.00	8,528.20
Posting Activity Total		87,000.00	78,471.80	0.00	78,471.80	0.00	8,528.20
6018022226925	**Network Clustering Backbone Hardware**						
70500 - Computer Equipment 570500		58,000.00	33,852.09	24,136.32	57,988.41	0.00	11.59
Posting Activity Total		58,000.00	33,852.09	24,136.32	57,988.41	0.00	11.59
6018022226935	**Server Upgrades**						
70500 - Computer Equipment 570500		175,000.00	51,462.78	0.00	51,462.78	0.00	123,537.22
Posting Activity Total		175,000.00	51,462.78	0.00	51,462.78	0.00	123,537.22
6018022226945	**Network Client Access License Upgrades**						
70500 - Computer Equipment 570500		41,000.00	20,215.56	0.00	20,215.56	0.00	20,784.44
Posting Activity Total		41,000.00	20,215.56	0.00	20,215.56	0.00	20,784.44
6018022227955	**Data Backup System**						
70500 - Computer Equipment 570500		230,000.00	143,495.80	20,250.00	163,745.80	0.00	66,254.20
Posting Activity Total		230,000.00	143,495.80	20,250.00	163,745.80	0.00	66,254.20
6018022227965	**Online Storage Expansions & Replacements**						
70500 - Computer Equipment 570500		83,550.00	9,022.32	0.00	9,022.32	0.00	74,527.68
Posting Activity Total		83,550.00	9,022.32	0.00	9,022.32	0.00	74,527.68
6018022227975	**WiFi Access Points**						
70500 - Computer Equipment 570500		25,200.00	0.00	0.00	0.00	0.00	25,200.00
Posting Activity Total		25,200.00	0.00	0.00	0.00	0.00	25,200.00

City of Waterbury - Activity Report - Current Year Versus Prior

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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
222 Information Technology							
019 - 05	**Capital Improvement Fund**						OT60180
6018022228425	**Phone System Upgrade/Conversion**						
70500 - Computer Equipment 570500		115,000.00	0.00	13,425.00	13,425.00	0.00	101,575.00
Posting Activity Total		115,000.00	0.00	13,425.00	13,425.00	0.00	101,575.00
019 - 05 subtotal:		2,637,477.51	1,259,495.87	203,935.16	1,463,431.03	0.00	1,174,046.48
021 - 03	**LoCIP Entitlement**						SD60106
6010622226193	**FY19 CityView Workspace Implementation 19-010**						
70500 - Computer Equipment 570500		75,000.00	11,915.26	0.00	11,915.26	0.00	63,084.74
Posting Activity Total		75,000.00	11,915.26	0.00	11,915.26	0.00	63,084.74
021 - 03 subtotal:		75,000.00	11,915.26	0.00	11,915.26	0.00	63,084.74
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010722223633	**Replace Obsolete Desktop Computers**						
70500 - Computer Equipment 570500		226,122.85	226,110.71	0.00	226,110.71	0.00	12.14
Posting Activity Total		226,122.85	226,110.71	0.00	226,110.71	0.00	12.14
6010722223673	**Large Format Presentation Monitor & Stand**						
70500 - Computer Equipment 570500		11,500.00	10,537.25	375.10	10,912.35	0.00	587.65
Posting Activity Total		11,500.00	10,537.25	375.10	10,912.35	0.00	587.65
6010722224623	**Tax Server Replacement**						
70500 - Computer Equipment 570500		41,940.04	40,876.86	0.00	40,876.86	0.00	1,063.18
Posting Activity Total		41,940.04	40,876.86	0.00	40,876.86	0.00	1,063.18
6010722224633	**VOIP Telephone Expansions Fire Admin & CVM**						
70500 - Computer Equipment 570500		16,500.00	16,460.29	0.00	16,460.29	0.00	39.71
Posting Activity Total		16,500.00	16,460.29	0.00	16,460.29	0.00	39.71
6010722225043	**AppAssure Backup Capacity Upgrade and Removable Media**						
70500 - Computer Equipment 570500		55,000.00	53,540.35	0.00	53,540.35	0.00	1,459.65
Posting Activity Total		55,000.00	53,540.35	0.00	53,540.35	0.00	1,459.65
6010722225053	**Active Directory User Licensing/New Network OS**						
70500 - Computer Equipment 570500		27,500.00	24,454.89	0.00	24,454.89	0.00	3,045.11
Posting Activity Total		27,500.00	24,454.89	0.00	24,454.89	0.00	3,045.11
6010722225063	**WiFi Controller & Access Points for Fire Department**						
70500 - Computer Equipment 570500		30,000.00	28,948.68	0.00	28,948.68	0.00	1,051.32
Posting Activity Total		30,000.00	28,948.68	0.00	28,948.68	0.00	1,051.32
098 - 03 subtotal:		408,562.89	400,929.03	375.10	401,304.13	0.00	7,258.76
222 subtotal:		3,121,040.40	1,672,340.16	204,310.26	1,876,650.42	0.00	1,244,389.98

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
301 Police Department							
019 - 05	**Capital Improvement Fund**						OT60180
6018030122675	**Mobile Laptop Computers**						
70500 - Computer Equipment 570500		155,000.00	155,000.00	0.00	155,000.00	0.00	0.00
Posting Activity Total		155,000.00	155,000.00	0.00	155,000.00	0.00	0.00
6018030125945	**PC Hardware Replacements**						
70501 - Hardware 570501		102,000.00	101,866.40	0.00	101,866.40	0.00	133.60
Posting Activity Total		102,000.00	101,866.40	0.00	101,866.40	0.00	133.60
6018030126465	**License Plate Reader**						
70500 - Computer Equipment 570500		75,000.00	40,825.00	0.00	40,825.00	0.00	34,175.00
Posting Activity Total		75,000.00	40,825.00	0.00	40,825.00	0.00	34,175.00
6018030126485	**FY20 Mobile Laptop Computers**						
70500 - Computer Equipment 570500		70,000.00	69,890.27	0.00	69,890.27	0.00	109.73
Posting Activity Total		70,000.00	69,890.27	0.00	69,890.27	0.00	109.73
6018030126495	**FY20 Semi Rugged MDT for RX and SROs**						
71010 - Operations Equipment-Mi 571010		62,500.00	62,031.14	0.00	62,031.14	0.00	468.86
Posting Activity Total		62,500.00	62,031.14	0.00	62,031.14	0.00	468.86
6018030126815	**FY20 iRecord Server**						
70500 - Computer Equipment 570500		35,000.00	30,694.00	0.00	30,694.00	0.00	4,306.00
Posting Activity Total		35,000.00	30,694.00	0.00	30,694.00	0.00	4,306.00
6018030126825	**FY20 Mobile Storage Unit**						
71010 - Operations Equipment-Mi 571010		50,000.00	40,275.78	0.00	40,275.78	0.00	9,724.22
Posting Activity Total		50,000.00	40,275.78	0.00	40,275.78	0.00	9,724.22
6018030126915	**PC Replacements**						
70500 - Computer Equipment 570500		379,000.00	151,568.15	141,964.20	293,532.35	0.00	85,467.65
Posting Activity Total		379,000.00	151,568.15	141,964.20	293,532.35	0.00	85,467.65
6018030126965	**FY21 HQ Building Repairs and Upgrades**						
75501 - Building Improvements 575501		130,000.00	101,646.61	0.00	101,646.61	0.00	28,353.39
Posting Activity Total		130,000.00	101,646.61	0.00	101,646.61	0.00	28,353.39
6018030127035	**FY21 Firearms and Force Options Simulator**						
70500 - Computer Equipment 570500		100,000.00	98,257.37	0.00	98,257.37	0.00	1,742.63
Posting Activity Total		100,000.00	98,257.37	0.00	98,257.37	0.00	1,742.63
6018030127055	**FY21 CEN Circuit**						
70500 - Computer Equipment 570500		40,000.00	34,000.00	0.00	34,000.00	0.00	6,000.00
Posting Activity Total		40,000.00	34,000.00	0.00	34,000.00	0.00	6,000.00
6018030127065	**Mobile Radio Kits for Installs**						

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
301 Police Department							
019 - 05	**Capital Improvement Fund**						OT60180
70500 - Computer Equipment 570500		175,000.00	32,304.50	132,615.20	164,919.70	610.00	9,470.30
Posting Activity Total		175,000.00	32,304.50	132,615.20	164,919.70	610.00	9,470.30
6018030127565	**Information Technology Improvements**						
70500 - Computer Equipment 570500		751,000.00	240,085.48	189,185.28	429,270.76	0.00	321,729.24
Posting Activity Total		751,000.00	240,085.48	189,185.28	429,270.76	0.00	321,729.24
6018030127575	**Building and Equipment Improvements**						
33000 - Professional Services 533000		60,000.00	0.00	54,181.40	54,181.40	10,744.60	-4,926.00
70500 - Computer Equipment 570500		44,467.37	35,451.32	11,376.87	46,828.19	13,319.63	-15,680.45
71010 - Operations Equipment-Mi 571010		0.00	0.00	0.00	0.00	0.00	0.00
75501 - Building Improvements 575501		1,215,000.00	45,314.18	61,500.00	106,814.18	0.00	1,108,185.82
Posting Activity Total		1,319,467.37	80,765.50	127,058.27	207,823.77	24,064.23	1,087,579.37
6018030127655	**Body and Dash Cameras-State Grant Funded (Partial)**						
71010 - Operations Equipment-Mi 571010		1,040,384.17	929,189.56	53,507.20	982,696.76	5,500.00	52,187.41
Posting Activity Total		1,040,384.17	929,189.56	53,507.20	982,696.76	5,500.00	52,187.41
6018030127865	**FY23 Police Efficiency Study**						
33000 - Professional Services 533000		200,000.00	159,207.40	28,573.60	187,781.00	0.00	12,219.00
Posting Activity Total		200,000.00	159,207.40	28,573.60	187,781.00	0.00	12,219.00
6018030128115	**Service Weapon Replacements (Trade-In Value $70,560)**						
71010 - Operations Equipment-Mi 571010		483,129.61	450,873.61	32,256.00	483,129.61	0.00	0.00
Posting Activity Total		483,129.61	450,873.61	32,256.00	483,129.61	0.00	0.00
6018030128515	**DEA OCDETF TruNarc Testing Device**						
71010 - Operations Equipment-Mi 571010		30,876.29	30,876.29	0.00	30,876.29	0.00	0.00
Posting Activity Total		30,876.29	30,876.29	0.00	30,876.29	0.00	0.00
6018030128695	**FY24 Police Fuel Storage Tank Installation**						
33000 - Professional Services 533000		3,500.00	0.00	0.00	0.00	3,500.00	0.00
47000 - Construction Services 547000		546,500.00	0.00	488,048.03	488,048.03	54,030.97	4,421.00
Posting Activity Total		550,000.00	0.00	488,048.03	488,048.03	57,530.97	4,421.00
6018030128905	**Patrol Rifle Replacement (Private Duty Fund)**						
71010 - Operations Equipment-Mi 571010		325,000.00	0.00	101,457.00	101,457.00	137,965.00	85,578.00
Posting Activity Total		325,000.00	0.00	101,457.00	101,457.00	137,965.00	85,578.00
019 - 05 subtotal:		6,073,357.44	2,809,357.06	1,294,664.78	4,104,021.84	225,670.20	1,743,665.40
020 - 05	**Vehicle Replacement Fund**						OT60185
6018530126955	**FY20 Police Dept Vehicles**						
74005 - Cruisers 574005		432,000.00	437,552.00	0.00	437,552.00	0.00	-5,552.00

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
301 Police Department							
020 - 05	**Vehicle Replacement Fund**						OT60185
74009 - Vehicles-Misc 574009		139,000.00	98,083.94	35,364.06	133,448.00	0.00	5,552.00
Posting Activity Total		571,000.00	535,635.94	35,364.06	571,000.00	0.00	0.00
6018530127145	**FY21 Police Dept Vehicles**						
74005 - Cruisers 574005		460,000.00	459,990.47	0.00	459,990.47	0.00	9.53
74009 - Vehicles-Misc 574009		94,000.00	93,627.24	0.00	93,627.24	318.76	54.00
Posting Activity Total		554,000.00	553,617.71	0.00	553,617.71	318.76	63.53
6018530127485	**FY22 Police Dept Vehicles**						
74003 - Mobile Equipment 574003		80,000.00	82,645.00	0.00	82,645.00	0.00	-2,645.00
74005 - Cruisers 574005		550,000.00	359,698.30	177,881.40	537,579.70	857.00	11,563.30
74008 - Motorcycles/Scooters 574008		25,000.00	25,324.35	0.00	25,324.35	0.00	-324.35
74009 - Vehicles-Misc 574009		139,000.00	110,106.00	0.00	110,106.00	1,108.01	27,785.99
Posting Activity Total		794,000.00	577,773.65	177,881.40	755,655.05	1,965.01	36,379.94
6018530127545	**Police Dept Vehicles**						
74009 - Vehicles-Misc 574009		484,612.50	205,844.27	50,538.59	256,382.86	36,493.50	191,736.14
Posting Activity Total		484,612.50	205,844.27	50,538.59	256,382.86	36,493.50	191,736.14
6018530127925	**FY23 Police Dept Vehicles**						
74005 - Cruisers 574005		470,000.00	0.00	129,579.16	129,579.16	337,761.74	2,659.10
74009 - Vehicles-Misc 574009		134,000.00	136,659.00	0.00	136,659.00	0.00	-2,659.00
Posting Activity Total		604,000.00	136,659.00	129,579.16	266,238.16	337,761.74	0.10
6018530128365	**FY24 Police Dept Vehicles**						
74005 - Cruisers 574005		615,000.00	0.00	90,539.40	90,539.40	123,295.67	401,164.93
74008 - Motorcycles/Scooters 574008		35,000.00	0.00	0.00	0.00	0.00	35,000.00
74009 - Vehicles-Misc 574009		180,000.00	51,214.00	0.00	51,214.00	0.00	128,786.00
Posting Activity Total		830,000.00	51,214.00	90,539.40	141,753.40	123,295.67	564,950.93
6018530128895	**FY25 Police Dept Vehicles**						
74005 - Cruisers 574005		650,000.00	0.00	0.00	0.00	0.00	650,000.00
74009 - Vehicles-Misc 574009		250,000.00	0.00	69,650.67	69,650.67	156.16	180,193.17
Posting Activity Total		900,000.00	0.00	69,650.67	69,650.67	156.16	830,193.17
020 - 05 subtotal:		4,737,612.50	2,060,744.57	553,553.28	2,614,297.85	499,990.84	1,623,323.81
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010730123613	**Purchase of Parking Meter Kiosks**						
47000 - Construction Services 547000		348,600.00	228,272.16	0.00	228,272.16	0.00	120,327.84
Posting Activity Total		348,600.00	228,272.16	0.00	228,272.16	0.00	120,327.84
6010730123913	**PC Hardware Replacements**						

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
301 Police Department							
098 - 03	**Municipal Revenue Sharing Account**						SD60107
70501 - Hardware 570501		100,000.00	99,492.34	0.00	99,492.34	0.00	507.66
Posting Activity Total		100,000.00	99,492.34	0.00	99,492.34	0.00	507.66
6010730124653	**Replacement of Mobile Laptop Computers**						
70500 - Computer Equipment 570500		135,000.00	134,502.01	0.00	134,502.01	0.00	497.99
Posting Activity Total		135,000.00	134,502.01	0.00	134,502.01	0.00	497.99
6010730125093	**Animal Control Incinerator**						
71010 - Operations Equipment-Mi 571010		60,000.00	0.00	0.00	0.00	0.00	60,000.00
Posting Activity Total		60,000.00	0.00	0.00	0.00	0.00	60,000.00
6010730125183	**FY17 Replacement of 10 Crusiers**						
74005 - Cruisers 574005		360,000.00	359,565.86	0.00	359,565.86	0.00	434.14
Posting Activity Total		360,000.00	359,565.86	0.00	359,565.86	0.00	434.14
6010730125723	**FY18 Police Vehicles**						
74005 - Cruisers 574005		324,000.00	323,290.54	0.00	323,290.54	0.00	709.46
74009 - Vehicles-Misc 574009		47,000.00	46,910.50	0.00	46,910.50	0.00	89.50
Posting Activity Total		371,000.00	370,201.04	0.00	370,201.04	0.00	798.96
6010730125853	**Air Conditioning Unit Replacement for Server Room**						
43003 - Heating and Air Conditi 543003		30,000.00	16,530.00	0.00	16,530.00	0.00	13,470.00
Posting Activity Total		30,000.00	16,530.00	0.00	16,530.00	0.00	13,470.00
6010730126103	**FY19 Police Vehicles**						
74005 - Cruisers 574005		432,000.00	428,816.98	0.00	428,816.98	0.00	3,183.02
74009 - Vehicles-Misc 574009		169,000.00	172,011.32	0.00	172,011.32	0.00	-3,011.32
Posting Activity Total		601,000.00	600,828.30	0.00	600,828.30	0.00	171.70
098 - 03 subtotal:		2,005,600.00	1,809,391.71	0.00	1,809,391.71	0.00	196,208.29
101 - 05	**Police Headquarters Capital Improvements**						OT60152
6015230123795	**Replacement of Elevators (3)**						
75501 - Building Improvements 575501		28,450.00	28,450.00	0.00	28,450.00	0.00	0.00
Posting Activity Total		28,450.00	28,450.00	0.00	28,450.00	0.00	0.00
6015230123805	**Replacement of Generator Transfer Switch**						
75410 - Generator Switchgear 575410		78,229.24	78,229.24	0.00	78,229.24	0.00	0.00
Posting Activity Total		78,229.24	78,229.24	0.00	78,229.24	0.00	0.00
6015230123815	**Criminal Investigation Bureau Furniture Replacement**						
75008 - Furniture-Misc 575008		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
Posting Activity Total		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
6015230123825	**Building Access Security Card Swipe System**						

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
301 Police Department							
101 - 05	**Police Headquarters Capital Improvements**						OT60152
45013 - Security/Safety 545013		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		0.00	0.00	0.00	0.00	0.00	0.00
6015230123835	**Replace Fuel Pump Management System**						
71010 - Operations Equipment-Mi 571010		30,000.00	0.00	0.00	0.00	0.00	30,000.00
Posting Activity Total		30,000.00	0.00	0.00	0.00	0.00	30,000.00
6015230123845	**Gym Upgrade**						
75501 - Building Improvements 575501		30,000.00	29,380.94	0.00	29,380.94	0.00	619.06
Posting Activity Total		30,000.00	29,380.94	0.00	29,380.94	0.00	619.06
6015230125955	**Parking Garage Gate System**						
45013 - Security/Safety 545013		21,770.76	20,348.00	0.00	20,348.00	0.00	1,422.76
Posting Activity Total		21,770.76	20,348.00	0.00	20,348.00	0.00	1,422.76
101 - 05 subtotal:		218,450.00	186,408.18	0.00	186,408.18	0.00	32,041.82
151 - 01	**COVID Emergency Response Grant Jan. 20, 2020 - Sep. 30, 2022**						FD60154
6015430126881	**Prevention, Preparation, and Response to the Coronavirus**						
69022 - Operations-Misc 569022		51,125.50	4,294.50	0.00	4,294.50	0.00	46,831.00
71010 - Operations Equipment-Mi 571010		175,774.50	214,617.01	0.00	214,617.01	0.00	-38,842.51
Posting Activity Total		226,900.00	218,911.51	0.00	218,911.51	0.00	7,988.49
151 - 01 subtotal:		226,900.00	218,911.51	0.00	218,911.51	0.00	7,988.49
165 - 05	**Portable & Mobile Radios Upgrade ($2.5M Bond) 1/24/2022**						OT60156
6015630127805	**Police Dept. - Fire Dept. - Dept. of Public Works**						
33021 - Financial-Misc 533021		14,666.67	14,666.67	0.00	14,666.67	0.00	0.00
71010 - Operations Equipment-Mi 571010		2,485,333.33	2,464,636.41	0.00	2,464,636.41	4,533.63	16,163.29
Posting Activity Total		2,500,000.00	2,479,303.08	0.00	2,479,303.08	4,533.63	16,163.29
165 - 05 subtotal:		2,500,000.00	2,479,303.08	0.00	2,479,303.08	4,533.63	16,163.29
189 - 03	**CT Department of Emergency Services and Public Protection**						SD60157
6015730128683	**Security Cameras, Speed Bumps, and Drone Replacements**						
71010 - Operations Equipment-Mi 571010		1,000,000.00	0.00	26,940.00	26,940.00	0.00	973,060.00
Posting Activity Total		1,000,000.00	0.00	26,940.00	26,940.00	0.00	973,060.00
189 - 03 subtotal:		1,000,000.00	0.00	26,940.00	26,940.00	0.00	973,060.00
301 subtotal:		16,761,919.94	9,564,116.11	1,875,158.06	11,439,274.17	730,194.67	4,592,451.10

City of Waterbury - Activity Report - Current Year Versus Prior

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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
310 Fire Department							
019 - 05	**Capital Improvement Fund**						OT60180
6018031026565	**FY19 Fire Station Building Improvements**						
47000 - Construction Services 547000		200,000.00	145,108.86	14,375.00	159,483.86	39,764.08	752.06
Posting Activity Total		200,000.00	145,108.86	14,375.00	159,483.86	39,764.08	752.06
6018031027255	**Mobile Data Terminal Replacement**						
70500 - Computer Equipment 570500		23,000.00	22,054.61	0.00	22,054.61	0.00	945.39
Posting Activity Total		23,000.00	22,054.61	0.00	22,054.61	0.00	945.39
6018031027365	**Turnout Gear**						
71010 - Operations Equipment-Mi 571010		485,000.00	276,019.00	195,862.50	471,881.50	675.00	12,443.50
Posting Activity Total		485,000.00	276,019.00	195,862.50	471,881.50	675.00	12,443.50
6018031027375	**Self-Contained Breathing Apparatus**						
71010 - Operations Equipment-Mi 571010		95,000.00	95,000.00	0.00	95,000.00	0.00	0.00
Posting Activity Total		95,000.00	95,000.00	0.00	95,000.00	0.00	0.00
6018031027385	**Fire Station Lockers**						
43000 - General Repairs & Maint 543000		16,000.00	0.00	11,020.00	11,020.00	0.00	4,980.00
Posting Activity Total		16,000.00	0.00	11,020.00	11,020.00	0.00	4,980.00
6018031027895	**Fire Technology Improvements**						
70500 - Computer Equipment 570500		183,000.00	116,349.68	20,721.24	137,070.92	885.00	45,044.08
Posting Activity Total		183,000.00	116,349.68	20,721.24	137,070.92	885.00	45,044.08
6018031028355	**Fire Department Equipment**						
71010 - Operations Equipment-Mi 571010		280,000.00	46,182.27	94,577.05	140,759.32	22.25	139,218.43
Posting Activity Total		280,000.00	46,182.27	94,577.05	140,759.32	22.25	139,218.43
6018031028845	**Gear Washers**						
71010 - Operations Equipment-Mi 571010		30,000.00	0.00	23,970.00	23,970.00	1,622.35	4,407.65
Posting Activity Total		30,000.00	0.00	23,970.00	23,970.00	1,622.35	4,407.65
019 - 05 subtotal:		1,312,000.00	700,714.42	360,525.79	1,061,240.21	42,968.68	207,791.11
020 - 05	**Vehicle Replacement Fund**						OT60185
6018531023775	**FY15 Fire Dept Vehicles**						
43009 - Apparatus & Vehicle 543009		82,266.45	82,241.29	0.00	82,241.29	0.00	25.16
74009 - Vehicles-Misc 574009		89,002.85	89,002.85	0.00	89,002.85	0.00	0.00
Posting Activity Total		171,269.30	171,244.14	0.00	171,244.14	0.00	25.16
6018531026545	**Fire Engine Rehabilitation**						
74001 - Firetrucks 574001		318,496.20	317,508.21	0.00	317,508.21	40.87	947.12
Posting Activity Total		318,496.20	317,508.21	0.00	317,508.21	40.87	947.12
6018531027155	**FY21 Fire Dept Vehicles**						

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
310 Fire Department							
020 - 05	**Vehicle Replacement Fund**						OT60185
74009 - Vehicles-Misc 574009		131,000.00	130,978.53	0.00	130,978.53	0.00	21.47
Posting Activity Total		131,000.00	130,978.53	0.00	130,978.53	0.00	21.47
6018531027525	**FY22 Fire Dept Vehicles**						
43009 - Apparatus & Vehicle 543009		95,000.00	94,901.24	0.00	94,901.24	0.00	98.76
74009 - Vehicles-Misc 574009		178,000.00	177,897.41	0.00	177,897.41	0.00	102.59
Posting Activity Total		273,000.00	272,798.65	0.00	272,798.65	0.00	201.35
6018531027535	**Fire Truck #3 Replacement**						
74001 - Firetrucks 574001		1,225,000.00	1,223,751.00	0.00	1,223,751.00	0.00	1,249.00
Posting Activity Total		1,225,000.00	1,223,751.00	0.00	1,223,751.00	0.00	1,249.00
6018531027885	**FY23 Fire Dept. Vehicles**						
43009 - Apparatus & Vehicle 543009		150,000.00	149,780.38	0.00	149,780.38	0.00	219.62
74009 - Vehicles-Misc 574009		170,000.00	169,866.19	0.00	169,866.19	0.00	133.81
Posting Activity Total		320,000.00	319,646.57	0.00	319,646.57	0.00	353.43
6018531028135	**Fire Used Heavy Wet Rescue Apparatus**						
74001 - Firetrucks 574001		329,000.00	328,715.00	0.00	328,715.00	0.00	285.00
Posting Activity Total		329,000.00	328,715.00	0.00	328,715.00	0.00	285.00
6018531028305	**FY24 Fire Dept. Vehicles**						
74001 - Firetrucks 574001		1,010,000.00	600,000.00	357,084.00	957,084.00	0.00	52,916.00
74009 - Vehicles-Misc 574009		60,000.00	51,379.15	0.00	51,379.15	0.00	8,620.85
Posting Activity Total		1,070,000.00	651,379.15	357,084.00	1,008,463.15	0.00	61,536.85
6018531028835	**Fire Department Vehicles**						
74009 - Vehicles-Misc 574009		200,000.00	0.00	148,265.27	148,265.27	0.00	51,734.73
Posting Activity Total		200,000.00	0.00	148,265.27	148,265.27	0.00	51,734.73
020 - 05 subtotal:		4,037,765.50	3,416,021.25	505,349.27	3,921,370.52	40.87	116,354.11
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010731023583	**FY14 Station #8 Bunker Hill Ave. Lot Paving**						
47000 - Construction Services 547000		40,000.00	0.00	0.00	0.00	0.00	40,000.00
Posting Activity Total		40,000.00	0.00	0.00	0.00	0.00	40,000.00
098 - 03 subtotal:		40,000.00	0.00	0.00	0.00	0.00	40,000.00
143 - 06	**FY18 Asst. to Firefighters Grant EMW-2018-FR-00508 Sept. 3, 2019 - September 26, 2023**						CO60255
6025531026686	**Rope Rescue Equipment & Training w/ Naugatuck**						
11650 - Overtime		117,896.41	117,896.41	0.00	117,896.41	0.00	0.00
33018 - Contractual 533018		95,603.42	95,603.42	0.00	95,603.42	0.00	0.00
75405 - Firefighting Equipment 575405		116,011.17	110,016.20	0.00	110,016.20	0.00	5,994.97

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
310 Fire Department							
143 - 06	**FY18 Asst. to Firefighters Grant EMW-2018-FR-00508 Sept. 3, 2019 - September 26, 2023**						CO60255
Posting Activity Total		329,511.00	323,516.03	0.00	323,516.03	0.00	5,994.97
143 - 06 subtotal:		329,511.00	323,516.03	0.00	323,516.03	0.00	5,994.97
156 - 05	**FY21 Fire Stations ($8M Bond) 1/25/2021**						OT60258
6025831027435	**Rehabilitation and Renovation**						
33006 - Architectural/Design 533006		343,125.00	292,607.19	20,766.84	313,374.03	29,750.97	0.00
33021 - Financial-Misc 533021		24,403.67	24,403.67	0.00	24,403.67	0.00	0.00
33031 - Misc-Legal Exp 533031		36,226.20	16,425.00	19,801.20	36,226.20	0.00	0.00
47000 - Construction Services 547000		7,596,245.13	0.00	24,950.00	24,950.00	0.00	7,571,295.13
Posting Activity Total		8,000,000.00	333,435.86	65,518.04	398,953.90	29,750.97	7,571,295.13
156 - 05 subtotal:		8,000,000.00	333,435.86	65,518.04	398,953.90	29,750.97	7,571,295.13
162 - 06	**FY20 Asst. to Firefighters Grant EMW-2020-FG-16929 Aug. 31, 2021 - Nov. 28, 2024**						CO60259
6025931027716	**EMS Equipment**						
75405 - Firefighting Equipment 575405		278,920.00	258,572.75	20,192.97	278,765.72	0.00	154.28
Posting Activity Total		278,920.00	258,572.75	20,192.97	278,765.72	0.00	154.28
162 - 06 subtotal:		278,920.00	258,572.75	20,192.97	278,765.72	0.00	154.28
163 - 06	**FY19 Asst. to Firefighters Grant EMW-2019-FG-01135 Aug. 19, 2020 - May 1, 2024**						CO60260
6026031027776	**AFG Fire Officer Training**						
11650 - Overtime		159,171.00	158,336.85	0.00	158,336.85	0.00	834.15
33018 - Contractual 533018		45,337.00	45,337.00	0.00	45,337.00	0.00	0.00
Posting Activity Total		204,508.00	203,673.85	0.00	203,673.85	0.00	834.15
163 - 06 subtotal:		204,508.00	203,673.85	0.00	203,673.85	0.00	834.15
164 - 06	**FY19 Asst. to Firefighters Grant EMW-2019-FG-10876 July 24, 2020 - Sept. 30, 2024**						CO60261
6026131027786	**AFG Fire On-Scene Management of Emergencies w/ Naugatuck**						
11650 - Overtime		40,964.60	36,576.68	0.00	36,576.68	0.00	4,387.92
33018 - Contractual 533018		28,820.00	28,820.00	0.00	28,820.00	0.00	0.00
75405 - Firefighting Equipment 575405		14,375.40	3,992.00	7,950.00	11,942.00	0.00	2,433.40
Posting Activity Total		84,160.00	69,388.68	7,950.00	77,338.68	0.00	6,821.32
164 - 06 subtotal:		84,160.00	69,388.68	7,950.00	77,338.68	0.00	6,821.32
180 - 06	**FY22 Asst. to Firefighters Grant EMW-2022-FG-07303 July 20, 2023 - July 19, 2025 ALN 97.044**						CO60262
6026231028436	**AFG Emergency Medical Service Personal Protective Equipment**						
75405 - Firefighting Equipment 575405		301,782.00	0.00	197,825.00	197,825.00	30,600.00	73,357.00
Posting Activity Total		301,782.00	0.00	197,825.00	197,825.00	30,600.00	73,357.00
180 - 06 subtotal:		301,782.00	0.00	197,825.00	197,825.00	30,600.00	73,357.00
194 - 05	**Bond Authorization ($2.3M) 8/12/2024**						OT60264

City of Waterbury - Activity Report - Current Year Versus Prior

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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
310 Fire Department							
194 - 05	**Bond Authorization ($2.3M) 8/12/2024**						OT60264
6026431028795	**Fire Engine 2 and Engine 11 Replacement**						
33021 - Financial-Misc 533021		100,000.00	0.00	0.00	0.00	0.00	100,000.00
74001 - Firetrucks 574001		2,200,000.00	0.00	2,074,270.48	2,074,270.48	11.77	125,717.75
Posting Activity Total		2,300,000.00	0.00	2,074,270.48	2,074,270.48	11.77	225,717.75
194 - 05 subtotal:		2,300,000.00	0.00	2,074,270.48	2,074,270.48	11.77	225,717.75
310 subtotal:		16,888,646.50	5,305,322.84	3,231,631.55	8,536,954.39	103,372.29	8,248,319.82

City of Waterbury - Activity Report - Current Year Versus Prior

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Bureau of Parks							
019 - 05	**Capital Improvement Fund**						OT60180
6018040721725	**Miscellaneous Park Improvements**						
33006 - Architectural/Design 533006		0.00	87,714.64	0.00	87,714.64	10,900.00	-98,614.64
43004 - Buildings & Grounds Rep 543004		636,554.57	392,930.46	0.00	392,930.46	24,950.00	218,674.11
47010 - Acquisition Costs 547010		0.00	0.00	15,000.00	15,000.00	0.00	-15,000.00
Posting Activity Total		636,554.57	480,645.10	15,000.00	495,645.10	35,850.00	105,059.47
6018040723975	**Recreation Center Security Improvements**						
45013 - Security/Safety 545013		65,000.00	43,880.45	1,885.00	45,765.45	0.00	19,234.55
Posting Activity Total		65,000.00	43,880.45	1,885.00	45,765.45	0.00	19,234.55
6018040724015	**Fence Repairs and Replacement**						
43000 - General Repairs & Maint 543000		90,000.00	41,045.15	16,800.00	57,845.15	15,472.50	16,682.35
Posting Activity Total		90,000.00	41,045.15	16,800.00	57,845.15	15,472.50	16,682.35
6018040724035	**FY15 Park Signs**						
43000 - General Repairs & Maint 543000		10,000.00	10,000.00	0.00	10,000.00	0.00	0.00
Posting Activity Total		10,000.00	10,000.00	0.00	10,000.00	0.00	0.00
6018040724935	**Parks and Golf Course Improvements**						
43004 - Buildings & Grounds Rep 543004		125,000.00	124,144.70	0.00	124,144.70	114.50	740.80
Posting Activity Total		125,000.00	124,144.70	0.00	124,144.70	114.50	740.80
6018040725525	**Park Restroom Improvements**						
47000 - Construction Services 547000		54,781.00	42,591.57	0.00	42,591.57	0.00	12,189.43
Posting Activity Total		54,781.00	42,591.57	0.00	42,591.57	0.00	12,189.43
6018040725595	**FY18 Parks Master Plan**						
33000 - Professional Services 533000		60,000.00	56,851.39	0.00	56,851.39	3,148.61	0.00
Posting Activity Total		60,000.00	56,851.39	0.00	56,851.39	3,148.61	0.00
6018040726715	**WHGC Clubhouse Restroom Improvements**						
47000 - Construction Services 547000		100,000.00	92,584.40	0.00	92,584.40	0.00	7,415.60
Posting Activity Total		100,000.00	92,584.40	0.00	92,584.40	0.00	7,415.60
6018040727015	**WHGC Clubhouse Building Improvements**						
47000 - Construction Services 547000		400,000.00	400,000.00	0.00	400,000.00	0.00	0.00
Posting Activity Total		400,000.00	400,000.00	0.00	400,000.00	0.00	0.00
6018040727235	**East Mountain Golf Course Mower Replacement**						
71010 - Operations Equipment-Mi 571010		53,457.00	52,888.88	0.00	52,888.88	0.00	568.12
Posting Activity Total		53,457.00	52,888.88	0.00	52,888.88	0.00	568.12
6018040727245	**Western Hills Golf Course Mower Replacement**						
71010 - Operations Equipment-Mi 571010		53,457.00	52,888.88	0.00	52,888.88	0.00	568.12

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Bureau of Parks							
019 - 05	**Capital Improvement Fund**						OT60180
Posting Activity Total		53,457.00	52,888.88	0.00	52,888.88	0.00	568.12
6018040727265	**FY21 Time System Terminals**						
70500 - Computer Equipment 570500		25,000.00	24,750.00	0.00	24,750.00	0.00	250.00
Posting Activity Total		25,000.00	24,750.00	0.00	24,750.00	0.00	250.00
6018040727325	**Park House & Park HVAC Improvements**						
75411 - HVAC 575411		50,000.00	0.00	20,000.00	20,000.00	0.00	30,000.00
Posting Activity Total		50,000.00	0.00	20,000.00	20,000.00	0.00	30,000.00
6018040727335	**Power Equipment**						
71010 - Operations Equipment-Mi 571010		35,000.00	34,735.97	0.00	34,735.97	0.00	264.03
Posting Activity Total		35,000.00	34,735.97	0.00	34,735.97	0.00	264.03
6018040727665	**Compact Loader**						
71010 - Operations Equipment-Mi 571010		55,000.00	54,911.00	0.00	54,911.00	0.00	89.00
Posting Activity Total		55,000.00	54,911.00	0.00	54,911.00	0.00	89.00
6018040727675	**Tractor**						
71010 - Operations Equipment-Mi 571010		40,000.00	40,000.00	0.00	40,000.00	0.00	0.00
Posting Activity Total		40,000.00	40,000.00	0.00	40,000.00	0.00	0.00
6018040727685	**E Mtn Golf Course Irrigation System Upgrades and Repairs**						
43004 - Buildings & Grounds Rep 543004		75,000.00	66,655.39	8,289.75	74,945.14	0.00	54.86
Posting Activity Total		75,000.00	66,655.39	8,289.75	74,945.14	0.00	54.86
6018040727695	**W. Hills Golf Course Irrigation System Upgrades and Repairs**						
43004 - Buildings & Grounds Rep 543004		75,000.00	65,697.91	9,220.76	74,918.67	0.00	81.33
Posting Activity Total		75,000.00	65,697.91	9,220.76	74,918.67	0.00	81.33
6018040728005	**Parks Equipment**						
71010 - Operations Equipment-Mi 571010		400,000.00	135,875.71	20,989.36	156,865.07	66,391.02	176,743.91
Posting Activity Total		400,000.00	135,875.71	20,989.36	156,865.07	66,391.02	176,743.91
6018040728235	**Recreation Center Building Improvements**						
43000 - General Repairs & Maint 543000		275,000.00	170,898.50	3,155.00	174,053.50	0.00	100,946.50
Posting Activity Total		275,000.00	170,898.50	3,155.00	174,053.50	0.00	100,946.50
6018040728645	**EMGC Clubhouse Facility Improve (LoCIP-Other Funding Source)**						
33000 - Professional Services 533000		10,000.00	7,800.00	2,200.00	10,000.00	0.00	0.00
47000 - Construction Services 547000		256,825.00	0.00	256,825.00	256,825.00	0.00	0.00
75000 - Furn, Fix, Equip 575000		74,195.47	0.00	74,195.47	74,195.47	0.00	0.00
Posting Activity Total		341,020.47	7,800.00	333,220.47	341,020.47	0.00	0.00
6018040728785	**Schofield Park Restrooms**						

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LEVEL 1: 00 - 99
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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Bureau of Parks							
019 - 05	**Capital Improvement Fund**						OT60180
47000 - Construction Services 547000		150,000.00	0.00	0.00	0.00	144,320.00	5,680.00
Posting Activity Total		150,000.00	0.00	0.00	0.00	144,320.00	5,680.00
019 - 05 subtotal:		3,169,270.04	1,998,845.00	428,560.34	2,427,405.34	265,296.63	476,568.07
021 - 03	**LoCIP Entitlement**						SD60106
6010640726273	**Western Hills Golf Irrigation System 19-030, 19-210, 23-010**						
47000 - Construction Services 547000		95,000.00	29,140.12	0.00	29,140.12	0.00	65,859.88
Posting Activity Total		95,000.00	29,140.12	0.00	29,140.12	0.00	65,859.88
6010640726293	**East Mountain Golf Irrigation System 19-050, 19-200, 23-030**						
47000 - Construction Services 547000		95,000.00	21,927.75	0.00	21,927.75	0.00	73,072.25
Posting Activity Total		95,000.00	21,927.75	0.00	21,927.75	0.00	73,072.25
6010640726853	**Bucks Hill Park Basketball Courts 20-040**						
47000 - Construction Services 547000		200,000.00	0.00	0.00	0.00	0.00	200,000.00
Posting Activity Total		200,000.00	0.00	0.00	0.00	0.00	200,000.00
6010640726863	**Park House HVAC Improvements 20-050**						
75411 - HVAC 575411		295,719.66	291,393.18	4,326.48	295,719.66	0.00	0.00
Posting Activity Total		295,719.66	291,393.18	4,326.48	295,719.66	0.00	0.00
6010640727283	**Waterville Park Improvements Basketball/Tennis Courts 20-080**						
47000 - Construction Services 547000		250,000.00	0.00	0.00	0.00	0.00	250,000.00
Posting Activity Total		250,000.00	0.00	0.00	0.00	0.00	250,000.00
6010640727853	**Tree Trimming and Removal 22-040, 23-020**						
45014 - Buildings and Grounds S 545014		248,592.05	209,079.55	39,512.50	248,592.05	0.00	0.00
Posting Activity Total		248,592.05	209,079.55	39,512.50	248,592.05	0.00	0.00
6010640727863	**WHGC Repair Shop Building Improvement 22-010**						
47000 - Construction Services 547000		218,000.00	0.00	0.00	0.00	0.00	218,000.00
Posting Activity Total		218,000.00	0.00	0.00	0.00	0.00	218,000.00
6010640728623	**EMGC Clubhouse Facility Improvements 23-050**						
47000 - Construction Services 547000		2,160,000.00	1,351,748.72	808,251.28	2,160,000.00	0.00	0.00
Posting Activity Total		2,160,000.00	1,351,748.72	808,251.28	2,160,000.00	0.00	0.00
6010640728803	**North End Recreation Center Roof Replacement 23-070**						
47000 - Construction Services 547000		450,000.00	0.00	0.00	0.00	8,000.00	442,000.00
Posting Activity Total		450,000.00	0.00	0.00	0.00	8,000.00	442,000.00
021 - 03 subtotal:		4,012,311.71	1,903,289.32	852,090.26	2,755,379.58	8,000.00	1,248,932.13
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010740723903	**Chase Park House Garage Repairs**						

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Bureau Of Parks							
098 - 03	**Municipal Revenue Sharing Account**						SD60107
47000 - Construction Services 547000		60,000.00	35,662.38	0.00	35,662.38	0.00	24,337.62
Posting Activity Total		60,000.00	35,662.38	0.00	35,662.38	0.00	24,337.62
6010740725693	**Zero Turn Commercial Mowers**						
71010 - Operations Equipment-Mi 571010		60,000.00	54,195.60	0.00	54,195.60	0.00	5,804.40
Posting Activity Total		60,000.00	54,195.60	0.00	54,195.60	0.00	5,804.40
098 - 03 subtotal:		120,000.00	89,857.98	0.00	89,857.98	0.00	30,142.02
150 - 03	**State of CT DEEP Grant CPAP 2016-13 06/07/2017 to 12/07/2024**						SD61022
6102240726803	**Fulton Park Ponds Wetlands Restoration PSA 2017-17088 - 100%**						
33016 - Engineering 533016		250,000.00	227,719.67	20,997.50	248,717.17	0.00	1,282.83
Posting Activity Total		250,000.00	227,719.67	20,997.50	248,717.17	0.00	1,282.83
150 - 03 subtotal:		250,000.00	227,719.67	20,997.50	248,717.17	0.00	1,282.83
157 - 05	**FY21 Golf Course Equipment ($1.2M Bond) 1/25/2021**						OT61055
6105540727445	**Western Hills and East Mountain Golf Courses**						
33021 - Financial-Misc 533021		10,000.00	7,040.00	0.00	7,040.00	0.00	2,960.00
71010 - Operations Equipment-Mi 571010		1,190,000.00	1,114,925.49	65,932.62	1,180,858.11	0.00	9,141.89
Posting Activity Total		1,200,000.00	1,121,965.49	65,932.62	1,187,898.11	0.00	12,101.89
157 - 05 subtotal:		1,200,000.00	1,121,965.49	65,932.62	1,187,898.11	0.00	12,101.89
168 - 03	**State of CT DEEP Grant 06/20/2022 to 06/19/2025**						SD61023
6102340727883	**East Mountain Park Water Fountain Improvements**						
47000 - Construction Services 547000		82,700.00	0.00	82,700.00	82,700.00	0.00	0.00
Posting Activity Total		82,700.00	0.00	82,700.00	82,700.00	0.00	0.00
168 - 03 subtotal:		82,700.00	0.00	82,700.00	82,700.00	0.00	0.00
171 - 03	**Distressed Municipalities Capital Grant**						SD60108
6010840727983	**Bucks Hill Park Improvements**						
47000 - Construction Services 547000		200,000.00	0.00	0.00	0.00	0.00	200,000.00
Posting Activity Total		200,000.00	0.00	0.00	0.00	0.00	200,000.00
6010840727993	**Park Improvements**						
47000 - Construction Services 547000		545,000.00	0.00	204,850.00	204,850.00	10,150.00	330,000.00
Posting Activity Total		545,000.00	0.00	204,850.00	204,850.00	10,150.00	330,000.00
171 - 03 subtotal:		745,000.00	0.00	204,850.00	204,850.00	10,150.00	530,000.00
185 - 01	**HUD-EDI ALN 14.251 Ends Aug. 31, 2031**						FD61024
6102440728491	**Demo/Replace Fulton Park Pool & Renovation of Pool House**						
47000 - Construction Services 547000		1,585,400.00	581,352.19	2,756,076.71	3,337,428.90	662,571.10	-2,414,600.00
47006 - Demolition 547006		60,000.00	0.00	0.00	0.00	0.00	60,000.00

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Bureau of Parks							
185 - 01	**HUD-EDI ALN 14.251 Ends Aug. 31, 2031**						FD61024
47012 - Site Work 547012		884,100.00	0.00	0.00	0.00	0.00	884,100.00
71010 - Operations Equipment-Mi 571010		400,000.00	0.00	0.00	0.00	0.00	400,000.00
89000 - Miscellaneous Expenditu 589000		120,093.00	0.00	0.00	0.00	0.00	120,093.00
89049 - Direct Costs 589049		597,847.00	0.00	0.00	0.00	0.00	597,847.00
99001 - Contingent Appropriatio 599001		352,560.00	0.00	0.00	0.00	0.00	352,560.00
Posting Activity Total		4,000,000.00	581,352.19	2,756,076.71	3,337,428.90	662,571.10	0.00
185 - 01 subtotal:		4,000,000.00	581,352.19	2,756,076.71	3,337,428.90	662,571.10	0.00
188 - 03	**DEEP Connecticut Recreational Trails Grant 1/10/24-1/09/27**						SD61026
6102640728633	**Naugatuck River Greenway Trail Mad River Spur Design**						
33006 - Architectural/Design 533006		390,000.00	0.00	0.00	0.00	0.00	390,000.00
Posting Activity Total		390,000.00	0.00	0.00	0.00	0.00	390,000.00
188 - 03 subtotal:		390,000.00	0.00	0.00	0.00	0.00	390,000.00
191 - 03	**LoCIP Grant**						SD60109
6010940728733	**Tree Trimming & Removal**						
45014 - Buildings and Grounds S 545014		250,000.00	0.00	9,332.00	9,332.00	0.00	240,668.00
Posting Activity Total		250,000.00	0.00	9,332.00	9,332.00	0.00	240,668.00
6010940729013	**Washington Park House Roof**						
47000 - Construction Services 547000		400,000.00	0.00	0.00	0.00	8,000.00	392,000.00
Posting Activity Total		400,000.00	0.00	0.00	0.00	8,000.00	392,000.00
6010940729023	**EMGC Irrigation System Upgrades & Repairs**						
47000 - Construction Services 547000		75,000.00	0.00	0.00	0.00	0.00	75,000.00
Posting Activity Total		75,000.00	0.00	0.00	0.00	0.00	75,000.00
6010940729033	**WHGC Irrigation System Upgrades & Repairs**						
47000 - Construction Services 547000		75,000.00	0.00	0.00	0.00	0.00	75,000.00
Posting Activity Total		75,000.00	0.00	0.00	0.00	0.00	75,000.00
191 - 03 subtotal:		800,000.00	0.00	9,332.00	9,332.00	8,000.00	782,668.00
192 - 03	**DEEP Schofield Park-Restroom 8/1/22-7/31/27**						SD61027
6102740702103	**DEEP Schofield Park-Restroom 8/1/22-7/31/27**						
75500 - Buildings 575500		350,000.00	0.00	192,897.50	192,897.50	157,102.50	0.00
Posting Activity Total		350,000.00	0.00	192,897.50	192,897.50	157,102.50	0.00
192 - 03 subtotal:		350,000.00	0.00	192,897.50	192,897.50	157,102.50	0.00
195 - 03	**Dept. of Economic & Community Development Urban Act Grant**						SD61045
6104540728823	**Martin Luther King Jr. Park Improvements**						
47000 - Construction Services 547000		500,000.00	0.00	0.00	0.00	500,000.00	0.00

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
407 Parks Department							
195 - 03	**Dept. of Economic & Community Development Urban Act Grant**						SD61045
Posting Activity Total		500,000.00	0.00	0.00	0.00	500,000.00	0.00
195 - 03 subtotal:		500,000.00	0.00	0.00	0.00	500,000.00	0.00
407 subtotal:		15,619,281.75	5,923,029.65	4,613,436.93	10,536,466.58	1,611,120.23	3,471,694.94

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Public Works							
001 - 05	**Infrastructure Improvements**						OT60010
6001041010005	**Brass Mills**						
1 - General Appropriation 500000		0.00	0.00	0.00	0.00	0.00	0.00
11600 - Temporary Employees		0.00	71,728.71	230.76	71,959.47	0.00	-71,959.47
33022 - Professional-Misc 533022		158,319.15	158,319.15	0.00	158,319.15	0.00	0.00
47009 - Infrastructure-Misc 547009		7,881,574.96	7,831,574.96	0.00	7,831,574.96	0.00	50,000.00
59107 - Environmental 559107		1,866,632.93	1,753,109.98	10,000.00	1,763,109.98	0.00	103,522.95
Posting Activity Total		9,906,527.04	9,814,732.80	10,230.76	9,824,963.56	0.00	81,563.48
001 - 05 subtotal:		9,906,527.04	9,814,732.80	10,230.76	9,824,963.56	0.00	81,563.48
019 - 05	**Capital Improvement Fund**						OT60180
6018041020125	**DPW-Replace Tanks Citywide**						
47000 - Construction Services 547000		505,000.00	504,750.14	0.00	504,750.14	0.00	249.86
Posting Activity Total		505,000.00	504,750.14	0.00	504,750.14	0.00	249.86
6018041021275	**FY08 Electronic Map Scan**						
11650 - Overtime		0.00	13,217.41	0.00	13,217.41	0.00	-13,217.41
33000 - Professional Services 533000		250,000.00	236,782.59	0.00	236,782.59	0.00	13,217.41
Posting Activity Total		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
6018041021385	**NEDA Improvements**						
43004 - Buildings & Grounds Rep 543004		0.00	17,125.43	0.00	17,125.43	0.00	-17,125.43
74007 - Trash Collection Equipm 574007		25,000.00	5,224.36	0.00	5,224.36	0.00	19,775.64
Posting Activity Total		25,000.00	22,349.79	0.00	22,349.79	0.00	2,650.21
6018041023355	**FY14 Hydraulic Hammer Drill**						
71010 - Operations Equipment-Mi 571010		17,000.00	14,903.25	0.00	14,903.25	0.00	2,096.75
Posting Activity Total		17,000.00	14,903.25	0.00	14,903.25	0.00	2,096.75
6018041024585	**Public Building Improvements**						
47000 - Construction Services 547000		1,050,000.00	210,525.41	62,165.00	272,690.41	36,866.01	740,443.58
Posting Activity Total		1,050,000.00	210,525.41	62,165.00	272,690.41	36,866.01	740,443.58
6018041024945	**DPW Miscellaneous Improvements**						
43000 - General Repairs & Maint 543000		1,044,719.04	564,142.21	170,213.37	734,355.58	71,185.27	239,178.19
Posting Activity Total		1,044,719.04	564,142.21	170,213.37	734,355.58	71,185.27	239,178.19
6018041025385	**DPW Capital Improvements**						
47000 - Construction Services 547000		375,000.00	151,564.40	23,167.40	174,731.80	68,558.00	131,710.20
Posting Activity Total		375,000.00	151,564.40	23,167.40	174,731.80	68,558.00	131,710.20
6018041026435	**DPW Systems Study Chase Building**						
33022 - Professional-Misc 533022		100,000.00	16,072.80	0.00	16,072.80	0.00	83,927.20

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
019 - 05	**Capital Improvement Fund**						OT60180
Posting Activity Total		100,000.00	16,072.80	0.00	16,072.80	0.00	83,927.20
6018041026525	**DPW Sidewalk Refuse and Recycling Containers**						
71010 - Operations Equipment-Mi 571010		122,525.00	0.00	0.00	0.00	0.00	122,525.00
Posting Activity Total		122,525.00	0.00	0.00	0.00	0.00	122,525.00
6018041027205	**DPW 20 and 40 Yard Dumpsters**						
71010 - Operations Equipment-Mi 571010		43,500.00	42,325.00	0.00	42,325.00	0.00	1,175.00
Posting Activity Total		43,500.00	42,325.00	0.00	42,325.00	0.00	1,175.00
6018041027215	**DPW Engineering Information Technology Improvements**						
70500 - Computer Equipment 570500		105,000.00	65,468.74	17,449.21	82,917.95	0.00	22,082.05
Posting Activity Total		105,000.00	65,468.74	17,449.21	82,917.95	0.00	22,082.05
6018041027495	**DPW Design and Engineering of Capital Projects**						
33016 - Engineering 533016		1,500,520.00	482,611.39	302,890.23	785,501.62	281,144.32	433,874.06
Posting Activity Total		1,500,520.00	482,611.39	302,890.23	785,501.62	281,144.32	433,874.06
6018041027705	**DPW Drainage Improvements**						
47000 - Construction Services 547000		500,000.00	500,000.00	0.00	500,000.00	0.00	0.00
Posting Activity Total		500,000.00	500,000.00	0.00	500,000.00	0.00	0.00
6018041028345	**DPW Sidewalk Repairs**						
47003 - Sidewalk Improvement 547003		170,000.00	155,978.16	1,738.72	157,716.88	0.00	12,283.12
Posting Activity Total		170,000.00	155,978.16	1,738.72	157,716.88	0.00	12,283.12
6018041028525	**DPW Mark Lane Facility Scale**						
71010 - Operations Equipment-Mi 571010		200,000.00	0.00	58,473.56	58,473.56	125,647.44	15,879.00
Posting Activity Total		200,000.00	0.00	58,473.56	58,473.56	125,647.44	15,879.00
6018041028965	**DPW Public Works Equipment**						
71010 - Operations Equipment-Mi 571010		100,000.00	0.00	0.00	0.00	78,699.67	21,300.33
Posting Activity Total		100,000.00	0.00	0.00	0.00	78,699.67	21,300.33
019 - 05 subtotal:		6,108,264.04	2,980,691.29	636,097.49	3,616,788.78	662,100.71	1,829,374.55
020 - 05	**Vehicle Replacement Fund**						OT60185
6018541027005	**FY20 DPW - Streets (198WBY-275WBY-Conveyer)**						
74003 - Mobile Equipment 574003		12,000.00	25,301.00	0.00	25,301.00	0.00	-13,301.00
74004 - Passenger Vehicles 574004		35,000.00	26,754.00	0.00	26,754.00	0.00	8,246.00
74009 - Vehicles-Misc 574009		250,000.00	237,894.00	0.00	237,894.00	0.00	12,106.00
Posting Activity Total		297,000.00	289,949.00	0.00	289,949.00	0.00	7,051.00
6018541027585	**FY22 DPW - Vehicles (186WBY/130WBY/180WBY)**						
74002 - Light Trucks 574002		40,000.00	0.00	47,355.36	47,355.36	0.00	-7,355.36

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
020 - 05	**Vehicle Replacement Fund**						OT60185
74009 - Vehicles-Misc 574009		70,000.00	56,873.62	5,314.18	62,187.80	0.00	7,812.20
Posting Activity Total		110,000.00	56,873.62	52,669.54	109,543.16	0.00	456.84
6018541027595	**FY20-22 Parks Vehicles (177/187/199/176/182/173WBY)**						
74002 - Light Trucks 574002		150,000.00	81,126.00	68,874.00	150,000.00	0.00	0.00
74009 - Vehicles-Misc 574009		180,000.00	0.00	0.00	0.00	0.00	180,000.00
Posting Activity Total		330,000.00	81,126.00	68,874.00	150,000.00	0.00	180,000.00
6018541027605	**FY22 DPW - Streets Roadside Mower/Brush Cutter (253WBY)**						
74003 - Mobile Equipment 574003		165,000.00	0.00	0.00	0.00	0.00	165,000.00
Posting Activity Total		165,000.00	0.00	0.00	0.00	0.00	165,000.00
6018541027945	**DPW Vehicles**						
74009 - Vehicles-Misc 574009		525,000.00	0.00	139,293.83	139,293.83	65,798.64	319,907.53
Posting Activity Total		525,000.00	0.00	139,293.83	139,293.83	65,798.64	319,907.53
6018541028045	**FY23 DPW-Parks Replace Pickup Truck w/Plow (170WBY)**						
74009 - Vehicles-Misc 574009		55,000.00	0.00	55,000.00	55,000.00	0.00	0.00
Posting Activity Total		55,000.00	0.00	55,000.00	55,000.00	0.00	0.00
6018541028055	**FY23 DPW-Streets Light Duty (260WBY & 277WBY) Heavy Duty (264WBY)**						
74000 - Dump Trucks 574000		420,000.00	111,863.00	113,584.00	225,447.00	0.00	194,553.00
Posting Activity Total		420,000.00	111,863.00	113,584.00	225,447.00	0.00	194,553.00
6018541028065	**FY23 DPW-Refuse Crane Carrier (206WBY) Trucks (221WBY & 226WBY)**						
74007 - Trash Collection Equipm 574007		690,000.00	0.00	0.00	0.00	0.00	690,000.00
Posting Activity Total		690,000.00	0.00	0.00	0.00	0.00	690,000.00
6018541028075	**FY23 DPW-Engineer Bucket (92WBY) Heavy PU (94WBY) Lt. PU (132WBY)**						
74009 - Vehicles-Misc 574009		260,000.00	49,456.64	124,756.00	174,212.64	85,787.36	0.00
Posting Activity Total		260,000.00	49,456.64	124,756.00	174,212.64	85,787.36	0.00
6018541028385	**FY24 DPW-Parks Lt. Duty Dump Truck w/Plow & Sander (196WBY)**						
74000 - Dump Trucks 574000		90,000.00	0.00	90,000.00	90,000.00	0.00	0.00
Posting Activity Total		90,000.00	0.00	90,000.00	90,000.00	0.00	0.00
6018541028395	**FY24 DPW-Streets Vehicles**						
74000 - Dump Trucks 574000		300,000.00	0.00	0.00	0.00	0.00	300,000.00
74009 - Vehicles-Misc 574009		310,000.00	41,297.00	85,042.84	126,339.84	0.00	183,660.16
Posting Activity Total		610,000.00	41,297.00	85,042.84	126,339.84	0.00	483,660.16
6018541028405	**FY24 DPW-Refuse Vehicles**						
74007 - Trash Collection Equipm 574007		475,000.00	0.00	0.00	0.00	0.00	475,000.00
Posting Activity Total		475,000.00	0.00	0.00	0.00	0.00	475,000.00

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
020 - 05	**Vehicle Replacement Fund**						OT60185
6018541028705	**FY25 DPW Public Buildings/Leisure Vehicles**						
74009 - Vehicles-Misc 574009		80,000.00	61,501.36	0.00	61,501.36	0.00	18,498.64
Posting Activity Total		80,000.00	61,501.36	0.00	61,501.36	0.00	18,498.64
6018541028915	**FY25 DPW Utility Admin.-Replace SUV (290WBY)**						
74009 - Vehicles-Misc 574009		40,000.00	0.00	0.00	0.00	32,211.50	7,788.50
Posting Activity Total		40,000.00	0.00	0.00	0.00	32,211.50	7,788.50
6018541028925	**FY25 DPW-Streets Vehicles**						
74000 - Dump Trucks 574000		375,000.00	0.00	0.00	0.00	0.00	375,000.00
74009 - Vehicles-Misc 574009		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		875,000.00	0.00	0.00	0.00	0.00	875,000.00
6018541028935	**FY25 DPW-Refuse Vehicles**						
74007 - Trash Collection Equipm 574007		750,000.00	0.00	0.00	0.00	0.00	750,000.00
Posting Activity Total		750,000.00	0.00	0.00	0.00	0.00	750,000.00
6018541028945	**FY25 DPW-Inspections Midsize Pickup with Cap (169WBY)**						
74009 - Vehicles-Misc 574009		55,000.00	0.00	0.00	0.00	0.00	55,000.00
Posting Activity Total		55,000.00	0.00	0.00	0.00	0.00	55,000.00
6018541028955	**FY25 DPW-Library Replacement of Utility Van (129WBY)**						
74009 - Vehicles-Misc 574009		45,000.00	0.00	0.00	0.00	0.00	45,000.00
Posting Activity Total		45,000.00	0.00	0.00	0.00	0.00	45,000.00
020 - 05 subtotal:		5,872,000.00	692,066.62	729,220.21	1,421,286.83	183,797.50	4,266,915.67
021 - 03	**LoCIP Entitlement**						SD60106
6010641022553	**Ramp Garage 12-020, 13-050, 15-070**						
47000 - Construction Services 547000		450,000.00	208,381.48	241,618.52	450,000.00	0.00	0.00
Posting Activity Total		450,000.00	208,381.48	241,618.52	450,000.00	0.00	0.00
6010641022593	**Underground Tanks 12-030, 16-050, 18-120, 19-130**						
47008 - Tank Removals 547008		600,000.00	416,082.48	24,570.32	440,652.80	17,282.88	142,064.32
Posting Activity Total		600,000.00	416,082.48	24,570.32	440,652.80	17,282.88	142,064.32
6010641026303	**Municipal Parking Lot Paving 23-060**						
47000 - Construction Services 547000		475,000.00	0.00	454,859.49	454,859.49	20,140.51	0.00
Posting Activity Total		475,000.00	0.00	454,859.49	454,859.49	20,140.51	0.00
6010641026313	**FY19 Ramp Garage Repairs 19-120**						
47000 - Construction Services 547000		300,000.00	0.00	72,440.69	72,440.69	48,071.79	179,487.52
Posting Activity Total		300,000.00	0.00	72,440.69	72,440.69	48,071.79	179,487.52
6010641026333	**ADA Code Compliance 19-150, 20-020**						

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
021 - 03	**LoCIP Entitlement**						SD60106
47000 - Construction Services 547000		70,000.00	0.00	0.00	0.00	0.00	70,000.00
Posting Activity Total		70,000.00	0.00	0.00	0.00	0.00	70,000.00
6010641026343	**Flooring Jefferson Square 19-170, 19-240**						
47000 - Construction Services 547000		58,000.00	24,880.77	2,497.00	27,377.77	0.00	30,622.23
Posting Activity Total		58,000.00	24,880.77	2,497.00	27,377.77	0.00	30,622.23
6010641099993	**LoCIP Unallocated Entitlement**						
1 - General Appropriation 500000		256,944.01	0.00	0.00	0.00	0.00	256,944.01
Posting Activity Total		256,944.01	0.00	0.00	0.00	0.00	256,944.01
021 - 03 subtotal:		2,209,944.01	649,344.73	795,986.02	1,445,330.75	85,495.18	679,118.08
023 - 03	**Town Aid Road (TAR)**						SD60085
6008541020163	**Street Lining & Crosswalk**						
47002 - Street Construction 547002		728,000.00	620,218.45	10,181.55	630,400.00	97,600.00	0.00
Posting Activity Total		728,000.00	620,218.45	10,181.55	630,400.00	97,600.00	0.00
6008541021073	**Guide Railing Replacement**						
47001 - Structural Services 547001		320,000.00	318,838.76	0.00	318,838.76	0.00	1,161.24
Posting Activity Total		320,000.00	318,838.76	0.00	318,838.76	0.00	1,161.24
6008541021083	**Safety Improvements Road Icing**						
47002 - Street Construction 547002		625,000.00	625,000.00	0.00	625,000.00	0.00	0.00
Posting Activity Total		625,000.00	625,000.00	0.00	625,000.00	0.00	0.00
6008541021783	**Crack Sealing Various Roads**						
47002 - Street Construction 547002		100,000.00	89,062.69	0.00	89,062.69	10,937.31	0.00
Posting Activity Total		100,000.00	89,062.69	0.00	89,062.69	10,937.31	0.00
6008541022025	**Traffic Signal Pre-emption Equip**						
75412 - Traffic Signal Equip 575412		75,000.00	63,552.00	11,448.00	75,000.00	0.00	0.00
Posting Activity Total		75,000.00	63,552.00	11,448.00	75,000.00	0.00	0.00
6008541022035	**Traffic Signal LED Replacement**						
75412 - Traffic Signal Equip 575412		60,000.00	59,990.47	0.00	59,990.47	0.00	9.53
Posting Activity Total		60,000.00	59,990.47	0.00	59,990.47	0.00	9.53
6008541022143	**FY10 Broom Bear Sweeper**						
74003 - Mobile Equipment 574003		170,000.00	0.00	0.00	0.00	0.00	170,000.00
Posting Activity Total		170,000.00	0.00	0.00	0.00	0.00	170,000.00
6008541022423	**FHWA Traffic & Street Signage**						
47002 - Street Construction 547002		225,000.00	178,186.69	43,538.34	221,725.03	0.00	3,274.97
Posting Activity Total		225,000.00	178,186.69	43,538.34	221,725.03	0.00	3,274.97

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Public Works							
023 - 03	**Town Aid Road (TAR)**						SD60085
6008541023363	**FY14 Safe Routes to School**						
45013 - Security/Safety 545013		40,000.00	12,293.24	0.00	12,293.24	0.00	27,706.76
Posting Activity Total		40,000.00	12,293.24	0.00	12,293.24	0.00	27,706.76
6008541023373	**Snow Removal Sand & Salt**						
69001 - Salt & Sand 569001		6,570,000.00	4,978,506.97	478,889.79	5,457,396.76	119,663.75	992,939.49
Posting Activity Total		6,570,000.00	4,978,506.97	478,889.79	5,457,396.76	119,663.75	992,939.49
6008541023383	**Miscellaneous Drainage**						
47002 - Street Construction 547002		690,000.00	382,325.11	179,616.60	561,941.71	7,726.40	120,331.89
Posting Activity Total		690,000.00	382,325.11	179,616.60	561,941.71	7,726.40	120,331.89
6008541024963	**Snow Plowing Contractors**						
45005 - Snow Plowing 545005		5,075,000.00	2,857,729.54	401,761.40	3,259,490.94	30,472.50	1,785,036.56
Posting Activity Total		5,075,000.00	2,857,729.54	401,761.40	3,259,490.94	30,472.50	1,785,036.56
6008541027793	**Tree Trimming & Removal**						
45013 - Security/Safety 545013		148,585.70	47,180.00	5,300.00	52,480.00	0.00	96,105.70
Posting Activity Total		148,585.70	47,180.00	5,300.00	52,480.00	0.00	96,105.70
6008541099993	**Town Aid Road-Unallocated**						
1 - General Appropriation 500000		7,237.22	0.00	0.00	0.00	0.00	7,237.22
47002 - Street Construction 547002		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		7,237.22	0.00	0.00	0.00	0.00	7,237.22
023 - 03 subtotal:		14,833,822.92	10,232,883.92	1,130,735.68	11,363,619.60	266,399.96	3,203,803.36
062 - 06	**DPW Facility (Waterbury Industrial Commons)**						CO60000
6000041004006	**DPW Consolidated Facility - WPC**						
33011 - Legal Exp & Court Fees 533011		830,335.62	962,164.33	0.00	962,164.33	0.00	-131,828.71
33021 - Financial-Misc 533021		35,000.00	35,000.00	0.00	35,000.00	0.00	0.00
33026 - Enviro Assess Phase II 533026		0.00	0.00	0.00	0.00	0.00	0.00
33028 - Project Management 533028		270,050.00	242,760.15	0.00	242,760.15	0.00	27,289.85
47000 - Construction Services 547000		872,161.60	712,251.09	0.00	712,251.09	0.00	159,910.51
47010 - Acquisition Costs 547010		1,625,849.70	1,630,349.70	0.00	1,630,349.70	0.00	-4,500.00
59107 - Environmental 559107		145,620.75	143,974.90	0.00	143,974.90	0.00	1,645.85
89030 - Moving-Relocation 589030		20,678.83	73,196.33	0.00	73,196.33	0.00	-52,517.50
Posting Activity Total		3,799,696.50	3,799,696.50	0.00	3,799,696.50	0.00	0.00
6000041004106	**WIC Facility - Dept. of Defense Grant 9/29/13**						
33011 - Legal Exp & Court Fees 533011		56,384.22	56,384.22	0.00	56,384.22	0.00	0.00
33016 - Engineering 533016		0.00	0.00	0.00	0.00	0.00	0.00

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
062 - 06	**DPW Facility (Waterbury Industrial Commons)**						CO60000
33026 - Enviro Assess Phase II 533026		392,209.08	392,209.08	0.00	392,209.08	0.00	0.00
33034 - Enviro Assess Phase III 533034		1,371,627.94	1,371,627.94	0.00	1,371,627.94	0.00	0.00
47000 - Construction Services 547000		3,361,380.83	3,361,380.83	0.00	3,361,380.83	0.00	0.00
47006 - Demolition 547006		9,471,193.73	9,471,193.73	0.00	9,471,193.73	0.00	0.00
47012 - Site Work 547012		23,341.98	23,341.98	0.00	23,341.98	0.00	0.00
89030 - Moving-Relocation 589030		69,312.22	69,312.22	0.00	69,312.22	0.00	0.00
Posting Activity Total		14,745,450.00	14,745,450.00	0.00	14,745,450.00	0.00	0.00
6000041004206	**WIC Improvements-Bond**						
33006 - Architectural/Design 533006		3,950,000.00	4,739,233.27	0.00	4,739,233.27	0.00	-789,233.27
33011 - Legal Exp & Court Fees 533011		20,213.04	458,643.34	0.00	458,643.34	0.00	-438,430.30
33034 - Enviro Assess Phase III 533034		33,049.02	823,521.91	6,864.40	830,386.31	0.00	-797,337.29
43020 - Repair and Maintenance- 543020		43,165.00	26,407.83	11,143.83	37,551.66	0.00	5,613.34
47000 - Construction Services 547000		18,717,705.33	16,391,922.81	0.00	16,391,922.81	0.00	2,325,782.52
47006 - Demolition 547006		315,000.00	323,538.19	0.00	323,538.19	0.00	-8,538.19
47013 - Improve to North End 547013		2,500,000.00	2,692,838.81	0.00	2,692,838.81	0.00	-192,838.81
89030 - Moving-Relocation 589030		0.00	105,018.00	0.00	105,018.00	0.00	-105,018.00
99001 - Contingent Appropriatio 599001		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		25,579,132.39	25,561,124.16	18,008.23	25,579,132.39	0.00	0.00
6000041004306	**DPW Consolidated Facility-Debt Issue**						
33021 - Financial-Misc 533021		481,368.93	481,368.93	0.00	481,368.93	0.00	0.00
33033 - Debt Issuance Costs 533033		0.00	0.00	0.00	0.00	0.00	0.00
92002 - Interest - General 592002		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		481,368.93	481,368.93	0.00	481,368.93	0.00	0.00
6000041004406	**DPW Consolidated Facility-DECD**						
33011 - Legal Exp & Court Fees 533011		5,300.00	5,300.00	0.00	5,300.00	0.00	0.00
47006 - Demolition 547006		126,826.11	126,826.11	0.00	126,826.11	0.00	0.00
47012 - Site Work 547012		467,873.89	467,873.89	0.00	467,873.89	0.00	0.00
Posting Activity Total		600,000.00	600,000.00	0.00	600,000.00	0.00	0.00
6000041004506	**DPW Facility - Bond (MacDermid)**						
33006 - Architectural/Design 533006		573,000.00	573,000.00	0.00	573,000.00	0.00	0.00
33011 - Legal Exp & Court Fees 533011		194,853.37	194,853.37	0.00	194,853.37	0.00	0.00
33028 - Project Management 533028		200,842.16	200,842.16	0.00	200,842.16	0.00	0.00
47000 - Construction Services 547000		4,126,938.88	4,126,938.88	0.00	4,126,938.88	0.00	0.00

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
062 - 06	**DPW Facility (Waterbury Industrial Commons)**						CO60000
59107 - Environmental 559107		1,831,388.02	1,815,422.87	15,965.15	1,831,388.02	0.00	0.00
99001 - Contingent Appropriatio 599001		67,636.78	67,636.78	0.00	67,636.78	0.00	0.00
Posting Activity Total		6,994,659.21	6,978,694.06	15,965.15	6,994,659.21	0.00	0.00
6000041004606	**DPW Facility - KBE**						
47000 - Construction Services 547000		30,459,221.00	30,459,221.00	0.00	30,459,221.00	0.00	0.00
99001 - Contingent Appropriatio 599001		5,147,959.01	5,147,959.01	0.00	5,147,959.01	0.00	0.00
Posting Activity Total		35,607,180.01	35,607,180.01	0.00	35,607,180.01	0.00	0.00
6000041004706	**DPW Facility - Soft Costs**						
33011 - Legal Exp & Court Fees 533011		0.00	0.00	0.00	0.00	0.00	0.00
53000 - Communications 553000		92,056.89	92,056.89	0.00	92,056.89	0.00	0.00
75008 - Furniture-Misc 575008		332,789.79	332,789.79	0.00	332,789.79	0.00	0.00
89030 - Moving-Relocation 589030		51,918.00	51,918.00	0.00	51,918.00	0.00	0.00
99001 - Contingent Appropriatio 599001		135,748.28	135,748.28	0.00	135,748.28	0.00	0.00
Posting Activity Total		612,512.96	612,512.96	0.00	612,512.96	0.00	0.00
062 - 06 subtotal:		88,420,000.00	88,386,026.62	33,973.38	88,420,000.00	0.00	0.00
082 - 05	**Great Brook Bridge Culvert ($3.1M Bond) 11/25/13**						OT60028
6002841022785	**Brown Street over Great Brook Bridge Culvert**						
47002 - Street Construction 547002		2,150,000.00	177,919.30	0.00	177,919.30	83,593.21	1,888,487.49
Posting Activity Total		2,150,000.00	177,919.30	0.00	177,919.30	83,593.21	1,888,487.49
6002841023785	**Cherry Street over Great Brook Bridge Culvert**						
47002 - Street Construction 547002		881,084.00	323,534.09	0.00	323,534.09	0.00	557,549.91
Posting Activity Total		881,084.00	323,534.09	0.00	323,534.09	0.00	557,549.91
6002841028615	**Legal Fees**						
33031 - Misc-Legal Exp 533031		20,000.00	16,133.00	0.00	16,133.00	0.00	3,867.00
Posting Activity Total		20,000.00	16,133.00	0.00	16,133.00	0.00	3,867.00
6002841099005	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		48,916.00	0.00	0.00	0.00	0.00	48,916.00
Posting Activity Total		48,916.00	0.00	0.00	0.00	0.00	48,916.00
082 - 05 subtotal:		3,100,000.00	517,586.39	0.00	517,586.39	83,593.21	2,498,820.40
085 - 06	**Naugatuck River Greenway Project 151-321**						CO60005
6000541022836	**Naugatuck River Greenway-Phase 1 - Federal 80%**						
33006 - Architectural/Design 533006		860,568.00	860,363.58	0.00	860,363.58	0.00	204.42
89050 - Administrative Costs 589050		175,000.00	167,092.80	0.00	167,092.80	0.00	7,907.20
Posting Activity Total		1,035,568.00	1,027,456.38	0.00	1,027,456.38	0.00	8,111.62

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
085 - 06	**Naugatuck River Greenway Project 151-321**						CO60005
6000541023486	**Naugatuck River Greenway-Phase II**						
33022 - Professional-Misc 533022		260,000.00	243,229.52	0.00	243,229.52	0.00	16,770.48
Posting Activity Total		260,000.00	243,229.52	0.00	243,229.52	0.00	16,770.48
6000541023746	**Naugatuck River Greenway Phase I Extension-TIGER**						
33006 - Architectural/Design 533006		1,080,000.00	1,075,875.34	0.00	1,075,875.34	0.00	4,124.66
Posting Activity Total		1,080,000.00	1,075,875.34	0.00	1,075,875.34	0.00	4,124.66
085 - 06 subtotal:		2,375,568.00	2,346,561.24	0.00	2,346,561.24	0.00	29,006.76
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010741023553	**Miscellaneous Drainage Projects**						
47002 - Street Construction 547002		1,375,000.00	527,983.16	171,518.77	699,501.93	98,214.71	577,283.36
Posting Activity Total		1,375,000.00	527,983.16	171,518.77	699,501.93	98,214.71	577,283.36
6010741023563	**Handicap Ramps New Construction**						
47000 - Construction Services 547000		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
Posting Activity Total		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
6010741023573	**Underground Storage Tanks**						
47008 - Tank Removals 547008		50,000.00	42,668.57	0.00	42,668.57	0.00	7,331.43
Posting Activity Total		50,000.00	42,668.57	0.00	42,668.57	0.00	7,331.43
6010741023873	**Milling & Paving of Streets**						
47002 - Street Construction 547002		6,166,785.00	6,166,785.00	0.00	6,166,785.00	0.00	0.00
Posting Activity Total		6,166,785.00	6,166,785.00	0.00	6,166,785.00	0.00	0.00
6010741023883	**Sidewalk Improvements**						
47003 - Sidewalk Improvement 547003		4,025,000.00	3,329,206.20	581,807.69	3,911,013.89	95,875.57	18,110.54
Posting Activity Total		4,025,000.00	3,329,206.20	581,807.69	3,911,013.89	95,875.57	18,110.54
6010741024703	**Line Striping, Crosswalks & Loop Dectectors**						
47002 - Street Construction 547002		550,000.00	346,080.46	193,503.30	539,583.76	0.00	10,416.24
Posting Activity Total		550,000.00	346,080.46	193,503.30	539,583.76	0.00	10,416.24
6010741024713	**Traffic Signal Repair & Replacement**						
75412 - Traffic Signal Equip 575412		1,120,000.00	512,940.39	440,121.72	953,062.11	37,418.24	129,519.65
Posting Activity Total		1,120,000.00	512,940.39	440,121.72	953,062.11	37,418.24	129,519.65
6010741024723	**Crack Sealing Various Roads**						
47002 - Street Construction 547002		350,000.00	141,205.81	0.00	141,205.81	200,000.00	8,794.19
Posting Activity Total		350,000.00	141,205.81	0.00	141,205.81	200,000.00	8,794.19
6010741024733	**Improved FHWA Traffic & Street Signage**						
75412 - Traffic Signal Equip 575412		295,000.00	35,640.50	0.00	35,640.50	0.00	259,359.50

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
098 - 03	**Municipal Revenue Sharing Account**						SD60107
Posting Activity Total		295,000.00	35,640.50	0.00	35,640.50	0.00	259,359.50
6010741025013	**Guide Railing Replacement**						
47001 - Structural Services 547001		465,000.00	249,511.21	13,995.38	263,506.59	54,884.00	146,609.41
Posting Activity Total		465,000.00	249,511.21	13,995.38	263,506.59	54,884.00	146,609.41
6010741025023	**Traffic Signal Pre-emption Equipment Replacement**						
75412 - Traffic Signal Equip 575412		140,000.00	0.00	140,000.00	140,000.00	0.00	0.00
Posting Activity Total		140,000.00	0.00	140,000.00	140,000.00	0.00	0.00
6010741025033	**Safe Routes to Schools Warning Signs**						
75412 - Traffic Signal Equip 575412		60,000.00	0.00	0.00	0.00	0.00	60,000.00
Posting Activity Total		60,000.00	0.00	0.00	0.00	0.00	60,000.00
6010741025143	**FY17 Streets Vehicles (168WBY & 271WBY)**						
74009 - Vehicles-Misc 574009		265,000.00	54,557.00	0.00	54,557.00	0.00	210,443.00
Posting Activity Total		265,000.00	54,557.00	0.00	54,557.00	0.00	210,443.00
6010741025733	**FY18 Park Vehicles 197WBY-193WBY-181WBY-183WBY-194WBY-117WBY**						
74009 - Vehicles-Misc 574009		320,000.00	187,508.60	126,939.40	314,448.00	0.00	5,552.00
Posting Activity Total		320,000.00	187,508.60	126,939.40	314,448.00	0.00	5,552.00
6010741025743	**FY18 Refuse Vehicles (211WBY-212WBY-204WBY)**						
74007 - Trash Collection Equipm 574007		545,000.00	545,000.00	0.00	545,000.00	0.00	0.00
Posting Activity Total		545,000.00	545,000.00	0.00	545,000.00	0.00	0.00
6010741025783	**FY18 GPS Equipment Upgrade**						
70500 - Computer Equipment 570500		35,000.00	31,979.45	0.00	31,979.45	0.00	3,020.55
Posting Activity Total		35,000.00	31,979.45	0.00	31,979.45	0.00	3,020.55
6010741025833	**FY18 Update Leachate Collection System**						
47000 - Construction Services 547000		75,000.00	0.00	0.00	0.00	0.00	75,000.00
Posting Activity Total		75,000.00	0.00	0.00	0.00	0.00	75,000.00
6010741025843	**Floor Encapsulation Cleaning Machine**						
71010 - Operations Equipment-Mi 571010		10,000.00	5,398.00	0.00	5,398.00	0.00	4,602.00
Posting Activity Total		10,000.00	5,398.00	0.00	5,398.00	0.00	4,602.00
6010741026113	**FY19 Public Buildings Floor Washing Machine**						
71010 - Operations Equipment-Mi 571010		15,000.00	13,763.00	0.00	13,763.00	0.00	1,237.00
Posting Activity Total		15,000.00	13,763.00	0.00	13,763.00	0.00	1,237.00
6010741026413	**FY19 Refuse Semi-Auto Rear Load Packer with Plow (215WBY)**						
74007 - Trash Collection Equipm 574007		245,000.00	245,000.00	0.00	245,000.00	0.00	0.00
Posting Activity Total		245,000.00	245,000.00	0.00	245,000.00	0.00	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010741026573	**Parks-Replace 2 Landscape Trailers (368WBY-359WBY)**						
74009 - Vehicles-Misc 574009		12,000.00	12,000.00	0.00	12,000.00	0.00	0.00
Posting Activity Total		12,000.00	12,000.00	0.00	12,000.00	0.00	0.00
6010741026593	**Streets-2 Snow Plow Push Boxes**						
74009 - Vehicles-Misc 574009		10,000.00	0.00	0.00	0.00	0.00	10,000.00
Posting Activity Total		10,000.00	0.00	0.00	0.00	0.00	10,000.00
6010741026603	**Streets-Replace Street Sweeper (259WBY)**						
74009 - Vehicles-Misc 574009		100,000.00	0.00	0.00	0.00	0.00	100,000.00
Posting Activity Total		100,000.00	0.00	0.00	0.00	0.00	100,000.00
6010741027183	**Parking Garage Improvements**						
47001 - Structural Services 547001		205,744.00	202,757.60	0.00	202,757.60	0.00	2,986.40
Posting Activity Total		205,744.00	202,757.60	0.00	202,757.60	0.00	2,986.40
6010741027193	**FY20 Safety Improvements to Road Icing Conditions**						
47002 - Street Construction 547002		100,000.00	68,317.31	0.00	68,317.31	0.00	31,682.69
Posting Activity Total		100,000.00	68,317.31	0.00	68,317.31	0.00	31,682.69
6010741027843	**FY22 Traffic Signal Systems Upgrade**						
75412 - Traffic Signal Equip 575412		825,000.00	0.00	0.00	0.00	0.00	825,000.00
Posting Activity Total		825,000.00	0.00	0.00	0.00	0.00	825,000.00
6010741027853	**CMAQ Traffic Signal Systems Upgrade Municipal 20%**						
47000 - Construction Services 547000		350,000.00	0.00	132,337.55	132,337.55	217,662.45	0.00
Posting Activity Total		350,000.00	0.00	132,337.55	132,337.55	217,662.45	0.00
6010741028283	**FY23/FY24 Roadway Improvements**						
47002 - Street Construction 547002		1,775,000.00	133,886.10	9,112.19	142,998.29	184,929.70	1,447,072.01
Posting Activity Total		1,775,000.00	133,886.10	9,112.19	142,998.29	184,929.70	1,447,072.01
6010741028653	**FY24 Milling & Paving of Roads & Parking Lots**						
47002 - Street Construction 547002		4,000,000.00	148,804.20	2,082,393.72	2,231,197.92	1,768,802.08	0.00
Posting Activity Total		4,000,000.00	148,804.20	2,082,393.72	2,231,197.92	1,768,802.08	0.00
6010741028673	**FY24 Dam Improvements**						
47000 - Construction Services 547000		50,000.00	0.00	0.00	0.00	0.00	50,000.00
Posting Activity Total		50,000.00	0.00	0.00	0.00	0.00	50,000.00
6010741029003	**FY25 Milling & Paving of Roads & Parking Lots**						
47002 - Street Construction 547002		4,100,000.00	0.00	168,285.13	168,285.13	3,580,294.21	351,420.66
Posting Activity Total		4,100,000.00	0.00	168,285.13	168,285.13	3,580,294.21	351,420.66
6010741099993	**MRSA Unallocated**						

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
098 - 03	**Municipal Revenue Sharing Account**						SD60107
1 - General Appropriation 500000		54,027.29	0.00	0.00	0.00	0.00	54,027.29
Posting Activity Total		54,027.29	0.00	0.00	0.00	0.00	54,027.29
098 - 03 subtotal:		27,718,556.29	13,030,992.56	4,060,014.85	17,091,007.41	6,238,080.96	4,389,467.92
102 - 05	**Road & Sidewalk Improvements ($2M Bond) 1/28/14**						OT60018
6001841023855	**Road Improvements**						
47002 - Street Construction 547002		989,147.31	989,147.31	0.00	989,147.31	0.00	0.00
Posting Activity Total		989,147.31	989,147.31	0.00	989,147.31	0.00	0.00
6001841023865	**Sidewalk Improvements**						
47003 - Sidewalk Improvement 547003		994,133.33	970,580.93	0.00	970,580.93	21,615.29	1,937.11
Posting Activity Total		994,133.33	970,580.93	0.00	970,580.93	21,615.29	1,937.11
6001841099005	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		16,719.36	16,719.36	0.00	16,719.36	0.00	0.00
Posting Activity Total		16,719.36	16,719.36	0.00	16,719.36	0.00	0.00
102 - 05 subtotal:		2,000,000.00	1,976,447.60	0.00	1,976,447.60	21,615.29	1,937.11
113 - 06	**WATER Project ($19.5M Bond) 2/17/15**						CO60035
6003541024456	**Pre-WATER/TIGER Project $19.5M Bond**						
33022 - Professional-Misc 533022		260,000.00	258,139.59	0.00	258,139.59	0.00	1,860.41
33031 - Misc-Legal Exp 533031		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
33033 - Debt Issuance Costs 533033		110,000.00	24,319.00	0.00	24,319.00	0.00	85,681.00
Posting Activity Total		400,000.00	312,458.59	0.00	312,458.59	0.00	87,541.41
6003541024466	**FY14 TIGER Funds - Federal**						
33041 - Task 2 ROW Acquisition		500,000.00	500,000.00	0.00	500,000.00	0.00	0.00
47015 - Task 1 Construction		4,771,811.55	4,761,951.52	0.00	4,761,951.52	0.00	9,860.03
47016 - Task 2 Construction		4,850,000.00	4,118,307.55	0.00	4,118,307.55	0.00	731,692.45
47017 - Task 3 Construction		3,400,000.00	1,401,896.04	0.00	1,401,896.04	0.00	1,998,103.96
47018 - Task 3 Site Improvement		0.00	0.00	0.00	0.00	0.00	0.00
99008 - Construction Contingency		878,188.45	839,799.90	0.00	839,799.90	0.00	38,388.55
Posting Activity Total		14,400,000.00	11,621,955.01	0.00	11,621,955.01	0.00	2,778,044.99
6003541024906	**Match to TIGER Funds (Local Funds)**						
33039 - Engineering - Final Design		1,150,000.00	1,124,280.33	0.00	1,124,280.33	0.00	25,719.67
33040 - Right-of-Way Acquisition		950,000.00	950,000.00	0.00	950,000.00	0.00	0.00
33042 - Construction Inspection		1,500,000.00	1,485,925.75	0.00	1,485,925.75	0.00	14,074.25
Posting Activity Total		3,600,000.00	3,560,206.08	0.00	3,560,206.08	0.00	39,793.92
6003541024916	**Local Funds**						

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
113 - 06	**WATER Project ($19.5M Bond) 2/17/15**						CO60035
33042	Construction Inspection	300,000.00	300,000.00	0.00	300,000.00	0.00	0.00
47002	Street Construction 547002	20,000.00	13,583.00	0.00	13,583.00	1,080.00	5,337.00
89050	Administrative Costs 589050	780,000.00	748,331.35	0.00	748,331.35	0.00	31,668.65
Posting Activity Total		1,100,000.00	1,061,914.35	0.00	1,061,914.35	1,080.00	37,005.65
113 - 06 subtotal:		19,500,000.00	16,556,534.03	0.00	16,556,534.03	1,080.00	2,942,385.97
126 - 06	**East Liberty Street Bridge Replacement ($4M Bond) 9/11/17**						CO60078
6007841025646	**East Liberty Street Bridge 9151-3681 - State 32.93%**						
47005	Bridge Construction 547005	3,975,000.00	3,131,209.19	0.00	3,131,209.19	0.00	843,790.81
Posting Activity Total		3,975,000.00	3,131,209.19	0.00	3,131,209.19	0.00	843,790.81
6007841099006	**Debt Issuance Costs**						
33021	Financial-Misc 533021	25,000.00	13,493.33	0.00	13,493.33	0.00	11,506.67
Posting Activity Total		25,000.00	13,493.33	0.00	13,493.33	0.00	11,506.67
126 - 06 subtotal:		4,000,000.00	3,144,702.52	0.00	3,144,702.52	0.00	855,297.48
127 - 06	**Greenway Phase I ($9.5M Bond) 9/11/17 CT-DOT Project 151-321**						CO60036
6003641025666	**Greenway Phase I - Federal 100%**						
33045	State of CT - DOT	527,000.00	0.00	0.00	0.00	0.00	527,000.00
47000	Construction Services 547000	934,441.60	934,441.60	0.00	934,441.60	0.00	0.00
Posting Activity Total		1,461,441.60	934,441.60	0.00	934,441.60	0.00	527,000.00
6003641027726	**Greenway Phase I - Federal 80%**						
33039	Engineering - Final Design	40,000.00	0.00	0.00	0.00	0.00	40,000.00
33042	Construction Inspection	1,233,696.73	1,041,621.10	24,431.67	1,066,052.77	167,643.96	0.00
47000	Construction Services 547000	2,968,533.12	2,736,768.58	0.00	2,736,768.58	0.00	231,764.54
99008	Construction Contingency	411,608.64	158,068.64	0.00	158,068.64	0.00	253,540.00
Posting Activity Total		4,653,838.49	3,936,458.32	24,431.67	3,960,889.99	167,643.96	525,304.54
6003641027736	**Greenway Phase I - G&K SEP (CT-DEEP)**						
47000	Construction Services 547000	500,000.00	244,665.56	0.00	244,665.56	0.00	255,334.44
Posting Activity Total		500,000.00	244,665.56	0.00	244,665.56	0.00	255,334.44
6003641027746	**Greenway Phase I - City 100%**						
33021	Financial-Misc 533021	50,000.00	11,733.33	0.00	11,733.33	0.00	38,266.67
33042	Construction Inspection	0.00	0.00	0.00	0.00	0.00	0.00
47000	Construction Services 547000	0.00	0.00	0.00	0.00	0.00	0.00
89050	Administrative Costs 589050	50,000.00	141.70	0.00	141.70	0.00	49,858.30
99001	Contingent Appropriatio 599001	1,121,260.29	476,326.65	269,304.62	745,631.27	482,087.20	-106,458.18
99008	Construction Contingency	500,000.00	147,506.76	0.00	147,506.76	0.00	352,493.24

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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
127 - 06	**Greenway Phase I ($9.5M Bond) 9/11/17 CT-DOT Project 151-321**						CO60036
Posting Activity Total		1,721,260.29	635,708.44	269,304.62	905,013.06	482,087.20	334,160.03
6003641027756	**Greenway Phase I - City 20%**						
33039 - Engineering - Final Design		10,000.00	0.00	0.00	0.00	0.00	10,000.00
33042 - Construction Inspection		308,424.18	217,177.00	6,107.91	223,284.91	85,139.27	0.00
47000 - Construction Services 547000		742,133.28	684,192.15	0.00	684,192.15	0.00	57,941.13
99008 - Construction Contingency		102,902.16	39,517.16	33,889.53	73,406.69	0.00	29,495.47
Posting Activity Total		1,163,459.62	940,886.31	39,997.44	980,883.75	85,139.27	97,436.60
127 - 06 subtotal:		9,500,000.00	6,692,160.23	333,733.73	7,025,893.96	734,870.43	1,739,235.61
138 - 02	**Congestion Mitigation and Air Quality Improvement Program**						FP60079
6007941026172	**Downtown Traffic Signal System State Project 151-325 - 100%**						
33016 - Engineering 533016		423,750.00	319,010.15	-24,841.72	294,168.43	0.00	129,581.57
Posting Activity Total		423,750.00	319,010.15	-24,841.72	294,168.43	0.00	129,581.57
138 - 02 subtotal:		423,750.00	319,010.15	-24,841.72	294,168.43	0.00	129,581.57
141 - 05	**FY19 Road Imp. ($2.5M Bond) 5/06/19 - BONDS ISSUED 2/11/2020**						OT60031
6003141026365	**Road Milling & Repaving of Streets**						
33016 - Engineering 533016		90,000.00	14,149.99	50,155.80	64,305.79	0.00	25,694.21
45013 - Security/Safety 545013		10,000.00	1,252.08	0.00	1,252.08	0.00	8,747.92
47002 - Street Construction 547002		2,389,456.29	2,344,747.24	79,151.18	2,423,898.42	0.00	-34,442.13
Posting Activity Total		2,489,456.29	2,360,149.31	129,306.98	2,489,456.29	0.00	0.00
6003141099005	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		10,543.71	10,543.71	0.00	10,543.71	0.00	0.00
Posting Activity Total		10,543.71	10,543.71	0.00	10,543.71	0.00	0.00
141 - 05 subtotal:		2,500,000.00	2,370,693.02	129,306.98	2,500,000.00	0.00	0.00
142 - 02	**Safe Routes to School**						FP60081
6008141026372	**John G. Gilmartin School State Project 151-324 - 100%**						
33042 - Construction Inspection		54,800.00	0.00	0.00	0.00	0.00	54,800.00
47000 - Construction Services 547000		401,700.00	456,500.00	0.00	456,500.00	0.00	-54,800.00
Posting Activity Total		456,500.00	456,500.00	0.00	456,500.00	0.00	0.00
142 - 02 subtotal:		456,500.00	456,500.00	0.00	456,500.00	0.00	0.00
146 - 05	**FY20 Elevators City Buildings ($3M Bond) 1/21/2020**						OT60121
6012141026755	**Replacement-Refurbishment of City Elevators**						
33000 - Professional Services 533000		150,000.00	107,075.12	13,131.57	120,206.69	24,873.31	4,920.00
33021 - Financial-Misc 533021		50,000.00	17,600.00	0.00	17,600.00	0.00	32,400.00
75505 - Elev Replace & Refurb 575505		2,800,000.00	1,827,773.39	314,055.41	2,141,828.80	692,808.78	-34,637.58

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
410 Department of Public Works							
146 - 05	**FY20 Elevators City Buildings ($3M Bond) 1/21/2020**						OT60121
Posting Activity Total		3,000,000.00	1,952,448.51	327,186.98	2,279,635.49	717,682.09	2,682.42
146 - 05 subtotal:		3,000,000.00	1,952,448.51	327,186.98	2,279,635.49	717,682.09	2,682.42
153 - 05	**FY20 Road Improvements ($3M Bond) 1/21/20**						OT60032
6003241027395	**Milling & Repaving of Streets**						
33016 - Engineering 533016		0.00	2,502.50	12,215.00	14,717.50	0.00	-14,717.50
33021 - Financial-Misc 533021		100,000.00	17,600.00	0.00	17,600.00	0.00	82,400.00
47002 - Street Construction 547002		2,900,000.00	2,061,756.98	902,425.52	2,964,182.50	3,500.00	-67,682.50
70504 - Software 570504		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		3,000,000.00	2,081,859.48	914,640.52	2,996,500.00	3,500.00	0.00
153 - 05 subtotal:		3,000,000.00	2,081,859.48	914,640.52	2,996,500.00	3,500.00	0.00
161 - 03	**Connecticut Community Connectivity Grant Program**						SD60082
6008241027513	**West Main Street Sidewalk Improvements - 100%**						
47003 - Sidewalk Improvement 547003		459,817.00	459,817.00	0.00	459,817.00	0.00	0.00
Posting Activity Total		459,817.00	459,817.00	0.00	459,817.00	0.00	0.00
161 - 03 subtotal:		459,817.00	459,817.00	0.00	459,817.00	0.00	0.00
166 - 03	**Lakeside Boulevard Drainage Improvements**						SD60083
6008341027813	**State Project No. 151-335 - 100%**						
33016 - Engineering 533016		215,200.00	127,410.00	0.00	127,410.00	87,790.00	0.00
47002 - Street Construction 547002		0.00	212.84	0.00	212.84	0.00	-212.84
Posting Activity Total		215,200.00	127,622.84	0.00	127,622.84	87,790.00	-212.84
166 - 03 subtotal:		215,200.00	127,622.84	0.00	127,622.84	87,790.00	-212.84
171 - 03	**Distressed Municipalities Capital Grant**						SD60108
6010841099993	**Unallocated**						
1 - General Appropriation 500000		52,488.82	0.00	0.00	0.00	0.00	52,488.82
Posting Activity Total		52,488.82	0.00	0.00	0.00	0.00	52,488.82
171 - 03 subtotal:		52,488.82	0.00	0.00	0.00	0.00	52,488.82
176 - 05	**Chase Building ($30M Bond) 1/23/2023**						OT60119
6011941028245	**Chase Municipal Building Rehabilitation Project**						
33006 - Architectural/Design 533006		2,500,000.00	0.00	105,903.00	105,903.00	2,304,967.00	89,130.00
33021 - Financial-Misc 533021		400,000.00	0.00	0.00	0.00	0.00	400,000.00
33031 - Misc-Legal Exp 533031		100,000.00	2,625.00	3,600.00	6,225.00	0.00	93,775.00
47000 - Construction Services 547000		27,000,000.00	0.00	0.00	0.00	0.00	27,000,000.00
Posting Activity Total		30,000,000.00	2,625.00	109,503.00	112,128.00	2,304,967.00	27,582,905.00
176 - 05 subtotal:		30,000,000.00	2,625.00	109,503.00	112,128.00	2,304,967.00	27,582,905.00

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
178 - 01	**US DOT RAISE Grant ($23,100,000) ALN 20.933 7/25/2023 - 6/30/2031**						FD60037
6003741028321	**Base Phase**						
33016 - Engineering 533016		3,200,000.00	1,626,163.37	569,708.71	2,195,872.08	892,683.15	111,444.77
Posting Activity Total		3,200,000.00	1,626,163.37	569,708.71	2,195,872.08	892,683.15	111,444.77
6003741028991	**Right of Way Phase**						
33000 - Professional Services 533000		1,109,915.00	0.00	0.00	0.00	16,000.00	1,093,915.00
Posting Activity Total		1,109,915.00	0.00	0.00	0.00	16,000.00	1,093,915.00
178 - 01 subtotal:		4,309,915.00	1,626,163.37	569,708.71	2,195,872.08	908,683.15	1,205,359.77
183 - 04	**Environmental Fee Account (Nips)**						SP60091
6009141028464	**Litter and Blight Control Program**						
89055 - Program Costs 589055		288,000.00	104,438.00	121,461.00	225,899.00	0.00	62,101.00
Posting Activity Total		288,000.00	104,438.00	121,461.00	225,899.00	0.00	62,101.00
6009141099994	**Unallocated**						
1 - General Appropriation 500000		232,909.80	0.00	0.00	0.00	0.00	232,909.80
Posting Activity Total		232,909.80	0.00	0.00	0.00	0.00	232,909.80
183 - 04 subtotal:		520,909.80	104,438.00	121,461.00	225,899.00	0.00	295,010.80
184 - 02	**CMAQ Traffic Signal Upgrade ALN 20.205 State Proj 151-325**						FP60084
6008441028472	**Highway Infrastructure Programs DEMO ID CT 196 80%**						
47000 - Construction Services 547000		1,400,000.00	0.00	485,840.20	485,840.20	914,159.80	0.00
Posting Activity Total		1,400,000.00	0.00	485,840.20	485,840.20	914,159.80	0.00
6008441028482	**Congestion Mitigation and Air Quality Improvement 100%**						
33016 - Engineering 533016		650,000.00	1,749.24	12,186.64	13,935.88	583,389.87	52,674.25
47000 - Construction Services 547000		5,400,000.00	0.00	43,510.00	43,510.00	4,378,453.15	978,036.85
Posting Activity Total		6,050,000.00	1,749.24	55,696.64	57,445.88	4,961,843.02	1,030,711.10
184 - 02 subtotal:		7,450,000.00	1,749.24	541,536.84	543,286.08	5,876,002.82	1,030,711.10
191 - 03	**LoCIP Grant**						SD60109
6010941028723	**Sidewalks New Construction & Replacement**						
47003 - Sidewalk Improvement 547003		3,050,000.00	0.00	370,892.38	370,892.38	1,179,107.62	1,500,000.00
Posting Activity Total		3,050,000.00	0.00	370,892.38	370,892.38	1,179,107.62	1,500,000.00
6010941099993	**LoCIP Grant Unallocated**						
1 - General Appropriation 500000		18,462.38	0.00	0.00	0.00	0.00	18,462.38
Posting Activity Total		18,462.38	0.00	0.00	0.00	0.00	18,462.38
191 - 03 subtotal:		3,068,462.38	0.00	370,892.38	370,892.38	1,179,107.62	1,518,462.38
192 - 02	**Walnut, E. Farm, N. Walnut St. CT-DOT Project 151-337 90%**						CO60086
6008641028752	**Walnut, E. Farm, N. Walnut St. CT-DOT Project 151-337 90%**						

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
410 Department of Public Works							
192 - 02	**Walnut, E. Farm, N. Walnut St. CT-DOT Project 151-337 90%**						CO60086
47002 - Street Construction 547002		713,859.30	0.00	601,126.42	601,126.42	112,732.88	0.00
Posting Activity Total		713,859.30	0.00	601,126.42	601,126.42	112,732.88	0.00
192 - 02 subtotal:		713,859.30	0.00	601,126.42	601,126.42	112,732.88	0.00
193 - 03	**DEEP Clough Brook Watershed 07/16/2024-01/15/26 DCRF-2023-02-10**						SD61028
6102841028763	**DEEP Clough Brook Watershed 07/16/2024-01/15/26 DCRF-2023-02-10**						
11500 - Salaries (Non BOE salaries)		37,209.00	0.00	0.00	0.00	0.00	37,209.00
23501 - Fringe Other - General 523501		19,348.00	0.00	0.00	0.00	0.00	19,348.00
33018 - Contractual 533018		575,148.00	0.00	0.00	0.00	550,000.00	25,148.00
33022 - Professional-Misc 533022		6,500.00	0.00	0.00	0.00	0.00	6,500.00
61200 - Office Supplies 561200		4,000.00	0.00	0.00	0.00	0.00	4,000.00
89050 - Administrative Costs 589050		6,456.00	0.00	0.00	0.00	0.00	6,456.00
89206 - Travel & Official-Misc 589206		4,000.00	0.00	0.00	0.00	0.00	4,000.00
Posting Activity Total		652,661.00	0.00	0.00	0.00	550,000.00	102,661.00
193 - 03 subtotal:		652,661.00	0.00	0.00	0.00	550,000.00	102,661.00
201 - 05	**One Exchange Building ($6M Bond) 5/19/2025**						OT60122
6012241029095	**Rehabilitation, Renovation and Fit Out**						
33000 - Professional Services 533000		500,000.00	0.00	0.00	0.00	0.00	500,000.00
47000 - Construction Services 547000		5,500,000.00	0.00	0.00	0.00	0.00	5,500,000.00
Posting Activity Total		6,000,000.00	0.00	0.00	0.00	0.00	6,000,000.00
201 - 05 subtotal:		6,000,000.00	0.00	0.00	0.00	0.00	6,000,000.00
410 subtotal:		258,368,245.60	166,523,657.16	11,390,513.23	177,914,170.39	20,017,498.80	60,436,576.41

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
450 Bureau of Water							
159 - 01	**American Rescue Plan Act - Fiscal Recovery Funding**						FD60050
6005045029501	**Water Storage Tank Improvements**						
33000 - Professional Services 533000		27,415.50	27,415.50	0.00	27,415.50	0.00	0.00
Posting Activity Total		27,415.50	27,415.50	0.00	27,415.50	0.00	0.00
6005045029511	**Water Slip Lining PCCP Thoamston Avenue**						
33016 - Engineering 533016		120,200.02	109,684.67	10,515.35	120,200.02	0.00	0.00
Posting Activity Total		120,200.02	109,684.67	10,515.35	120,200.02	0.00	0.00
6005045029521	**Water Pump Replacement**						
75407 - Pumping Equipment 575407		128,352.00	128,352.00	0.00	128,352.00	0.00	0.00
Posting Activity Total		128,352.00	128,352.00	0.00	128,352.00	0.00	0.00
6005045029541	**Flowmeters and Equipment Purchase**						
75404 - Water Meters 575404		194,750.00	194,750.00	0.00	194,750.00	0.00	0.00
Posting Activity Total		194,750.00	194,750.00	0.00	194,750.00	0.00	0.00
6005045029551	**Replacement of Blackman & Hitchcock Water Storage Tanks**						
33000 - Professional Services 533000		694,415.00	494,702.47	78,979.53	573,682.00	120,733.00	0.00
47010 - Acquisition Costs 547010		178,031.92	178,031.92	0.00	178,031.92	0.00	0.00
Posting Activity Total		872,446.92	672,734.39	78,979.53	751,713.92	120,733.00	0.00
6005045029561	**Water Treatment Plant Pumping and Mixing Systems**						
33000 - Professional Services 533000		1,087,135.19	749,421.73	139,138.52	888,560.25	198,574.94	0.00
Posting Activity Total		1,087,135.19	749,421.73	139,138.52	888,560.25	198,574.94	0.00
6005045029571	**Pump Station Improvements**						
33000 - Professional Services 533000		351,100.00	327,529.09	23,570.91	351,100.00	0.00	0.00
Posting Activity Total		351,100.00	327,529.09	23,570.91	351,100.00	0.00	0.00
6005045029581	**Water System Hydraulic Model**						
33000 - Professional Services 533000		197,073.67	197,073.67	0.00	197,073.67	0.00	0.00
Posting Activity Total		197,073.67	197,073.67	0.00	197,073.67	0.00	0.00
6005045029591	**WTP Secondary Power Supply Feed**						
33000 - Professional Services 533000		45,000.00	45,000.00	0.00	45,000.00	0.00	0.00
Posting Activity Total		45,000.00	45,000.00	0.00	45,000.00	0.00	0.00
159 - 01 subtotal:		3,023,473.30	2,451,961.05	252,204.31	2,704,165.36	319,307.94	0.00
450 subtotal:		3,023,473.30	2,451,961.05	252,204.31	2,704,165.36	319,307.94	0.00

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
501 City Planning							
019 - 05	**Capital Improvement Fund**						OT60180
6018050122545	**Amber Manor Resubdivision**						
47000 - Construction Services 547000		19,879.50	9,814.50	0.00	9,814.50	0.00	10,065.00
Posting Activity Total		19,879.50	9,814.50	0.00	9,814.50	0.00	10,065.00
6018050122855	**Whispering Knolls Subdivision**						
47002 - Street Construction 547002		4,000.00	0.00	0.00	0.00	0.00	4,000.00
Posting Activity Total		4,000.00	0.00	0.00	0.00	0.00	4,000.00
6018050123215	**Ridgegate Woods-Roads**						
47000 - Construction Services 547000		6,400.00	0.00	0.00	0.00	0.00	6,400.00
Posting Activity Total		6,400.00	0.00	0.00	0.00	0.00	6,400.00
6018050123225	**Ridgegate Woods-Maintenance**						
47000 - Construction Services 547000		25,533.00	0.00	0.00	0.00	0.00	25,533.00
Posting Activity Total		25,533.00	0.00	0.00	0.00	0.00	25,533.00
6018050123235	**Vesta Homes Ridgegate Woods**						
47000 - Construction Services 547000		27,767.00	0.00	0.00	0.00	0.00	27,767.00
Posting Activity Total		27,767.00	0.00	0.00	0.00	0.00	27,767.00
6018050123245	**Vesta Homes Ridgegate Woods Ph II**						
47000 - Construction Services 547000		29,249.92	0.00	0.00	0.00	0.00	29,249.92
Posting Activity Total		29,249.92	0.00	0.00	0.00	0.00	29,249.92
6018050123325	**Society Hill Subdivision**						
47000 - Construction Services 547000		110,172.00	110,172.00	0.00	110,172.00	0.00	0.00
Posting Activity Total		110,172.00	110,172.00	0.00	110,172.00	0.00	0.00
019 - 05 subtotal:		223,001.42	119,986.50	0.00	119,986.50	0.00	103,014.92
191 - 03	**LoCIP Grant**						SD60109
6010950128813	**FY25 Plan of Conservation and Development**						
33000 - Professional Services 533000		250,000.00	0.00	92,700.00	92,700.00	138,300.00	19,000.00
Posting Activity Total		250,000.00	0.00	92,700.00	92,700.00	138,300.00	19,000.00
191 - 03 subtotal:		250,000.00	0.00	92,700.00	92,700.00	138,300.00	19,000.00
501 subtotal:		473,001.42	119,986.50	92,700.00	212,686.50	138,300.00	122,014.92

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
605 Waterbury Development Corp							
019 - 05	**Capital Improvement Fund**						OT60180
6018060526085	**MA Green Clock Restoration**						
43000 - General Repairs & Maint 543000		53,425.00	52,015.22	0.00	52,015.22	0.00	1,409.78
Posting Activity Total		53,425.00	52,015.22	0.00	52,015.22	0.00	1,409.78
6018060526425	**Train Station Improvements**						
33000 - Professional Services 533000		20,800.00	20,624.16	0.00	20,624.16	0.00	175.84
Posting Activity Total		20,800.00	20,624.16	0.00	20,624.16	0.00	175.84
6018060526735	**909 Bank Street Remediation**						
47000 - Construction Services 547000		25,500.00	4,087.21	0.00	4,087.21	0.00	21,412.79
Posting Activity Total		25,500.00	4,087.21	0.00	4,087.21	0.00	21,412.79
6018060528535	**Bristol Street Property Demo & Remediation**						
33000 - Professional Services 533000		245,000.00	0.00	22,260.28	22,260.28	222,168.72	571.00
47000 - Construction Services 547000		755,000.00	0.00	18,800.00	18,800.00	0.00	736,200.00
Posting Activity Total		1,000,000.00	0.00	41,060.28	41,060.28	222,168.72	736,771.00
6018060528745	**Bluewater Mgmt South Main St. (Proposed Amazon Facility)**						
33000 - Professional Services 533000		39,600.00	0.00	39,260.00	39,260.00	340.00	0.00
Posting Activity Total		39,600.00	0.00	39,260.00	39,260.00	340.00	0.00
019 - 05 subtotal:		1,139,325.00	76,726.59	80,320.28	157,046.87	222,508.72	759,769.41
097 - 03	**State of CT DOT Grant Wtby Train Station Improvements**						SD60006
6000660526653	**Waterbury Train Station Intermodal Imp Phase 2 State Project No. 304-12**						
33016 - Engineering 533016		175,000.00	206,565.52	0.00	206,565.52	1,994.67	-33,560.19
47000 - Construction Services 547000		1,507,200.00	1,431,982.69	0.00	1,431,982.69	0.00	75,217.31
Posting Activity Total		1,682,200.00	1,638,548.21	0.00	1,638,548.21	1,994.67	41,657.12
097 - 03 subtotal:		1,682,200.00	1,638,548.21	0.00	1,638,548.21	1,994.67	41,657.12
134 - 03	**Dept. of Econ. Comm. Dev./Dept. of Transportation/Local**						SD60042
6004260526013	**East Main Street Streetscape Project-DECD $4 Million Grant**						
33016 - Engineering 533016		938,971.23	591,534.73	0.00	591,534.73	0.00	347,436.50
47000 - Construction Services 547000		2,705,222.77	3,052,659.27	0.00	3,052,659.27	0.00	-347,436.50
89050 - Administrative Costs 589050		4,356.00	4,356.00	0.00	4,356.00	0.00	0.00
Posting Activity Total		3,648,550.00	3,648,550.00	0.00	3,648,550.00	0.00	0.00
6004260526183	**East Main Streetscape Proj. Water/Sewer Contribution $1.1 M**						
33016 - Engineering 533016		0.00	228,183.57	0.00	228,183.57	0.00	-228,183.57
45013 - Security/Safety 545013		0.00	15,752.49	0.00	15,752.49	0.00	-15,752.49
47000 - Construction Services 547000		1,051,124.35	446,545.84	0.00	446,545.84	0.00	604,578.51
Posting Activity Total		1,051,124.35	690,481.90	0.00	690,481.90	0.00	360,642.45

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BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
605 Waterbury Development Corp							
134 - 03	**Dept. of Econ. Comm. Dev./Dept. of Transportation/Local**						SD60042
6004260526663	**N Main-S Main & Bank St Streetscape-DECD $4 Million Grant**						
33016 - Engineering 533016		351,450.00	346,809.23	0.00	346,809.23	0.00	4,640.77
47000 - Construction Services 547000		0.00	4,640.77	0.00	4,640.77	0.00	-4,640.77
Posting Activity Total		351,450.00	351,450.00	0.00	351,450.00	0.00	0.00
6004260526693	**N Main-S Main & Bank St Water/Sewer Contribution $1.1 M**						
33016 - Engineering 533016		48,875.65	12,239.60	36,636.05	48,875.65	0.00	0.00
Posting Activity Total		48,875.65	12,239.60	36,636.05	48,875.65	0.00	0.00
6004260527453	**Exchange Place Revitalization Phase 1 - E Main (LOTCIP)**						
33016 - Engineering 533016		0.00	78,539.69	0.00	78,539.69	0.00	-78,539.69
47000 - Construction Services 547000		3,024,355.20	2,455,533.05	0.00	2,455,533.05	0.00	568,822.15
Posting Activity Total		3,024,355.20	2,534,072.74	0.00	2,534,072.74	0.00	490,282.46
6004260527463	**Exchange Place Phase 1 - E Main (LOTCIP-Municipal)**						
47000 - Construction Services 547000		168,743.50	168,743.50	0.00	168,743.50	0.00	0.00
Posting Activity Total		168,743.50	168,743.50	0.00	168,743.50	0.00	0.00
6004260527473	**Exch Pl Ph 1 - E Main (LOTCIP-Municipal) DE CRISANTI TRUST**						
69006 - Agriculture/Hort Supp 569006		37,619.79	19,841.50	0.00	19,841.50	0.00	17,778.29
Posting Activity Total		37,619.79	19,841.50	0.00	19,841.50	0.00	17,778.29
134 - 03 subtotal:		8,330,718.49	7,425,379.24	36,636.05	7,462,015.29	0.00	868,703.20
152 - 05	**FY20 Demo Abandoned Prop Address Blight ($1M Bond) 1/21/2020**						OT60045
6004560526895	**Demolition of Blighted Properties**						
33014 - Testing 533014		0.00	36,821.74	0.00	36,821.74	0.00	-36,821.74
33021 - Financial-Misc 533021		30,000.00	5,866.67	0.00	5,866.67	0.00	24,133.33
45013 - Security/Safety 545013		0.00	52,585.00	0.00	52,585.00	0.00	-52,585.00
47006 - Demolition 547006		970,000.00	840,867.40	63,859.19	904,726.59	0.00	65,273.41
Posting Activity Total		1,000,000.00	936,140.81	63,859.19	1,000,000.00	0.00	0.00
152 - 05 subtotal:		1,000,000.00	936,140.81	63,859.19	1,000,000.00	0.00	0.00
154 - 03	**State of CT DEEP Grant CUAP 2019-02 9/10/2020 to 09/09/2025**						SD61042
6104260527413	**Mill Street Park 13019-DEP43153-41239 (Wtby)**						
33006 - Architectural/Design 533006		136,630.00	136,630.00	0.00	136,630.00	0.00	0.00
47000 - Construction Services 547000		2,863,370.00	2,863,370.00	0.00	2,863,370.00	0.00	0.00
Posting Activity Total		3,000,000.00	3,000,000.00	0.00	3,000,000.00	0.00	0.00
154 - 03 subtotal:		3,000,000.00	3,000,000.00	0.00	3,000,000.00	0.00	0.00
155 - 03	**State of CT DECD Anaconda American Brass Complex**						SD60046
6004660527423	**130 Freight Demolition Project (WDC)**						

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
605 Waterbury Development Corp.							
155 - 03	**State of CT DECD Anaconda American Brass Complex**						SD60046
33016 - Engineering 533016		75,000.00	0.00	0.00	0.00	0.00	75,000.00
33031 - Misc-Legal Exp 533031		5,000.00	6,754.00	0.00	6,754.00	0.00	-1,754.00
47006 - Demolition 547006		1,260,000.00	1,493,246.00	0.00	1,493,246.00	0.00	-233,246.00
89050 - Administrative Costs 589050		40,000.00	0.00	0.00	0.00	0.00	40,000.00
99001 - Contingent Appropriatio 599001		120,000.00	0.00	0.00	0.00	0.00	120,000.00
Posting Activity Total		1,500,000.00	1,500,000.00	0.00	1,500,000.00	0.00	0.00
6004660527893	**130 Freight - Phase II (Wtby 13019-ECD46250-41240)**						
47006 - Demolition 547006		2,000,000.00	1,960,384.21	4,327.00	1,964,711.21	22,135.72	13,153.07
Posting Activity Total		2,000,000.00	1,960,384.21	4,327.00	1,964,711.21	22,135.72	13,153.07
155 - 03 subtotal:		3,500,000.00	3,460,384.21	4,327.00	3,464,711.21	22,135.72	13,153.07
167 - 05	**FY22 Demo Abandoned Prop Address Blight ($1M Bond) 1/24/2022**						OT60047
6004760527825	**Demolition of Blighted Properties**						
33021 - Financial-Misc 533021		30,000.00	2,933.33	0.00	2,933.33	0.00	27,066.67
47006 - Demolition 547006		970,000.00	632,214.57	38,740.81	670,955.38	0.00	299,044.62
Posting Activity Total		1,000,000.00	635,147.90	38,740.81	673,888.71	0.00	326,111.29
167 - 05 subtotal:		1,000,000.00	635,147.90	38,740.81	673,888.71	0.00	326,111.29
172 - 06	**Brass City Food Hub**						CO60039
6003960528026	**US Dept of Commerce EDA ALN 11.307 7/5/22-1/04/24 (Match $80,000)**						
33018 - Contractual 533018		400,000.00	171,845.45	210,490.83	382,336.28	0.00	17,663.72
Posting Activity Total		400,000.00	171,845.45	210,490.83	382,336.28	0.00	17,663.72
6003960528266	**State of CT DECD Brownfields (WDC) 12052-ECD46000-43403**						
33006 - Architectural/Design 533006		89,970.00	0.00	0.00	0.00	0.00	89,970.00
Posting Activity Total		89,970.00	0.00	0.00	0.00	0.00	89,970.00
172 - 06 subtotal:		489,970.00	171,845.45	210,490.83	382,336.28	0.00	107,633.72
174 - 06	**State of CT DECD (WDC) Anaconda Complex 12052-ECD46260-43403 May 23, 2022 - December 31, 2024**						CO60038
6003860528096	**170 Freight Street Assessment Project**						
33017 - Environmental Assess 533017		200,000.00	198,310.56	1,671.59	199,982.15	17.85	0.00
Posting Activity Total		200,000.00	198,310.56	1,671.59	199,982.15	17.85	0.00
174 - 06 subtotal:		200,000.00	198,310.56	1,671.59	199,982.15	17.85	0.00
177 - 01	**U.S. EPA Assessment Project ALN 66.818 July 1, 2022 - Sept. 30, 2025**						FD60013
6001360528251	**City of Waterbury Assessment**						
33018 - Contractual 533018		145,000.00	80,866.34	30,523.68	111,390.02	0.00	33,609.98
61200 - Office Supplies 561200		5,000.00	5,000.00	0.00	5,000.00	0.00	0.00
Posting Activity Total		150,000.00	85,866.34	30,523.68	116,390.02	0.00	33,609.98

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
605 Waterbury Development Corp.							
177 - 01 subtotal:		150,000.00	85,866.34	30,523.68	116,390.02	0.00	33,609.98
179 - 01	**US EPA Brownfields ALN 66.818 July 1, 2023 - Sept. 30, 2027**						FD60055
6005560528331	**777 South Main Street**						
33018 - Contractual 533018		89,970.00	7,776.14	65,381.54	73,157.68	16,812.32	0.00
47000 - Construction Services 547000		905,030.00	0.00	0.00	0.00	0.00	905,030.00
89206 - Travel & Official-Misc 589206		5,000.00	5,000.00	0.00	5,000.00	0.00	0.00
Posting Activity Total		1,000,000.00	12,776.14	65,381.54	78,157.68	16,812.32	905,030.00
179 - 01 subtotal:		1,000,000.00	12,776.14	65,381.54	78,157.68	16,812.32	905,030.00
182 - 03	**State of CT DECD Odd Fellows Hall**						SD60056
6005660528453	**Rehabilitation Project 36 North Main Street**						
33016 - Engineering 533016		600,000.00	294,500.00	305,500.00	600,000.00	0.00	0.00
47000 - Construction Services 547000		8,790,000.00	5,746,046.88	3,043,953.12	8,790,000.00	0.00	0.00
89050 - Administrative Costs 589050		14,277.00	0.00	14,277.00	14,277.00	0.00	0.00
99001 - Contingent Appropriatio 599001		595,723.00	0.00	595,723.00	595,723.00	0.00	0.00
Posting Activity Total		10,000,000.00	6,040,546.88	3,959,453.12	10,000,000.00	0.00	0.00
182 - 03 subtotal:		10,000,000.00	6,040,546.88	3,959,453.12	10,000,000.00	0.00	0.00
190 - 03	**State of CT DECD (WDC)**						SD60048
6004860528713	**835 South Main Street**						
47006 - Demolition 547006		3,100,000.00	0.00	2,594,110.31	2,594,110.31	505,889.69	0.00
Posting Activity Total		3,100,000.00	0.00	2,594,110.31	2,594,110.31	505,889.69	0.00
190 - 03 subtotal:		3,100,000.00	0.00	2,594,110.31	2,594,110.31	505,889.69	0.00
196 - 01	**HUD-EDI B-24-CP-CT-0484 ALN 14.251 Ends Aug. 31, 2032**						FD61031
6103160528861	**Hamilton Park Pedestrian Safety & Streetscape Improvements**						
33000 - Professional Services 533000		850,000.00	0.00	0.00	0.00	707,900.00	142,100.00
Posting Activity Total		850,000.00	0.00	0.00	0.00	707,900.00	142,100.00
196 - 01 subtotal:		850,000.00	0.00	0.00	0.00	707,900.00	142,100.00
197 - 03	**CT Dept. of Transportation LOTCIP/Local**						SD60049
6004960528873	**CTDOT LOTCIP Participating Exchange Place-Phase 2**						
33000 - Professional Services 533000		1,000,498.34	0.00	0.00	0.00	0.00	1,000,498.34
47000 - Construction Services 547000		11,005,481.74	0.00	1,177,759.93	1,177,759.93	10,828,220.15	-1,000,498.34
Posting Activity Total		12,005,980.08	0.00	1,177,759.93	1,177,759.93	10,828,220.15	0.00
6004960528883	**LOCAL NON-PARTICIPATING Exchange Place-Phase 2**						
33000 - Professional Services 533000		138,538.00	0.00	0.00	0.00	0.00	138,538.00
47000 - Construction Services 547000		1,523,918.00	0.00	289,541.56	289,541.56	1,372,878.10	-138,501.66
Posting Activity Total		1,662,456.00	0.00	289,541.56	289,541.56	1,372,878.10	36.34

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
605 Exchange Place-Phase 2							
197 - 03 subtotal:		13,668,436.08	0.00	1,467,301.49	1,467,301.49	12,201,098.25	36.34
200 - 03	**State of CT DECD Anaconda American Brass-CIF Rnd 1**						SD60058
6005860529093	**DECD-Freight Street Corridor (WDC)**						
47000 - Construction Services 547000		9,992,500.00	0.00	0.00	0.00	0.00	9,992,500.00
89050 - Administrative Costs 589050		7,500.00	0.00	0.00	0.00	0.00	7,500.00
Posting Activity Total		10,000,000.00	0.00	0.00	0.00	0.00	10,000,000.00
200 - 03 subtotal:		10,000,000.00	0.00	0.00	0.00	0.00	10,000,000.00
605 subtotal:		59,110,649.57	23,681,672.33	8,552,815.89	32,234,488.22	13,678,357.22	13,197,804.13

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
701 Library							
019 - 05	**Capital Improvement Fund**						OT60180
6018070121145	**FY07 Exterior Wall Repair**						
47000 - Construction Services 547000		50,000.00	22,271.03	0.00	22,271.03	0.00	27,728.97
Posting Activity Total		50,000.00	22,271.03	0.00	22,271.03	0.00	27,728.97
6018070121155	**Information Technology Equipment**						
70500 - Computer Equipment 570500		425,000.00	310,496.61	39,603.36	350,099.97	0.00	74,900.03
Posting Activity Total		425,000.00	310,496.61	39,603.36	350,099.97	0.00	74,900.03
6018070122995	**FY13 VOIP Telephone System**						
75201 - Communication Equipment 575201		21,608.00	20,980.78	0.00	20,980.78	0.00	627.22
Posting Activity Total		21,608.00	20,980.78	0.00	20,980.78	0.00	627.22
6018070124045	**FY15 Fire Alarm Board Replacement**						
45013 - Security/Safety 545013		10,000.00	0.00	0.00	0.00	0.00	10,000.00
Posting Activity Total		10,000.00	0.00	0.00	0.00	0.00	10,000.00
6018070125495	**Entry Plaza Improvements**						
47000 - Construction Services 547000		117,405.50	116,955.50	0.00	116,955.50	450.00	0.00
Posting Activity Total		117,405.50	116,955.50	0.00	116,955.50	450.00	0.00
6018070127345	**Security Camera Expansion/Replacement**						
45013 - Security/Safety 545013		25,000.00	23,764.74	0.00	23,764.74	0.00	1,235.26
Posting Activity Total		25,000.00	23,764.74	0.00	23,764.74	0.00	1,235.26
6018070127355	**Replacement of Servers**						
70500 - Computer Equipment 570500		15,000.00	0.00	0.00	0.00	0.00	15,000.00
Posting Activity Total		15,000.00	0.00	0.00	0.00	0.00	15,000.00
6018070128855	**Building Improvements**						
47000 - Construction Services 547000		75,000.00	0.00	0.00	0.00	0.00	75,000.00
Posting Activity Total		75,000.00	0.00	0.00	0.00	0.00	75,000.00
019 - 05 subtotal:		739,013.50	494,468.66	39,603.36	534,072.02	450.00	204,491.48
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010770124693	**Replacement of Information Technology Equipment**						
70500 - Computer Equipment 570500		75,000.00	74,976.03	0.00	74,976.03	0.00	23.97
Posting Activity Total		75,000.00	74,976.03	0.00	74,976.03	0.00	23.97
6010770125113	**Security Cameras**						
45013 - Security/Safety 545013		34,000.00	33,508.57	0.00	33,508.57	0.00	491.43
Posting Activity Total		34,000.00	33,508.57	0.00	33,508.57	0.00	491.43
098 - 03 subtotal:		109,000.00	108,484.60	0.00	108,484.60	0.00	515.40
135 - 05	**Renovation of Library Park**						OT60073

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
701 Library							
135 - 05	**Renovation of Library Park**						OT60073
6007370126025	**Webster Bank Commitment**						
33016 - Engineering 533016		174,640.00	206,423.65	0.00	206,423.65	0.00	-31,783.65
33022 - Professional-Misc 533022		14,300.00	27,896.18	0.00	27,896.18	0.00	-13,596.18
47000 - Construction Services 547000		791,060.00	644,704.69	0.00	644,704.69	0.00	146,355.31
71010 - Operations Equipment-Mi 571010		20,000.00	88,208.45	0.00	88,208.45	2,048.29	-70,256.74
Posting Activity Total		1,000,000.00	967,232.97	0.00	967,232.97	2,048.29	30,718.74
135 - 05 subtotal:		1,000,000.00	967,232.97	0.00	967,232.97	2,048.29	30,718.74
175 - 03	**Connecticut State Library Grant 5/26/2022 - 5/25/2027**						SD60074
6007470128123	**Silas Bronson Library Elevator Replacement**						
75505 - Elev Replace & Refurb 575505		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
Posting Activity Total		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
175 - 03 subtotal:		250,000.00	250,000.00	0.00	250,000.00	0.00	0.00
701 subtotal:		2,098,013.50	1,820,186.23	39,603.36	1,859,789.59	2,498.29	235,725.62

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
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LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:46:08AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
800 Education							
017 - 03	**School Building Maintenance Program**						SD65035
6503580010003	**School Building Maintenance Program**						
71008 - Janitorial Tools/Equip 571008		134,960.28	121,713.32	13,246.96	134,960.28	0.00	0.00
Posting Activity Total		134,960.28	121,713.32	13,246.96	134,960.28	0.00	0.00
017 - 03 subtotal:		134,960.28	121,713.32	13,246.96	134,960.28	0.00	0.00
019 - 05	**Capital Improvement Fund**						OT60180
6018080025615	**FY17 School Building Improvements**						
75501 - Building Improvements 575501		285,000.00	280,264.08	0.00	280,264.08	0.00	4,735.92
Posting Activity Total		285,000.00	280,264.08	0.00	280,264.08	0.00	4,735.92
6018080026625	**Phone System Upgrades (SF)**						
75201 - Communication Equipment 575201		840,000.00	790,675.41	0.00	790,675.41	0.00	49,324.59
Posting Activity Total		840,000.00	790,675.41	0.00	790,675.41	0.00	49,324.59
6018080026645	**FY20 Elevator Repairs (SF)**						
43000 - General Repairs & Maint 543000		125,000.00	85,260.00	20,500.00	105,760.00	0.00	19,240.00
Posting Activity Total		125,000.00	85,260.00	20,500.00	105,760.00	0.00	19,240.00
6018080027105	**Floor Replacement (SF)**						
47000 - Construction Services 547000		1,380,000.00	509,436.68	23,738.09	533,174.77	132,206.00	714,619.23
Posting Activity Total		1,380,000.00	509,436.68	23,738.09	533,174.77	132,206.00	714,619.23
6018080027115	**HVAC Repairs and Replacement (SF)**						
75411 - HVAC 575411		632,827.44	497,557.72	105,560.26	603,117.98	25,462.00	4,247.46
Posting Activity Total		632,827.44	497,557.72	105,560.26	603,117.98	25,462.00	4,247.46
6018080027125	**Elevator Upgrades (SF)**						
75505 - Elev Replace & Refurb 575505		280,000.00	48,261.24	30,854.50	79,115.74	0.00	200,884.26
Posting Activity Total		280,000.00	48,261.24	30,854.50	79,115.74	0.00	200,884.26
6018080027135	**FY21 Bleacher Replacement (SF)**						
47000 - Construction Services 547000		100,000.00	0.00	0.00	0.00	0.00	100,000.00
Posting Activity Total		100,000.00	0.00	0.00	0.00	0.00	100,000.00
6018080027405	**Purchase & Improvements Southmayd/Beecher Property (SF)**						
47010 - Acquisition Costs 547010		1,750,000.00	1,750,000.00	0.00	1,750,000.00	0.00	0.00
75501 - Building Improvements 575501		800,000.00	728,757.89	27,740.00	756,497.89	0.00	43,502.11
Posting Activity Total		2,550,000.00	2,478,757.89	27,740.00	2,506,497.89	0.00	43,502.11
6018080027635	**Education Building and Equipment Improvements (SF)**						
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	103,863.98	-103,863.98
43000 - General Repairs & Maint 543000		0.00	0.00	0.00	0.00	0.00	0.00
70500 - Computer Equipment 570500		0.00	25,775.00	0.00	25,775.00	0.00	-25,775.00

City of Waterbury - Activity Report - Current Year Versus Prior

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
800 Education							
019 - 05	**Capital Improvement Fund**						OT60180
75501 - Building Improvements 575501		2,643,788.82	1,001,012.86	720,694.24	1,721,707.10	559,284.69	362,797.03
Posting Activity Total		2,643,788.82	1,026,787.86	720,694.24	1,747,482.10	663,148.67	233,158.05
6018080027645	**School Security Improvements (SF)**						
45013 - Security/Safety 545013		2,250,000.00	2,135,902.07	86,851.00	2,222,753.07	3,028.42	24,218.51
Posting Activity Total		2,250,000.00	2,135,902.07	86,851.00	2,222,753.07	3,028.42	24,218.51
6018080027855	**Kennedy Pool Repair (SF)**						
43000 - General Repairs & Maint 543000		150,000.00	0.00	93,905.52	93,905.52	33,283.75	22,810.73
Posting Activity Total		150,000.00	0.00	93,905.52	93,905.52	33,283.75	22,810.73
6018080028035	**Wendell Cross Water Damage Claim (SF)**						
11500 - Salaries (Non BOE salaries)		0.00	7,377.45	0.00	7,377.45	0.00	-7,377.45
11650 - Overtime		0.00	49,571.22	0.00	49,571.22	0.00	-49,571.22
43000 - General Repairs & Maint 543000		2,216,596.24	1,766,631.49	850.83	1,767,482.32	7,156.67	441,957.25
47014 - Remediation 547014		0.00	93,517.99	269,383.58	362,901.57	0.00	-362,901.57
Posting Activity Total		2,216,596.24	1,917,098.15	270,234.41	2,187,332.56	7,156.67	22,107.01
6018080028315	**School Paving & Sidewalks (SF)**						
47000 - Construction Services 547000		1,450,000.00	942,550.30	43,155.30	985,705.60	208,348.49	255,945.91
Posting Activity Total		1,450,000.00	942,550.30	43,155.30	985,705.60	208,348.49	255,945.91
6018080028775	**Regan School Electrical Damage Claim (SF)**						
43000 - General Repairs & Maint 543000		190,098.06	0.00	190,098.06	190,098.06	0.00	0.00
Posting Activity Total		190,098.06	0.00	190,098.06	190,098.06	0.00	0.00
6018080099995	**BOE Unallocated (SF)**						
47000 - Construction Services 547000		6,391,749.26	0.00	0.00	0.00	0.00	6,391,749.26
Posting Activity Total		6,391,749.26	0.00	0.00	0.00	0.00	6,391,749.26
019 - 05 subtotal:		21,485,059.82	10,712,551.40	1,613,331.38	12,325,882.78	1,072,634.00	8,086,543.04
020 - 05	**Vehicle Replacement Fund**						OT60185
6018580024075	**FY15 Education Dept Vehicles**						
74009 - Vehicles-Misc 574009		147,500.00	147,356.75	0.00	147,356.75	0.00	143.25
Posting Activity Total		147,500.00	147,356.75	0.00	147,356.75	0.00	143.25
6018580025295	**FY17 and FY19 Education Dept Vehicles**						
74009 - Vehicles-Misc 574009		100,000.00	100,000.00	0.00	100,000.00	0.00	0.00
Posting Activity Total		100,000.00	100,000.00	0.00	100,000.00	0.00	0.00
6018580026555	**FY20 Education Dept Vehicles**						
74009 - Vehicles-Misc 574009		100,000.00	72,469.81	0.00	72,469.81	0.00	27,530.19
Posting Activity Total		100,000.00	72,469.81	0.00	72,469.81	0.00	27,530.19

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: CP							
800 Department of Education							
020 - 05	**Vehicle Replacement Fund**						OT60185
6018580027085	**FY21 Vehicle Replacements (SF)**						
74009 - Vehicles-Misc 574009		175,000.00	70,215.00	0.00	70,215.00	71,340.00	33,445.00
Posting Activity Total		175,000.00	70,215.00	0.00	70,215.00	71,340.00	33,445.00
6018580027095	**FY21 Medium Duty Dump Truck with Plow & Sander (126WBY)**						
74000 - Dump Trucks 574000		120,000.00	0.00	71,505.00	71,505.00	48,495.00	0.00
Posting Activity Total		120,000.00	0.00	71,505.00	71,505.00	48,495.00	0.00
6018580027555	**Education Dept Vehicles**						
74009 - Vehicles-Misc 574009		100,000.00	0.00	49,411.00	49,411.00	0.00	50,589.00
Posting Activity Total		100,000.00	0.00	49,411.00	49,411.00	0.00	50,589.00
6018580027615	**FY22 Heavy Duty Pickup Truck 117WBY (SF)**						
74009 - Vehicles-Misc 574009		65,000.00	0.00	63,568.55	63,568.55	0.00	1,431.45
Posting Activity Total		65,000.00	0.00	63,568.55	63,568.55	0.00	1,431.45
020 - 05 subtotal:		807,500.00	390,041.56	184,484.55	574,526.11	119,835.00	113,138.89
069 - 06	**Carrington School 151-0275 ($34.7M Bond) 5/20/2013**						CO65002
6500280008276	**New Carrington School**						
33000 - Professional Services 533000		2,561,086.49	2,643,747.58	0.00	2,643,747.58	0.00	-82,661.09
33006 - Architectural/Design 533006		1,542,200.00	1,525,679.11	0.00	1,525,679.11	0.00	16,520.89
47000 - Construction Services 547000		30,349,901.00	30,195,007.53	0.00	30,195,007.53	0.00	154,893.47
75000 - Furn, Fix, Equip 575000		2,136,932.61	1,704,203.29	0.00	1,704,203.29	0.00	432,729.32
99001 - Contingent Appropriatio 599001		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		36,590,120.10	36,068,637.51	0.00	36,068,637.51	0.00	521,482.59
6500280099006	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		453,205.90	111,088.95	0.00	111,088.95	0.00	342,116.95
33033 - Debt Issuance Costs 533033		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		453,205.90	111,088.95	0.00	111,088.95	0.00	342,116.95
069 - 06 subtotal:		37,043,326.00	36,179,726.46	0.00	36,179,726.46	0.00	863,599.54
098 - 03	**Municipal Revenue Sharing Account**						SD60107
6010780023593	**School Security Projects**						
45013 - Security/Safety 545013		814,408.57	807,176.81	0.00	807,176.81	0.00	7,231.76
Posting Activity Total		814,408.57	807,176.81	0.00	807,176.81	0.00	7,231.76
6010780026393	**FY19 MRSA Heavy Duty Pickup Truck with Plow**						
74009 - Vehicles-Misc 574009		55,000.00	54,017.00	0.00	54,017.00	0.00	983.00
Posting Activity Total		55,000.00	54,017.00	0.00	54,017.00	0.00	983.00
098 - 03 subtotal:		869,408.57	861,193.81	0.00	861,193.81	0.00	8,214.76

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
800 Education							
128 - 06	**School Elevators ($1.7M Bond) 9/11/17 Amended 5/06/19**						CO65014
6501480025676	**Washington School Elevator 151-0304 CV**						
33006 - Architectural/Design 533006		91,850.00	80,000.00	0.00	80,000.00	0.00	11,850.00
33022 - Professional-Misc 533022		20,000.00	15,368.49	0.00	15,368.49	0.00	4,631.51
47000 - Construction Services 547000		1,133,150.00	1,057,516.07	68,567.47	1,126,083.54	4,510.46	2,556.00
Posting Activity Total		1,245,000.00	1,152,884.56	68,567.47	1,221,452.03	4,510.46	19,037.51
6501480025686	**Bunker Hill School Elevator 151-0305 CV**						
33006 - Architectural/Design 533006		105,600.00	85,815.00	1,185.00	87,000.00	0.00	18,600.00
33022 - Professional-Misc 533022		24,000.00	16,762.88	0.00	16,762.88	0.00	7,237.12
47000 - Construction Services 547000		1,419,400.00	1,345,882.99	83,913.01	1,429,796.00	8,012.04	-18,408.04
Posting Activity Total		1,549,000.00	1,448,460.87	85,098.01	1,533,558.88	8,012.04	7,429.08
6501480099006	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		6,000.00	0.00	0.00	0.00	0.00	6,000.00
Posting Activity Total		6,000.00	0.00	0.00	0.00	0.00	6,000.00
128 - 06 subtotal:		2,800,000.00	2,601,345.43	153,665.48	2,755,010.91	12,522.50	32,466.59
136 - 06	**Wendell Cross 151-0295 ($46.250M Bond) 6/20/16**						CO65016
6501680026066	**Wendell Cross Renovation and Addition**						
33006 - Architectural/Design 533006		2,692,503.00	1,657,492.29	0.00	1,657,492.29	0.00	1,035,010.71
33022 - Professional-Misc 533022		9,392,635.00	2,848,980.46	0.00	2,848,980.46	0.00	6,543,654.54
47000 - Construction Services 547000		29,848,922.00	39,854,024.20	0.00	39,854,024.20	0.00	-10,005,102.20
47014 - Remediation 547014		0.00	373,154.95	0.00	373,154.95	46,826.37	-419,981.32
75000 - Furn, Fix, Equip 575000		2,078,400.00	1,386,923.19	0.00	1,386,923.19	0.00	691,476.81
99001 - Contingent Appropriatio 599001		2,168,606.67	0.00	0.00	0.00	0.00	2,168,606.67
Posting Activity Total		46,181,066.67	46,120,575.09	0.00	46,120,575.09	46,826.37	13,665.21
6501680099006	**Debt Issuance Costs**						
33021 - Financial-Misc 533021		68,933.33	68,933.33	0.00	68,933.33	0.00	0.00
Posting Activity Total		68,933.33	68,933.33	0.00	68,933.33	0.00	0.00
136 - 06 subtotal:		46,250,000.00	46,189,508.42	0.00	46,189,508.42	46,826.37	13,665.21
158 - 06	**FY21 Tinker School Roof Replacement 151-0309 RR**						CO65018
6501880026196	**Tinker Roof (State $1,380,000- (SF) $220,000-Local $125,000)**						
33006 - Architectural/Design 533006		33,000.00	24,981.73	15,984.00	40,965.73	35.27	-8,001.00
33022 - Professional-Misc 533022		25,000.00	7,151.40	1,750.00	8,901.40	0.00	16,098.60
47000 - Construction Services 547000		1,310,726.00	268,258.50	578,768.70	847,027.20	0.00	463,698.80
Posting Activity Total		1,368,726.00	300,391.63	596,502.70	896,894.33	35.27	471,796.40
158 - 06 subtotal:		1,368,726.00	300,391.63	596,502.70	896,894.33	35.27	471,796.40

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
800 Education							
169 - 06	**FY23 Sprague School Roof Replacement 151-0308 RR**						CO65019
6501980027906	**Sprague Roof (State Grant $1,920,000- (SF) $480,000)**						
33006 - Architectural/Design 533006		33,000.00	30,382.50	10,367.50	40,750.00	3,275.00	-11,025.00
33022 - Professional-Misc 533022		25,000.00	7,221.40	1,750.00	8,971.40	0.00	16,028.60
47000 - Construction Services 547000		1,834,358.00	657,800.00	168,725.00	826,525.00	0.00	1,007,833.00
Posting Activity Total		1,892,358.00	695,403.90	180,842.50	876,246.40	3,275.00	1,012,836.60
169 - 06 subtotal:		1,892,358.00	695,403.90	180,842.50	876,246.40	3,275.00	1,012,836.60
170 - 06	**FY23 Kennedy High School Roof Replacement 151-0307 RR**						CO65021
6502180027916	**Kennedy High Roof (State Grant $4,960,000- (SF) $1,240,000)**						
33006 - Architectural/Design 533006		58,000.00	46,148.42	15,675.00	61,823.42	5,106.58	-8,930.00
33022 - Professional-Misc 533022		25,000.00	4,744.20	1,750.00	6,494.20	0.00	18,505.80
47000 - Construction Services 547000		5,522,787.00	2,260,000.00	654,666.22	2,914,666.22	0.00	2,608,120.78
Posting Activity Total		5,605,787.00	2,310,892.62	672,091.22	2,982,983.84	5,106.58	2,617,696.58
170 - 06 subtotal:		5,605,787.00	2,310,892.62	672,091.22	2,982,983.84	5,106.58	2,617,696.58
173 - 06	**BOE Variable Refrigerant Flow HVAC 8 Schools**						CO65031
6503180028156	**Bunker Hill Elementary School HVAC 151-005**						
33006 - Architectural/Design 533006		110,000.00	65,800.00	0.00	65,800.00	0.00	44,200.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,067,719.00	0.00	0.00	0.00	0.00	2,067,719.00
Posting Activity Total		2,202,719.00	65,800.00	0.00	65,800.00	0.00	2,136,919.00
6503180028166	**Driggs Elementary School HVAC 151-008**						
33006 - Architectural/Design 533006		130,000.00	75,300.00	0.00	75,300.00	0.00	54,700.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,450,852.00	0.00	0.00	0.00	0.00	2,450,852.00
Posting Activity Total		2,605,852.00	75,300.00	0.00	75,300.00	0.00	2,530,552.00
6503180028176	**Hopeville Elementary School HVAC 151-007**						
33006 - Architectural/Design 533006		131,000.00	74,900.00	0.00	74,900.00	0.00	56,100.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,467,662.00	0.00	0.00	0.00	0.00	2,467,662.00
Posting Activity Total		2,623,662.00	74,900.00	0.00	74,900.00	0.00	2,548,762.00
6503180028186	**Kingsbury Elementary School HVAC 151-006**						
33006 - Architectural/Design 533006		115,000.00	65,800.00	0.00	65,800.00	0.00	49,200.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,146,686.00	0.00	0.00	0.00	0.00	2,146,686.00
Posting Activity Total		2,286,686.00	65,800.00	0.00	65,800.00	0.00	2,220,886.00

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Activity Detail - Capital projects

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ACTIVITY GROUP: CP							
800 Education							
173 - 06	**BOE Variable Refrigerant Flow HVAC 8 Schools**						CO65031
6503180028196	**Sprague Elementary School HVAC 151-002**						
33006 - Architectural/Design 533006		135,000.00	70,700.00	0.00	70,700.00	0.00	64,300.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,556,455.00	0.00	0.00	0.00	0.00	2,556,455.00
Posting Activity Total		2,716,455.00	70,700.00	0.00	70,700.00	0.00	2,645,755.00
6503180028206	**Tinker Elementary School HVAC 151-001**						
33006 - Architectural/Design 533006		127,000.00	73,300.00	0.00	73,300.00	0.00	53,700.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,405,256.00	0.00	0.00	0.00	0.00	2,405,256.00
Posting Activity Total		2,557,256.00	73,300.00	0.00	73,300.00	0.00	2,483,956.00
6503180028216	**Walsh Elementary School HVAC 151-004**						
33006 - Architectural/Design 533006		137,000.00	77,500.00	0.00	77,500.00	0.00	59,500.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,581,442.00	0.00	0.00	0.00	0.00	2,581,442.00
Posting Activity Total		2,743,442.00	77,500.00	0.00	77,500.00	0.00	2,665,942.00
6503180028226	**Wilson Elementary School HVAC 151-003**						
33006 - Architectural/Design 533006		120,000.00	70,900.00	0.00	70,900.00	0.00	49,100.00
33022 - Professional-Misc 533022		25,000.00	0.00	0.00	0.00	0.00	25,000.00
47000 - Construction Services 547000		2,253,942.00	0.00	0.00	0.00	0.00	2,253,942.00
Posting Activity Total		2,398,942.00	70,900.00	0.00	70,900.00	0.00	2,328,042.00
173 - 06 subtotal:		20,135,014.00	574,200.00	0.00	574,200.00	0.00	19,560,814.00
181 - 06	**Bergin Complex Roof Replacement**						CO65022
6502280028446	**Bergin Complex Roof (State Grant $4,500,000-(SF) $1,500,000)**						
33006 - Architectural/Design 533006		0.00	0.00	52,200.00	52,200.00	3,480.00	-55,680.00
33022 - Professional-Misc 533022		0.00	0.00	0.00	0.00	13,920.00	-13,920.00
47000 - Construction Services 547000		1,500,000.00	0.00	0.00	0.00	0.00	1,500,000.00
Posting Activity Total		1,500,000.00	0.00	52,200.00	52,200.00	17,400.00	1,430,400.00
181 - 06 subtotal:		1,500,000.00	0.00	52,200.00	52,200.00	17,400.00	1,430,400.00
187 - 06	**BOE Variable Refrigerant Flow HVAC 6 Schools**						CO65032
6503280028546	**Bucks Hill School VRF HVAC**						
47000 - Construction Services 547000		749,840.00	0.00	0.00	0.00	0.00	749,840.00
Posting Activity Total		749,840.00	0.00	0.00	0.00	0.00	749,840.00
6503280028556	**Chase School VRF HVAC**						
47000 - Construction Services 547000		686,765.00	0.00	0.00	0.00	0.00	686,765.00

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ACTIVITY GROUP: CP							
800 Education							
187 - 06	**BOE Variable Refrigerant Flow HVAC 6 Schools**						CO65032
Posting Activity Total		686,765.00	0.00	0.00	0.00	0.00	686,765.00
6503280028566	**Generali School VRF HVAC**						
47000 - Construction Services 547000		520,817.00	0.00	0.00	0.00	0.00	520,817.00
Posting Activity Total		520,817.00	0.00	0.00	0.00	0.00	520,817.00
6503280028576	**International School VRF HVAC**						
47000 - Construction Services 547000		386,685.00	0.00	0.00	0.00	0.00	386,685.00
Posting Activity Total		386,685.00	0.00	0.00	0.00	0.00	386,685.00
6503280028586	**Regan School VRF HVAC**						
47000 - Construction Services 547000		321,484.00	0.00	0.00	0.00	0.00	321,484.00
Posting Activity Total		321,484.00	0.00	0.00	0.00	0.00	321,484.00
6503280028596	**Washington School VRF HVAC**						
47000 - Construction Services 547000		334,409.00	0.00	0.00	0.00	0.00	334,409.00
Posting Activity Total		334,409.00	0.00	0.00	0.00	0.00	334,409.00
187 - 06 subtotal:		3,000,000.00	0.00	0.00	0.00	0.00	3,000,000.00
198 - 06	**BOE Elevator Additions**						CO65036
6503680028976	**Driggs School Elevator Addition**						
47000 - Construction Services 547000		537,706.00	0.00	0.00	0.00	67,375.00	470,331.00
Posting Activity Total		537,706.00	0.00	0.00	0.00	67,375.00	470,331.00
6503680028986	**Wilson School Elevator Addition**						
47000 - Construction Services 547000		504,188.00	0.00	0.00	0.00	67,375.00	436,813.00
Posting Activity Total		504,188.00	0.00	0.00	0.00	67,375.00	436,813.00
198 - 06 subtotal:		1,041,894.00	0.00	0.00	0.00	134,750.00	907,144.00
199 - 06	**BOE Rooftop Solar Arrays 5 Schools**						CO65033
6503380029046	**Generali Rooftop Solar 151-0311 PV**						
33000 - Professional Services 533000		62,000.00	0.00	31,000.00	31,000.00	31,000.00	0.00
33021 - Financial-Misc 533021		10,000.00	0.00	0.00	0.00	0.00	10,000.00
75000 - Furn, Fix, Equip 575000		472,000.00	0.00	0.00	0.00	0.00	472,000.00
Posting Activity Total		544,000.00	0.00	31,000.00	31,000.00	31,000.00	482,000.00
6503380029056	**Sprague Rooftop Solar 151-0312 PV**						
33000 - Professional Services 533000		62,000.00	0.00	31,000.00	31,000.00	31,000.00	0.00
33021 - Financial-Misc 533021		10,000.00	0.00	0.00	0.00	0.00	10,000.00
75000 - Furn, Fix, Equip 575000		453,000.00	0.00	0.00	0.00	0.00	453,000.00
Posting Activity Total		525,000.00	0.00	31,000.00	31,000.00	31,000.00	463,000.00
6503380029066	**Tinker Rooftop Solar 151-0313 PV**						

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ACTIVITY GROUP: CP							
800 Education							
199 - 06	**BOE Rooftop Solar Arrays 5 Schools**						CO65033
33000 - Professional Services 533000		62,000.00	0.00	31,000.00	31,000.00	31,000.00	0.00
33021 - Financial-Misc 533021		10,000.00	0.00	0.00	0.00	0.00	10,000.00
75000 - Furn, Fix, Equip 575000		417,000.00	0.00	0.00	0.00	0.00	417,000.00
Posting Activity Total		489,000.00	0.00	31,000.00	31,000.00	31,000.00	427,000.00
6503380029076	**West Side Rooftop Solar 151-0314 PV**						
33000 - Professional Services 533000		62,000.00	0.00	31,000.00	31,000.00	31,000.00	0.00
33021 - Financial-Misc 533021		10,000.00	0.00	0.00	0.00	0.00	10,000.00
75000 - Furn, Fix, Equip 575000		942,000.00	0.00	0.00	0.00	0.00	942,000.00
Posting Activity Total		1,014,000.00	0.00	31,000.00	31,000.00	31,000.00	952,000.00
6503380029086	**Wendell Cross Rooftop Solar 151-0310 PV**						
33000 - Professional Services 533000		62,000.00	0.00	31,000.00	31,000.00	31,000.00	0.00
33021 - Financial-Misc 533021		10,000.00	0.00	0.00	0.00	0.00	10,000.00
75000 - Furn, Fix, Equip 575000		956,000.00	0.00	0.00	0.00	0.00	956,000.00
Posting Activity Total		1,028,000.00	0.00	31,000.00	31,000.00	31,000.00	966,000.00
199 - 06 subtotal:		3,600,000.00	0.00	155,000.00	155,000.00	155,000.00	3,290,000.00
800 subtotal:		147,534,033.67	100,936,968.55	3,621,364.79	104,558,333.34	1,567,384.72	41,408,315.61

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CP subtotal:		*622,022,104.12*	380,269,133.00	51,470,895.91	*431,740,028.91*	*44,036,043.04*	*146,246,032.17*

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Activity Detail - Capital projects

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ACTIVITY GROUP: WATER-BOND							
000 Water-Bond Projects							
003 - 05							
730150035	**Clean, Line, Rehab Water Mains ($17.7M Bond 5/11/15)**						
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	0.00	0.00
33021 - Financial-Misc 533021		2,862.28	2,862.28	0.00	2,862.28	0.00	0.00
33031 - Misc-Legal Exp 533031		19,550.38	19,550.38	0.00	19,550.38	0.00	0.00
47000 - Construction Services 547000		3,652,157.58	2,509,009.73	910,257.06	3,419,266.79	0.00	232,890.79
Posting Activity Total		3,674,570.24	2,531,422.39	910,257.06	3,441,679.45	0.00	232,890.79
003 - 05 subtotal:		3,674,570.24	2,531,422.39	910,257.06	3,441,679.45	0.00	232,890.79
004 - 05							
730150045	**Shepaug & Wigwam Dam Repairs ($17.7M Bond 5/11/15)**						
47000 - Construction Services 547000		3,710,622.94	2,938,851.61	0.00	2,938,851.61	364,260.85	407,510.48
Posting Activity Total		3,710,622.94	2,938,851.61	0.00	2,938,851.61	364,260.85	407,510.48
004 - 05 subtotal:		3,710,622.94	2,938,851.61	0.00	2,938,851.61	364,260.85	407,510.48
005 - 05							
730150055	**Replace Sludge Removal Equipment ($17.7M Bond 5/11/15)**						
45011 - Operation-Water Treat 545011		803,428.55	803,428.55	0.00	803,428.55	0.00	0.00
Posting Activity Total		803,428.55	803,428.55	0.00	803,428.55	0.00	0.00
005 - 05 subtotal:		803,428.55	803,428.55	0.00	803,428.55	0.00	0.00
006 - 05							
730150065	**WTP Generator Replacement ($17.7M Bond 5/11/15)**						
33000 - Professional Services 533000		8,680.00	8,680.00	0.00	8,680.00	0.00	0.00
69027 - Replacement - Generator 569027		631,364.50	631,364.50	0.00	631,364.50	0.00	0.00
Posting Activity Total		640,044.50	640,044.50	0.00	640,044.50	0.00	0.00
006 - 05 subtotal:		640,044.50	640,044.50	0.00	640,044.50	0.00	0.00
007 - 05							
730150075	**Water Tank Repairs & RePainting ($17.7M Bond 5/11/15)**						
43020 - Repair and Maintenance- 543020		1,104,187.62	1,104,187.62	0.00	1,104,187.62	0.00	0.00
Posting Activity Total		1,104,187.62	1,104,187.62	0.00	1,104,187.62	0.00	0.00
007 - 05 subtotal:		1,104,187.62	1,104,187.62	0.00	1,104,187.62	0.00	0.00
008 - 05							
730150085	**Pump Station Improvements ($17.7M Bond 5/11/15)**						
75504 - Pump Stations 575504		703,340.12	527,638.68	37,764.39	565,403.07	250.00	137,687.05
Posting Activity Total		703,340.12	527,638.68	37,764.39	565,403.07	250.00	137,687.05
008 - 05 subtotal:		703,340.12	527,638.68	37,764.39	565,403.07	250.00	137,687.05
009 - 05							

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ACTIVITY GROUP: WATER-BOND							
000 Water-Bond Projects							
009 - 05							
730150095	**Replace Distribution System SCADA ($17.7M Bond 5/11/15)**						
43026 - SCADA 543026		308,211.11	308,211.11	0.00	308,211.11	0.00	0.00
Posting Activity Total		308,211.11	308,211.11	0.00	308,211.11	0.00	0.00
009 - 05 subtotal:		308,211.11	308,211.11	0.00	308,211.11	0.00	0.00
010 - 05							
730150105	**Replace Underground Fuel Tanks ($17.7M Bond 5/11/15)**						
47008 - Tank Removals 547008		223,000.00	223,000.00	0.00	223,000.00	0.00	0.00
Posting Activity Total		223,000.00	223,000.00	0.00	223,000.00	0.00	0.00
010 - 05 subtotal:		223,000.00	223,000.00	0.00	223,000.00	0.00	0.00
011 - 05							
730150115	**Replace Water Pumps ($17.7M Bond 5/11/15)**						
69028 - Replacement - Pumps 569028		47,714.25	47,714.25	0.00	47,714.25	0.00	0.00
Posting Activity Total		47,714.25	47,714.25	0.00	47,714.25	0.00	0.00
011 - 05 subtotal:		47,714.25	47,714.25	0.00	47,714.25	0.00	0.00
014 - 05							
730150145	**Large Gate Valve Repairs ($17.7M Bond 5/11/15)**						
47000 - Construction Services 547000		243,431.95	243,431.95	0.00	243,431.95	0.00	0.00
Posting Activity Total		243,431.95	243,431.95	0.00	243,431.95	0.00	0.00
014 - 05 subtotal:		243,431.95	243,431.95	0.00	243,431.95	0.00	0.00
015 - 05							
730150155	**East Main Street Water Mains DWSRF ($17.7M Bond 5/11/15)**						
47000 - Construction Services 547000		1,149,819.71	1,149,819.71	0.00	1,149,819.71	0.00	0.00
Posting Activity Total		1,149,819.71	1,149,819.71	0.00	1,149,819.71	0.00	0.00
015 - 05 subtotal:		1,149,819.71	1,149,819.71	0.00	1,149,819.71	0.00	0.00
016 - 05							
730150165	**Variable Freq Drive Pump (DWSRF 20-39) ($17.7M Bond 5/11/15)**						
75407 - Pumping Equipment 575407		22,320.00	22,320.00	0.00	22,320.00	0.00	0.00
Posting Activity Total		22,320.00	22,320.00	0.00	22,320.00	0.00	0.00
016 - 05 subtotal:		22,320.00	22,320.00	0.00	22,320.00	0.00	0.00
019 - 05							
730150195	**Cairns Reservoir Gatehouse (CB 2021) ($17.7M Bond 5/11/15)**						
33016 - Engineering 533016		185,474.46	182,810.71	0.00	182,810.71	0.00	2,663.75
43020 - Repair and Maintenance- 543020		1,783,394.19	1,729,098.55	25,910.00	1,755,008.55	0.00	28,385.64
Posting Activity Total		1,968,868.65	1,911,909.26	25,910.00	1,937,819.26	0.00	31,049.39

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ACTIVITY GROUP: WATER-BOND							
000 Water-Bond Projects							
019 - 05 subtotal:		1,968,868.65	1,911,909.26	25,910.00	1,937,819.26	0.00	31,049.39
021 - 05							
730150215	**Pump Station Generators (CB 2021) ($17.7M Bond 5/11/15)**						
71014 - Generator & Switchgear 571014		155,000.00	49,905.00	0.00	49,905.00	0.00	105,095.00
Posting Activity Total		155,000.00	49,905.00	0.00	49,905.00	0.00	105,095.00
021 - 05 subtotal:		155,000.00	49,905.00	0.00	49,905.00	0.00	105,095.00
022 - 05							
730150225	**Exchange Place Utility Rehab ($17.7M Bond 5/11/15)**						
33000 - Professional Services 533000		360,000.00	0.00	39,944.17	39,944.17	315,655.83	4,400.00
47000 - Construction Services 547000		710,000.00	0.00	0.00	0.00	710,000.00	0.00
Posting Activity Total		1,070,000.00	0.00	39,944.17	39,944.17	1,025,655.83	4,400.00
022 - 05 subtotal:		1,070,000.00	0.00	39,944.17	39,944.17	1,025,655.83	4,400.00
999 - 05							
730159995	**WATER Bond Unallocated ($17.7M Bond 5/11/15)**						
1 - General Appropriation 500000		1,875,440.36	0.00	0.00	0.00	0.00	1,875,440.36
Posting Activity Total		1,875,440.36	0.00	0.00	0.00	0.00	1,875,440.36
999 - 05 subtotal:		1,875,440.36	0.00	0.00	0.00	0.00	1,875,440.36
000 subtotal:		17,700,000.00	12,501,884.63	1,013,875.62	13,515,760.25	1,390,166.68	2,794,073.07

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
WATER-BOND subtotal:		*17,700,000.00*	12,501,884.63	1,013,875.62	*13,515,760.25*	*1,390,166.68*	*2,794,073.07*

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LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WATER-BOND1							
000 Water-Bond Projects1							
001 - 05							
730160015	**WTP-Pumps & Mixing Equipment Imp. ($35M Bond 10/24/22)**						
33000 - Professional Services 533000		10,000.00	2,500.00	2,000.00	4,500.00	5,500.00	0.00
47000 - Construction Services 547000		7,993,525.00	2,933,735.93	2,042,492.14	4,976,228.07	3,017,296.93	0.00
Posting Activity Total		8,003,525.00	2,936,235.93	2,044,492.14	4,980,728.07	3,022,796.93	0.00
001 - 05 subtotal:		8,003,525.00	2,936,235.93	2,044,492.14	4,980,728.07	3,022,796.93	0.00
005 - 05							
730160055	**Blackman Storage Tank Replacement ($35M Bond 10/24/22)**						
33000 - Professional Services 533000		360,000.00	0.00	3,750.00	3,750.00	3,750.00	352,500.00
47000 - Construction Services 547000		6,600,000.00	0.00	831,386.09	831,386.09	5,608,613.91	160,000.00
Posting Activity Total		6,960,000.00	0.00	835,136.09	835,136.09	5,612,363.91	512,500.00
005 - 05 subtotal:		6,960,000.00	0.00	835,136.09	835,136.09	5,612,363.91	512,500.00
010 - 05							
730160105	**WTP-Eversource-Second Power Source ($35M Bond 10/24/22)**						
47000 - Construction Services 547000		691,500.00	0.00	691,500.00	691,500.00	0.00	0.00
Posting Activity Total		691,500.00	0.00	691,500.00	691,500.00	0.00	0.00
010 - 05 subtotal:		691,500.00	0.00	691,500.00	691,500.00	0.00	0.00
015 - 05							
730160155	**West Main Street Utility Improvements ($35M Bond 10/24/22)**						
33016 - Engineering 533016		787,000.00	0.00	298,426.48	298,426.48	488,573.52	0.00
Posting Activity Total		787,000.00	0.00	298,426.48	298,426.48	488,573.52	0.00
015 - 05 subtotal:		787,000.00	0.00	298,426.48	298,426.48	488,573.52	0.00
020 - 05							
730160205	**Water System North Main PS Upgrades ($35M Bond 10/24/22)**						
33000 - Professional Services 533000		375,000.00	0.00	0.00	0.00	373,114.00	1,886.00
47000 - Construction Services 547000		4,750,000.00	0.00	294,650.74	294,650.74	4,203,717.26	251,632.00
Posting Activity Total		5,125,000.00	0.00	294,650.74	294,650.74	4,576,831.26	253,518.00
020 - 05 subtotal:		5,125,000.00	0.00	294,650.74	294,650.74	4,576,831.26	253,518.00
025 - 05							
730160255	**3rd Water Transmission Slip Lining ($35M Bond 10/24/22)**						
33000 - Professional Services 533000		500,000.00	0.00	14,854.75	14,854.75	169,145.25	316,000.00
47000 - Construction Services 547000		12,480,000.00	0.00	225,625.00	225,625.00	12,093,825.50	160,549.50
71010 - Operations Equipment-Mi 571010		20,000.00	0.00	0.00	0.00	0.00	20,000.00
Posting Activity Total		13,000,000.00	0.00	240,479.75	240,479.75	12,262,970.75	496,549.50
025 - 05 subtotal:		13,000,000.00	0.00	240,479.75	240,479.75	12,262,970.75	496,549.50

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WATER-BOND1							
000 Water-Bond Projects1							
999 - 05							
730169995	**WATER Bond Unallocated ($35M Bond 10/24/22)**						
1 - General Appropriation 500000		432,975.00	0.00	0.00	0.00	0.00	432,975.00
Posting Activity Total		432,975.00	0.00	0.00	0.00	0.00	432,975.00
999 - 05 subtotal:		432,975.00	0.00	0.00	0.00	0.00	432,975.00
000 subtotal:		35,000,000.00	2,936,235.93	4,404,685.20	7,340,921.13	25,963,536.37	1,695,542.50

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BUDGET #: 1
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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
WATER-BOND1 subtotal:		*35,000,000.00*	2,936,235.93	4,404,685.20	*7,340,921.13*	*25,963,536.37*	*1,695,542.50*

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ACTIVITY GROUP(S): CP, WATER-BOND, WATER-BOND1, WATER-CIF, WPC-BOND, WPC-BOND1, WPC-CIF

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WATER-CIF							
000 Water-CIF Other Funding							
039 - 05							
730250395	**WTP Upgrade and Repairs**						
47000 - Construction Services 547000		435,000.00	283,494.30	99,983.88	383,478.18	17,337.59	34,184.23
Posting Activity Total		435,000.00	283,494.30	99,983.88	383,478.18	17,337.59	34,184.23
039 - 05 subtotal:		435,000.00	283,494.30	99,983.88	383,478.18	17,337.59	34,184.23
044 - 05							
730250445	**Pump Station Generators**						
71014 - Generator & Switchgear 571014		200,000.00	0.00	54,600.00	54,600.00	88,500.00	56,900.00
Posting Activity Total		200,000.00	0.00	54,600.00	54,600.00	88,500.00	56,900.00
044 - 05 subtotal:		200,000.00	0.00	54,600.00	54,600.00	88,500.00	56,900.00
048 - 05							
730250485	**Vehicles**						
74009 - Vehicles-Misc 574009		3,430,733.92	2,030,817.90	201,665.81	2,232,483.71	497.08	1,197,753.13
Posting Activity Total		3,430,733.92	2,030,817.90	201,665.81	2,232,483.71	497.08	1,197,753.13
048 - 05 subtotal:		3,430,733.92	2,030,817.90	201,665.81	2,232,483.71	497.08	1,197,753.13
053 - 05							
730250535	**Water Emergency Repairs**						
47000 - Construction Services 547000		3,076,193.79	2,292,897.49	249,788.37	2,542,685.86	4,437.59	529,070.34
Posting Activity Total		3,076,193.79	2,292,897.49	249,788.37	2,542,685.86	4,437.59	529,070.34
053 - 05 subtotal:		3,076,193.79	2,292,897.49	249,788.37	2,542,685.86	4,437.59	529,070.34
061 - 05							
730250615	**Repairs of HVAC System East Aurora Building**						
75411 - HVAC 575411		85,000.00	74,243.00	0.00	74,243.00	0.00	10,757.00
Posting Activity Total		85,000.00	74,243.00	0.00	74,243.00	0.00	10,757.00
061 - 05 subtotal:		85,000.00	74,243.00	0.00	74,243.00	0.00	10,757.00
062 - 05							
730250625	**Paving**						
43010 - Resurface Streets 543010		1,175,000.00	934,624.28	232,214.03	1,166,838.31	8,161.69	0.00
Posting Activity Total		1,175,000.00	934,624.28	232,214.03	1,166,838.31	8,161.69	0.00
062 - 05 subtotal:		1,175,000.00	934,624.28	232,214.03	1,166,838.31	8,161.69	0.00
066 - 05							
730250665	**Hill St. Pressure Reducing Valve Station Upgrade**						
47000 - Construction Services 547000		24,263.14	14,754.95	9,508.19	24,263.14	0.00	0.00
Posting Activity Total		24,263.14	14,754.95	9,508.19	24,263.14	0.00	0.00
066 - 05 subtotal:		24,263.14	14,754.95	9,508.19	24,263.14	0.00	0.00

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ACTIVITY GROUP: WATER-CIF							
000 Water-CIF Other Funding							
067 - 05							
730250675	**East Aurora Office- Computer/Technical Upgrades**						
70500 - Computer Equipment 570500		50,000.00	24,996.00	22,865.67	47,861.67	0.00	2,138.33
Posting Activity Total		50,000.00	24,996.00	22,865.67	47,861.67	0.00	2,138.33
067 - 05 subtotal:		50,000.00	24,996.00	22,865.67	47,861.67	0.00	2,138.33
068 - 05							
730250685	**Fuel Management System Upgrade**						
71010 - Operations Equipment-Mi 571010		98,119.11	97,592.86	526.25	98,119.11	0.00	0.00
Posting Activity Total		98,119.11	97,592.86	526.25	98,119.11	0.00	0.00
068 - 05 subtotal:		98,119.11	97,592.86	526.25	98,119.11	0.00	0.00
069 - 05							
730250695	**Demolition/Removal of Decommissioned Buildings**						
47006 - Demolition 547006		60,000.00	0.00	210.00	210.00	31,375.00	28,415.00
Posting Activity Total		60,000.00	0.00	210.00	210.00	31,375.00	28,415.00
069 - 05 subtotal:		60,000.00	0.00	210.00	210.00	31,375.00	28,415.00
071 - 05							
730250715	**Flowmeters**						
71010 - Operations Equipment-Mi 571010		250,000.00	87,856.00	0.00	87,856.00	0.00	162,144.00
Posting Activity Total		250,000.00	87,856.00	0.00	87,856.00	0.00	162,144.00
071 - 05 subtotal:		250,000.00	87,856.00	0.00	87,856.00	0.00	162,144.00
072 - 05							
730250725	**RF Water Meter Upgrade**						
75404 - Water Meters 575404		450,000.00	267,036.87	182,960.00	449,996.87	0.00	3.13
Posting Activity Total		450,000.00	267,036.87	182,960.00	449,996.87	0.00	3.13
072 - 05 subtotal:		450,000.00	267,036.87	182,960.00	449,996.87	0.00	3.13
073 - 05							
730250735	**Earthen Material Storage Shed-Operations Facility**						
71010 - Operations Equipment-Mi 571010		300,000.00	0.00	0.00	0.00	295,808.08	4,191.92
Posting Activity Total		300,000.00	0.00	0.00	0.00	295,808.08	4,191.92
073 - 05 subtotal:		300,000.00	0.00	0.00	0.00	295,808.08	4,191.92
074 - 05							
730250745	**Lead Service Inventory**						
33000 - Professional Services 533000		100,000.00	0.00	0.00	0.00	0.00	100,000.00
Posting Activity Total		100,000.00	0.00	0.00	0.00	0.00	100,000.00
074 - 05 subtotal:		100,000.00	0.00	0.00	0.00	0.00	100,000.00

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Activity Detail - Capital projects

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ACTIVITY GROUP: WATER-CIF							
000 Water-CIF Other Funding							
075 - 05							
730250755	**Insertion Valves Installation**						
71010 - Operations Equipment-Mi 571010		25,000.00	0.00	22,733.08	22,733.08	0.00	2,266.92
Posting Activity Total		25,000.00	0.00	22,733.08	22,733.08	0.00	2,266.92
075 - 05 subtotal:		25,000.00	0.00	22,733.08	22,733.08	0.00	2,266.92
076 - 05							
730250765	**Water Audit/Survey**						
33000 - Professional Services 533000		49,500.00	0.00	49,500.00	49,500.00	0.00	0.00
Posting Activity Total		49,500.00	0.00	49,500.00	49,500.00	0.00	0.00
076 - 05 subtotal:		49,500.00	0.00	49,500.00	49,500.00	0.00	0.00
077 - 05							
730250775	**Design, Engineering & Monitoring of Capital Projects**						
33000 - Professional Services 533000		230,000.00	0.00	83,596.01	83,596.01	14,317.13	132,086.86
Posting Activity Total		230,000.00	0.00	83,596.01	83,596.01	14,317.13	132,086.86
077 - 05 subtotal:		230,000.00	0.00	83,596.01	83,596.01	14,317.13	132,086.86
999 - 05							
730259995	**Water Unallocated**						
1 - General Appropriation 500000		1,747,073.27	0.00	0.00	0.00	0.00	1,747,073.27
Posting Activity Total		1,747,073.27	0.00	0.00	0.00	0.00	1,747,073.27
999 - 05 subtotal:		1,747,073.27	0.00	0.00	0.00	0.00	1,747,073.27
000 subtotal:		11,785,883.23	6,108,313.65	1,210,151.29	7,318,464.94	460,434.16	4,006,984.13

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WATER-CIF subtotal:		*11,785,883.23*	6,108,313.65	1,210,151.29	*7,318,464.94*	*460,434.16*	*4,006,984.13*

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ACTIVITY GROUP: WPC-BOND							
000 WPC-Bond Projects							
001 - 05							
715000015	**Pump Stations General Upgrade ($10.325M Bond 5/11/15)**						
75504 - Pump Stations 575504		645,067.32	645,067.32	0.00	645,067.32	0.00	0.00
Posting Activity Total		645,067.32	645,067.32	0.00	645,067.32	0.00	0.00
001 - 05 subtotal:		645,067.32	645,067.32	0.00	645,067.32	0.00	0.00
002 - 05							
715000025	**Gen'l Sanitary Collection Sys Imp ($10.325M Bond 5/11/15)**						
33016 - Engineering 533016		101,084.52	101,084.52	0.00	101,084.52	0.00	0.00
47000 - Construction Services 547000		240,487.09	0.00	183,989.99	183,989.99	56,497.10	0.00
71010 - Operations Equipment-Mi 571010		31,951.85	31,951.85	0.00	31,951.85	0.00	0.00
Posting Activity Total		373,523.46	133,036.37	183,989.99	317,026.36	56,497.10	0.00
002 - 05 subtotal:		373,523.46	133,036.37	183,989.99	317,026.36	56,497.10	0.00
003 - 05							
715000035	**Manhole Repairs ($10.325M Bond 5/11/15)**						
43010 - Resurface Streets 543010		334,153.88	329,047.91	0.00	329,047.91	3,784.72	1,321.25
Posting Activity Total		334,153.88	329,047.91	0.00	329,047.91	3,784.72	1,321.25
003 - 05 subtotal:		334,153.88	329,047.91	0.00	329,047.91	3,784.72	1,321.25
010 - 05							
715000105	**Plant Building Improvements ($10.325M Bond 5/11/15)**						
75501 - Building Improvements 575501		375,328.00	375,328.00	0.00	375,328.00	0.00	0.00
Posting Activity Total		375,328.00	375,328.00	0.00	375,328.00	0.00	0.00
010 - 05 subtotal:		375,328.00	375,328.00	0.00	375,328.00	0.00	0.00
011 - 05							
715000115	**Plant Electrical Equip Improvements ($10.325M Bond 5/11/15)**						
43001 - Repair-Electrical 543001		98,262.00	98,262.00	0.00	98,262.00	0.00	0.00
Posting Activity Total		98,262.00	98,262.00	0.00	98,262.00	0.00	0.00
011 - 05 subtotal:		98,262.00	98,262.00	0.00	98,262.00	0.00	0.00
012 - 05							
715000125	**Plant SCADA Upgrades ($10.325M Bond 5/11/15)**						
43026 - SCADA 543026		47,520.00	47,520.00	0.00	47,520.00	0.00	0.00
Posting Activity Total		47,520.00	47,520.00	0.00	47,520.00	0.00	0.00
012 - 05 subtotal:		47,520.00	47,520.00	0.00	47,520.00	0.00	0.00
014 - 05							
715000145	**SCADA at Pump Stations (FY20-CIP) ($10.325M Bond 5/11/15)**						
33000 - Professional Services 533000		5,000.00	0.00	0.00	0.00	3,880.00	1,120.00

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ACTIVITY GROUP: WPC-BOND							
000 WPC-Bond Projects							
014 - 05							
33016 - Engineering 533016		199,804.40	137,352.44	40,305.77	177,658.21	2,688.54	19,457.65
43026 - SCADA 543026		1,385,195.60	1,323,148.52	70,239.40	1,393,387.92	11,612.08	-19,804.40
Posting Activity Total		1,590,000.00	1,460,500.96	110,545.17	1,571,046.13	18,180.62	773.25
014 - 05 subtotal:		1,590,000.00	1,460,500.96	110,545.17	1,571,046.13	18,180.62	773.25
016 - 05							
715000165	**Trough Conversion Sec 5-8 FY20&21-CIP $10.325M Bond 5/11/15**						
33016 - Engineering 533016		56,199.64	56,199.64	0.00	56,199.64	0.00	0.00
47000 - Construction Services 547000		3,389,241.98	3,389,241.98	0.00	3,389,241.98	0.00	0.00
Posting Activity Total		3,445,441.62	3,445,441.62	0.00	3,445,441.62	0.00	0.00
016 - 05 subtotal:		3,445,441.62	3,445,441.62	0.00	3,445,441.62	0.00	0.00
017 - 05							
715000175	**Network & Fire Alarm Sys (FY21-CIP) ($10.325M Bond 5/11/15)**						
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	3,500.00	-3,500.00
33016 - Engineering 533016		123,520.00	104,987.65	0.00	104,987.65	18,532.35	0.00
47000 - Construction Services 547000		736,480.00	584,236.22	96,995.40	681,231.62	50,143.38	5,105.00
Posting Activity Total		860,000.00	689,223.87	96,995.40	786,219.27	72,175.73	1,605.00
017 - 05 subtotal:		860,000.00	689,223.87	96,995.40	786,219.27	72,175.73	1,605.00
018 - 05							
715000185	**UV Equipment Replacement (FY21-CIP) ($10.325M Bond 5/11/15)**						
33016 - Engineering 533016		163,444.00	135,834.68	0.00	135,834.68	15,993.32	11,616.00
47000 - Construction Services 547000		1,336,556.00	1,348,172.00	0.00	1,348,172.00	0.00	-11,616.00
Posting Activity Total		1,500,000.00	1,484,006.68	0.00	1,484,006.68	15,993.32	0.00
018 - 05 subtotal:		1,500,000.00	1,484,006.68	0.00	1,484,006.68	15,993.32	0.00
019 - 05							
715000195	**AT Blower & DO Controls (FY21-CIP) ($10.325M Bond 5/11/15)**						
33016 - Engineering 533016		410,000.00	304,342.59	16,834.93	321,177.52	88,679.00	143.48
47000 - Construction Services 547000		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		410,000.00	304,342.59	16,834.93	321,177.52	88,679.00	143.48
019 - 05 subtotal:		410,000.00	304,342.59	16,834.93	321,177.52	88,679.00	143.48
020 - 05							
715000205	**WPC Plant - Service Elevator Replace ($10.325M Bond 5/11/15)**						
33016 - Engineering 533016		10,000.00	0.00	0.00	0.00	0.00	10,000.00
47000 - Construction Services 547000		340,000.00	304,050.35	15,668.15	319,718.50	12,487.00	7,794.50
Posting Activity Total		350,000.00	304,050.35	15,668.15	319,718.50	12,487.00	17,794.50

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WPC-BOND							
000 WPC-Bond Projects							
020 - 05 subtotal:		350,000.00	304,050.35	15,668.15	319,718.50	12,487.00	17,794.50
021 - 05							
715000215	**WPC Plant - Processing Improvements ($10.325M Bond 5/11/15)**						
71010 - Operations Equipment-Mi 571010		21,897.00	21,897.00	0.00	21,897.00	0.00	0.00
Posting Activity Total		21,897.00	21,897.00	0.00	21,897.00	0.00	0.00
021 - 05 subtotal:		21,897.00	21,897.00	0.00	21,897.00	0.00	0.00
022 - 05							
715000225	**West Main St. Utility Improvements ($10.325M Bond 5/11/15)**						
33016 - Engineering 533016		270,000.00	0.00	0.00	0.00	270,000.00	0.00
Posting Activity Total		270,000.00	0.00	0.00	0.00	270,000.00	0.00
022 - 05 subtotal:		270,000.00	0.00	0.00	0.00	270,000.00	0.00
999 - 05							
715009995	**WPC Bond Unallocated ($10.325M Bond 5/11/15)**						
1 - General Appropriation 500000		3,806.72	0.00	0.00	0.00	0.00	3,806.72
Posting Activity Total		3,806.72	0.00	0.00	0.00	0.00	3,806.72
999 - 05 subtotal:		3,806.72	0.00	0.00	0.00	0.00	3,806.72
000 subtotal:		10,325,000.00	9,337,724.67	424,033.64	9,761,758.31	537,797.49	25,444.20

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
WPC-BOND subtotal:		*10,325,000.00*	9,337,724.67	424,033.64	*9,761,758.31*	*537,797.49*	*25,444.20*

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ACTIVITY GROUP: WPC-BOND1							
000 WPC-Bond Projects1							
001 - 05							
715100015	**WPC Phosphorus Reduction Upgrade**						
33006 - Architectural/Design 533006		1,522,900.00	1,512,963.05	0.00	1,512,963.05	0.00	9,936.95
33011 - Legal Exp & Court Fees 533011		250,000.00	35,612.92	0.00	35,612.92	0.00	214,387.08
33028 - Project Management 533028		2,124,030.00	2,643,068.16	0.00	2,643,068.16	0.00	-519,038.16
33033 - Debt Issuance Costs 533033		100,000.00	0.00	0.00	0.00	0.00	100,000.00
47000 - Construction Services 547000		27,307,535.00	27,306,822.05	0.00	27,306,822.05	0.00	712.95
69501 - Chemicals 569501		352,000.00	351,180.00	0.00	351,180.00	0.00	820.00
71011 - Equipment Rental 571011		50,000.00	85,215.92	0.00	85,215.92	0.00	-35,215.92
92002 - Interest - General 592002		650,000.00	0.00	0.00	0.00	0.00	650,000.00
99001 - Contingent Appropriatio 599001		2,486,463.00	0.00	0.00	0.00	0.00	2,486,463.00
99008 - Construction Contingency		45,535.00	0.00	0.00	0.00	0.00	45,535.00
Posting Activity Total		34,888,463.00	31,934,862.10	0.00	31,934,862.10	0.00	2,953,600.90
001 - 05 subtotal:		34,888,463.00	31,934,862.10	0.00	31,934,862.10	0.00	2,953,600.90
002 - 05							
715100025	**Ferric Trial**						
33016 - Engineering 533016		111,537.00	109,168.84	0.00	109,168.84	0.00	2,368.16
Posting Activity Total		111,537.00	109,168.84	0.00	109,168.84	0.00	2,368.16
002 - 05 subtotal:		111,537.00	109,168.84	0.00	109,168.84	0.00	2,368.16
003 - 05							
715100035	**Service Building Replacement**						
33037 - Good Jobs Oversight 533037		7,500.00	5,409.74	0.00	5,409.74	0.00	2,090.26
47000 - Construction Services 547000		4,525,000.00	4,294,817.53	0.00	4,294,817.53	220,454.47	9,728.00
99001 - Contingent Appropriatio 599001		467,500.00	0.00	0.00	0.00	0.00	467,500.00
Posting Activity Total		5,000,000.00	4,300,227.27	0.00	4,300,227.27	220,454.47	479,318.26
003 - 05 subtotal:		5,000,000.00	4,300,227.27	0.00	4,300,227.27	220,454.47	479,318.26
000 subtotal:		40,000,000.00	36,344,258.21	0.00	36,344,258.21	220,454.47	3,435,287.32

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
WPC-BOND1 subtotal:		*40,000,000.00*	36,344,258.21	0.00	*36,344,258.21*	*220,454.47*	*3,435,287.32*

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
082 - 05							
712000825	**SS Evaluation System for Sewer Sheds 2 & 3 (CMOM)**						
33000 - Professional Services 533000		2,170,000.00	811,057.51	0.00	811,057.51	565,685.14	793,257.35
33016 - Engineering 533016		380,000.00	272,218.84	72,144.35	344,363.19	397,529.73	-361,892.92
43020 - Repair and Maintenance- 543020		200,000.00	69,604.85	98,028.47	167,633.32	123,741.05	-91,374.37
47000 - Construction Services 547000		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		3,250,000.00	1,152,881.20	170,172.82	1,323,054.02	1,086,955.92	839,990.06
082 - 05 subtotal:		3,250,000.00	1,152,881.20	170,172.82	1,323,054.02	1,086,955.92	839,990.06
083 - 05							
712000835	**Collections - Sanitary Sewer Repairs (FY20 thru FY25 CIP)**						
33016 - Engineering 533016		23,238.00	22,914.11	0.00	22,914.11	323.89	0.00
43020 - Repair and Maintenance- 543020		1,426,762.00	790,207.93	66,850.40	857,058.33	173,492.79	396,210.88
Posting Activity Total		1,450,000.00	813,122.04	66,850.40	879,972.44	173,816.68	396,210.88
083 - 05 subtotal:		1,450,000.00	813,122.04	66,850.40	879,972.44	173,816.68	396,210.88
084 - 05							
712000845	**Collections - Manhole Repairs (FY20 thru FY25 CIP)**						
33016 - Engineering 533016		0.00	0.00	0.00	0.00	0.00	0.00
43020 - Repair and Maintenance- 543020		550,000.00	354,083.28	195,600.00	549,683.28	0.00	316.72
Posting Activity Total		550,000.00	354,083.28	195,600.00	549,683.28	0.00	316.72
084 - 05 subtotal:		550,000.00	354,083.28	195,600.00	549,683.28	0.00	316.72
085 - 05							
712000855	**WWTP - Security Improvements (FY20/FY21-CIP)**						
33016 - Engineering 533016		206,803.64	115,041.62	14,000.64	129,042.26	61,317.72	16,443.66
45013 - Security/Safety 545013		747,500.00	0.00	0.00	0.00	0.00	747,500.00
Posting Activity Total		954,303.64	115,041.62	14,000.64	129,042.26	61,317.72	763,943.66
085 - 05 subtotal:		954,303.64	115,041.62	14,000.64	129,042.26	61,317.72	763,943.66
087 - 05							
712000875	**Collections Maintenance Bldg Imp (FY20-CIP)**						
33016 - Engineering 533016		75,000.00	54,706.08	0.00	54,706.08	0.00	20,293.92
47000 - Construction Services 547000		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		75,000.00	54,706.08	0.00	54,706.08	0.00	20,293.92
087 - 05 subtotal:		75,000.00	54,706.08	0.00	54,706.08	0.00	20,293.92
089 - 05							
712000895	**Replace Generator PLC (FY20-CIP)**						
43026 - SCADA 543026		500,000.00	0.00	0.00	0.00	0.00	500,000.00

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ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
089 - 05							
Posting Activity Total		500,000.00	0.00	0.00	0.00	0.00	500,000.00
089 - 05 subtotal:		500,000.00	0.00	0.00	0.00	0.00	500,000.00
092 - 05							
712000925	**HVAC System Upgrade-Sludge Processing Building (FY20-CIP)**						
33016 - Engineering 533016		79,281.12	69,115.40	0.00	69,115.40	15,165.72	-5,000.00
75411 - HVAC 575411		1,470,718.88	1,379,404.52	0.00	1,379,404.52	0.00	91,314.36
Posting Activity Total		1,550,000.00	1,448,519.92	0.00	1,448,519.92	15,165.72	86,314.36
092 - 05 subtotal:		1,550,000.00	1,448,519.92	0.00	1,448,519.92	15,165.72	86,314.36
094 - 05							
712000945	**South Junction Chamber Repair**						
43020 - Repair and Maintenance- 543020		50,000.00	0.00	0.00	0.00	0.00	50,000.00
Posting Activity Total		50,000.00	0.00	0.00	0.00	0.00	50,000.00
094 - 05 subtotal:		50,000.00	0.00	0.00	0.00	0.00	50,000.00
096 - 05							
712000965	**PS - Generator Replace CP, Taft Pt., Edgewater (FY21-CIP)**						
33016 - Engineering 533016		40,168.00	23,748.49	0.00	23,748.49	16,419.51	0.00
47000 - Construction Services 547000		425,832.00	182,293.16	147,730.94	330,024.10	94,825.90	982.00
Posting Activity Total		466,000.00	206,041.65	147,730.94	353,772.59	111,245.41	982.00
096 - 05 subtotal:		466,000.00	206,041.65	147,730.94	353,772.59	111,245.41	982.00
097 - 05							
712000975	**PS - Acess Hatch & Electrical Hickory Hill (FY21-CIP)**						
33016 - Engineering 533016		5,000.00	0.00	0.00	0.00	0.00	5,000.00
47000 - Construction Services 547000		95,000.00	0.00	0.00	0.00	0.00	95,000.00
Posting Activity Total		100,000.00	0.00	0.00	0.00	0.00	100,000.00
097 - 05 subtotal:		100,000.00	0.00	0.00	0.00	0.00	100,000.00
098 - 05							
712000985	**PS - Replace Piping Rock (FY21-CIP)**						
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	10,750.00	-10,750.00
33016 - Engineering 533016		140,000.00	131,262.10	642.80	131,904.90	6,722.46	1,372.64
47000 - Construction Services 547000		1,710,000.00	201,305.12	1,269,939.11	1,471,244.23	193,802.77	44,953.00
Posting Activity Total		1,850,000.00	332,567.22	1,270,581.91	1,603,149.13	211,275.23	35,575.64
098 - 05 subtotal:		1,850,000.00	332,567.22	1,270,581.91	1,603,149.13	211,275.23	35,575.64
101 - 05							
712001015	**WWTP - Electrical Repairs Plant-Wide (FY21 thru FY25 CIP)**						

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ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
101 - 05							
33016 - Engineering 533016		2,500.00	0.00	0.00	0.00	0.00	2,500.00
47000 - Construction Services 547000		247,500.00	58,524.12	0.00	58,524.12	33,171.57	155,804.31
75408 - Plant Equipment 575408		0.00	0.00	127,555.00	127,555.00	0.00	-127,555.00
Posting Activity Total		250,000.00	58,524.12	127,555.00	186,079.12	33,171.57	30,749.31
101 - 05 subtotal:		250,000.00	58,524.12	127,555.00	186,079.12	33,171.57	30,749.31
105 - 05							
712001055	**WWTP - Secondary Sludge Pumps Replacement**						
33016 - Engineering 533016		165,000.00	0.00	92,937.26	92,937.26	69,531.74	2,531.00
47000 - Construction Services 547000		335,000.00	0.00	0.00	0.00	0.00	335,000.00
Posting Activity Total		500,000.00	0.00	92,937.26	92,937.26	69,531.74	337,531.00
105 - 05 subtotal:		500,000.00	0.00	92,937.26	92,937.26	69,531.74	337,531.00
106 - 05							
712001065	**WWTP - Grit Collection Equipment Replacement**						
33016 - Engineering 533016		125,000.00	119,979.20	0.00	119,979.20	176.70	4,844.10
47000 - Construction Services 547000		675,000.00	0.00	625,000.00	625,000.00	0.00	50,000.00
Posting Activity Total		800,000.00	119,979.20	625,000.00	744,979.20	176.70	54,844.10
106 - 05 subtotal:		800,000.00	119,979.20	625,000.00	744,979.20	176.70	54,844.10
107 - 05							
712001075	**PS - General & Emergency Repairs**						
43020 - Repair and Maintenance- 543020		250,000.00	0.00	66,525.00	66,525.00	25,000.00	158,475.00
Posting Activity Total		250,000.00	0.00	66,525.00	66,525.00	25,000.00	158,475.00
107 - 05 subtotal:		250,000.00	0.00	66,525.00	66,525.00	25,000.00	158,475.00
108 - 05							
712001085	**Collections - Sanitary Sewer Lining Material**						
43020 - Repair and Maintenance- 543020		200,000.00	28,000.00	0.00	28,000.00	0.00	172,000.00
Posting Activity Total		200,000.00	28,000.00	0.00	28,000.00	0.00	172,000.00
108 - 05 subtotal:		200,000.00	28,000.00	0.00	28,000.00	0.00	172,000.00
110 - 05							
712001105	**WWTP - General & Emergency Repairs**						
43020 - Repair and Maintenance- 543020		350,000.00	108,053.34	88,180.00	196,233.34	17,053.38	136,713.28
Posting Activity Total		350,000.00	108,053.34	88,180.00	196,233.34	17,053.38	136,713.28
110 - 05 subtotal:		350,000.00	108,053.34	88,180.00	196,233.34	17,053.38	136,713.28
114 - 05							
712001145	**WWTP - Replace Influent Bar Screens**						

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ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
114 - 05							
75408 - Plant Equipment 575408		800,000.00	0.00	219,096.61	219,096.61	580,903.39	0.00
Posting Activity Total		800,000.00	0.00	219,096.61	219,096.61	580,903.39	0.00
114 - 05 subtotal:		800,000.00	0.00	219,096.61	219,096.61	580,903.39	0.00
116 - 05							
712001165	**WWTP - Administration Building Miscellaneous Repairs**						
43020 - Repair and Maintenance- 543020		250,000.00	0.00	0.00	0.00	0.00	250,000.00
Posting Activity Total		250,000.00	0.00	0.00	0.00	0.00	250,000.00
116 - 05 subtotal:		250,000.00	0.00	0.00	0.00	0.00	250,000.00
117 - 05							
712001175	**WWTP - Miscellaneous Equipment**						
75408 - Plant Equipment 575408		275,000.00	268,486.29	0.00	268,486.29	3,573.00	2,940.71
Posting Activity Total		275,000.00	268,486.29	0.00	268,486.29	3,573.00	2,940.71
117 - 05 subtotal:		275,000.00	268,486.29	0.00	268,486.29	3,573.00	2,940.71
118 - 05							
712001185	**WWTP - Process Equipment Replacement**						
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	4,500.00	-4,500.00
75408 - Plant Equipment 575408		8,250,000.00	5,836,930.68	2,196,859.35	8,033,790.03	211,527.97	4,682.00
Posting Activity Total		8,250,000.00	5,836,930.68	2,196,859.35	8,033,790.03	216,027.97	182.00
118 - 05 subtotal:		8,250,000.00	5,836,930.68	2,196,859.35	8,033,790.03	216,027.97	182.00
119 - 05							
712001195	**WWTP - Collections Building Roof Replacement**						
33000 - Professional Services 533000		10,000.00	823.97	0.00	823.97	4,176.50	4,999.53
47000 - Construction Services 547000		890,192.98	890,192.98	0.00	890,192.98	0.00	0.00
Posting Activity Total		900,192.98	891,016.95	0.00	891,016.95	4,176.50	4,999.53
119 - 05 subtotal:		900,192.98	891,016.95	0.00	891,016.95	4,176.50	4,999.53
120 - 05							
712001205	**Replacement of Backhoe and Dump Truck**						
74009 - Vehicles-Misc 574009		328,768.80	296,090.70	0.00	296,090.70	0.00	32,678.10
Posting Activity Total		328,768.80	296,090.70	0.00	296,090.70	0.00	32,678.10
120 - 05 subtotal:		328,768.80	296,090.70	0.00	296,090.70	0.00	32,678.10
121 - 05							
712001215	**WPC Emergency Repairs**						
47000 - Construction Services 547000		400,000.00	97,462.19	96,953.18	194,415.37	0.00	205,584.63
Posting Activity Total		400,000.00	97,462.19	96,953.18	194,415.37	0.00	205,584.63

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
121 - 05 subtotal:		400,000.00	97,462.19	96,953.18	194,415.37	0.00	205,584.63
122 - 05							
712001225	**WWTP - BFP Upgrades**						
75408 - Plant Equipment 575408		500,000.00	0.00	0.00	0.00	391,035.00	108,965.00
Posting Activity Total		500,000.00	0.00	0.00	0.00	391,035.00	108,965.00
122 - 05 subtotal:		500,000.00	0.00	0.00	0.00	391,035.00	108,965.00
123 - 05							
712001235	**Headworks Improvements**						
47000 - Construction Services 547000		1,370,331.00	0.00	117,614.24	117,614.24	1,252,716.76	0.00
Posting Activity Total		1,370,331.00	0.00	117,614.24	117,614.24	1,252,716.76	0.00
123 - 05 subtotal:		1,370,331.00	0.00	117,614.24	117,614.24	1,252,716.76	0.00
124 - 05							
712001245	**Sludge Incinerator Condition Assessment & Design**						
33000 - Professional Services 533000		200,000.00	0.00	29,173.38	29,173.38	118,736.62	52,090.00
33016 - Engineering 533016		300,000.00	0.00	131,854.14	131,854.14	18,007.44	150,138.42
Posting Activity Total		500,000.00	0.00	161,027.52	161,027.52	136,744.06	202,228.42
124 - 05 subtotal:		500,000.00	0.00	161,027.52	161,027.52	136,744.06	202,228.42
125 - 05							
712001255	**Design, Engineering & Monitoring of Capital Projects**						
33000 - Professional Services 533000		119,000.00	0.00	0.00	0.00	0.00	119,000.00
33016 - Engineering 533016		111,000.00	0.00	45,936.90	45,936.90	64,898.70	164.40
Posting Activity Total		230,000.00	0.00	45,936.90	45,936.90	64,898.70	119,164.40
125 - 05 subtotal:		230,000.00	0.00	45,936.90	45,936.90	64,898.70	119,164.40
126 - 05							
712001265	**PS-Pump Station Improvements**						
47000 - Construction Services 547000		750,000.00	0.00	0.00	0.00	0.00	750,000.00
Posting Activity Total		750,000.00	0.00	0.00	0.00	0.00	750,000.00
126 - 05 subtotal:		750,000.00	0.00	0.00	0.00	0.00	750,000.00
127 - 05							
712001275	**WWTP-HVAC Building Upgrades Plant-Wide**						
47000 - Construction Services 547000		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		500,000.00	0.00	0.00	0.00	0.00	500,000.00
127 - 05 subtotal:		500,000.00	0.00	0.00	0.00	0.00	500,000.00
128 - 05							
712001285	**WWTP-Boiler Replacement Plant-Wide**						

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: WPC-CIF							
000 WPC-CIF Other Funding							
128 - 05							
47000 - Construction Services 547000		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		500,000.00	0.00	0.00	0.00	0.00	500,000.00
128 - 05 subtotal:		500,000.00	0.00	0.00	0.00	0.00	500,000.00
129 - 05							
712001295	**WWTP-Building Roof Repair/Replacement Plant-Wide**						
47000 - Construction Services 547000		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		500,000.00	0.00	0.00	0.00	0.00	500,000.00
129 - 05 subtotal:		500,000.00	0.00	0.00	0.00	0.00	500,000.00
130 - 05							
712001305	**WWTP-Evaluation of Refuse Incinerator Bldg & Initial Cleanup**						
33000 - Professional Services 533000		300,000.00	0.00	0.00	0.00	0.00	300,000.00
Posting Activity Total		300,000.00	0.00	0.00	0.00	0.00	300,000.00
130 - 05 subtotal:		300,000.00	0.00	0.00	0.00	0.00	300,000.00
131 - 05							
712001315	**WWTP-Replace Plant Water Pumps**						
75408 - Plant Equipment 575408		500,000.00	0.00	0.00	0.00	0.00	500,000.00
Posting Activity Total		500,000.00	0.00	0.00	0.00	0.00	500,000.00
131 - 05 subtotal:		500,000.00	0.00	0.00	0.00	0.00	500,000.00
132 - 05							
712001325	**Replacement of Excavator**						
74009 - Vehicles-Misc 574009		300,000.00	0.00	0.00	0.00	0.00	300,000.00
Posting Activity Total		300,000.00	0.00	0.00	0.00	0.00	300,000.00
132 - 05 subtotal:		300,000.00	0.00	0.00	0.00	0.00	300,000.00
999 - 05							
712009995	**WPC Unallocated**						
1 - General Appropriation 500000		187,958.88	0.00	0.00	0.00	0.00	187,958.88
Posting Activity Total		187,958.88	0.00	0.00	0.00	0.00	187,958.88
999 - 05 subtotal:		187,958.88	0.00	0.00	0.00	0.00	187,958.88
000 subtotal:		30,487,555.30	12,181,506.48	5,702,621.77	17,884,128.25	4,454,785.45	8,148,641.60

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
WPC-CIF subtotal:		*30,487,555.30*	12,181,506.48	5,702,621.77	*17,884,128.25*	*4,454,785.45*	*8,148,641.60*
Grand Total:		767,320,542.65	459,679,056.57	64,226,263.43	523,905,320.00	77,063,217.66	166,352,004.99



Non-Capital (NC)
Special Appropriation Projects

Quarterly Budget Status Report

June 30, 2025

City of Waterbury
FINANCE DEPARTMENT

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ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Activity Detail - Capital projects

Data as of 06/30/2025, 11:49:49AM

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
101 Arts & Tourism							
006 - 05	**Other NC Rev Other**						OTNC
550061015	**Arts & Tourism**						
77002 - Kitchen Equipment 577002		4,900.00	4,900.00	0.00	4,900.00	0.00	0.00
89017 - Arts & Tourism 589017		34,167.45	9,067.67	6,755.68	15,823.35	0.00	18,344.10
Posting Activity Total		39,067.45	13,967.67	6,755.68	20,723.35	0.00	18,344.10
006 - 05 subtotal:		39,067.45	13,967.67	6,755.68	20,723.35	0.00	18,344.10
010 - 05	**Other NC Rev Other**						OTNC
550161015	**Veterans Memorial Committee**						
1 - General Appropriation 500000		141,946.10	0.00	0.00	0.00	0.00	141,946.10
45016 - Building and Grounds-Mi 545016		0.00	6,325.00	0.00	6,325.00	0.00	-6,325.00
69022 - Operations-Misc 569022		0.00	124,113.68	4,238.00	128,351.68	0.00	-128,351.68
89011 - Veterans Day 589011		0.00	3,346.80	0.00	3,346.80	0.00	-3,346.80
Posting Activity Total		141,946.10	133,785.48	4,238.00	138,023.48	0.00	3,922.62
010 - 05 subtotal:		141,946.10	133,785.48	4,238.00	138,023.48	0.00	3,922.62
090 - 05	**Other NC Rev Other**						OTNC
550421015	**Open Space - Greenway**						
59008 - Other Purchase Services 559008		59,357.08	22,185.00	0.00	22,185.00	0.00	37,172.08
Posting Activity Total		59,357.08	22,185.00	0.00	22,185.00	0.00	37,172.08
090 - 05 subtotal:		59,357.08	22,185.00	0.00	22,185.00	0.00	37,172.08
179 - 05	**Other NC Rev Other**						OTNC
550231015	**Senior Shuttle Funding**						
39012 - Outside Srvs-Operations 539012		760,000.00	418,441.60	229,423.80	647,865.40	61,124.02	51,010.58
91013 - Transfer to W. D. C. 591013		30,000.00	30,000.00	0.00	30,000.00	0.00	0.00
Posting Activity Total		790,000.00	448,441.60	229,423.80	677,865.40	61,124.02	51,010.58
179 - 05 subtotal:		790,000.00	448,441.60	229,423.80	677,865.40	61,124.02	51,010.58
215 - 05	**Other NC Rev Other**						OTNC
550271015	**BRASS Senior Center**						
53001 - Postage 553001		0.00	2,919.20	0.00	2,919.20	1.17	-2,920.37
59002 - Printing & Binding 559002		0.00	4,589.71	0.00	4,589.71	0.00	-4,589.71
69022 - Operations-Misc 569022		0.00	0.00	0.00	0.00	0.00	0.00
89012 - Senior Citizens Center 589012		65,000.00	57,469.11	0.00	57,469.11	0.00	7,530.89
Posting Activity Total		65,000.00	64,978.02	0.00	64,978.02	1.17	20.81
215 - 05 subtotal:		65,000.00	64,978.02	0.00	64,978.02	1.17	20.81
221 - 05	**Other NC Rev Other**						OTNC

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
101 Comm/Senior Center							
221 - 05	**Other NC Rev Other**						OTNC
550281015	**Community Senior Center**						
61502 - Electricity 561502		0.00	0.00	0.00	0.00	0.00	0.00
69022 - Operations-Misc 569022		35,000.00	67,056.48	0.00	67,056.48	0.00	-32,056.48
75000 - Furn, Fix, Equip 575000		155,592.00	123,180.52	0.00	123,180.52	0.00	32,411.48
Posting Activity Total		190,592.00	190,237.00	0.00	190,237.00	0.00	355.00
221 - 05 subtotal:		190,592.00	190,237.00	0.00	190,237.00	0.00	355.00
243 - 05	**Other NC Rev Other**						OTNC
550291015	**Senior Center Donations**						
64205 - Adult Programs 564205		15,395.25	2,033.57	0.00	2,033.57	0.00	13,361.68
69022 - Operations-Misc 569022		250.00	4,334.52	619.20	4,953.72	0.00	-4,703.72
71007 - Athletic Tools/Equipmen 571007		10,000.00	10,016.79	0.00	10,016.79	0.00	-16.79
Posting Activity Total		25,645.25	16,384.88	619.20	17,004.08	0.00	8,641.17
243 - 05 subtotal:		25,645.25	16,384.88	619.20	17,004.08	0.00	8,641.17
247 - 05	**Other NC Rev Other**						OTNC
550321015	**Waterbury Holiday Artisan Market**						
89008 - Holiday Activities 589008		70,994.05	39,445.75	18,149.28	57,595.03	0.00	13,399.02
Posting Activity Total		70,994.05	39,445.75	18,149.28	57,595.03	0.00	13,399.02
247 - 05 subtotal:		70,994.05	39,445.75	18,149.28	57,595.03	0.00	13,399.02
251 - 05	**Other NC Rev Other**						OTNC
550331015	**Outreach Grants and Environmental Measures**						
1 - General Appropriation 500000		23,688.53	0.00	0.00	0.00	0.00	23,688.53
Posting Activity Total		23,688.53	0.00	0.00	0.00	0.00	23,688.53
251 - 05 subtotal:		23,688.53	0.00	0.00	0.00	0.00	23,688.53
267 - 05	**Other NC Rev Other**						OTNC
550351015	**C-PACE Marketing Campaign**						
89004 - Energy Conservation 589004		4,691.75	1,608.01	0.00	1,608.01	0.00	3,083.74
Posting Activity Total		4,691.75	1,608.01	0.00	1,608.01	0.00	3,083.74
267 - 05 subtotal:		4,691.75	1,608.01	0.00	1,608.01	0.00	3,083.74
291 - 05	**Other NC Rev Other**						OTNC
550361015	**Good Jobs & Section 3 Compliance**						
33000 - Professional Services 533000		74,187.00	73,981.02	0.00	73,981.02	0.00	205.98
Posting Activity Total		74,187.00	73,981.02	0.00	73,981.02	0.00	205.98
291 - 05 subtotal:		74,187.00	73,981.02	0.00	73,981.02	0.00	205.98
419 - 05	**Other NC Rev Other**						OTNC

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
101 Waterbury Promise							
419 - 05	**Other NC Rev Other**						OTNC
550511015	**Waterbury Promise Program**						
53001 - Postage 553001		5,000.00	0.00	3,528.33	3,528.33	0.00	1,471.67
59002 - Printing & Binding 559002		5,000.00	0.00	311.93	311.93	0.00	4,688.07
61200 - Office Supplies 561200		10,000.00	0.00	0.00	0.00	0.00	10,000.00
89056 - Waterbury Promise 589056		7,725,000.00	6,250,000.00	1,475,000.00	7,725,000.00	0.00	0.00
89201 - Mileage 589201		5,000.00	0.00	44.52	44.52	0.00	4,955.48
Posting Activity Total		7,750,000.00	6,250,000.00	1,478,884.78	7,728,884.78	0.00	21,115.22
419 - 05 subtotal:		7,750,000.00	6,250,000.00	1,478,884.78	7,728,884.78	0.00	21,115.22
465 - 05	**Other NC Rev Other**						OTNC
550531015	**Fair Rent Commission**						
1 - General Appropriation 500000		3,000.00	0.00	0.00	0.00	0.00	3,000.00
11600 - Temporary Employees		0.00	0.00	0.00	0.00	0.00	0.00
33000 - Professional Services 533000		0.00	0.00	0.00	0.00	1,500.00	-1,500.00
53001 - Postage 553001		0.00	51.83	397.24	449.07	0.00	-449.07
59002 - Printing & Binding 559002		0.00	26.50	0.00	26.50	0.00	-26.50
61206 - Office-Misc 561206		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		3,000.00	78.33	397.24	475.57	1,500.00	1,024.43
465 - 05 subtotal:		3,000.00	78.33	397.24	475.57	1,500.00	1,024.43
486 - 05	**Other NC Rev Other**						OTNC
550591015	**ion BANK Fnd.-Senior Center**						
33000 - Professional Services 533000		2,500.00	0.00	1,875.00	1,875.00	625.00	0.00
Posting Activity Total		2,500.00	0.00	1,875.00	1,875.00	625.00	0.00
486 - 05 subtotal:		2,500.00	0.00	1,875.00	1,875.00	625.00	0.00
488 - 05	**Other NC Rev Other**						OTNC
550641015	**CCF Grant-Senior Center 1/1/25-12/31/25**						
64205 - Adult Programs 564205		12,000.00	0.00	7,030.99	7,030.99	1,500.00	3,469.01
Posting Activity Total		12,000.00	0.00	7,030.99	7,030.99	1,500.00	3,469.01
488 - 05 subtotal:		12,000.00	0.00	7,030.99	7,030.99	1,500.00	3,469.01
496 - 05	**Other NC Rev Other**						OTNC
370151015	**Community Development-Local**						
11500 - Salaries (Non BOE salaries)		135,000.00	0.00	0.00	0.00	0.00	135,000.00
Posting Activity Total		135,000.00	0.00	0.00	0.00	0.00	135,000.00
496 - 05 subtotal:		135,000.00	0.00	0.00	0.00	0.00	135,000.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
101 subtotal:		9,387,669.21	7,255,092.76	1,747,373.97	9,002,466.73	64,750.19	320,452.29

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
102 LitterControl & Beautification							
003 - 05	**Other NC Rev Other**						OTNC
550111025	**Litter Control & Beautification**						
53001 - Postage 553001		90.00	24.50	0.00	24.50	0.00	65.50
59001 - Advertising 559001		13,675.00	11,213.08	2,431.22	13,644.30	0.00	30.70
59002 - Printing & Binding 559002		930.00	906.02	45.60	951.62	0.00	-21.62
61206 - Office-Misc 561206		75.00	0.00	0.00	0.00	0.00	75.00
69000 - Other Supplies 569000		605.00	459.46	0.00	459.46	0.00	145.54
89201 - Mileage 589201		125.00	0.00	0.00	0.00	0.00	125.00
Posting Activity Total		15,500.00	12,603.06	2,476.82	15,079.88	0.00	420.12
003 - 05 subtotal:		15,500.00	12,603.06	2,476.82	15,079.88	0.00	420.12
247 - 05	**Other NC Rev Other**						OTNC
550321025	**SPARK: Welding Festival**						
89018 - Arts Festival 589018		1,000.00	0.00	0.00	0.00	0.00	1,000.00
Posting Activity Total		1,000.00	0.00	0.00	0.00	0.00	1,000.00
247 - 05 subtotal:		1,000.00	0.00	0.00	0.00	0.00	1,000.00
486 - 05	**Other NC Rev Other**						OTNC
550591025	**TSB Foundation-Senior Center**						
77002 - Kitchen Equipment 577002		2,000.00	0.00	2,000.00	2,000.00	0.00	0.00
Posting Activity Total		2,000.00	0.00	2,000.00	2,000.00	0.00	0.00
486 - 05 subtotal:		2,000.00	0.00	2,000.00	2,000.00	0.00	0.00
102 subtotal:		18,500.00	12,603.06	4,476.82	17,079.88	0.00	1,420.12

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ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Activity Detail - Capital projects

Data as of 06/30/2025, 11:49:49AM

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
103 Senior Center Donations							
243 - 05	**Other NC Rev Other**						OTNC
550291035	**CT Community Foundation 16-17**						
69022 - Operations-Misc 569022		4,500.00	3,675.80	0.00	3,675.80	0.00	824.20
Posting Activity Total		4,500.00	3,675.80	0.00	3,675.80	0.00	824.20
243 - 05 subtotal:		4,500.00	3,675.80	0.00	3,675.80	0.00	824.20
103 subtotal:		4,500.00	3,675.80	0.00	3,675.80	0.00	824.20

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
104 Senior Center Donations							
243 - 05	**Other NC Rev Other**						OTNC
550291045	**CT Community Foundation FY18 through FY25**						
11500 - Salaries (Non BOE salaries)		9,000.00	9,000.00	0.00	9,000.00	0.00	0.00
11600 - Temporary Employees		39,637.00	26,437.00	13,200.00	39,637.00	0.00	0.00
23501 - Fringe Other - General 523501		563.00	563.00	0.00	563.00	0.00	0.00
Posting Activity Total		49,200.00	36,000.00	13,200.00	49,200.00	0.00	0.00
243 - 05 subtotal:		49,200.00	36,000.00	13,200.00	49,200.00	0.00	0.00
104 subtotal:		49,200.00	36,000.00	13,200.00	49,200.00	0.00	0.00

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
110 City Fees-PreserveHistoric Doc							
005 - 05	**Other NC Rev Other**						OTNC
550051105	**City Fees-PreserveHistoric Doc**						
1 - General Appropriation 500000		813,707.42	0.00	0.00	0.00	0.00	813,707.42
11500 - Salaries (Non BOE salaries)		0.00	538,947.95	31,287.79	570,235.74	0.00	-570,235.74
58000 - Travel Expenses 558000		0.00	6,672.03	0.00	6,672.03	0.00	-6,672.03
59002 - Printing & Binding 559002		0.00	80,363.32	1,060.35	81,423.67	0.00	-81,423.67
61206 - Office-Misc 561206		0.00	8,562.69	0.00	8,562.69	0.00	-8,562.69
75200 - Office Equipment 575200		0.00	11,427.08	0.00	11,427.08	0.00	-11,427.08
75207 - Office Equipment-Misc 575207		0.00	36,355.96	0.00	36,355.96	0.00	-36,355.96
89104 - Refunds to Grantor 589104		0.00	1,450.00	0.00	1,450.00	0.00	-1,450.00
89800 - Registration & Fees 589800		0.00	800.00	0.00	800.00	0.00	-800.00
89900 - Dues & Publications 589900		0.00	8,958.76	235.00	9,193.76	0.00	-9,193.76
Posting Activity Total		813,707.42	693,537.79	32,583.14	726,120.93	0.00	87,586.49
005 - 05 subtotal:		813,707.42	693,537.79	32,583.14	726,120.93	0.00	87,586.49
110 subtotal:		813,707.42	693,537.79	32,583.14	726,120.93	0.00	87,586.49

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
113 Election Aid 2020							
392 - 05	**Other NC Rev Other**						OTNC
550471135	**Election Aid 2020 & 2022**						
11600 - Temporary Employees		15,000.00	5,950.24	0.00	5,950.24	0.00	9,049.76
11650 - Overtime		0.00	25,005.26	0.00	25,005.26	0.00	-25,005.26
53001 - Postage 553001		17,903.00	6,486.60	0.00	6,486.60	0.00	11,416.40
59002 - Printing & Binding 559002		37,109.61	30,090.18	0.00	30,090.18	0.00	7,019.43
61206 - Office-Misc 561206		5,000.00	21,295.50	0.00	21,295.50	0.00	-16,295.50
61219 - Citywide COVID-19 561219		21,000.00	2,621.67	0.00	2,621.67	0.00	18,378.33
Posting Activity Total		96,012.61	91,449.45	0.00	91,449.45	0.00	4,563.16
392 - 05 subtotal:		96,012.61	91,449.45	0.00	91,449.45	0.00	4,563.16
113 subtotal:		96,012.61	91,449.45	0.00	91,449.45	0.00	4,563.16

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
116 HR Recruiting & Testing							
393 - 05	**Other NC Rev Other**						OTNC
550481165	**Human Resources Recruiting & Testing**						
1 - General Appropriation 500000		100,000.00	0.00	0.00	0.00	0.00	100,000.00
33022 - Professional-Misc 533022		0.00	25,500.00	0.00	25,500.00	0.00	-25,500.00
39002 - Pre-Emp Physicals 539002		0.00	16,797.50	0.00	16,797.50	0.00	-16,797.50
59001 - Advertising 559001		0.00	9,758.39	0.00	9,758.39	0.00	-9,758.39
Posting Activity Total		100,000.00	52,055.89	0.00	52,055.89	0.00	47,944.11
393 - 05 subtotal:		100,000.00	52,055.89	0.00	52,055.89	0.00	47,944.11
473 - 05	**Other NC Rev Other**						OTNC
550581165	**Summer Internship Program**						
11600 - Temporary Employees		100,000.00	18,000.39	-18,000.39	0.00	0.00	100,000.00
29003 - Meal Allowances 529003		0.00	0.00	2,950.65	2,950.65	0.00	-2,950.65
Posting Activity Total		100,000.00	18,000.39	-15,049.74	2,950.65	0.00	97,049.35
473 - 05 subtotal:		100,000.00	18,000.39	-15,049.74	2,950.65	0.00	97,049.35
116 subtotal:		200,000.00	70,056.28	-15,049.74	55,006.54	0.00	144,993.46

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Activity Detail - Capital projects

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
119 Election Aid 2020							
392 - 05	**Other NC Rev Other**						OTNC
550471195	**Election Aid 2020-City Funded**						
1 - General Appropriation 500000		50,000.00	0.00	0.00	0.00	0.00	50,000.00
53001 - Postage 553001		0.00	29,710.51	0.00	29,710.51	0.00	-29,710.51
59002 - Printing & Binding 559002		0.00	13,442.50	0.00	13,442.50	0.00	-13,442.50
Posting Activity Total		50,000.00	43,153.01	0.00	43,153.01	0.00	6,846.99
392 - 05 subtotal:		50,000.00	43,153.01	0.00	43,153.01	0.00	6,846.99
462 - 03	**Other NC Rev State**						SDNC
550521193	**Early Voting 2024**						
1 - General Appropriation 500000		10,500.00	0.00	0.00	0.00	0.00	10,500.00
11600 - Temporary Employees		0.00	4,495.00	0.00	4,495.00	0.00	-4,495.00
11650 - Overtime		0.00	0.00	0.00	0.00	0.00	0.00
43033 - Service/Maintenance Con 543033		0.00	0.00	0.00	0.00	0.00	0.00
53001 - Postage 553001		0.00	0.00	0.00	0.00	0.00	0.00
59002 - Printing & Binding 559002		0.00	5,014.15	0.00	5,014.15	0.00	-5,014.15
61206 - Office-Misc 561206		0.00	980.19	10.66	990.85	0.00	-990.85
Posting Activity Total		10,500.00	10,489.34	10.66	10,500.00	0.00	0.00
462 - 03 subtotal:		10,500.00	10,489.34	10.66	10,500.00	0.00	0.00
119 subtotal:		60,500.00	53,642.35	10.66	53,653.01	0.00	6,846.99

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
120 Early Voting 2024							
462 - 03	**Other NC Rev State**						SDNC
550521203	**Early Voting ARPA**						
1 - General Appropriation 500000		24,748.97	0.00	0.00	0.00	0.00	24,748.97
11600 - Temporary Employees		0.00	0.00	7,038.97	7,038.97	0.00	-7,038.97
11650 - Overtime		0.00	0.00	0.00	0.00	0.00	0.00
43033 - Service/Maintenance Con 543033		0.00	0.00	0.00	0.00	0.00	0.00
53001 - Postage 553001		0.00	0.00	0.00	0.00	0.00	0.00
59002 - Printing & Binding 559002		0.00	0.00	12,400.00	12,400.00	0.00	-12,400.00
61206 - Office-Misc 561206		0.00	0.00	5,310.00	5,310.00	0.00	-5,310.00
Posting Activity Total		24,748.97	0.00	24,748.97	24,748.97	0.00	0.00
462 - 03 subtotal:		24,748.97	0.00	24,748.97	24,748.97	0.00	0.00
120 subtotal:		24,748.97	0.00	24,748.97	24,748.97	0.00	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
201 Citywide COVID-19							
386 - 05	**Other NC Rev Other**						OTNC
550462015	**Citywide COVID-19**						
61219 - Citywide COVID-19 561219		6,900.00	6,900.00	0.00	6,900.00	0.00	0.00
Posting Activity Total		6,900.00	6,900.00	0.00	6,900.00	0.00	0.00
386 - 05 subtotal:		6,900.00	6,900.00	0.00	6,900.00	0.00	0.00
474 - 05	**Other NC Rev Other**						OTNC
563002015	**Emergency Relocation**						
89055 - Program Costs 589055		250,850.00	68,275.00	30,600.00	98,875.00	0.00	151,975.00
Posting Activity Total		250,850.00	68,275.00	30,600.00	98,875.00	0.00	151,975.00
474 - 05 subtotal:		250,850.00	68,275.00	30,600.00	98,875.00	0.00	151,975.00
477 - 05	**Other NC Rev Other**						OTNC
350202015	**Waterbury Land Bank**						
89058 - Waterbury Land Bank 589058		300,000.00	0.00	0.00	0.00	0.00	300,000.00
Posting Activity Total		300,000.00	0.00	0.00	0.00	0.00	300,000.00
477 - 05 subtotal:		300,000.00	0.00	0.00	0.00	0.00	300,000.00
490 - 05	**Other NC Rev Other**						OTNC
563252015	**Cannabis Sales Tax**						
1 - General Appropriation 500000		38,715.52	0.00	0.00	0.00	0.00	38,715.52
39009 - Training- Misc 539009		0.00	0.00	0.00	0.00	0.00	0.00
45014 - Buildings and Grounds S 545014		0.00	0.00	0.00	0.00	0.00	0.00
64204 - Young Adult Programs 564204		0.00	0.00	0.00	0.00	0.00	0.00
89007 - Youth Service System 589007		0.00	0.00	0.00	0.00	0.00	0.00
89041 - Program Support 589041		0.00	0.00	0.00	0.00	0.00	0.00
89054 - Community Awareness 589054		0.00	0.00	0.00	0.00	0.00	0.00
89055 - Program Costs 589055		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		38,715.52	0.00	0.00	0.00	0.00	38,715.52
490 - 05 subtotal:		38,715.52	0.00	0.00	0.00	0.00	38,715.52
201 subtotal:		596,465.52	75,175.00	30,600.00	105,775.00	0.00	490,690.52

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
202 Citywide COVID-19							
386 - 05	**Other NC Rev Other**						OTNC
550462025	**CARES Act**						
11500 - Salaries (Non BOE salaries)		736,172.22	736,172.22	0.00	736,172.22	0.00	0.00
11550 - Hourly Employees		138,132.96	138,132.96	0.00	138,132.96	0.00	0.00
11600 - Temporary Employees		179,174.43	179,174.43	0.00	179,174.43	0.00	0.00
11650 - Overtime		87,803.38	87,803.38	0.00	87,803.38	0.00	0.00
33000 - Professional Services 533000		553,796.14	553,796.14	0.00	553,796.14	0.00	0.00
61204 - Emergency/Medical Suppl 561204		27,978.23	27,978.23	0.00	27,978.23	0.00	0.00
61219 - Citywide COVID-19 561219		631,278.40	631,278.40	0.00	631,278.40	0.00	0.00
71010 - Operations Equipment-Mi 571010		39,472.00	39,472.00	0.00	39,472.00	0.00	0.00
75200 - Office Equipment 575200		140,466.24	140,466.24	0.00	140,466.24	0.00	0.00
99001 - Contingent Appropriatio 599001		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		2,534,274.00	2,534,274.00	0.00	2,534,274.00	0.00	0.00
386 - 05 subtotal:		2,534,274.00	2,534,274.00	0.00	2,534,274.00	0.00	0.00
202 subtotal:		2,534,274.00	2,534,274.00	0.00	2,534,274.00	0.00	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
203 Citywide COVID-19							
386 - 05	**Other NC Rev Other**						OTNC
550462035	**FEMA COVID-19**						
11650 - Overtime		1,307,498.82	1,307,498.82	0.00	1,307,498.82	0.00	0.00
33000 - Professional Services 533000		139,172.50	121,672.50	1,260.00	122,932.50	16,240.00	0.00
Posting Activity Total		1,446,671.32	1,429,171.32	1,260.00	1,430,431.32	16,240.00	0.00
386 - 05 subtotal:		1,446,671.32	1,429,171.32	1,260.00	1,430,431.32	16,240.00	0.00
203 subtotal:		1,446,671.32	1,429,171.32	1,260.00	1,430,431.32	16,240.00	0.00

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
207 GASB 34 Implementation Fund							
012 - 05	**Other NC Rev Other**						OTNC
550182075	**Tax Sales Project**						
33022 - Professional-Misc 533022		438,416.98	390,019.24	13,257.46	403,276.70	0.00	35,140.28
45014 - Buildings and Grounds S 545014		0.00	3,191.72	0.00	3,191.72	1,364.35	-4,556.07
59001 - Advertising 559001		0.00	16,241.33	0.00	16,241.33	0.00	-16,241.33
Posting Activity Total		438,416.98	409,452.29	13,257.46	422,709.75	1,364.35	14,342.88
012 - 05 subtotal:		438,416.98	409,452.29	13,257.46	422,709.75	1,364.35	14,342.88
207 subtotal:		438,416.98	409,452.29	13,257.46	422,709.75	1,364.35	14,342.88

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
301 Federally Seized Asset Pgrm							
006 - 01	**Other NC Rev Federal**						FDNC
400753011	**Federally Seized Asset Pgrm**						
33032 - Banking & Invest Serv 533032		0.00	22.00	0.00	22.00	0.00	-22.00
39009 - Training- Misc 539009		0.00	21,795.00	0.00	21,795.00	0.00	-21,795.00
47010 - Acquisition Costs 547010		0.00	150,662.00	0.00	150,662.00	0.00	-150,662.00
70504 - Software 570504		0.00	10,471.80	24,434.20	34,906.00	13,937.44	-48,843.44
71010 - Operations Equipment-Mi 571010		0.00	43,996.50	0.00	43,996.50	0.00	-43,996.50
75413 - Elec Surveillance Equip 575413		0.00	49,194.00	0.00	49,194.00	0.00	-49,194.00
75414 - ERT Training Equip 575414		0.00	400.00	0.00	400.00	0.00	-400.00
89000 - Miscellaneous Expenditu 589000		1,120,181.52	564,017.16	8,662.50	572,679.66	8,662.50	538,839.36
89054 - Community Awareness 589054		0.00	117,000.00	0.00	117,000.00	0.00	-117,000.00
Posting Activity Total		1,120,181.52	957,558.46	33,096.70	990,655.16	22,599.94	106,926.42
006 - 01 subtotal:		1,120,181.52	957,558.46	33,096.70	990,655.16	22,599.94	106,926.42
006 - 03	**Other NC Rev State**						SDNC
400453013	**Collect Upgrade Fund**						
89000 - Miscellaneous Expenditu 589000		19,102.20	4,656.00	0.00	4,656.00	0.00	14,446.20
Posting Activity Total		19,102.20	4,656.00	0.00	4,656.00	0.00	14,446.20
006 - 03 subtotal:		19,102.20	4,656.00	0.00	4,656.00	0.00	14,446.20
009 - 03	**Other NC Rev State**						SDNC
400803013	**State Seized Asset Program**						
11650 - Overtime		0.00	123,712.35	0.00	123,712.35	0.00	-123,712.35
33000 - Professional Services 533000		0.00	5,940.72	0.00	5,940.72	0.00	-5,940.72
33032 - Banking & Invest Serv 533032		0.00	2.00	0.00	2.00	0.00	-2.00
33035 - Investigative Services 533035		0.00	4,321.35	0.00	4,321.35	0.00	-4,321.35
39003 - Training Fees 539003		0.00	95,033.90	0.00	95,033.90	0.00	-95,033.90
53002 - Telephone 553002		0.00	55,028.67	0.00	55,028.67	0.00	-55,028.67
74009 - Vehicles-Misc 574009		0.00	560,589.61	0.00	560,589.61	0.00	-560,589.61
89000 - Miscellaneous Expenditu 589000		3,470,240.46	1,958,164.78	35,000.00	1,993,164.78	37,995.00	1,439,080.68
89054 - Community Awareness 589054		0.00	118,609.90	0.00	118,609.90	0.00	-118,609.90
89601 - Buy Money 589601		0.00	374,500.00	34,000.00	408,500.00	0.00	-408,500.00
89602 - Court Returns 589602		0.00	0.00	0.00	0.00	0.00	0.00
89800 - Registration & Fees 589800		0.00	2,935.00	0.00	2,935.00	0.00	-2,935.00
89900 - Dues & Publications 589900		0.00	657.52	0.00	657.52	0.00	-657.52
Posting Activity Total		3,470,240.46	3,299,495.80	69,000.00	3,368,495.80	37,995.00	63,749.66

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
301 State Seized Asset Program							
009 - 03 subtotal:		3,470,240.46	3,299,495.80	69,000.00	3,368,495.80	37,995.00	63,749.66
266 - 05	**Other NC Rev Other**						OTNC
550033015	**WATS Donations**						
69022 - Operations-Misc 569022		29,358.34	24,686.48	565.65	25,252.13	1,744.39	2,361.82
Posting Activity Total		29,358.34	24,686.48	565.65	25,252.13	1,744.39	2,361.82
266 - 05 subtotal:		29,358.34	24,686.48	565.65	25,252.13	1,744.39	2,361.82
319 - 05	**Other NC Rev Other**						OTNC
400323015	**Police Horses**						
71010 - Operations Equipment-Mi 571010		64,950.00	58,203.56	0.00	58,203.56	0.00	6,746.44
Posting Activity Total		64,950.00	58,203.56	0.00	58,203.56	0.00	6,746.44
319 - 05 subtotal:		64,950.00	58,203.56	0.00	58,203.56	0.00	6,746.44
396 - 05	**Other NC Rev Other**						OTNC
401023015	**Waterbury Police Officers Health & Wellness**						
1 - General Appropriation 500000		20,000.00	0.00	0.00	0.00	0.00	20,000.00
71010 - Operations Equipment-Mi 571010		0.00	1,000.00	0.00	1,000.00	0.00	-1,000.00
Posting Activity Total		20,000.00	1,000.00	0.00	1,000.00	0.00	19,000.00
396 - 05 subtotal:		20,000.00	1,000.00	0.00	1,000.00	0.00	19,000.00
425 - 01	**Other NC Rev Federal**						FDNC
401083011	**FY21 Byrne JAG Pgm**						
11650 - Overtime		56,516.00	41,164.66	15,968.09	57,132.75	0.00	-616.75
71010 - Operations Equipment-Mi 571010		13,208.00	12,591.25	0.00	12,591.25	0.00	616.75
Posting Activity Total		69,724.00	53,755.91	15,968.09	69,724.00	0.00	0.00
425 - 01 subtotal:		69,724.00	53,755.91	15,968.09	69,724.00	0.00	0.00
446 - 01	**Other NC Rev Federal**						FDNC
401113011	**FY22 Byrne JAG**						
11650 - Overtime		54,165.00	6,590.30	46,967.58	53,557.88	0.00	607.12
71010 - Operations Equipment-Mi 571010		13,824.00	7,681.26	6,508.56	14,189.82	0.00	-365.82
Posting Activity Total		67,989.00	14,271.56	53,476.14	67,747.70	0.00	241.30
446 - 01 subtotal:		67,989.00	14,271.56	53,476.14	67,747.70	0.00	241.30
451 - 02	**Other NC Rev Fed Pass**						FPNC
401313012	**ARPA - Auto Theft 06/23-06/26**						
11650 - Overtime		188,888.00	95,197.40	39,725.07	134,922.47	0.00	53,965.53
Posting Activity Total		188,888.00	95,197.40	39,725.07	134,922.47	0.00	53,965.53
451 - 02 subtotal:		188,888.00	95,197.40	39,725.07	134,922.47	0.00	53,965.53
454 - 02	**Other NC Rev Fed Pass**						FPNC

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
301 Child Safety 10/23-09/24							
454 - 02	**Other NC Rev Fed Pass**						FPNC
401233012	**Child Safety 10/23-09/24**						
11500 - Salaries (Non BOE salaries)		84,743.53	48,571.17	18,664.05	67,235.22	0.00	17,508.31
23501 - Fringe Other - General 523501		39,977.02	27,388.10	10,634.66	38,022.76	0.00	1,954.26
69022 - Operations-Misc 569022		12,000.00	3,879.90	6,028.80	9,908.70	0.00	2,091.30
71010 - Operations Equipment-Mi 571010		25,000.00	4,315.89	539.98	4,855.87	0.00	20,144.13
89206 - Travel & Official-Misc 589206		11,000.00	166.09	62.22	228.31	0.00	10,771.69
Posting Activity Total		172,720.55	84,321.15	35,929.71	120,250.86	0.00	52,469.69
454 - 02 subtotal:		172,720.55	84,321.15	35,929.71	120,250.86	0.00	52,469.69
455 - 02	**Other NC Rev Fed Pass**						FPNC
401323012	**Hangtime Traffic 10/23-09/24**						
11500 - Salaries (Non BOE salaries)		52,810.16	35,125.06	10,506.00	45,631.06	0.00	7,179.10
23501 - Fringe Other - General 523501		6,242.16	4,151.78	1,241.81	5,393.59	0.00	848.57
33018 - Contractual 533018		1,500.00	0.00	0.00	0.00	0.00	1,500.00
69022 - Operations-Misc 569022		12,000.00	5,461.73	157.89	5,619.62	0.00	6,380.38
71010 - Operations Equipment-Mi 571010		30,000.00	10,430.59	3,400.56	13,831.15	0.00	16,168.85
89206 - Travel & Official-Misc 589206		10,000.00	4,686.49	628.05	5,314.54	0.00	4,685.46
Posting Activity Total		112,552.32	59,855.65	15,934.31	75,789.96	0.00	36,762.36
455 - 02 subtotal:		112,552.32	59,855.65	15,934.31	75,789.96	0.00	36,762.36
456 - 01	**Other NC Rev Federal**						FDNC
401123011	**COPS FY23 Technology Grant December 29, 2022 - December 31, 2024**						
71010 - Operations Equipment-Mi 571010		930,000.00	274,456.32	655,543.68	930,000.00	0.00	0.00
Posting Activity Total		930,000.00	274,456.32	655,543.68	930,000.00	0.00	0.00
456 - 01 subtotal:		930,000.00	274,456.32	655,543.68	930,000.00	0.00	0.00
458 - 01	**Other NC Rev Federal**						FDNC
401133011	**2022 PSN 10/22-09/25**						
71010 - Operations Equipment-Mi 571010		44,000.00	0.00	0.00	0.00	44,000.00	0.00
Posting Activity Total		44,000.00	0.00	0.00	0.00	44,000.00	0.00
458 - 01 subtotal:		44,000.00	0.00	0.00	0.00	44,000.00	0.00
461 - 01	**Other NC Rev Federal**						FDNC
401143011	**FY23 Byrne JAG**						
11650 - Overtime		58,526.00	0.00	51,650.91	51,650.91	0.00	6,875.09
71010 - Operations Equipment-Mi 571010		14,000.00	0.00	12,558.20	12,558.20	0.00	1,441.80
Posting Activity Total		72,526.00	0.00	64,209.11	64,209.11	0.00	8,316.89
461 - 01 subtotal:		72,526.00	0.00	64,209.11	64,209.11	0.00	8,316.89

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
301 FY19 Byrne JAG 12/1/23-9/30/25							
476 - 02	**Other NC Rev Fed Pass**						FPNC
401153012	**Waterbury Cares Violence Interruption Team**						
11500 - Salaries (Non BOE salaries)		85,055.00	0.00	10,843.36	10,843.36	0.00	74,211.64
23501 - Fringe Other - General 523501		34,945.00	0.00	617.44	617.44	0.00	34,327.56
Posting Activity Total		120,000.00	0.00	11,460.80	11,460.80	0.00	108,539.20
476 - 02 subtotal:		120,000.00	0.00	11,460.80	11,460.80	0.00	108,539.20
478 - 02	**Other NC Rev Fed Pass**						FPNC
401333012	**Hangtime Traffic 10/24-09/25**						
11500 - Salaries (Non BOE salaries)		54,393.56	0.00	28,675.65	28,675.65	0.00	25,717.91
23501 - Fringe Other - General 523501		5,548.14	0.00	1,692.40	1,692.40	0.00	3,855.74
33018 - Contractual 533018		1,000.00	0.00	0.00	0.00	0.00	1,000.00
69022 - Operations-Misc 569022		36,500.00	0.00	13,137.60	13,137.60	0.00	23,362.40
89206 - Travel & Official-Misc 589206		12,000.00	0.00	1,604.70	1,604.70	0.00	10,395.30
Posting Activity Total		109,441.70	0.00	45,110.35	45,110.35	0.00	64,331.35
478 - 02 subtotal:		109,441.70	0.00	45,110.35	45,110.35	0.00	64,331.35
479 - 02	**Other NC Rev Fed Pass**						FPNC
401243012	**Child Safety 10/24-09/25**						
11500 - Salaries (Non BOE salaries)		86,736.60	0.00	55,093.27	55,093.27	0.00	31,643.33
23501 - Fringe Other - General 523501		38,380.85	0.00	16,560.56	16,560.56	0.00	21,820.29
69022 - Operations-Misc 569022		34,000.00	0.00	11,494.52	11,494.52	1,098.97	21,406.51
71010 - Operations Equipment-Mi 571010		3,000.00	0.00	0.00	0.00	0.00	3,000.00
89206 - Travel & Official-Misc 589206		11,000.00	0.00	0.00	0.00	0.00	11,000.00
Posting Activity Total		173,117.45	0.00	83,148.35	83,148.35	1,098.97	88,870.13
479 - 02 subtotal:		173,117.45	0.00	83,148.35	83,148.35	1,098.97	88,870.13
484 - 02	**Other NC Rev Fed Pass**						FPNC
401163011	**FY24 Byrne JAG 4/24-9/27**						
11650 - Overtime		60,667.00	0.00	506.56	506.56	0.00	60,160.44
61205 - Othr NonInstructl Suppl 561205		11,000.00	0.00	0.00	0.00	3,042.00	7,958.00
Posting Activity Total		71,667.00	0.00	506.56	506.56	3,042.00	68,118.44
484 - 02 subtotal:		71,667.00	0.00	506.56	506.56	3,042.00	68,118.44
301 subtotal:		6,856,458.54	4,927,458.29	1,123,674.52	6,051,132.81	110,480.30	694,845.43

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ACTIVITY GROUP: NCP							
302 Police Horses/Canines							
319 - 05	**Other NC Rev Other**						OTNC
400323025	**Police Canines**						
71010 - Operations Equipment-Mi 571010		50,800.00	42,892.57	0.00	42,892.57	0.00	7,907.43
Posting Activity Total		50,800.00	42,892.57	0.00	42,892.57	0.00	7,907.43
319 - 05 subtotal:		50,800.00	42,892.57	0.00	42,892.57	0.00	7,907.43
302 subtotal:		50,800.00	42,892.57	0.00	42,892.57	0.00	7,907.43

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ACTIVITY GROUP: NCP							
307 Dog Pound Restricted Donations							
008 - 05	**Other NC Rev Other**						OTNC
550083075	**Dog Pound Restricted Donations**						
69022 - Operations-Misc 569022		30,028.12	24,159.45	0.00	24,159.45	0.00	5,868.67
Posting Activity Total		30,028.12	24,159.45	0.00	24,159.45	0.00	5,868.67
008 - 05 subtotal:		30,028.12	24,159.45	0.00	24,159.45	0.00	5,868.67
307 subtotal:		30,028.12	24,159.45	0.00	24,159.45	0.00	5,868.67

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ACTIVITY GROUP: NCP							
310 Firefighting Gear & Equipment							
385 - 05	**Other NC Rev Other**						OTNC
401003105	**Firefighting Gear & Equipment**						
39009 - Training- Misc 539009		0.00	117,898.43	28,091.21	145,989.64	19,330.01	-165,319.65
75405 - Firefighting Equipment 575405		1,065,525.00	666,905.09	130,282.82	797,187.91	12,559.37	255,777.72
Posting Activity Total		1,065,525.00	784,803.52	158,374.03	943,177.55	31,889.38	90,458.07
385 - 05 subtotal:		1,065,525.00	784,803.52	158,374.03	943,177.55	31,889.38	90,458.07
395 - 05	**Other NC Rev Other**						OTNC
401013105	**CCF COVID-19 Response Fund**						
61204 - Emergency/Medical Suppl 561204		11,000.00	0.00	0.00	0.00	0.00	11,000.00
Posting Activity Total		11,000.00	0.00	0.00	0.00	0.00	11,000.00
395 - 05 subtotal:		11,000.00	0.00	0.00	0.00	0.00	11,000.00
310 subtotal:		1,076,525.00	784,803.52	158,374.03	943,177.55	31,889.38	101,458.07

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
401 Lewis Fulton Mem Park Trust							
026 - 05	**Other NC Rev Other**						OTNC
562094015	**FY11 Fulton Playground**						
78001 - Playground Equip 578001		250,000.00	247,852.00	0.00	247,852.00	0.00	2,148.00
Posting Activity Total		250,000.00	247,852.00	0.00	247,852.00	0.00	2,148.00
026 - 05 subtotal:		250,000.00	247,852.00	0.00	247,852.00	0.00	2,148.00
203 - 05	**Other NC Rev Other**						OTNC
562104015	**FY11 Hayden Park Improvements**						
47000 - Construction Services 547000		125,000.00	0.00	0.00	0.00	0.00	125,000.00
Posting Activity Total		125,000.00	0.00	0.00	0.00	0.00	125,000.00
203 - 05 subtotal:		125,000.00	0.00	0.00	0.00	0.00	125,000.00
401 subtotal:		375,000.00	247,852.00	0.00	247,852.00	0.00	127,148.00

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Activity Detail - Capital projects

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
402 Lewis Fulton Mem Park Trust							
026 - 05	**Other NC Rev Other**						OTNC
562094025	**Fulton Greenhouse**						
47006 - Demolition 547006		30,000.00	28,437.00	0.00	28,437.00	0.00	1,563.00
Posting Activity Total		30,000.00	28,437.00	0.00	28,437.00	0.00	1,563.00
026 - 05 subtotal:		30,000.00	28,437.00	0.00	28,437.00	0.00	1,563.00
402 subtotal:		30,000.00	28,437.00	0.00	28,437.00	0.00	1,563.00

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
403 Lewis Fulton Mem Park Trust							
026 - 05	**Other NC Rev Other**						OTNC
562094035	**FY14 Zero Turn Commercial Mower**						
71010 - Operations Equipment-Mi 571010		18,000.00	10,712.41	0.00	10,712.41	0.00	7,287.59
Posting Activity Total		18,000.00	10,712.41	0.00	10,712.41	0.00	7,287.59
026 - 05 subtotal:		18,000.00	10,712.41	0.00	10,712.41	0.00	7,287.59
403 subtotal:		18,000.00	10,712.41	0.00	10,712.41	0.00	7,287.59

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
404 Lewis Fulton Mem Park Trust							
026 - 05	**Other NC Rev Other**						OTNC
562094045	**FY14 Utility Cart**						
71010 - Operations Equipment-Mi 571010		15,000.00	9,569.00	0.00	9,569.00	0.00	5,431.00
Posting Activity Total		15,000.00	9,569.00	0.00	9,569.00	0.00	5,431.00
026 - 05 subtotal:		15,000.00	9,569.00	0.00	9,569.00	0.00	5,431.00
404 subtotal:		15,000.00	9,569.00	0.00	9,569.00	0.00	5,431.00

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BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
407 Municipal Stadium							
004 - 03	**Other NC Rev State**						SDNC
550404073	**Municipal Stadium**						
1 - General Appropriation 500000		338,942.04	0.00	0.00	0.00	0.00	338,942.04
61201 - Paper Supplies 561201		0.00	711.94	0.00	711.94	0.00	-711.94
61206 - Office-Misc 561206		0.00	20,279.26	0.00	20,279.26	0.00	-20,279.26
61510 - Buildings & Grounds Sup 561510		0.00	75,715.31	7,210.78	82,926.09	1,000.00	-83,926.09
69010 - Recreational Supplies 569010		0.00	174,578.36	0.00	174,578.36	0.00	-174,578.36
75200 - Office Equipment 575200		0.00	43,515.50	0.00	43,515.50	0.00	-43,515.50
Posting Activity Total		338,942.04	314,800.37	7,210.78	322,011.15	1,000.00	15,930.89
004 - 03 subtotal:		338,942.04	314,800.37	7,210.78	322,011.15	1,000.00	15,930.89
014 - 05	**Other NC Rev Other**						OTNC
550304075	**Hamilton Park - Rec Fees**						
1 - General Appropriation 500000		2,306,953.75	0.00	0.00	0.00	0.00	2,306,953.75
39005 - Sporting Officials 539005		0.00	15,366.69	6,480.00	21,846.69	0.00	-21,846.69
43000 - General Repairs & Maint 543000		0.00	22,631.93	0.00	22,631.93	0.00	-22,631.93
43034 - Office Equipment-Maint 543034		0.00	17,251.42	0.00	17,251.42	0.00	-17,251.42
45016 - Building and Grounds-Mi 545016		0.00	36,929.24	0.00	36,929.24	0.00	-36,929.24
59106 - Equipment 559106		0.00	17,741.83	0.00	17,741.83	0.00	-17,741.83
61206 - Office-Misc 561206		0.00	17,754.43	0.00	17,754.43	0.00	-17,754.43
61207 - Dance Costume Supplies		0.00	263.05	0.00	263.05	0.00	-263.05
61510 - Buildings & Grounds Sup 561510		0.00	6,593.84	0.00	6,593.84	0.00	-6,593.84
67002 - Wearing Apparel Purchas 567002		0.00	14,121.77	1,528.50	15,650.27	0.00	-15,650.27
69010 - Recreational Supplies 569010		0.00	1,476,103.57	100,012.42	1,576,115.99	167,120.80	-1,743,236.79
69022 - Operations-Misc 569022		0.00	0.00	0.00	0.00	0.00	0.00
89204 - Transportation Charges 589204		0.00	3,726.73	0.00	3,726.73	0.00	-3,726.73
89800 - Registration & Fees 589800		0.00	8,751.24	0.00	8,751.24	0.00	-8,751.24
89900 - Dues & Publications 589900		0.00	630.00	0.00	630.00	0.00	-630.00
Posting Activity Total		2,306,953.75	1,637,865.74	108,020.92	1,745,886.66	167,120.80	393,946.29
014 - 05 subtotal:		2,306,953.75	1,637,865.74	108,020.92	1,745,886.66	167,120.80	393,946.29
015 - 05	**Other NC Rev Other**						OTNC
550754075	**Fulton Park**						
1 - General Appropriation 500000		1,674,520.00	0.00	0.00	0.00	0.00	1,674,520.00
33020 - Consulting Services 533020		0.00	32,481.97	0.00	32,481.97	0.00	-32,481.97
43000 - General Repairs & Maint 543000		0.00	26,611.40	0.00	26,611.40	0.00	-26,611.40

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ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
407 Fulton Park Memorial Fund							
015 - 05	**Other NC Rev Other**						OTNC
43004	Buildings & Grounds Rep 543004	0.00	84,537.34	44,345.00	128,882.34	230,439.00	-359,321.34
43020	Repair and Maintenance- 543020	0.00	30,910.54	0.00	30,910.54	0.00	-30,910.54
43033	Service/Maintenance Con 543033	0.00	15,404.42	0.00	15,404.42	0.00	-15,404.42
44002	Building Rental 544002	0.00	2,745.67	0.00	2,745.67	0.00	-2,745.67
45002	Water 545002	0.00	17,504.55	0.00	17,504.55	0.00	-17,504.55
45006	Electricity 545006	0.00	163,920.89	25,104.28	189,025.17	0.00	-189,025.17
45009	Monitor and Detection 545009	0.00	2,867.51	0.00	2,867.51	0.00	-2,867.51
45013	Security/Safety 545013	0.00	6,775.34	0.00	6,775.34	0.00	-6,775.34
45019	Natural Gas 545019	0.00	64,091.40	4,276.49	68,367.89	0.00	-68,367.89
59106	Equipment 559106	0.00	2,654.00	0.00	2,654.00	0.00	-2,654.00
61206	Office-Misc 561206	0.00	33.07	0.00	33.07	0.00	-33.07
61510	Buildings & Grounds Sup 561510	0.00	496,463.30	22,390.25	518,853.55	27,151.22	-546,004.77
69006	Agriculture/Hort Supp 569006	0.00	925.00	0.00	925.00	0.00	-925.00
69010	Recreational Supplies 569010	0.00	72,979.65	3,395.00	76,374.65	1,433.91	-77,808.56
69019	Janitorial-Misc 569019	0.00	4,050.05	0.00	4,050.05	0.00	-4,050.05
69022	Operations-Misc 569022	0.00	7,086.15	312.50	7,398.65	0.00	-7,398.65
71010	Operations Equipment-Mi 571010	0.00	7,930.44	0.00	7,930.44	0.00	-7,930.44
Posting Activity Total		1,674,520.00	1,039,972.69	99,823.52	1,139,796.21	259,024.13	275,699.66
015 - 05 subtotal:		1,674,520.00	1,039,972.69	99,823.52	1,139,796.21	259,024.13	275,699.66
016 - 05	**Other NC Rev Other**						OTNC
550804075	**Park-Summer Programs**						
1	General Appropriation 500000	39,609.50	0.00	0.00	0.00	0.00	39,609.50
61510	Buildings & Grounds Sup 561510	0.00	922.03	0.00	922.03	0.00	-922.03
69010	Recreational Supplies 569010	93,206.03	14,293.94	0.00	14,293.94	0.00	78,912.09
91001	Transfers Out 591001	0.00	115,546.59	0.00	115,546.59	0.00	-115,546.59
Posting Activity Total		132,815.53	130,762.56	0.00	130,762.56	0.00	2,052.97
016 - 05 subtotal:		132,815.53	130,762.56	0.00	130,762.56	0.00	2,052.97
025 - 05	**Other NC Rev Other**						OTNC
550814075	**Hamilton Pk - Seven Angels**						
1	General Appropriation 500000	236,782.82	0.00	0.00	0.00	0.00	236,782.82
39005	Sporting Officials 539005	0.00	1,200.00	0.00	1,200.00	0.00	-1,200.00
61206	Office-Misc 561206	0.00	2,252.35	0.00	2,252.35	0.00	-2,252.35
61510	Buildings & Grounds Sup 561510	0.00	84,698.80	0.00	84,698.80	0.00	-84,698.80

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
407 Hamilton Pk - Seven Angels							
025 - 05	**Other NC Rev Other**						OTNC
69010 - Recreational Supplies 569010		0.00	87,955.02	0.00	87,955.02	0.00	-87,955.02
91001 - Transfers Out 591001		0.00	60,660.16	0.00	60,660.16	0.00	-60,660.16
Posting Activity Total		236,782.82	236,766.33	0.00	236,766.33	0.00	16.49
025 - 05 subtotal:		236,782.82	236,766.33	0.00	236,766.33	0.00	16.49
026 - 05	**Other NC Rev Other**						OTNC
562094075	**Fulton Trust - Unallocated**						
1 - General Appropriation 500000		168,825.05	0.00	0.00	0.00	0.00	168,825.05
33020 - Consulting Services 533020		12,690.27	107,418.48	0.00	107,418.48	0.00	-94,728.21
78001 - Playground Equip 578001		0.00	45,241.09	0.00	45,241.09	0.00	-45,241.09
Posting Activity Total		181,515.32	152,659.57	0.00	152,659.57	0.00	28,855.75
026 - 05 subtotal:		181,515.32	152,659.57	0.00	152,659.57	0.00	28,855.75
159 - 05	**Other NC Rev Other**						OTNC
550864075	**Park Repairs**						
43000 - General Repairs & Maint 543000		20,000.00	2,369.00	0.00	2,369.00	0.00	17,631.00
Posting Activity Total		20,000.00	2,369.00	0.00	2,369.00	0.00	17,631.00
159 - 05 subtotal:		20,000.00	2,369.00	0.00	2,369.00	0.00	17,631.00
203 - 05	**Other NC Rev Other**						OTNC
562104075	**Hayden Trust - Unallocated**						
1 - General Appropriation 500000		174,742.13	0.00	0.00	0.00	0.00	174,742.13
Posting Activity Total		174,742.13	0.00	0.00	0.00	0.00	174,742.13
203 - 05 subtotal:		174,742.13	0.00	0.00	0.00	0.00	174,742.13
223 - 05	**Other NC Rev Other**						OTNC
562124075	**Downtown Green Design Dev**						
33000 - Professional Services 533000		11,673.53	0.00	0.00	0.00	0.00	11,673.53
Posting Activity Total		11,673.53	0.00	0.00	0.00	0.00	11,673.53
223 - 05 subtotal:		11,673.53	0.00	0.00	0.00	0.00	11,673.53
445 - 01	**Other NC Rev Federal**						FDNC
550824071	**Pool Safety Education and Enforcement Program**						
11500 - Salaries (Non BOE salaries)		133,900.00	25,620.00	39,420.00	65,040.00	0.00	68,860.00
11550 - Hourly Employees		38,400.00	0.00	20,880.00	20,880.00	0.00	17,520.00
23501 - Fringe Other - General 523501		42,104.00	5,384.61	21,404.14	26,788.75	0.00	15,315.25
33018 - Contractual 533018		80,000.00	1,516.00	2,220.00	3,736.00	0.00	76,264.00
58000 - Travel Expenses 558000		3,700.00	0.00	4,201.77	4,201.77	0.00	-501.77
59002 - Printing & Binding 559002		6,250.00	0.00	0.00	0.00	0.00	6,250.00

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Activity Detail - Capital projects

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
407 Pool Safety Grant							
445 - 01	**Other NC Rev Federal**						FDNC
69000 - Other Supplies 569000		0.00	0.00	23,552.00	23,552.00	0.00	-23,552.00
89041 - Program Support 589041		56,000.00	0.00	17,205.00	17,205.00	750.00	38,045.00
Posting Activity Total		360,354.00	32,520.61	128,882.91	161,403.52	750.00	198,200.48
445 - 01 subtotal:		360,354.00	32,520.61	128,882.91	161,403.52	750.00	198,200.48
407 subtotal:		5,438,299.12	3,547,716.87	343,938.13	3,891,655.00	427,894.93	1,118,749.19

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Activity Detail - Capital projects

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Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
410 Brownfield Properties							
394 - 05	**Other NC Rev Other**						OTNC
550494105	**Brownfield Properties Testing, Monitoring & Reporting**						
1 - General Appropriation 500000		955,000.00	0.00	0.00	0.00	0.00	955,000.00
33011 - Legal Exp & Court Fees 533011		0.00	663,381.67	212,459.75	875,841.42	18,178.62	-894,020.04
33017 - Environmental Assess 533017		0.00	4,220.00	0.00	4,220.00	0.00	-4,220.00
43000 - General Repairs & Maint 543000		0.00	7,035.00	0.00	7,035.00	3,000.00	-10,035.00
89040 - Permits 589040		0.00	1,345.00	0.00	1,345.00	0.00	-1,345.00
89050 - Administrative Costs 589050		0.00	5,044.21	505.17	5,549.38	6,950.62	-12,500.00
91001 - Transfers Out 591001		0.00	8,419.04	0.00	8,419.04	0.00	-8,419.04
Posting Activity Total		955,000.00	689,444.92	212,964.92	902,409.84	28,129.24	24,460.92
394 - 05 subtotal:		955,000.00	689,444.92	212,964.92	902,409.84	28,129.24	24,460.92
452 - 01	**Other NC Rev Federal**						FDNC
550544101	**SGA CT Complete Streets Ends 7/31/2023 ALN 93.421**						
69022 - Operations-Misc 569022		15,000.00	6,591.24	6,111.12	12,702.36	0.00	2,297.64
Posting Activity Total		15,000.00	6,591.24	6,111.12	12,702.36	0.00	2,297.64
452 - 01 subtotal:		15,000.00	6,591.24	6,111.12	12,702.36	0.00	2,297.64
410 subtotal:		970,000.00	696,036.16	219,076.04	915,112.20	28,129.24	26,758.56

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
501 Permit Service Center							
078 - 05	**Other NC Rev Other**						OTNC
550145015	**Permit Service Center**						
1 - General Appropriation 500000		20,500.00	0.00	0.00	0.00	0.00	20,500.00
33022 - Professional-Misc 533022		137,125.00	137,125.00	0.00	137,125.00	0.00	0.00
43031 - Computer Equip-Maintena 543031		14,450.00	14,450.00	0.00	14,450.00	0.00	0.00
70501 - Hardware 570501		59,675.00	80,257.83	0.00	80,257.83	1,305.99	-21,888.82
70504 - Software 570504		93,250.00	90,990.39	0.00	90,990.39	0.00	2,259.61
89206 - Travel & Official-Misc 589206		15,000.00	15,000.00	0.00	15,000.00	0.00	0.00
Posting Activity Total		340,000.00	337,823.22	0.00	337,823.22	1,305.99	870.79
078 - 05 subtotal:		340,000.00	337,823.22	0.00	337,823.22	1,305.99	870.79
501 subtotal:		340,000.00	337,823.22	0.00	337,823.22	1,305.99	870.79

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 Miscellaneous Donations							
021 - 05	**Other NC Rev Other**						OTNC
450606015	**Miscellaneous Donations**						
1 - General Appropriation 500000		150,661.06	0.00	0.00	0.00	0.00	150,661.06
11500 - Salaries (Non BOE salaries)		0.00	72,409.75	0.00	72,409.75	0.00	-72,409.75
23501 - Fringe Other - General 523501		0.00	39,786.61	0.00	39,786.61	0.00	-39,786.61
89041 - Program Support 589041		2,500.00	33,404.97	0.00	33,404.97	0.00	-30,904.97
Posting Activity Total		153,161.06	145,601.33	0.00	145,601.33	0.00	7,559.73
021 - 05 subtotal:		153,161.06	145,601.33	0.00	145,601.33	0.00	7,559.73
024 - 05	**Other NC Rev Other**						OTNC
451006015	**TB Testing & Immunization**						
1 - General Appropriation 500000		240,872.29	0.00	0.00	0.00	0.00	240,872.29
53002 - Telephone 553002		0.00	9,247.57	0.00	9,247.57	0.00	-9,247.57
59001 - Advertising 559001		0.00	9,941.91	0.00	9,941.91	0.00	-9,941.91
61103 - Educational Supplies 561103		0.00	1,271.15	0.00	1,271.15	0.00	-1,271.15
61204 - Emergency/Medical Suppl 561204		0.00	41,927.74	0.00	41,927.74	0.00	-41,927.74
61206 - Office-Misc 561206		0.00	22,296.93	15,459.36	37,756.29	0.00	-37,756.29
75200 - Office Equipment 575200		0.00	26,836.70	0.00	26,836.70	0.00	-26,836.70
75201 - Communication Equipment 575201		0.00	0.00	0.00	0.00	0.00	0.00
89000 - Miscellaneous Expenditu 589000		0.00	65,209.11	0.00	65,209.11	0.00	-65,209.11
89202 - Lodging 589202		0.00	2,511.36	0.00	2,511.36	0.00	-2,511.36
89206 - Travel & Official-Misc 589206		0.00	3,066.27	0.00	3,066.27	0.00	-3,066.27
89800 - Registration & Fees 589800		0.00	16,577.00	0.00	16,577.00	0.00	-16,577.00
89900 - Dues & Publications 589900		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		240,872.29	198,885.74	15,459.36	214,345.10	0.00	26,527.19
024 - 05 subtotal:		240,872.29	198,885.74	15,459.36	214,345.10	0.00	26,527.19
386 - 05	**Other NC Rev Other**						OTNC
550466015	**Health Department Medical Supplies**						
61204 - Emergency/Medical Suppl 561204		48,000.00	0.00	0.00	0.00	0.00	48,000.00
Posting Activity Total		48,000.00	0.00	0.00	0.00	0.00	48,000.00
386 - 05 subtotal:		48,000.00	0.00	0.00	0.00	0.00	48,000.00
400 - 01	**Other NC Rev Federal**						FDNC
450626011	**Lead Hazard January 4, 2021 - January 3, 2025**						
11500 - Salaries (Non BOE salaries)		958,650.00	777,809.63	167,399.85	945,209.48	0.00	13,440.52
23501 - Fringe Other - General 523501		509,104.51	402,788.46	87,073.26	489,861.72	0.00	19,242.79

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 Lead Hazard 01/21 - 01/25							
400 - 01	**Other NC Rev Federal**						FDNC
33000 - Professional Services 533000		3,405,912.00	2,249,809.00	959,054.69	3,208,863.69	0.00	197,048.31
69000 - Other Supplies 569000		65,938.51	38,391.01	9,183.48	47,574.49	0.00	18,364.02
89041 - Program Support 589041		39,650.00	26,314.07	2,070.00	28,384.07	0.00	11,265.93
89206 - Travel & Official-Misc 589206		20,744.98	16,831.27	1,127.52	17,958.79	0.00	2,786.19
Posting Activity Total		5,000,000.00	3,511,943.44	1,225,908.80	4,737,852.24	0.00	262,147.76
400 - 01 subtotal:		5,000,000.00	3,511,943.44	1,225,908.80	4,737,852.24	0.00	262,147.76
405 - 05	**Other NC Rev Other**						OTNC
453006015	**Opioid Mitigation Settlements**						
11500 - Salaries (Non BOE salaries)		0.00	154,236.12	242,188.05	396,424.17	0.00	-396,424.17
53003 - Cellular Phones 553003		5,000.00	0.00	1,248.73	1,248.73	1,751.27	2,000.00
59001 - Advertising 559001		2,500.00	2,500.00	0.00	2,500.00	0.00	0.00
59002 - Printing & Binding 559002		0.00	938.14	492.36	1,430.50	1,007.64	-2,438.14
61103 - Educational Supplies 561103		988.60	888.60	0.00	888.60	0.00	100.00
89041 - Program Support 589041		1,251,415.65	1,701.68	45,229.67	46,931.35	2,721.33	1,201,762.97
Posting Activity Total		1,259,904.25	160,264.54	289,158.81	449,423.35	5,480.24	805,000.66
405 - 05 subtotal:		1,259,904.25	160,264.54	289,158.81	449,423.35	5,480.24	805,000.66
428 - 01	**Other NC Rev Federal**						FDNC
452916011	**COSSAP 10/21 - 09/24**						
11500 - Salaries (Non BOE salaries)		369,298.00	341,370.79	42,315.00	383,685.79	0.00	-14,387.79
23501 - Fringe Other - General 523501		178,199.04	187,955.38	22,600.44	210,555.82	0.00	-32,356.78
29002 - Uniform Allowance 529002		1,320.00	557.38	633.59	1,190.97	0.00	129.03
33000 - Professional Services 533000		54,000.00	0.00	0.00	0.00	0.00	54,000.00
33018 - Contractual 533018		239,303.00	215,372.20	23,929.80	239,302.00	0.00	1.00
39003 - Training Fees 539003		1,527.00	2,904.72	860.00	3,764.72	0.00	-2,237.72
53002 - Telephone 553002		11,599.96	3,128.82	301.85	3,430.67	0.00	8,169.29
59106 - Equipment 559106		2,595.00	1,721.56	677.80	2,399.36	0.00	195.64
74009 - Vehicles-Misc 574009		25,000.00	5,912.18	0.00	5,912.18	0.00	19,087.82
89041 - Program Support 589041		2,290.00	1,347.74	0.00	1,347.74	0.00	942.26
89206 - Travel & Official-Misc 589206		14,868.00	4,791.09	123.58	4,914.67	0.00	9,953.33
Posting Activity Total		900,000.00	765,061.86	91,442.06	856,503.92	0.00	43,496.08
428 - 01 subtotal:		900,000.00	765,061.86	91,442.06	856,503.92	0.00	43,496.08
457 - 06	**Other NC Rev Combine**						CONC
452836016	**AIDS Prev 02/24 - 12/24**						

City of Waterbury - Activity Report - Current Year Versus Prior

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ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 AIDS Prev 02/24 - 12/24							
457 - 06	**Other NC Rev Combine**						CONC
11500 - Salaries (Non BOE salaries)		138,163.22	57,268.54	80,870.96	138,139.50	0.00	23.72
23501 - Fringe Other - General 523501		76,234.78	32,631.62	43,193.13	75,824.75	0.00	410.03
Posting Activity Total		214,398.00	89,900.16	124,064.09	213,964.25	0.00	433.75
457 - 06 subtotal:		214,398.00	89,900.16	124,064.09	213,964.25	0.00	433.75
459 - 02	**Other NC Rev Fed Pass**						FPNC
453206012	**Workforce Development 11/23-11/27**						
11500 - Salaries (Non BOE salaries)		178,215.12	1,504.00	56,352.06	57,856.06	0.00	120,359.06
23501 - Fringe Other - General 523501		68,234.38	177.77	20,091.90	20,269.67	0.00	47,964.71
33018 - Contractual 533018		214,518.50	36,265.11	60,691.55	96,956.66	1,552.45	116,009.39
33020 - Consulting Services 533020		95,000.00	7,500.00	27,500.00	35,000.00	60,000.00	0.00
39003 - Training Fees 539003		42,000.00	45.50	0.00	45.50	0.00	41,954.50
89050 - Administrative Costs 589050		10,400.00	0.00	0.00	0.00	0.00	10,400.00
89900 - Dues & Publications 589900		9,145.00	1,090.00	3,125.20	4,215.20	0.00	4,929.80
Posting Activity Total		617,513.00	46,582.38	167,760.71	214,343.09	61,552.45	341,617.46
459 - 02 subtotal:		617,513.00	46,582.38	167,760.71	214,343.09	61,552.45	341,617.46
460 - 02	**Other NC Rev Fed Pass**						FPNC
453216012	**Immun & Vaccines 09/23-06/25**						
33018 - Contractual 533018		10,000.00	11,979.00	0.00	11,979.00	0.00	-1,979.00
33022 - Professional-Misc 533022		5,400.00	0.00	0.00	0.00	0.00	5,400.00
53000 - Communications 553000		4,999.94	0.00	0.00	0.00	0.00	4,999.94
59001 - Advertising 559001		131,230.00	589.43	100,877.00	101,466.43	0.00	29,763.57
61200 - Office Supplies 561200		6,050.00	0.00	3,773.41	3,773.41	0.00	2,276.59
61204 - Emergency/Medical Suppl 561204		14,992.82	0.00	9,104.81	9,104.81	3,067.46	2,820.55
70504 - Software 570504		150,000.00	0.00	0.00	0.00	0.00	150,000.00
71010 - Operations Equipment-Mi 571010		14,792.00	0.00	0.00	0.00	3,202.94	11,589.06
89050 - Administrative Costs 589050		33,575.24	0.00	33,575.24	33,575.24	0.00	0.00
Posting Activity Total		371,040.00	12,568.43	147,330.46	159,898.89	6,270.40	204,870.71
460 - 02 subtotal:		371,040.00	12,568.43	147,330.46	159,898.89	6,270.40	204,870.71
463 - 03	**Other NC Rev State**						SDNC
452686013	**Driggs School Based Health Clinic Expansion ARPA**						
61510 - Buildings & Grounds Sup 561510		30,550.00	0.00	29,211.65	29,211.65	666.67	671.68
Posting Activity Total		30,550.00	0.00	29,211.65	29,211.65	666.67	671.68
463 - 03 subtotal:		30,550.00	0.00	29,211.65	29,211.65	666.67	671.68

City of Waterbury - Activity Report - Current Year Versus Prior

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ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Activity Detail - Capital projects

Data as of 06/30/2025, 11:49:49AM

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 Lead Case Clearance							
464 - 05	**Other NC Rev Other**						OTNC
450656015	**Lead Case Clearance**						
89041 - Program Support 589041		12,480.00	8,210.79	522.50	8,733.29	0.00	3,746.71
Posting Activity Total		12,480.00	8,210.79	522.50	8,733.29	0.00	3,746.71
464 - 05 subtotal:		12,480.00	8,210.79	522.50	8,733.29	0.00	3,746.71
467 - 02	**Other NC Rev Fed Pass**						FPNC
452716012	**PHEP 07/24 - 06/25**						
11500 - Salaries (Non BOE salaries)		38,998.44	0.00	37,935.36	37,935.36	0.00	1,063.08
23501 - Fringe Other - General 523501		20,829.07	0.00	17,932.60	17,932.60	0.00	2,896.47
53000 - Communications 553000		600.00	0.00	0.00	0.00	600.00	0.00
58000 - Travel Expenses 558000		714.99	0.00	0.00	0.00	0.00	714.99
61200 - Office Supplies 561200		496.50	0.00	374.90	374.90	0.00	121.60
Posting Activity Total		61,639.00	0.00	56,242.86	56,242.86	600.00	4,796.14
467 - 02 subtotal:		61,639.00	0.00	56,242.86	56,242.86	600.00	4,796.14
468 - 03	**Other NC Rev State**						SDNC
452946013	**PCC - B 07/24 - 06/25**						
11500 - Salaries (Non BOE salaries)		71,332.41	0.00	52,036.47	52,036.47	0.00	19,295.94
23501 - Fringe Other - General 523501		26,685.99	0.00	25,583.77	25,583.77	0.00	1,102.22
33018 - Contractual 533018		37,500.00	0.00	0.00	0.00	0.00	37,500.00
33022 - Professional-Misc 533022		5,757.15	0.00	4,429.35	4,429.35	0.00	1,327.80
39009 - Training- Misc 539009		6,460.00	0.00	0.00	0.00	0.00	6,460.00
53000 - Communications 553000		306.90	0.00	450.58	450.58	39.56	-183.24
59002 - Printing & Binding 559002		15,873.00	0.00	0.00	0.00	0.00	15,873.00
61200 - Office Supplies 561200		2,267.56	0.00	1,473.15	1,473.15	0.00	794.41
65000 - Food Supplies 565000		5,758.00	0.00	5,765.43	5,765.43	0.00	-7.43
71010 - Operations Equipment-Mi 571010		3,105.00	0.00	0.00	0.00	0.00	3,105.00
89041 - Program Support 589041		11,447.22	0.00	11,806.02	11,806.02	0.00	-358.80
89206 - Travel & Official-Misc 589206		13,656.12	0.00	0.00	0.00	0.00	13,656.12
89900 - Dues & Publications 589900		3,720.00	0.00	0.00	0.00	0.00	3,720.00
Posting Activity Total		203,869.35	0.00	101,544.77	101,544.77	39.56	102,285.02
468 - 03 subtotal:		203,869.35	0.00	101,544.77	101,544.77	39.56	102,285.02
469 - 02	**Other NC Rev Fed Pass**						FPNC
451886012	**OD2A 09/23-08/24**						
11500 - Salaries (Non BOE salaries)		170,149.00	98,046.94	29,933.25	127,980.19	0.00	42,168.81

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 OD2A 09/23 - 08/24							
469 - 02	**Other NC Rev Fed Pass**						FPNC
23501 - Fringe Other - General 523501		96,950.90	48,591.94	13,564.67	62,156.61	0.00	34,794.29
61200 - Office Supplies 561200		1,500.00	0.00	1,458.49	1,458.49	0.00	41.51
89050 - Administrative Costs 589050		20,527.10	20,527.10	0.00	20,527.10	0.00	0.00
89201 - Mileage 589201		5,895.00	0.00	0.00	0.00	0.00	5,895.00
Posting Activity Total		295,022.00	167,165.98	44,956.41	212,122.39	0.00	82,899.61
469 - 02 subtotal:		295,022.00	167,165.98	44,956.41	212,122.39	0.00	82,899.61
470 - 02	**Other NC Rev Fed Pass**						FPNC
453226012	**Immun & Vaccines 07/20-06/25**						
33018 - Contractual 533018		10,000.00	0.00	0.00	0.00	0.00	10,000.00
61200 - Office Supplies 561200		0.00	0.00	0.00	0.00	0.00	0.00
89041 - Program Support 589041		0.00	0.00	0.00	0.00	0.00	0.00
89201 - Mileage 589201		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		10,000.00	0.00	0.00	0.00	0.00	10,000.00
470 - 02 subtotal:		10,000.00	0.00	0.00	0.00	0.00	10,000.00
471 - 03	**Other NC Rev State**						SDNC
453626013	**Per Capita 07/24 - 06/25**						
11500 - Salaries (Non BOE salaries)		110,802.12	0.00	103,067.74	103,067.74	0.00	7,734.38
23501 - Fringe Other - General 523501		59,179.41	0.00	50,998.93	50,998.93	0.00	8,180.48
33018 - Contractual 533018		34,505.08	0.00	34,505.08	34,505.08	0.00	0.00
53000 - Communications 553000		20,160.00	0.00	15,809.13	15,809.13	0.00	4,350.87
59001 - Advertising 559001		6,300.00	0.00	987.21	987.21	0.00	5,312.79
61200 - Office Supplies 561200		4,601.45	0.00	4,250.77	4,250.77	0.00	350.68
69022 - Operations-Misc 569022		3,960.00	0.00	3,402.00	3,402.00	0.00	558.00
Posting Activity Total		239,508.06	0.00	213,020.86	213,020.86	0.00	26,487.20
471 - 03 subtotal:		239,508.06	0.00	213,020.86	213,020.86	0.00	26,487.20
472 - 02	**Other NC Rev Fed Pass**						FPNC
453526012	**Immunizations 7/24 - 6/25**						
11500 - Salaries (Non BOE salaries)		63,700.00	0.00	62,867.01	62,867.01	0.00	832.99
23501 - Fringe Other - General 523501		34,022.17	0.00	30,881.66	30,881.66	0.00	3,140.51
61200 - Office Supplies 561200		2,500.00	0.00	1,822.27	1,822.27	0.00	677.73
89041 - Program Support 589041		12,975.83	0.00	10,640.05	10,640.05	0.00	2,335.78
89201 - Mileage 589201		500.00	0.00	0.00	0.00	0.00	500.00
Posting Activity Total		113,698.00	0.00	106,210.99	106,210.99	0.00	7,487.01

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(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 Immunizations 7/24 - 6/25							
472 - 02 subtotal:		113,698.00	0.00	106,210.99	106,210.99	0.00	7,487.01
475 - 02	**Other NC Rev Fed Pass**						FPNC
451896012	**OD2A 09/24-08/25**						
11500 - Salaries (Non BOE salaries)		177,268.00	0.00	140,665.68	140,665.68	0.00	36,602.32
23501 - Fringe Other - General 523501		80,681.15	0.00	56,518.60	56,518.60	0.00	24,162.55
61200 - Office Supplies 561200		1,500.00	0.00	1,479.67	1,479.67	0.00	20.33
89050 - Administrative Costs 589050		33,072.85	0.00	0.00	0.00	0.00	33,072.85
89201 - Mileage 589201		2,500.00	0.00	301.55	301.55	0.00	2,198.45
Posting Activity Total		295,022.00	0.00	198,965.50	198,965.50	0.00	96,056.50
475 - 02 subtotal:		295,022.00	0.00	198,965.50	198,965.50	0.00	96,056.50
480 - 03	**Other NC Rev State**						SDNC
452696013	**School Health Clinics FY25**						
11500 - Salaries (Non BOE salaries)		184,200.14	0.00	118,392.71	118,392.71	65,807.43	0.00
23501 - Fringe Other - General 523501		44,392.23	0.00	28,532.65	28,532.65	15,859.58	0.00
61200 - Office Supplies 561200		3,044.00	0.00	3,044.00	3,044.00	0.00	0.00
61204 - Emergency/Medical Suppl 561204		13,461.00	0.00	6,675.88	6,675.88	6,785.12	0.00
89050 - Administrative Costs 589050		4,902.63	0.00	3,132.90	3,132.90	1,769.73	0.00
Posting Activity Total		250,000.00	0.00	159,778.14	159,778.14	90,221.86	0.00
480 - 03 subtotal:		250,000.00	0.00	159,778.14	159,778.14	90,221.86	0.00
482 - 02	**Other NC Rev Fed Pass**						FPNC
452846012	**AIDS Prevention 01/25-12/25**						
11500 - Salaries (Non BOE salaries)		161,941.80	0.00	67,356.39	67,356.39	0.00	94,585.41
23501 - Fringe Other - General 523501		86,493.12	0.00	30,988.97	30,988.97	0.00	55,504.15
61200 - Office Supplies 561200		2,500.00	0.00	2,215.19	2,215.19	83.49	201.32
89041 - Program Support 589041		15,862.08	0.00	5,173.64	5,173.64	10,211.86	476.58
89201 - Mileage 589201		1,200.00	0.00	0.00	0.00	0.00	1,200.00
Posting Activity Total		267,997.00	0.00	105,734.19	105,734.19	10,295.35	151,967.46
482 - 02 subtotal:		267,997.00	0.00	105,734.19	105,734.19	10,295.35	151,967.46
489 - 01	**Other NC Rev Federal**						FDNC
450636011	**Lead Hazard January 15, 2025 - January 15, 2029**						
11500 - Salaries (Non BOE salaries)		1,226,348.00	0.00	93,875.36	93,875.36	0.00	1,132,472.64
23501 - Fringe Other - General 523501		499,420.05	0.00	36,606.96	36,606.96	0.00	462,813.09
33000 - Professional Services 533000		4,269,000.00	0.00	265,312.50	265,312.50	1,021,085.50	2,982,602.00
69000 - Other Supplies 569000		103,020.00	0.00	5,514.50	5,514.50	52,846.47	44,659.03

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ACTIVITY GROUP(S): NCP

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Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
601 Lead Hazard 1/15/25-1/15/29							
489 - 01	**Other NC Rev Federal**						FDNC
89041 - Program Support 589041		113,021.95	0.00	2,145.00	2,145.00	7,855.00	103,021.95
89206 - Travel & Official-Misc 589206		39,190.00	0.00	11,269.04	11,269.04	0.00	27,920.96
Posting Activity Total		6,250,000.00	0.00	414,723.36	414,723.36	1,081,786.97	4,753,489.67
489 - 01 subtotal:		6,250,000.00	0.00	414,723.36	414,723.36	1,081,786.97	4,753,489.67
491 - 05	**Other NC Rev Other**						OTNC
453016015	**Wtby Prev Council end 6/30/25**						
89041 - Program Support 589041		8,972.55	0.00	5,775.38	5,775.38	3,195.00	2.17
Posting Activity Total		8,972.55	0.00	5,775.38	5,775.38	3,195.00	2.17
491 - 05 subtotal:		8,972.55	0.00	5,775.38	5,775.38	3,195.00	2.17
493 - 03	**Other NC Rev State**						SDNC
452966013	**PCC - B 07/25 - 06/26**						
11500 - Salaries (Non BOE salaries)		50,000.00	0.00	0.00	0.00	0.00	50,000.00
23501 - Fringe Other - General 523501		25,000.00	0.00	0.00	0.00	0.00	25,000.00
Posting Activity Total		75,000.00	0.00	0.00	0.00	0.00	75,000.00
493 - 03 subtotal:		75,000.00	0.00	0.00	0.00	0.00	75,000.00
494 - 02	**Other NC Rev Fed Pass**						FPNC
453536012	**Immunizations 7/25 - 6/26**						
11500 - Salaries (Non BOE salaries)		50,000.00	0.00	0.00	0.00	0.00	50,000.00
23501 - Fringe Other - General 523501		30,000.00	0.00	0.00	0.00	0.00	30,000.00
Posting Activity Total		80,000.00	0.00	0.00	0.00	0.00	80,000.00
494 - 02 subtotal:		80,000.00	0.00	0.00	0.00	0.00	80,000.00
495 - 03	**Other NC Rev State**						SDNC
453636013	**Per Capita 07/25 - 06/26**						
11500 - Salaries (Non BOE salaries)		50,000.00	0.00	0.00	0.00	0.00	50,000.00
23501 - Fringe Other - General 523501		25,000.00	0.00	0.00	0.00	0.00	25,000.00
33018 - Contractual 533018		15,000.00	0.00	0.00	0.00	0.00	15,000.00
Posting Activity Total		90,000.00	0.00	0.00	0.00	0.00	90,000.00
495 - 03 subtotal:		90,000.00	0.00	0.00	0.00	0.00	90,000.00
601 subtotal:		17,088,646.56	5,106,184.65	3,497,810.90	8,603,995.55	1,260,108.50	7,224,542.51

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FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Activity Detail - Capital projects

Data as of 06/30/2025, 11:49:49AM

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
602 Lead Hazard 01/21 - 01/25							
400 - 01	**Other NC Rev Federal**						FDNC
450626021	**Healthy Homes January 4, 2021 - January 3, 2025**						
33000 - Professional Services 533000		697,900.00	430,230.39	194,354.84	624,585.23	0.00	73,314.77
53002 - Telephone 553002		2,100.00	1,323.07	442.89	1,765.96	0.00	334.04
69000 - Other Supplies 569000		0.00	0.00	0.00	0.00	0.00	0.00
Posting Activity Total		700,000.00	431,553.46	194,797.73	626,351.19	0.00	73,648.81
400 - 01 subtotal:		700,000.00	431,553.46	194,797.73	626,351.19	0.00	73,648.81
463 - 03	**Other NC Rev State**						SDNC
452686023	**Driggs School Based Health Clinic Renovation ARPA**						
61510 - Buildings & Grounds Sup 561510		10,000.00	0.00	10,000.00	10,000.00	0.00	0.00
Posting Activity Total		10,000.00	0.00	10,000.00	10,000.00	0.00	0.00
463 - 03 subtotal:		10,000.00	0.00	10,000.00	10,000.00	0.00	0.00
464 - 05	**Other NC Rev Other**						OTNC
450656025	**Lead Clearance-Applesauce**						
89041 - Program Support 589041		91,520.00	3,095.59	55,233.84	58,329.43	4,203.99	28,986.58
Posting Activity Total		91,520.00	3,095.59	55,233.84	58,329.43	4,203.99	28,986.58
464 - 05 subtotal:		91,520.00	3,095.59	55,233.84	58,329.43	4,203.99	28,986.58
480 - 03	**Other NC Rev State**						SDNC
452696023	**SBHC Oversight FY25**						
11500 - Salaries (Non BOE salaries)		11,987.16	0.00	0.00	0.00	0.00	11,987.16
23501 - Fringe Other - General 523501		6,402.34	0.00	0.00	0.00	0.00	6,402.34
89050 - Administrative Costs 589050		14,465.50	0.00	0.00	0.00	0.00	14,465.50
Posting Activity Total		32,855.00	0.00	0.00	0.00	0.00	32,855.00
480 - 03 subtotal:		32,855.00	0.00	0.00	0.00	0.00	32,855.00
489 - 01	**Other NC Rev Federal**						FDNC
450636021	**Healthy Homes January 15, 2025 - January 15, 2029**						
33000 - Professional Services 533000		750,000.00	0.00	77,315.00	77,315.00	145,635.00	527,050.00
Posting Activity Total		750,000.00	0.00	77,315.00	77,315.00	145,635.00	527,050.00
489 - 01 subtotal:		750,000.00	0.00	77,315.00	77,315.00	145,635.00	527,050.00
602 subtotal:		1,584,375.00	434,649.05	337,346.57	771,995.62	149,838.99	662,540.39

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:49:49AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
605 CSLFRF Senior Center							
485 - 02	**Other NC Rev Fed Pass**						FPNC
340176052	**CSLFRF Senior Center 11/22/24-12/31/26**						
33000 - Professional Services 533000		22,000.00	0.00	4,520.00	4,520.00	600.00	16,880.00
33018 - Contractual 533018		20,000.00	0.00	0.00	0.00	20,000.00	0.00
61206 - Office-Misc 561206		5,757.00	0.00	0.00	0.00	0.00	5,757.00
Posting Activity Total		47,757.00	0.00	4,520.00	4,520.00	20,600.00	22,637.00
485 - 02 subtotal:		47,757.00	0.00	4,520.00	4,520.00	20,600.00	22,637.00
605 subtotal:		47,757.00	0.00	4,520.00	4,520.00	20,600.00	22,637.00

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:49:49AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
701 Friends of the Library							
044 - 05	**Other NC Rev Other**						OTNC
561197015	**Friends of the Library**						
43033 - Service/Maintenance Con 543033		19,611.70	17,012.03	0.00	17,012.03	0.00	2,599.67
53001 - Postage 553001		0.00	1,408.36	0.00	1,408.36	0.00	-1,408.36
64200 - Library Books 564200		0.00	0.00	0.00	0.00	0.00	0.00
64204 - Young Adult Programs 564204		14,244.50	13,660.25	1,452.87	15,113.12	0.00	-868.62
64205 - Adult Programs 564205		50,281.60	30,949.92	1,159.24	32,109.16	0.00	18,172.44
69000 - Other Supplies 569000		0.00	575.00	0.00	575.00	0.00	-575.00
75501 - Building Improvements 575501		41,113.00	41,112.88	0.00	41,112.88	0.00	0.12
89016 - Culture/Youth Activity 589016		28,505.63	28,936.93	4,288.09	33,225.02	2,045.00	-6,764.39
Posting Activity Total		153,756.43	133,655.37	6,900.20	140,555.57	2,045.00	11,155.86
044 - 05 subtotal:		153,756.43	133,655.37	6,900.20	140,555.57	2,045.00	11,155.86
278 - 03	**Other NC Rev State**						SDNC
561407013	**CT State Library Aid Grant**						
64200 - Library Books 564200		0.00	0.00	0.00	0.00	0.00	0.00
71010 - Operations Equipment-Mi 571010		5,147.96	2,263.96	0.00	2,263.96	0.00	2,884.00
Posting Activity Total		5,147.96	2,263.96	0.00	2,263.96	0.00	2,884.00
278 - 03 subtotal:		5,147.96	2,263.96	0.00	2,263.96	0.00	2,884.00
430 - 03	**Other NC Rev State**						SDNC
561547013	**Connecticard**						
71010 - Operations Equipment-Mi 571010		2,067.00	0.00	0.00	0.00	0.00	2,067.00
Posting Activity Total		2,067.00	0.00	0.00	0.00	0.00	2,067.00
430 - 03 subtotal:		2,067.00	0.00	0.00	0.00	0.00	2,067.00
436 - 05	**Other NC Rev Other**						OTNC
561437015	**Wtby BRASS FY23**						
89041 - Program Support 589041		12,603.38	6,103.73	6,477.50	12,581.23	0.00	22.15
Posting Activity Total		12,603.38	6,103.73	6,477.50	12,581.23	0.00	22.15
436 - 05 subtotal:		12,603.38	6,103.73	6,477.50	12,581.23	0.00	22.15
481 - 05	**Other NC Rev Other**						OTNC
561447015	**Library Bike Grant 2024-NVCOG 7/14/24-6/16/25**						
61205 - Othr NonInstructl Suppl 561205		5,000.00	0.00	0.00	0.00	0.00	5,000.00
Posting Activity Total		5,000.00	0.00	0.00	0.00	0.00	5,000.00
481 - 05 subtotal:		5,000.00	0.00	0.00	0.00	0.00	5,000.00
492 - 05	**Other NC Rev Other**						OTNC
561457015	**Library UCONN-My Town My Story**						

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
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BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:49:49AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
701 Library UCONN-MTMS							
492 - 05	**Other NC Rev Other**						OTNC
89041 - Program Support 589041		5,000.00	0.00	796.66	796.66	0.00	4,203.34
Posting Activity Total		5,000.00	0.00	796.66	796.66	0.00	4,203.34
492 - 05 subtotal:		5,000.00	0.00	796.66	796.66	0.00	4,203.34
701 subtotal:		183,574.77	142,023.06	14,174.36	156,197.42	2,045.00	25,332.35

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
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BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Activity Detail - Capital projects

Data as of 06/30/2025, 11:49:49AM

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
ACTIVITY GROUP: NCP							
801 Leavenworth Trust Fund							
027 - 05	**Other NC Rev Other**						OTNC
562058015	**Education - Leavenworth Trust Fund**						
100 - Per Serv		12,500.00	7,601.25	0.00	7,601.25	0.00	4,898.75
500 - Other Purch		50,478.11	22,501.67	0.00	22,501.67	0.00	27,976.44
600 - Supplies		616,910.74	546,693.63	30,010.53	576,704.16	6,887.32	33,319.26
700 - Property		11,569.46	7,569.46	0.00	7,569.46	0.00	4,000.00
Posting Activity Total		691,458.31	584,366.01	30,010.53	614,376.54	6,887.32	70,194.45
027 - 05 subtotal:		691,458.31	584,366.01	30,010.53	614,376.54	6,887.32	70,194.45
801 subtotal:		691,458.31	584,366.01	30,010.53	614,376.54	6,887.32	70,194.45

City of Waterbury - Activity Report - Current Year Versus Prior

FISCAL YEAR 2025 PERIOD 12.00
LEVEL 1: 00 - 99
LEVEL 2: 000 - 999
LEVEL 3: 000 - 999
LEVEL 4: 0000 - 9999
BUDGET #: 1
ACTIVITY GROUP(S): NCP

(ENCUMBRANCES & COMMITMENTS AS OF RUN DATE FOR CURRENT FISCAL YEAR)

Data as of 06/30/2025, 11:49:49AM

Activity Detail - Capital projects

Activity	Activity Description	Current Budget	Prior Years Expenditures	Current Year Expenditures	Total Expenditures	Encumbrances & Commitments	Balance
NCP subtotal:		*50,466,588.45*	29,588,813.36	7,581,386.36	*37,170,199.72*	*2,121,534.19*	*11,174,854.54*
Grand Total:		50,466,588.45	29,588,813.36	7,581,386.36	37,170,199.72	2,121,534.19	11,174,854.54

OFFICE OF THE DIRECTOR OF FINANCE

THE CITY OF WATERBURY

CONNECTICUT

Date: July 3, 2025

To: Michael DiGiovancarlo,
President, Board of Aldermen

Members, Board of Aldermen
Mayor Paul K. Pernerewski, Jr.

From: Michael LeBlanc, Director of Finance MJL
Dave Simpson, Director of Public Works
Roy Cavanaugh, City Engineer

Subject: Granting of Temporary Construction Easement on City Owned Property – 55 Liberty Street to State of Connecticut Department of Transportation

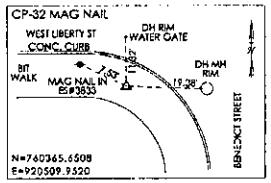
Kindly give favorable consideration by approving the attached Temporary Construction Easement requested by the State of Connecticut Department of Transportation for consideration of $500.

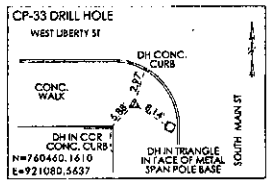
The temporary construction easement is approximately 2' wide along the front of the 55 Liberty Street vacant lot, encumbering a 77 square foot portion of the lot. The Department of Transportation will utilize the construction easement to construct sidewalk and grading during the removal of the I-84 Eastbound Exit 21 off-ramp. The construction easement area would be restored by grading and/or seeding any disturbed areas. The construction easement would be extinguished upon completion of the project, unless sooner extinguished by the State.

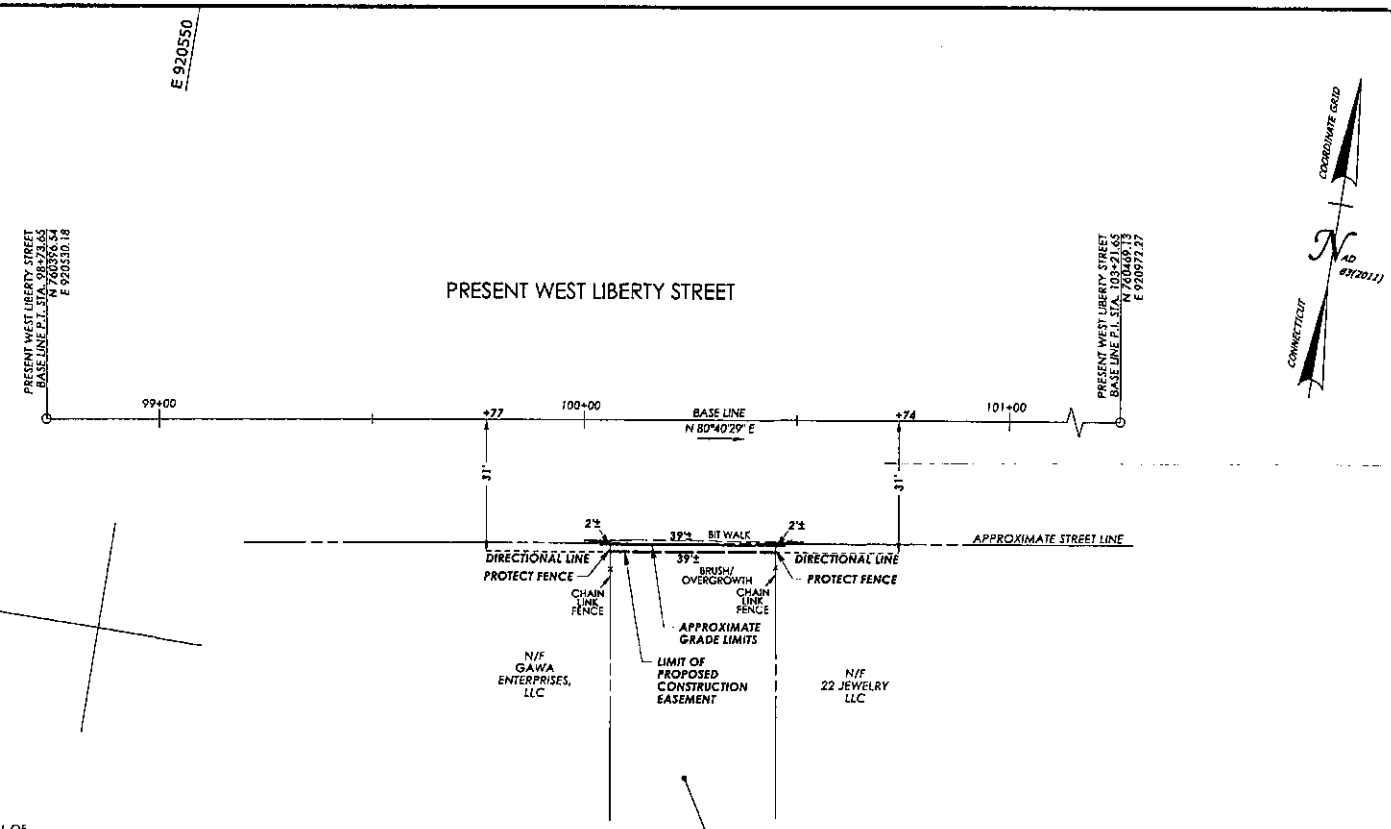
The lot upon which said Construction Easement runs is located at 55 Liberty Street, CT at Assessors Map 0344, Block 0281, Lot 0043.

The Department of Public Works and Department of Engineering are the City's specific using agencies. The Director of Public Works and City Engineer have advised there are no objections to the City proceeding with granting the requested temporary construction easement.

Pursuant to the provisions of Connecticut General Statutes § 8-24, this Temporary Construction Easement has been submitted to the City Plan Commission for approval consideration at its July 9th Meeting.







REFERENCES:

1. GENERAL LOCATION SURVEY PROVIDED BY CTDOT DISTRICT 1, PROJECT NO. 151-340, CADD FILE: SV_D4_0151-0331_WATERBURY _SOUTH_MAIN_GRN.DGN
2. CTDOT CONSTRUCTION PROJECT NO. 151-340, ENTITLED: "REMOVAL OF I-84 EASTBOUND EXIT 21 OFF-RAMP"
3. VOL. 7226, PG. 108 (FORE.)
4. MAP: LIBERTY/BENEDICT STREETS LAYOUT CITY PLAN - DATED: NONE, ON FILE WITH CITY OF WATERBURY ENGINEERING DEPARTMENT AS MAP ACC. NO. 421, CASE 4, DR. 2, PLAN 8
5. MAP ENTITLED: "LAYOUT OF LIBERTY ST.", DATED: JUNE 1907, ON FILE WITH CITY OF WATERBURY ENGINEERING DEPARTMENT AS MAP ACC. NO. 2834, CASE 2, DR. 8, PLAN 1

CITY OF WATERBURY

CONSTRUCTION EASEMENT FOR THE PURPOSE OF ACCESS TO CONSTRUCT SIDEWALK AND GRADING DURING THE REMOVAL OF I-84 EASTBOUND EXIT 21 OFF-RAMP ACQUIRED. CONSTRUCTION EASEMENT TAKEN UNDER THIS PARAGRAPH WILL BE RESTORED BY GRADING AND/OR SEEDING ANY DISTURBED AREAS. SAID EASEMENT WILL BE EXTINGUISHED UPON COMPLETION OF THE PROJECT, UNLESS SOONER EXTINGUISHED BY THE STATE.
EASEMENT AREA = 77± SQ.FT.

NOTES:

1. THIS SURVEY AND MAP HAVE BEEN PREPARED IN ACCORDANCE WITH THE REGULATIONS OF CONNECTICUT STATE AGENCIES, SECTIONS 20-300B-1 THROUGH 20-300B-20, THE "MINIMUM STANDARDS OF ACCURACY, CONTENT & CERTIFICATION FOR SURVEYS AND MAPS," AS AMENDED OCTOBER 26, 2018. IT IS A RIGHT OF WAY SURVEY AND IS INTENDED TO DEPICT THE LIMITS OF THE PROPERTY TRANSACTION FOR THE PROJECT REFERENCED HEREON. THE BASE LINE FROM WHICH THIS PROPERTY TRANSACTION IS REFERENCED CONFORMS TO CLASS A-2 HORIZONTAL ACCURACY.
2. THE FEATURES DEPICTED ARE THE RESULT OF THE GENERAL LOCATION SURVEY FOR THE PROJECT OR AS REFERENCED HEREON.
3. THE PROPERTY AND STREET LINES DEPICTED CONFORM TO CLASS D ACCURACY. THEY HAVE BEEN COMPILED FROM VARIOUS SOURCES AND ARE NOT TO BE CONSTRUED AS NECESSARILY BEING THE RESULT OF A FIELD SURVEY, NOR DO THEY REPRESENT A PROPERTY/BOUNDARY OPINION.
4. THE CONSTRUCTION EASEMENT(S) DEPICTED HEREON WILL BE FORMALLY EXTINGUISHED BY AN AFFIDAVIT WHICH WILL BE FILED IN THE PUBLIC LAND RECORDS.
5. SUPPLEMENTAL TOPOGRAPHY DEPICTED DERIVED FROM CT ECO 2023 ORTHO IMAGERY.



DRAWN BY	JDB
DATE	11-26-2024
CHECKED BY	KJC
DATE	01-21-2025

File: C:\Users\boehler\State of Connecticut\0151-0340 - Design\PMaps\SV_CS_0151_0340_011_PM_ORD.dgn

SCALE IN FEET

DATE	REVISION	REQ. BY

TO MY KNOWLEDGE AND BELIEF, THIS MAP IS SUBSTANTIALLY CORRECT AS NOTED HEREON.

VOID WITHOUT LIVE SIGNATURE AND EMBOSSED SEAL

PLS #18823

CHRISTINE E. AUBREY, P.L.S.

TITLE TRANSPORTATION PRINCIPAL ENGINEER

TOWN NO. 151

PROJECT NO. 151-340

SERIAL NO. 11

SHEET 1 OF 1 DATE

RIGHT OF WAY SURVEY

TOWN OF WATERBURY

MAP SHOWING EASEMENT ACQUIRED FROM

CITY OF WATERBURY

BY

THE STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

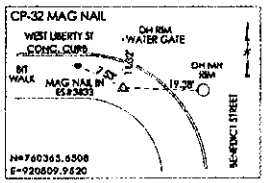
REMOVAL OF I-84
EASTBOUND EXIT 21 OFF-RAMP

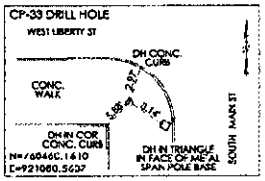
SCALE 1"=20'

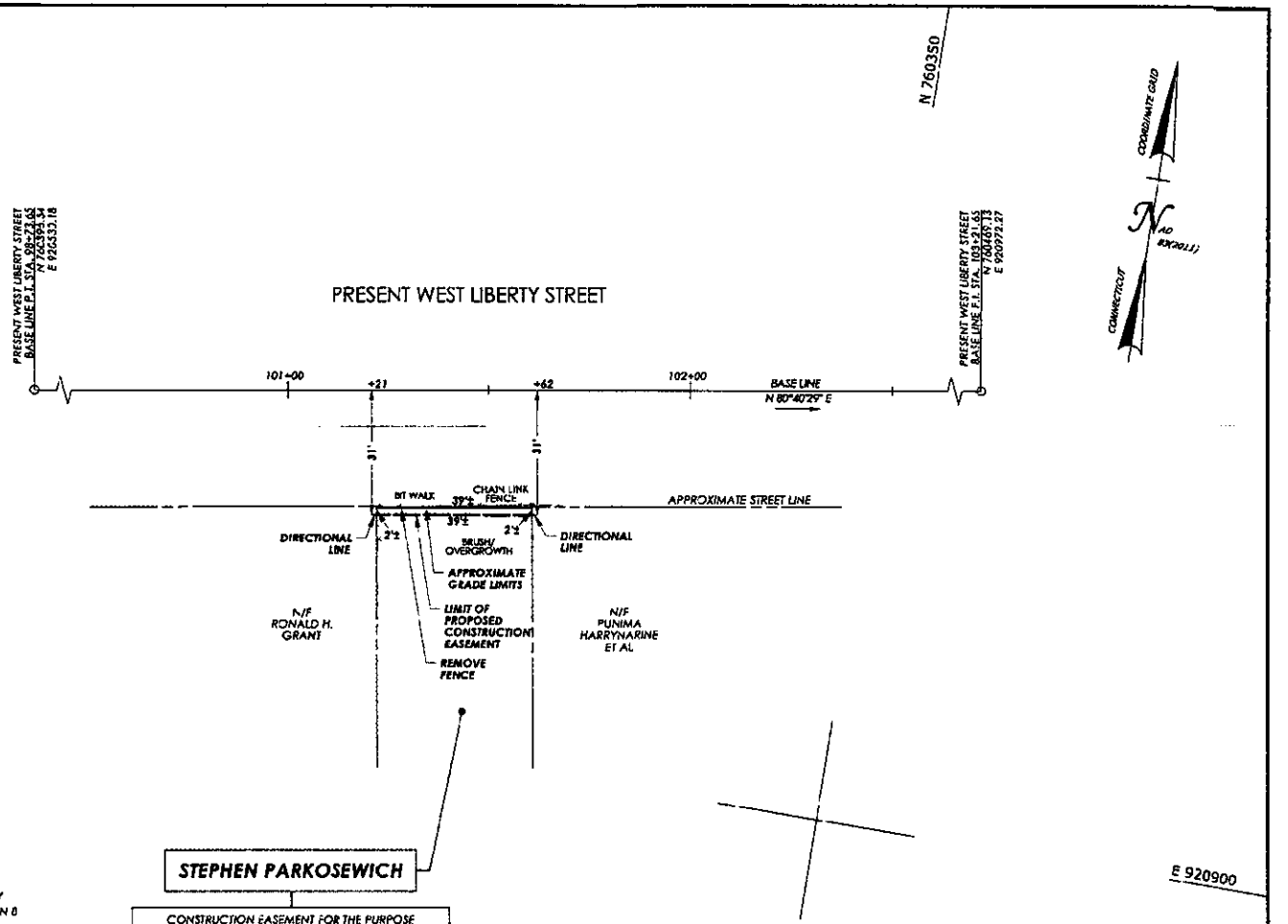
MARCH 2025

JAMES A. FALLON, P.E.

CHIEF ENGINEER - BUREAU OF ENGINEERING AND CONSTRUCTION







STEPHEN PARKOSEWICH

CONSTRUCTION EASEMENT FOR THE PURPOSE OF GRADING; REMOVAL OF FENCE AND ACCESS TO CONSTRUCT SIDEWALK DURING THE REMOVAL OF I-84 EASTBOUND EXIT 21 OFF-RAMP ACQUIRED. CONSTRUCTION EASEMENT TAKEN UNDER THIS PARAGRAPH WILL BE RESTORED BY GRADING AND/OR SEEDING ANY DISTURBED AREAS. SAID EASEMENT WILL BE EXTINGUISHED UPON COMPLETION OF THE PROJECT, UNLESS SOONER EXTINGUISHED BY THE STATE. EASEMENT AREA = 79± SQ.FT.

REFERENCES:

1. GENERAL LOCATION SURVEY PROVIDED BY CTDOT DISTRICT 1. PROJECT NO. 151-340, CADD FILE: SV_D4_0151-0331_WATERBURY _SOUTH_MAIN_GRN.DGN
2. CTDOT CONSTRUCTION PROJECT NO. 151-340, ENTITLED: "REMOVAL OF I-84 EASTBOUND EXIT 21 OFF-RAMP"
3. VOL. 4867, PG. 174 (WARR.)
4. MAP: LIBERTY/BENEDICT STREETS LAYOUT CITY PLAN - DATED: NONE, ON FILE WITH CITY OF WATERBURY ENGINEERING DEPARTMENT AS MAP ACC. NO. 421, CASE 4, DR. 2, PLAN 8
5. MAP ENTITLED: "LAYOUT OF LIBERTY ST.", DATED: JUNE 1907, ON FILE WITH CITY OF WATERBURY ENGINEERING DEPARTMENT AS MAP ACC. NO. 2834, CASE 2, DR. 8, PLAN 1

NOTES:

1. THIS SURVEY AND MAP HAVE BEEN PREPARED IN ACCORDANCE WITH THE REGULATIONS OF CONNECTICUT STATE AGENCIES, SECTIONS 20-300B-1 THROUGH 20-300B-20, THE "MINIMUM STANDARDS OF ACCURACY, CONTENT & CERTIFICATION FOR SURVEYS AND MAPS," AS AMENDED OCTOBER 26, 2018. IT IS A RIGHT OF WAY SURVEY AND IS INTENDED TO DEPICT THE LIMITS OF THE PROPERTY TRANSACTION FOR THE PROJECT REFERENCED HEREON. THE BASE LINE FROM WHICH THIS PROPERTY TRANSACTION IS REFERENCED CONFORMS TO CLASS A 2 HORIZONTAL ACCURACY.
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4. THE CONSTRUCTION EASEMENT(S) DEPICTED HEREON WILL BE FORMALLY EXTINGUISHED BY AN AFFIDAVIT WHICH WILL BE FILED IN THE PUBLIC LAND RECORDS.
5. SUPPLEMENTAL TOPOGRAPHY DEPICTED DERIVED FROM CT ECO 2023 ORTHO IMAGERY.



DRAWN BY	JDB
DATE	11-27-2024
CHECKED BY	CJC
DATE	01-22-2025

File: C:\Users\boehler\State of Connecticut\0151-0340 - Design\PMaps\SV_CS_0151_0340_015_PM_ORD.dgn

SCALE IN FEET

DATE	REVISION	REQ. BY

TO MY KNOWLEDGE AND BELIEF, THIS MAP IS SUBSTANTIALLY CORRECT AS NOTED HEREON.

VOID WITHOUT LIVE SIGNATURE AND EMBOSSED SEAL

PLS #18923

CHRISTINE E. AUBREY, P.L.S.

TOWN NO. 151

PROJECT NO. 151-340

SERIAL NO. 15 TITLE TRANSPORTATION MUNICIPAL ENGINEER

SHEET 1 OF 1 DATE

RIGHT OF WAY SURVEY

TOWN OF WATERBURY

MAP SHOWING EASEMENT ACQUIRED FROM

STEPHEN PARKOSEWICH

BY

THE STATE OF CONNECTICUT

DEPARTMENT OF TRANSPORTATION

REMOVAL OF I-84 EASTBOUND EXIT 21 OFF-RAMP

SCALE 1"=20' MARCH 2025

JAMES A. FALLON, P.E.

CHIEF ENGINEER - BUREAU OF ENGINEERING AND CONSTRUCTION

STATE OF CONNECTICUT
DEPARTMENT OF TRANSPORTATION

Property File No.: **151-340-011**

Owner Name: **City of Waterbury**

Acceptance Agreement

It is understood that you agree to the State's offer in the amount of $500.00. The following shall apply:

- The above award is in full settlement for all real property rights acquired and constitutes a full and final payment for any and all loss of value caused by this acquisition. *Construction Easements only – Should the use of the area exceed the intended timeframe, you may be eligible for additional compensation. You must notify this office in writing to begin the formal review process.
- This acquisition is in accordance with C.G.S. Sec.13a-73(c), 13a-98e, 13b-36(a) & 13a-79; as applicable.
- This agreement is subject to final approval(s) in accordance with C.G.S. Sec. 13a-73(h)
- Pending the delivery of good and sufficient title, an Immediate Right of Entry is hereby granted to the State of Connecticut, and/or its agents to proceed with the construction of the subject project.
- Payment(s) will be made by check issued from the Treasurer of the State of Connecticut to the property owner and/or mortgagee (if applicable) at the time of closing.
- The owner agrees to assist in the securing of good and sufficient title and agrees to convey the same by instrument prepared by the Department.

Owner's Legal Signature	Date	Owner's Legal Signature	Date
Christina L. Smith, Principal Property Agent	Date	State Properties Review Board	Date

FOR INTERNAL USE ONLY

Invoice Date: _____ **Invoice #:** _____ **CORE P.O. #:** _____ **CORE Receipt #:** _____

Payee: (Name & Address)

Payee's FEIN/SSN:

Invoice Amount: $500.00

Property Location: 55 West Liberty Street, Waterbury, CT 06702

Coded By: _____ **Reviewed By:** _____ **Date:** _____

FUND	SID	Dept ID	Project No. DOTXXXXXXXXRW	Activity	Source Type	Account	Parcel No.	Detail Amount
12062	22108	DOT57125	DOT01510340RW	RW0000	RW201	55470	011	$500.00

RECEIVED CHECK NO. _____ **DATE** _____

IN THE AMOUNT OF $ _____

IN PAYMENT OF ABOVE. **BY** _____

The Assessor's office is responsible for the maintenance of records on the ownership of properties. Assessments are computed at 70% of the estimated market value of real property at the time of the last revaluation which was 2022.

WATERBURY

Information on the Property Records for the Municipality of Waterbury was last updated on 6/11/2025.

Parcel Information

Location:	55 WEST LIBERTY ST	Property Use:	Vacant Land	Primary Use:	Res Vac Land (S-1)
Unique ID:	034402810043	Map Block Lot:	0344-0281-0043	Acres:	0.0900
490 Acres:	0.00	Zone:	CG	Volume / Page:	7226/ 108
Developers Map / Lot:		Census:			

Value Information

	Appraised Value	Assessed Value
Land	5,700	3,990
Buildings	0	0

Owner's Information

Owner's Data
CITY OF WATERBURY 235 GRAND ST WATERBURY, CT 06702

	Appraised Value	Assessed Value
Detached Outbuildings	0	0
Total	5,700	3,990

Owner History - Sales

Owner Name	Volume	Page	Sale Date	Deed Type	Sale Price
CITY OF WATERBURY	7226	0108	06/11/2014	Foreclosure	$0

Information Published With Permission From The Assessor

WATERBURY
DEVELOPMENT CORPORATION

To: Board of Aldermen

From: Ronald Cassella
Vice President of Construction and Operations, WDC

Date: July 3, 2025

Re: Construction Contract for Renovation of Fire Station 1 located at 1979 N Main Street between the City of Waterbury and Nosal Builders, $5,478,044. RFP 7802

On behalf of the Waterbury Development Corporation (WDC), the above-referenced agreement is hereby submitted for your consideration and approval. The contract between the City of Waterbury and Nosal Builders is in the not to exceed the amount of $5,478,044.

Requests for Proposals for Fire Station I Renovations were received by the Fire Department on April 29, 2025. A total of 5 responses were received. The committee requested revised proposals from each vendor interviewed to include various bid alternates. Based on a review of the revised proposals, Nosal Builders, Inc., was able to meet all the aspects of the scope of work outlined in the RFP. They were also able to provide the most favorable pricing and most aggressive timelines.

Your approval of the Contract will enable Nosal Builders to complete the following scope of work:

1. General Conditions
2. Demolition
3. Asbestos Abatement
4. Concrete
5. Masonry
6. Metals
7. Thermal and Moisture Protection
8. Doors and Windows

8A. Garage Doors

9. Finishes
10. Specialties
11. Kitchen Equipment
12. Furnishings
13. Plumbing
14. Mechanical
15. Electrical
16. Earthwork
17. Paving
18. Fuel Tank
19. Locker Room Addition

INCLUDED ALTERNATES
Alternate 2- Roof Hatch and Ladder
Alternate 4-(8) Four Fold Doors
Alternate 5- (4) Four-Fold Doors ((4) Remaining Overhead Doors)
Alternate 6- Patch Grind and Seal Apparatus Bay
Alternate 7- Remove and Repave Entire Parking Lot

This Project has a contract timeline of 304 consecutive calendar days to reach substantial completion and 334 consecutive calendar days to reach full completion upon receipt of the written notice to proceed.

The project will be funded via previously approved City Local Bonds for Firehouse Renovations. Approval of this Construction Contract will enable the performance of comprehensive renovations of the Fire Station 1 including replacement of the parking lot, bay ramps and fuel storage tank and installation of a fuel management system.

WDC looks forward to answering any questions you may have at the upcoming Board of Alderman meeting.

cc: Attorney Joseph Yamin, via email, w/o attachment.
Ronald Cassella, via email, w/o attachment.
Mansi Doshi, via email, w/o attachment.
Kenneth Russo via email, w/o attachment.

Construction Contract
for
RFP No. 7802
Renovation of Fire Station 1
between
The City of Waterbury
and
Nosal Builders, Inc.

THIS CONTRACT, effective on the date signed by the Mayor, is by and between the **CITY OF WATERBURY**, City Hall, 235 Grand Street, Waterbury, Connecticut 06702 (the "City") and **NOSAL BUILDERS, INC.**, located at Unit 1, 85 Fieldstone Court, Cheshire, Connecticut 06410, a Connecticut corporation duly registered to do business in Connecticut (the "Contractor").

WHEREAS the Contractor submitted a bid to the City in response to **Request for Proposal ("RFP") No. 7802** for certain the renovation of Fire Station 1 in Waterbury, Connecticut (the "Project"); and

WHEREAS the City accepted the Contractor's bid for **RFP No. 7802**; and

WHEREAS the City desires to obtain the Contractor's services pursuant to the terms set forth in this Contract.

NOW THEREFORE, THE PARTIES AGREE AND COVENANT AS FOLLOWS:

1. Scope of Services. The Contractor shall furnish all the labor, services, equipment, materials, supplies, transportation, and incidentals necessary to complete the Project as specified in this agreement (also referred to herein as the "Contract") and such shall be completed in a satisfactory manner, as reasonably determined by the City. All labor, services, equipment, materials, supplies, transportation, and incidentals shall comply with **(i)** all applicable local, state and federal laws, statutes, ordinances, rules and regulations, including without limitation all notice requirements thereunder, and **(ii)** generally accepted professional standards.

1.1. The Project consists of the renovation of Fire Station 1, which is located at 1979 North Main Street in Waterbury, all of which is more particularly detailed and described in the Bid Documents in **Attachment A**. **Attachment A** and any other attachments are hereby made material provisions of this Contract. **Attachment A** and other attachments shall consist of the following, which are attached hereto, are acknowledged by the Contractor as having been received, or otherwise hereby incorporated by reference as noted below, and all are made a part hereof:

1.1.1 City's **RFP No. 7802** (incorporated by reference);

1.1.2 Addendums 1 and 2 to **RFP No. 7802** (incorporated by reference);
1.1.3 Contractor's Price Proposal (revised), dated May 13, 2025, and consisting of 2 pages (attached hereto);
1.1.4 Contractor's Response with associated attachments to **RFP No. 7802**, dated April of 2025, consisting of 68 pages (incorporated by reference);
1.1.5 "City of Waterbury, **RFP No. 7802**, "Scope of Services" (also referred to as "Technical Specifications") (attached hereto);
1.1.6 "Site Map" with List of Drawings prepared by Silver Petrucelli and Associates, Inc., which are accessible through the link in the RFP;
1.1.7 State of Connecticut Prevailing Wage Schedule dated March 19, and related information, consisting of 9 pages (incorporated by reference);
1.1.8 Contractor's Certificate of Insurance (incorporated by reference);
1.1.9 Contractor's Performance Bond (incorporated by reference);
1.1.10 Contractor's Payment Bond (incorporated by reference);
1.1.11 All amendment(s) and Change Orders issued by the City after execution of Contract (incorporated by reference);
1.1.12 All applicable Federal, State and local statutes, regulations charter and ordinances;
1.1.13 General and Special Conditions attached hereto as **Attachments C** and **D**, respectively; and
1.1.14 All applicable permits and licenses (incorporated by reference).

1.2. The entirety of **Attachment A** plus this executed instrument (including other attachments, if any) are together deemed the Contract Documents (hereinafter collectively referred to as "Contract Documents"). The City's record copy of the Contract Documents shall control and shall be effective and binding on the Contractor. If any provision in the Contract Documents conflict with any other provision therein, the provision in the component part of the Contract Document first enumerated below shall govern over any other component part which follows it numerically:

1.2.1 All applicable Federal, State, and local laws, regulations, charter and ordinances;
1.2.2 Contract Amendment(s) and Change Orders;
1.2.3 This Contract;
1.2.4 Addendums to **RFP No. 7802**;
1.2.5 **RFP No. 7802** including "City of Waterbury, **RFP No. 7802**, "Scope of Services" (Technical "Specifications") identified through links in Section 1.1.5 above;
1.2.6 Drawings;
1.2.7 Contractor's Price Proposal; and
1.2.8 Contractor's Response.

2. Representations Regarding Qualification and Accreditation. The Contractor represents that its employees are licensed to perform the scope of work set forth in this Contract. The Contractor further represents that its employees have the requisite skill, expertise and knowledge necessary to perform the scope of work required under the terms of

this Contract, including any supplementary work and the City relies upon Contractor's representations.

2.1. Representations regarding Personnel. The Contractor represents that it has, or will secure at its own expense, all personnel required to perform the services under this Contract. Such personnel shall not be employees of or have any contractual relationship with the City, unless use of City employees or of personnel having a contractual relationship with the City is approved in writing. As set forth above, all the services required hereunder shall be performed by the Contractor or under its supervision and all personnel engaged in the work shall be fully qualified and shall be authorized or permitted under state or local law to perform such services.

2.2. Representations regarding Qualifications. The Consultant hereby represents that, to the extent required by Federal, State and Local statutes, regulations, codes, ordinances, and policies, that the Consultant and/or its employees be licensed, certified, registered, or otherwise qualified, the Consultant and all employees providing services under this Contract, are in full compliance with those statutes, regulations and ordinances. Upon City request, the Consultant shall provide to the City a copy of the Consultant's licenses, certifications, registrations, etc.

3. Responsibilities of the Contractor. The Contractor agrees to properly implement the services required in the manner herein provided. The Contractor shall, in addition to any other responsibilities set forth in this Contract and the Schedules and Attachments hereto, perform the following coincident with the performance of this Contract:

3.1. Due Diligence Obligation. The Contractor acknowledges its responsibility to examine and to be thoroughly familiar with the City's bid document, including, but not limited to the plans, specifications, drawings and any addenda thereto. The Contractor hereby warrants and represents that prior to the submission of its proposal during the bid process it reviewed or was afforded opportunity, by the City, to review all physical items, facilities, services and functions essential to the satisfactory performance of the services required ("Due Diligence") and thereby certifies that all such items, facilities, services and functions are included in this Contract and thereby warrants that:

3.1.1 it conducted or had opportunity to conduct all due diligence prior to the submission of its bid and, accordingly, any additional costs, services or products resulting from the failure of the Contractor to complete Due Diligence prior to submission of its bid proposal shall be borne by the Contractor. Furthermore, the Contractor had the opportunity during the bid process to ask questions it saw fit and to review the responses from the City;

3.1.2 its failure or omission to make investigation and verification of data shall, in no way, be cause for future claim of ignorance of such data or conditions nor shall such failure to investigate and verify be the basis for any claim whatsoever, monetary or otherwise;

3.1.3 it is solely responsible for resolving any issues resulting from its failure to conduct due diligence and it shall assume all resulting costs it incurs during the Project;

3.1.4 it was responsible for specifying any changes and disclosing any associated new costs prior to submittal of its bid. In the event the Contractor failed to disclose any such new cost prior to the submittal of its bid, the Contractor hereby covenants that it shall remain solely responsible for, and shall absorb, those non-disclosed costs;

3.1.5 it has familiarized itself with the nature and extent of the Contract Documents, Project, locality, and with all local conditions and Federal, State and local laws, ordinances, rules and regulations that in any manner may affect cost, progress or performance of the Project;

3.1.6 it has given the City written notice of any conflict, error or discrepancy that the Contractor discovered in the City's bid documents and other documents for **RFP No. 7802** (collectively, the "Bid Documents");

3.1.7 it agrees that the Bid Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the Project;

3.1.8 it has carefully studied all reports of investigations and tests of subsurface and latent physical conditions at the site or otherwise affecting cost, progress or performance of the Scope of Services which were utilized in the preparation of the plans and specifications;

3.1.9 it has made or caused to be made examinations, investigations, measurements and tests and studies of any applicable reports and related data as it deems necessary for ensuring performance of the Scope of Services at the Contract Price within the Contract Time and in accordance with the other terms and conditions of the Contracts; and certifies no additional examinations, investigations, tests, reports or similar data are or will be required by Contractor for such purposes; and

3.1.10 The Contractor shall not take advantage of any obvious error or apparent discrepancy in the Contract. Notice of any error or discrepancy discovered shall be given immediately in writing to the City, who shall make such corrections and interpretations as it may deem necessary for the completion of the Project in a satisfactory and acceptable manner.

3.2. Safety. Contractor shall perform all work in a safe manner in full compliance with local, state and federal health and safety regulations. Contractor shall immediately correct any dangerous condition caused by or resulting from its work. If it fails to correct, or to act diligently to correct, any condition which the City reasonably believes to be a hazard to persons or property, then immediately upon oral or written notice to any supervisory or similar personnel of Contractor, City may, but shall not be required

to, correct same at Contractor's expense. City shall Contractor in writing any oral notice given within five (5) business days thereafter.

3.3. Storage. In the event the Project site has insufficient, inadequate, and/or improper storage space, it shall be the responsibility of the Contractor to secure, provide and maintain at the Contractor's sole cost and expense **(i)** adequate off-site storage space for equipment, materials, incidentals, etc., and **(ii)** all associated delivery and transportation services. In either event, the Contractor shall assume full responsibility for equipment, materials, incidentals, etc. until both title and risk of loss pass to the City pursuant to Section 8 of this Contract.

3.4. Working Hours. To the extent the Contractor is required to be on City property to render the Contractor's services hereunder, the Contractor shall coordinate its schedule so that work on and at the Project site is performed during the hours of 8:00 a.m. to 4:00 p.m. Monday through Friday and 9:00 a.m. to 6:00 p.m. on Saturday, Sunday and legal holidays unless more exactly specified elsewhere in this Contract or **Attachment A** or unless prior written permission is obtained from the City to work during other times; for example, a second shift from 3 p.m. to 11 p.m. may be possible. This provision shall not excuse the Contractor from timely performance under the Contract.

3.5. Cleaning Up. The Contractor shall always keep the Project site free from accumulation of waste materials or rubbish caused by Contractor's employees or subcontractors, and at the completion of the work shall remove all rubbish from and about the Project and all tools, scaffolding and surplus materials and shall leave the Project site "broom clean" or its equivalent, unless more exactly specified. In case of dispute, the City may remove the rubbish and charge the cost to the Contractor.

3.6. Publicity. Contractor agrees not to deliberately disclose the fact that the City has entered into or terminated this Contract or disclose any of the terms of the Contract or use the City's name in connection with any publicity, unless the City gives prior written consent to such use of the City's name in each instance.

3.7. Standard of Performance. All Contractor labor, materials, supplies, components, equipment, reports, plans, specifications, drawings, deliverables, incidentals, etc., required to be furnished or delivered under this Contract shall conform in all respects with the requirements set forth in this Contract and shall meet or exceed those standards generally recognized in the Contractor's craft and trade in the State of Connecticut. City specified manufacturer and/or brand name substitution desired by the Contractor shall be made only with the prior written consent of an authorized representative of the City's Using Agency.

3.7.1 In carrying out the Project, the Contractor must employ such methods or means as will not cause any interruption of or interference with the work of any other contractor, nor with the normal routine of the institution or agency operating at the Project site.

3.8. Contractor's Employees. The Contractor shall always enforce strict discipline and good order among its employees and shall not employ on the work any unfit person or anyone not skilled in the work assigned. The Contractor shall not, without the prior written approval of the City's Using Agency, substitute, terminate, replace or otherwise remove any Contractor employee expressly named, identified or required in this Contract.

3.9. Subsurface/Unknown Site Conditions. If Project site conditions are encountered which are **(i)** subsurface or otherwise concealed physical conditions or other conditions which differ materially from those indicated in the Contract Documents, or **(ii)** unknown conditions of an unusual nature which differ materially from those ordinarily found to exist and generally recognized as inherent or common in construction activities of the character provided for in this Contract, then prompt notice by the observing party shall be given to the other party to this Contract before Project site conditions may be disturbed; the Contractor shall thereafter wait for written instructions from the City before proceeding under such conditions.

3.10. Surveys. All surveys required under this Contract shall be performed by a State of Connecticut duly licensed land surveyor. Unless expressly stated to the contrary in Section 1 of this Contract and **Attachment A**, the Contractor shall perform all layout work, all field measurements and all construction staking required, necessary or prudent for the satisfactory prosecution of the Contractor's obligations under this Contract.

3.11. Permits and Licenses. Unless expressly stated to the contrary in Section 1 of this Contract and **Attachment A**, the Contractor shall secure and obtain all permits and all licenses required, or necessary, or prudent for the performance of the Contractor's obligations under this Contract., and for the City's occupancy, use, and operation of the Project.

3.12. Manufacturer's Directions. Where it is required in this Contract that materials, products, processes, equipment or the like be installed or applied in accord with manufacturer's directions, specifications or instructions, it shall be construed to mean that the said application or installation by the Contractor shall be in strict accord with printed instructions furnished by the manufacturer of the material concerned for use under conditions like those at the Project site. One (1) copy of such instructions shall be furnished to the City.

3.13. Review by the City. The Contractor shall permit the City and the City's duly authorized representatives and agents (including Waterbury Development Corporation) to review, at any time, all work performed under the terms of this Contract at any stage of the Project.

3.14. Records Maintenance. The Contractor shall maintain or cause to be maintained all records, books or other documents relative to charges, costs, expenses, fees, alleged breaches of the Contract, settlement of claims or any other matter pertaining to the Contractor's demand for payment.

3.15. ARPA/ESSER. If applicable, The Contractor possesses the knowledge and understanding of **ARPA** and **ESSER** and recognizes this Project is subject to the rules, regulations and mandatory contract provisions required by each one.

4. Responsibilities of the City and City Reservation of Rights. Upon the City's receipt of Contractor's written request for specific information, the City will provide the Contractor with existing documents, data and other materials the City agrees are necessary and appropriate to the services to be performed by the Contractor hereunder and the City will endeavor to secure, where feasible and where the City agrees it is necessary and appropriate, materials or information from other sources requested by the Contractor for the purpose of carrying out the services under this Contract.

4.1. The City may, in its sole discretion, designate person(s) to act as the City's Project Engineer(s) and/or Manager(s) and the City may, in its sole discretion, define such person(s) authority and responsibilities; initially, the Department of Education's School Inspector's Office and Waterbury Development Corporation are so designated.

4.2. The City reserves the right to **(i)** perform work related or unrelated to the Project with the City's own forces adjoining, adjacent to, or in the vicinity of, the Project site and/or **(ii)** let separate contracts related or unrelated to the Project for work and services adjoining, adjacent to, or in the vicinity of, the Project site. In such event, the Contractor shall afford all such parties reasonable opportunity for storage of materials and equipment and for the uninterrupted provision and delivery of such parties' work and/or services. The Contractor shall cooperate with such parties and in the case of a dispute, the decision of the City shall be complied with by all.

5. Contract Time. The Contractor shall Substantially Complete all work and services required under this Contract within **three hundred four (304) consecutive calendar days** and shall reach Final Completion within **three hundred thirty-four (334) consecutive calendar days** of the City's issuance of a written Notice to Proceed with the Project ("Contract Time").

5.1. Attachment B, if any, is the Project's schedule. On a monthly basis, the Contractor shall deliver to the City a written status report setting forth an analysis and critique of the Contractor's compliance with said schedule.

5.2. Delay Damages.

5.2.1 Time is and shall be of the essence for all Project Phase Completion Dates, Milestones, Substantial and Final Completion Date. The Contractor further agrees that the Project shall be prosecuted regularly, diligently and uninterruptedly and at such rate of progress as will ensure full completion thereof within the Contract time stated above. It is expressly understood and agreed, by and between the Contractor and City, that the Phase Completion Dates, Milestones, Substantial and Final Completion Date are reasonable for the completion of the Project.

5.2.1.1 The Contractor shall pay to the City the sum of the greater of **Five Hundred and 00/100 Dollars ($500)** per consecutive calendar day for each calendar day for which the Contractor is in default in completing the work beyond the Final Completion Date, Substantial Completion Date, Milestones and Phase Completion Dates, as set forth in Section 5, or the actual damages incurred by the City caused by the delay. The preceding sum is hereby agreed upon not as a penalty, but as delay damages for the delay that the City shall suffer due to such default.

5.2.1.2 The City shall have the right to recover all damages pursuant to any remedy at law or equity including but not limited to deducting the amount of any such damages from any monies due to the Contractor under this Contract. The Contractor understands that if the entire Work or of any portion of the Work that the City has agreed to accept separately, if any, is not attained by the applicable completion dates as set forth in the entire Section 5, the City will suffer damages, which are difficult to specify accurately and ascertain. Delay damages based upon delay shall be assessed to compensate the City for all actual loss sustained by the delay, including, but not limited to, loss of use, increased cost of material or services to complete the project, loss of State funding, all other another actual expense, and all other damages allowed by law, including attorney's fees.

5.3. No Damage for Delay. In no event shall the Contractor be entitled to any compensation or recovery of any damages in connection with any Delay, including, without limitation, consequential damages, lost opportunity damages, attorney's fees or other, similar remuneration. The City's exercise of any of its rights or remedies under the Contract Documents, including without limitation, ordering changes in the Work, or directing suspension, rescheduling or correction of the Work, regardless of the extent or frequency of the City's exercise of these rights has been contemplated by the parties and shall not be construed as an exception.

6. Compensation. The City shall compensate the Contractor for satisfactory provision of all the goods and services set forth in this Contract as follows in this Section 6. No claims for additional compensation will be considered for conditions made known to the Contractor prior to bidding. No claims for additional compensation will be considered on account of the failure of the Contractor to completely inform itself as required herein above.

6.1. Fee Schedule. Subject to retainage, limitations, etc. set forth below in this Section 6, the fee payable to the Contractor shall not exceed **FIVE MILLION FOUR HUNDRED SEVENTY-EIGHT THOUSAND FOURTY-FOUR DOLLARS ($5,478,044)** (hereafter referred to as "Total Compensation") with the basis for payment being Contractor's Pricing Proposal (revised) set forth in **Attachment A**, which is summarized below and inclusive of the required payment and performance bonds:

i. $4,660,503 – Base Contract Scope;
ii. $16,466 – Alternate 2;
iii. $170,625 – Alternate 4;
iv. $127,700 – Alternate 5;
v. $37,500 – Alternate 6;
vi. $215,250 - Alternate 7; and
vii. $250,000 in Owner Controlled Contingency as governed by **Section 6.1.1** below.

6.1.1 Owner Controlled Contingency. At the sole discretion and control of the City, the Contingency amount as set forth in **Section 6.1(vii)** of this Contract shall be utilized for the payment to the Contractor for additional work not covered by this contract and requested and approved by the City in writing to be performed by the Contractor. The basis for payment for the additional work under the Contingency shall be separate and apart from the Base Payment set forth above. The Owner Controlled Contingency shall be the funding source for any City approved additional work and services not provided for in this Contract. Unless properly approved in writing by the City (see **Section 27**), Contractor shall not be entitled to any compensation from such contingency. The parties agree that a Change Order Form shall be utilized to set forth the additional services, cost of additional services and time for Contractor to provide said service.

6.2. Retainage. At the City's sole discretion, it hereby reserves the right to withhold as retainage of five percent (5%) of any payment (or monetary sum otherwise required by law) owed to the Contractor to be withheld from payments to the Contractor otherwise payable to the Contractor until such time as the Contractor's work and services to be provided under this Contact are fully completed and accepted in writing by the City. The retainage does not include additional sums that the City may withhold due to the Contractor's failure to comply with the provisions of this Contract.

6.3. Limitation of Payment. Compensation to the Contractor is limited to those fees set forth in Section 6.1. of this Contract and is further limited to work **(i)** performed in fact, **(ii)** conforming with this Contract, and **(iii)** accepted in writing by a duly authorized City employee. Such compensation shall be paid by the City upon its review and approval of the Contractor's invoices for payment and review of the Contractor's work.

6.3.1 Funding. In the event this Contract is funded, in whole or in part, by Federal and/or State monies, grants, loans, etc., all City payment(s) shall fully comply with all relevant Federal and State statutes and regulations. In the event this Contract is 100% funded by Federal and/or State monies, grants, loans, etc., the aggregate sum of all City payments shall not exceed the aggregate sum of such funding.

6.3.2 The Contractor and its affiliates are hereby provided with notice that the City reserves the right, in the City's sole discretion, to offset, withhold, or

otherwise reduce City payment(s) to the Contractor, in an amount equaling the sum or sums of money the Contractor and/or its affiliates is/are, or become delinquent or in arrears on, regarding the Contractor's and/or its affiliates real and personal property taxes and other payment obligations to the City.

6.4. Bid Costs. All costs of the Contractor in preparing its bid for **RFP No. 7802** shall be borne solely by the Contractor and are not included in the compensation to be paid by the City to the Contractor under this Contract or any other agreement.

6.5. Payment for Services, Materials, Appliances, Employees. The Contractor shall be responsible to the City for the suitability of services, materials and equipment furnished to comply fully with the requirements set forth in this Contract. The Contractor shall promptly pay all employees as their pay falls due, shall pay promptly as they fall due all bills for subcontractors, materials, supplies and services going into the work, and all bills for insurance, bonds, Worker's Compensation coverage, Federal and State Unemployment Compensation, and Social Security charges applicable to this Project. Before final City payment is made, the Contractor shall furnish to the City a sworn, notarized, affidavit stating that all the foregoing payment obligations have been fully completed. Additionally, during the Project, at the City's option, it may require partial waivers of lien and claim from the Contractor and all subcontractors and materialmen with each payment application.

6.6. Liens. Neither the City's final payment nor any part of the retained percentage, if any, shall become due until the Contractor, if required by the City, shall deliver to the City a complete release of all liens arising out of this Contract, or receipts in full in lieu thereof, and, if required, in either case, an affidavit that so far as the Contractor has knowledge or information, the releases and receipts include all the labor and material for which a lien could be filed; but the Contractor may, if any subcontractor refuses to furnish a release or receipt in full, furnish a bond satisfactory to the City, to indemnify it against any lien. If any lien remains unsatisfied after all payments are made, the Contractor shall refund to the City all moneys that the City may be compelled to pay in discharging such a lien, including all costs and a reasonable attorney's fees.

6.7. Attorney's Fees and Costs. The prevailing party in any litigation arising hereunder shall be entitled to attorney's fees and costs from the losing party.

6.8. Contractor's Certificate of Completion. Upon the Contractor's **(i)** completion of all Project milestones, and **(ii)** Substantial Completion of the Project, and **(iii)** Final Completion of the Project, the Contractor shall, in each instance, file with the City a written, notarized affidavit setting forth the amount of Project work performed. The City reserves the right to verify or challenge by any reasonable means the accuracy of said affidavit.

6.9. Final Payment. All prior estimates and City payments, including those relating to extra or additional work, retainage(s), and holdback(s), shall be subject to correction by this final payment which is throughout this Contract called "Final

Payment". No payment, final or partial, shall act as a release to the Contractor or its surety from any Contractor obligation(s) under this Contract.

6.10. Clean Water Fund Project Requirements. In the event this Contract is funded in whole or in part, is reliant upon, or falls within the jurisdiction of the Clean Water Fund and its statutes, regulations and rules, the Contractor shall:

6.10.1 Submit Applications for Payment in accordance with the following:

The City must receive the Contractor's Application for Payment by the City's or its designee's, review and recommendation for payment, by the fifteenth calendar day of the month to receive payment within the next 50 days. If not received by the fifteenth calendar day of the month, payment cannot be made until 50 days after the fifteenth calendar day of the subsequent month. The Contractor shall provide, at the City's request, reasonable documentation to substantiate Contractor's Application for Payment.

6.10.2 Progress and Final Payments.

The City will make progress payments on account of the Contract Price based on the Contractor's Applications for Payment, monthly during construction as provided below. All progress payments will be based on the progress of the Scope of Services as determined by the City, according to the schedule of values provided for in the Contract Documents and approved by the City. Prior to Substantial Completion, progress payments will be limited to an amount equal to 95 percent of the value of the Scope of Services completed. Upon Substantial Completion, the City will pay the amount as specified in the Contract Documents, subject to reasonable monetary holdback for punch list items.

7. Warranty of the Contractor. The Contractor warrants to the City that all materials, supplies, components, equipment, etc. furnished under this Contract shall be new and of good quality, except as otherwise expressly stated and permitted by the City elsewhere in this Contract. The Contractor warrants that none of its work shall be defective. The Contractor shall be liable to repair and install and/or replace without charge any service, component, equipment or part thereof which is defective or does not conform with this Contract within the greater of **(i)** 365 calendar days after the City delivers its written notice of its acceptance of the Project and statement therein establishing the final completion date, or **(ii)** that time or date expressly stated elsewhere in this Contract or **Attachment A**.

7.1. The Contractor further warrants that all materials, supplies, services, components, equipment, reports, plans, drawings, deliverables, incidentals, etc., shall be free from all defects caused by faulty design, faulty materials or poor workmanship. The Contractor shall supply to the City copies of any written manufacturer's warranties and guarantees and assign same to the City and the City shall avail itself of same to the fullest extent provided by the terms thereof. The Contractor's foregoing warranty obligations are in addition to, and not a limitation of, all manufacturer's warranties and

guarantees, and any other remedy stated in this contract or otherwise available to the City under applicable law.

8. Passing of Title and Risk of Loss.

8.1. The City's beneficial use of Project equipment, materials, site-work, etc. prior to the Contractor's final completion of the Project or prior to the City's final payment for the Project shall neither act to vest title in the City nor act to transfer risk of loss from the Contractor to the City. Said title and risk shall pass to the City upon the City's final payment for the Project.

8.2. Contractor and its insurer shall assume the risks of loss or damage to the equipment up to and including the date title passes, except that City shall be responsible for loss or damage caused by City's gross negligence.

8.3. After Project equipment, materials, etc. are delivered to the Project worksite and become operable or functional, the Contractor shall not thereafter remove any such equipment, materials, etc. from the Project worksite without securing the prior written consent of an authorized City Using Agency employee.

9. Indemnification.

9.1. The Contractor shall indemnify, defend, and hold harmless the City, City's Boards, and Waterbury Development Corporation and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, officials and employees from and against all claims, suits, damages, losses, judgments, costs and expenses including attorney's fees arising out of or resulting from the delivery of the labor, services, equipment, materials, reports, plans, specifications, design, drawings, deliverables, incidentals, etc. provided that any such claims, suits, damages, losses, judgments, costs or expenses **(i)** are attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property, including the loss of use resulting there from, **(ii)** are alleged to be caused in whole or in part by any willful, intentional, negligent, or reckless act or omission of the Contractor, its employees, any subcontractor or consultant, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder; **(iii)** enforcement action or any claim for breach of the Contractor 's duties hereunder or **(iv)** claim for intangible loss(es) including but not limited to business losses, lost profits or revenue, regardless of whether or not it is caused in part by a party indemnified hereunder.

9.2. In any and all claims against the City or any of its boards, Waterbury Development Corporation and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees or officials by the Contractor or any employee of the Contractor , any subcontractor or consultant, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under

Paragraph 9 above, shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Contractor or any subcontractor under Worker's Compensation Acts, disability benefit acts or other employee benefit acts.

9.3. The Contractor understands and agrees that any insurance required by this Contract, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify, defend, keep and hold harmless the City, its boards, Waterbury Development Corporation and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees and officials as provided in this Contract.

9.4. The Contractor expressly understands and agrees that any performance bond or insurance protection required by this Contract, or otherwise provided by the Contractor, shall in no way limit the responsibility to indemnify, keep and save harmless and defend the City and the City's boards, Waterbury Development Corporation and all affiliated entities and each of their respective members, managers, partners, officers, directors, shareholders, commissions, agents, employees or officials as provided herein.

9.5. Royalties and Patents. The Contractor shall, for all time, secure to the City the free and undisputed right to the use of any and all patented articles and methods used in the work and shall defend at Contractor's own expense any and all suits for infringement or alleged infringement of such patents, and in the event of adverse award under patent suits, the Contractor shall pay such awards and hold the City harmless in connection with any patent suits that may arise as a result of installations made by the Contractor and as to any award made thereunder.

9.6. In the event this Contract and/or the Contractor's, or its subcontractor, work and services provisioned hereunder is/are subject to the provisions of any Federal or State statute or regulations, or the City Charter or City Ordinance, the Contractor shall indemnify, defend and hold harmless the City from any fine, penalty or other amounts imposed on the City under said statutes, regulations, Charter or Ordinances, if caused by Contractor, or its subcontractor, omission or commission.

10. Contract Bonds. The Contractor shall furnish to the City, prior to the execution of this Contract by the City, both a performance bond and a payment bond, each bond written for a penal sum equaling the Section 6 "Total Compensation" amount in a form and with a surety acceptable to the City. The bonds shall continue in effect for the greater of **(i)** the warranty period set forth in Section 7 of this Contract, or **(ii)** 365 calendar days after the Final Completion Date referenced elsewhere in this Contract.

11. Contractor's Insurance.

11.1. The Contractor shall not commence work under this Contract until all insurance required under this Section 11 has been obtained by the Contractor and such insurance has been approved by the City. The Contractor shall not allow any subcontractor to

commence work on any subcontract until all insurance required of any such subcontractor has been obtained and approved by the City. Insurance shall be provided by insurers, satisfactory to the City and authorized to do business in the State of Connecticut, an "A-" Best's Rating and at least a Class V3 or better financial size category as shown in the most current A.M. Best Company ratings.

11.2. At no additional cost to the City, the Contractor shall purchase and maintain the insurance coverages set forth below which shall protect the City and Waterbury Development Corporation and all affiliated entities and each of their respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees from claims which may arise out of or result from the Contractor's obligation under this Contract, whether such obligations are the Contractor's or subcontractor or person or entity directly or indirectly employed by said Contractor or subcontractor, or by any person or entity for whose acts said Contractor or subcontractor may be liable.

11.3. Each insurance policy shall state that the insurance company shall agree to investigate and defend the insured against all claims for damages, even if groundless. If any insurance required herein is to be issued or renewed on a claims made form as opposed to an occurrence form, the retroactive date for coverage shall be no later than the commencement date of this Contract and shall provide that in the event of cancellation or non-renewal, the discovery period for insurance claims ("Tail Coverage") shall be available for at least 60 months.

11.4. The following policies with stated limits shall be maintained, in full force and effect, always during which the services are to be performed by the Contractor:

11.4.1 General Liability Insurance: **$1,000,000.00** per occurrence, **$2,000,000.00** aggregate and **$2,000,000.00** products and completed operations aggregate; providing coverage to protect the City for all damages arising out of bodily injuries, sickness to or death of all persons in any one accident or occurrence and for all damages arising out of destruction of property in any one accident or occurrence.

11.4.2 Automobile Liability Insurance: **$1,000,000.00** combined single limit (CSL); providing coverage to protect the City with respect to claims for damage for bodily injury and or property damage arising out of ownership, maintenance, operation, use or loading and unloading of any auto including hired & non-owned autos.

11.4.3 Workers' Compensation: Statutory Limits within the State of Connecticut: Employers' Liability:

EL Each Accident **$1,000,000.00**
EL Disease Each Employee **$1,000,000.00**
EL Disease Policy Limit **$1,000,000.00**

Contractor shall comply with all State of Connecticut statutes as it relates to workers' compensation.

11.4.4 Excess/Umbrella Liability Insurance: Excess or Umbrella insurance coverage that follows form or sits over General Liability, Automobile Liability and Workers Compensation insurances: **$8,000,000.00** each occurrence and **$8,000,000.00** aggregate.

11.4.5 Builder's Risk Insurance: Coverage equaling $1,000,000 or the monetary value of the construction component of the Project, whichever is greater. This "All Risk" Builders Risk insurance (also known as "course of construction") coverage with limits equal to or better than the maximum possible loss of all materials of construction used or contemplated under this Contract, including all materials in transit and all materials in storage wherever stored and the value of all subsequent Contract changes. This insurance shall include the interests of the City, Waterbury Development Corporation, the Contractor and all subcontractors. If deemed necessary by the City's Risk Manager, this insurance shall also include coverage for the total value of the Project's constructed property and shall be valid until a certificate of occupancy or final completion is issued. Upon the issuance of said certificate, the City will assume responsibility for insuring said property.

11.5. Failure to Maintain Insurance: In the event the Contractor fails to maintain the minimum required coverage as set forth herein, the City may at its option purchase same, and offset the Contractor's invoices for the cost of said insurance.

11.6. Cancellation: The City of Waterbury and Waterbury Development Corporation shall receive written notice of cancellation from the Contractor at least thirty (30) calendar days prior to the date of actual cancellation, regardless of the reason for such cancellation.

11.7. Certificates of Insurance: The Contractor's General, Automobile, Builder's Risk and Excess/Umbrella Liability Insurance policies shall be endorsed to add the City and Waterbury Development Corporation, and all affiliated entities and each of their respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees_as an additional insured and provide waiver of subrogation on all policies except Builder's Risk and Pollution Liability. The insurance afforded the additional insured shall be primary and non-contributory insurance and the coverage and limits provided under the Contractor's policies shall not be reduced or prorated by the existence of any other insurance applicable to any loss the additional insured may have suffered. Prior to the execution of this Contract by the City, the Contractor shall furnish to the City, subject to City approval, certificate(s) of insurance and Additional Insured Endorsement and Waiver of Subrogation Endorsement verifying the above coverages, including the naming of the City of Waterbury, as follows: **"The City of Waterbury and its Boards and Waterbury Development Corporation, and all affiliated entities and each of their**

respective members, managers, partners, agents, officers, directors, commissions, officials, stakeholders, shareholders and employees are listed as additional insured on all lines of coverage except Pollution Liability and waiver of subrogation applies to all lines of coverage except Pollution Liability and Builder's Risk as their interest may appear". The City's Invitation to Bid Number must be shown on the certificate of insurance to assure correct filing. The Contractor must supply replacement/renewal certificates at least 30 days prior to the expiration of the policy(ies). Said certificates shall contain a provision that coverage afforded under the policies shall not be cancelled or reduced for any reasons unless notice of than thirty (30) calendar days has been mailed to the City's Using Agency and a copy to the City's Office of Corporation Counsel, 235 Grand Street, Waterbury, CT 06702.

11.8. No later than thirty (30) calendar days after Contractor receipt, the Contractor shall deliver to the City a copy of the Contractor's insurance policies and endorsements and riders.

12. Conformance with Federal, State and Other Jurisdictional Requirements. By executing this Contract, the Contractor represents and warrants that, at all pertinent and relevant times to the Contract, it has been, is and will continue to be in full compliance with all applicable statutes, acts, ordinances, guidelines, resolutions, orders, judgments, decrees, injunctions, rules, and regulations of all government authorities applicable to performance by the Contractor of services hereunder, including those having jurisdiction over its registration and licensing to perform services hereunder; including, but not limited to, the following: **EQUAL EMPLOYMENT OPPORTUNUTY; COPELAND ANTI-KICKBACK ACT**, as supplemented in the Department of Labor Regulations (29 CFR, Part 3); **DAVIS BACON ACT** as supplemented by Department of Labor Regulations (29 CFR Part 5); Section 103 and 107 of the Contract Work Hours and Safety Standards Act, as supplemented by the Department of Labor Regulations (29 CFR Part 5); **THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974**, as amended; **TITLE 31** and Section 12-430(7) of the State of Connecticut General Statutes. All applicable sections of the City Charter and Code of Ordinances are incorporated by reference made a part hereof.

12.1. Taxes-Federal, State and Local. The City is exempt from Federal Excise and Transportation, State and Local Sales and Use Taxes, including without limitation, taxes that would otherwise be imposed upon the Contractor for transactions required or necessitated hereunder between it and its subcontractors, suppliers, etc. The Contractor remains liable, however, for any applicable tax obligations it incurs. Moreover, the Contractor represents that the bid and pricing contained in this Contract do not include the amount payable for said taxes.

12.2. Labor and Wages-Federal and State. The Contractor and its subcontractors shall conform to Federal and State of Connecticut labor laws, and all other laws, ordinances, and legal requirements affecting the work in Connecticut.

12.2.1 The Contractor is aware of, and shall comply with, the provisions of Title 31, §53 of the Connecticut General Statutes, latest revision (the "Act"), concerning the payment of minimum wages for work on public facilities. The

provisions of the Act are hereby incorporated by reference and made a part of this Contract. The Act provides that the Connecticut prevailing wage law applies to certain remodeling, refurbishing, alteration, repair and new construction. The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in Conn. Gen. Stat. 31-53(i), shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

12.2.2 The Contractor is aware of, and shall comply with, as applicable, the provisions of the Federal Davis-Bacon Act, the American Rescue Plan Act, Pub. L. No. 117-2 (March 11, 2021), the Elementary and Secondary School Emergency Relief Supplemental Appropriations Act of 2021 signed into law December 27, 2020 (ESSER II Fund) and the American Rescue Plan Elementary and Secondary School Emergency Relief Fund signed into law March 11, 2021, all the provisions of which are hereby incorporated by reference and made a part of this Contract.

12.3. Compliance with Chapters 34, 38, and 39 of the Code of Ordinances of the City. By executing this Contract, the Contractor represents and warrants that, at all pertinent and relevant times to the Contract, it has been, is and will continue to be in full compliance with the provisions of Chapters 34, 38, and 39 of the Code of Ordinances of the City and well as any other relevant provisions of the Charter and the Code of Ordinances.

13. Discriminatory Practices. In performing this Contract, the Contractor shall not discriminate against any employee or applicant for employment, with respect to his or her hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of race, color, sex, age, religious creed, disability, national origin or ancestry, marital status, family status, prior psychiatric treatment, health care, military status or source of income or because of a handicap that is unrelated to the employee's or the applicant's ability to perform the duties of a particular job or position. Subcontracts with each subcontractor shall contain a provision requiring non-discrimination in employment as specified herein. This covenant is required pursuant to §93.04 of the Code of Ordinances of the City and any breach thereof may be regarded as a material breach of this Contract. Said provisions with subcontractors shall require conformity and compliance with all local, state and federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements.

13.1. Discrimination Because of Certain Labor Matters. No person employed on the work covered by this Contract shall be discharged or in any way discriminated

against because such person has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or related to the labor standards applicable hereunder to its employer.

13.2. Equal Opportunity. In its execution of the performance of this Contract, the Contractor shall not discriminate and shall comply with applicable laws prohibiting discrimination on the grounds of race, color, religion, sex, national origin or citizenship status, age or handicap. The Contractor agrees to comply with all local, state and federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements and will require the same of all subcontractors.

13.3. Contractor Corrective Action.

13.3.1. Pursuant to Conn. Gen. Stat. § 4a-60, as amended by June 2015 Special Session Public Act 15-5, the following are required for every Municipal Public Works Contract:

(a) Every contract to which an awarding agency is a party, every quasi-public agency project contract and every municipal public works contract shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut; and the contractor further agrees to take corrective action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;

(2) The contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission on Human Rights and Opportunities;

(3) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post

copies of the notice in conspicuous places available to employees and applicants for employment;

(4) The contractor agrees to comply with each provision of this section and sections 46a-68e and 46a-68f and with each regulation or relevant order issued by said commission pursuant to sections 46a-56, as amended by this act, 46a-68e, 46a-68f and 46a-86; and

(5) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor as relate to the provisions of this section and section 46a-56, as amended by this act.

(b) If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency project.

13.3.2. Pursuant to Conn. Gen. Stat. § 4a-60a, as amended by June 2015 Special Session Public Act 15-5, the following are required for every Municipal Public Works Contract:

(a) Every contract to which an awarding agency is a party, every contract for a quasi-public agency project and every municipal public works contract shall contain the following provisions:

(1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the State of Connecticut, and employees are treated when employed without regard to their sexual orientation;

(2) The contractor agrees to provide each labor union or representative of workers with which such contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(3) The contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said commission pursuant to section 46a-56; and

(4) The contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the commission, and

permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the contractor which relate to the provisions of this section and section 46a-56.

14. Good Jobs Ordinance.

14.1. Conformance With An Ordinance Concerning The Hiring Of Waterbury Residents On Certain Publicly Funded Construction Projects.

14.1.1 The Contractors and its Subcontractors shall comply with the specific requirements of "An Ordinance Concerning the Hiring of Waterbury Residents on Certain Publicly Funded Construction Projects" (the "Good Jobs Ordinance"), as may be amended from time to time and as set forth in Chapter 34 of the Code of Ordinances of the City. While the principal provisions of the ordinance are summarized as set forth in paragraphs C-H below, the Contractor does hereby acknowledge that it has reviewed a copy of the Good Jobs Ordinance and that it has read the Ordinance, and that Contractor is familiar with the obligations imposed on the Contractor and each Subcontractor by the Good Jobs Ordinance.

14.1.2 Failure of the Contractor or its Subcontractors to comply with the Good Jobs Ordinance shall be a material breach of this Agreement.

14.1.3 This paragraph shall apply to a Covered Project, as that term is defined in the Good Jobs Ordinance, in the City with a value of $500,000.00 or greater and only to the extent permitted by federal and state law.

14.1.4 Definitions. For purposes of this paragraph:

i. "Administrator" shall be defined as it is in the Good Jobs Ordinance.

ii. "Apprentice" shall be defined as it is in the Good Jobs Ordinance.

iii. "Basic Skilled Worker" shall be defined as it is in the Good Jobs Ordinance.

iv. "Contractor" shall be defined as it is in the Good Jobs Ordinance.

v. "Covered Project" shall be defined as it is in the Good Jobs Ordinance.

vi. "Hiring Goal" shall be defined as it is in the Good Jobs Ordinance.

vii. "Resident" shall be defined as it is in the Good Jobs Ordinance.

viii. "Subcontractor" shall be defined as it is in the Good Jobs Ordinance and shall include the Contractor's direct subcontractor providing construction work and all lower-tiered (level) providers of construction work.

14.1.5 Hiring Goals. If this Agreement requires the Contractor to perform work on a Covered Project, the Contractor and each Subcontractor shall have as its hiring goals:

i. at least thirty percent (30%) of its total worker hours performed by City Residents, and

ii. at least twenty-five percent (25%) of construction trade jobs shall go to Apprentices and/or Basic Skilled Workers, and

iii. at least seventy percent (70%) of all "new hires" (as that term is defined in the Good Jobs Ordinance) shall be "economically disadvantaged" individuals (as that term is defined in the Good Jobs Ordinance), and

iv. a minimum of five percent (5%) of the construction workforce labor hours will be local resident, minority artisans, and

v. a minimum of five percent (5%) of the construction workforce labor hours will be women, and

vi. a minimum of ten percent (10%) of the total work hours shall be allocated for minorities, or

vii. as may otherwise be required by any superseding Federal or State employment discrimination prohibition laws.

14.1.6 Good Faith Efforts. The Contractor and each Subcontractor shall engage in Good Faith Efforts to comply with the Hiring Goals. For the purposes of this paragraph, the term "Good Faith Efforts" shall have the same meaning as it does in the Good Jobs Ordinance. The Contractor and each Subcontractor shall individually implement Good Faith efforts to satisfy the Hiring Goals.

14.1.7 Action Plan and Pre-Construction Meeting. Not later than fourteen (14) business days prior to the scheduled commencement date for construction, the Contractor shall submit a written plan-of-action to the City and to the Administrator of the Good Jobs Ordinance defining how the Contractor, and each Subcontractor, shall implement Good Faith Efforts to fulfill the Hiring Goals. Each plan-of-action shall include the anticipated number of job positions required for the Work. Not later than five (5) business day prior to the commencement date of construction, the Contractor must attend a

mandatory "pre-construction" meeting with the City to review all plans-of-action and other relevant materials. No construction work shall proceed absent this pre-construction meeting.

14.1.8 Other Contractor Obligations. In addition to the foregoing, the Contractor shall ensure that all Subcontractor contracts and agreements expressly set forth and state as binding obligations therein, subject to appropriate party name change, the above Hiring Goals and Good Faith Efforts. The Contractor shall be accountable for, and liable to the City for, Contractor and each Subcontractor compliance with Hiring Goals and Good Faith efforts.

i. The Contractor shall meet with the Administrator no less than four (4) weeks prior to the commencement of construction on a Covered Project and provide the Administrator with the number of job positions to be created by the project by trade and the qualifications by job tile.

ii. The Contractor shall be required to produce Contractor and Subcontractor documentation that may be required under the provisions of the Good Jobs Ordinance or that the City or the Administrator reasonably believes will assist the City or the Administrator with their evaluation of Hiring Goals and Good Faith Efforts.

iii. The Contractor shall deliver weekly certified payroll records to the City within five (5) working days of the end of each payroll period. Moreover, the Contractor shall require each Subcontractor to create weekly certified payroll records.

iv. The Contractor's and each Subcontractor's payroll records shall include the person-hours, the residential address, race, gender, hiring date, and apprentice (job) classification of all personnel employed under this Agreement and all Contracts and Sub-Contracts thereunder. The Contractor and each Subcontractor shall mark their respective final payroll period records as being final and be signed by an authorized officer or employee.

14.2. Liquidated Damages Applicable To Section 14.1.

14.2.1 If the City finds that the Contractor, or a Subcontractor, has failed to achieve Hiring Goals during any five (5) day work period (Monday through Friday), the City shall:

i. issue a written notice to the Contractor specifying the matters constituting such failure and the time period within which Good Faith Efforts documentation must be delivered to the City for its evaluation.

ii. if the Good Faith documentation is not provided or, if provided, it fails to demonstrate compliance with Good Faith Efforts, the

Contractor shall, for each failure by the Contractor or a Subcontractor to achieve the Hiring Goals during a full five-day work period, pay to the City one thousand dollars ($1,000.00) as liquidated damages.

14.2.2 If the City finds that the Contractor, or a Subcontractor, has failed to deliver weekly certified payroll records to the City within five (5) working days of the end of each payroll period the Contractor shall, as liquidated damages pay one thousand dollars ($1,000.00) to the City for each week of ongoing violation.

14.2.3 The City shall provide the Contractor with an invoice identifying all sums due to the City, as liquidated damages, because of the Contractor or a Subcontractor's failure to comply with the Good Jobs Ordinance as set forth above.

14.2.4 No portion of any invoice submitted by a Contractor that is subject to liquidated damages shall be paid by the City until such time as all liquidated damages relating to that invoice have been paid to the City.

14.2.5 The foregoing liquidated damages provisions shall be expressly set forth, subject to appropriate party name adjustments, as material provisions in all Contracts that the Contractor has with Subcontractors and the Contractor is obligated hereunder to enforce compliance in such Contracts with Subcontractors.

14.2.6 Any payment of liquidated damages hereunder shall not preclude a later claim, nor any later finding of a breach, or any payment of additional damages related to such later claim.

14.3. Compliance with CONN. GEN. STAT. § 4a-60g, as amended by June 2015 Special Session Public Act 15-5.

14.3.1 Definitions. For purposes of this paragraph:

i. "Small contractor" means any contractor, subcontractor, manufacturer, service company, or nonprofit corporation (A) that maintains its principal place of business in the state, (B) that had gross revenues not exceeding fifteen million dollars in the most recently completed fiscal year prior to such application, and (C) that is independent. "Small contractor" does not include any person who is affiliated with another person if both persons considered together have a gross revenue exceeding fifteen million dollars.

ii. "Minority business enterprise" means any small contractor (A) fifty-one per cent or more of the capital stock, if any, or assets of which are owned by a person or persons who (i) exercise operational authority over the daily affairs of the enterprise, (ii) have the power to direct the management and policies and receive the beneficial interest of the enterprise, (iii) possess managerial and

technical competence and experience directly related to the principal business activities of the enterprise, and (iv) are members of a minority, as such term is defined in subsection (a) of section 32-9n, or are individuals with a disability, or (B) which is a nonprofit corporation in which fifty-one per cent or more of the persons who (i) exercise operational authority over the enterprise, (ii) possess managerial and technical competence and experience directly related to the principal business activities of the enterprise, (iii) have the power to direct the management and policies of the enterprise, and (iv) are members of a minority, as defined in this subsection, or are individuals with a disability.

iii. "Quasi-public agency project" means the construction, rehabilitation, conversion, extension, demolition or repair of a building or other changes or improvements in real property pursuant to a contract entered into on or after October 1, 2015, which is financed in whole or in part by a quasi-public agency using state funds, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

iv. "Municipal public works contract" means that portion of an agreement entered into on or after October 1, 2015, between any individual, firm or corporation and a municipality for the construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, which is financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees but excluding any project of an alliance district, as defined in section 10-262u, financed by state funding in an amount equal to fifty thousand dollars or less.

14.3.2 The Contractor and subcontractor shall comply with the specific requirements of the State of Connecticut Set Aside Program, **CONN. GEN. STAT.** § 4a-60g, as amended by June 2015 Special Session Public Act 15-5, if the municipal public works contact awarded to the Contactor is funded in whole or in part by state funds.

14.3.3 The Contactor shall be subject to the following set-aside requirements if the municipal public works contact, is funded in whole or in part by the State of Connecticut and is in excess of **FIFTY THOUSAND DOLLARS ($50,000.00)**, for the construction, rehabilitation, conversion, extension, demolition, or repairing of a public building or highway, or other changes or improvements in real property.

14.3.4 Set-Aside Requirements. Any contractor awarded a municipal public works contract, based on competitive bidding procedures, shall comply with the following Set –Aside requirements:

i. set aside at least twenty-five per cent (25%) of the total value of the state's financial assistance for such contract for award to subcontractors who are small contractors, and

ii. of that portion to be set aside in accordance with Subparagraph i. of this subdivision, reserve a portion equivalent to twenty-five per cent (25%) of the total value of the contract or portion thereof to be set aside for awards to subcontractors who are minority business enterprises.

14.3.5 Failure of the Contractor or subcontractor to comply with the State of Connecticut Set-Aside requirements shall be a material breach of this Contract.

15. Place Holder.

16. Termination.

16.1. Termination of Contract for Cause. If, through any cause, the Contractor shall fail to fulfill in a timely and proper manner its obligations under this Contract, or if the Contractor shall violate any of the covenants, agreements, or stipulations of this Contract, the City shall thereupon have the right to terminate this Contract by either **(i)** giving written notice to the Contractor of a date certain by which Contractor shall, to the written satisfaction of the City, cure after which and without further action by any party, such termination shall automatically become effective and binding, or **(ii)** giving written notice to the Contractor specifying the effective date of such termination at least five (5) business days before the effective date of such termination.

16.1.1 In the event of a termination, all finished or unfinished documents, data, studies, reports, plans, specifications, drawings, supplies, services, etc. prepared by the Contractor under this Contract shall, at the option of the City, become the City's property, and the Contractor shall be entitled to receive just and equitable compensation for any satisfactory work completed for such.

16.1.2 Notwithstanding the above, the Contractor shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by the Contractor, and the City may withhold any payments to the Contractor for the purpose of setoff until such time as the exact amount of damages due the City from the Contractor is determined.

16.2. Termination for Convenience of the City. The City may terminate this Contract at any time for the convenience of the City, by a notice in writing from the City to the Contractor. If this Contract is terminated by the City as provided herein, the Contractor will be paid an amount which bears the same ratio to the total compensation as the services performed bear to the total services of the Contractor covered by this Contract, less payments of compensation previously made.

16.3. Termination for Non-Appropriation or Lack of Funding. The Contractor acknowledges that the City is a municipal corporation and that this Contract is subject to the appropriation and disbursement of funds by the City sufficient for this Contract for each budget year in which this Contract is in effect. The Contractor therefore agrees that the City shall have the right to terminate this Contract in whole or in part without

penalty to the City if sufficient funds to provide for City payment(s) under this Contract is not appropriated, not authorized, or not made available, or such funding has been reduced. In the event this Contract is subject, in whole or in part, to the appropriation and disbursement of Federal and/or State funds and those Federal and/or State funds are not appropriated or are not disbursed to the City, the Contractor hereby agrees that the City shall have the right to terminate this Contract in whole or in part without penalty to the City.

16.3.1 Effects of Non-Appropriation. If funds to enable the City to effect continued payment under this Contract are not appropriated, authorized or otherwise made available by law, the City shall have the right to terminate this Contract without penalty at the end of the last period for which funds have been appropriated, authorized or otherwise made available by law by giving written notice of termination to the Contractor.

16.3.2 Effects of Reduced Levels of Funding. If funding is reduced by law, or funds to pay the Contractor for the agreed to level of the products, services and functions to be provided by the Contractor under this Contract are not appropriated, authorized or otherwise made available by law, the City may, upon seven (7) business days written notice to the Contractor, reduce the level of the products, services or functions in such manner and for such periods of time as the City may elect. The charges payable under this Contract shall be equitably adjusted to reflect such reduced level of products, services or functions and the parties shall be afforded the rights set forth in this Contract.

16.3.3 No Payment for Lost Profits. In no event shall the City be obligated to pay or otherwise compensate the Contractor for any lost or expected future profits.

16.4. Rights Upon Termination.

16.4.1 Termination for Cause. In the event the City terminates this Contract for cause, the Contractor shall relinquish to the City any applicable interest, title and ownership including, but not limited to, perpetual use of any proprietary rights in and to the products and deliverables delivered to, in possession of and properly invoiced and paid for by (except to the extent such invoiced amount is disputed) the City. Regarding third party products, the Contractor shall transfer all licenses which it is permitted to transfer in accordance with the applicable third-party license. The City shall have no financial obligation to compensate the Contractor for such terminated products unless payment is otherwise approved by the City prior to such termination. The Contractor shall be liable for costs incurred by the City, including but not limited to reasonable attorney fees and all court awarded fees and costs incurred in terminating this Contract in whole or in part.

16.4.2 Termination for Lack of Funding or Convenience. In the event of termination by the City for lack of funding or convenience, the City shall pay

the Contractor for all labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc.(including any holdbacks) installed and delivered to the City as of the Termination Date and the Contractor shall relinquish to the City any applicable interest, title and ownership including, but not limited to perpetual use of any proprietary rights in and to said labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc. delivered to, in possession of and paid for by the City (except to the extent any invoiced amount is disputed). The Contractor shall be required to exercise commercially reasonable efforts to mitigate damages. In the event of a termination for Lack of Funding or Convenience the City and the Contractor may negotiate a mutually acceptable payment to the Contractor for reasonable demobilization expenses. Said demobilization expenses, if any, shall be handled in accordance with the provision of this Contract pertaining to changes in the Project.

16.4.3 Assumption of Subcontracts. In the event of termination, the City shall have the right to assume, at its option, one or all subcontracts for products, services and functions provided exclusively under this Contract.

16.4.4 Delivery of Documents. In the event of termination, **(i)** the Contractor shall promptly deliver to the City, in a manner reasonably specified by the City, all documents and other tangible items furnished by, or owned, leased, or licensed by, the City, and **(ii)** the City shall pay the Contractor for all services performed and deliverables completed and accepted (pro-rated for deliverables partially completed) prior to the effective date of the termination (except to the extent any invoice amount is disputed).

17. Force Majeure. Contractor shall not be held responsible for delays nor be subject to liquidated damages when such delays are caused by conditions beyond its control, including without limitation:

17.1. Acts of God, fire, explosion, epidemic, cyclone, flood, war, strikes, revolution, civil commotion, or acts of public enemies; and

17.2. Change of law and order, proclamation, regulation, ordinance, or governmental requirement.

17.3. Upon cessation of work for reason of force majeure delays, Contractor shall use its best efforts to meet the schedule set forth in Section 5 of this Contract.

18. Subcontracting. The Contractor shall not, without the prior written approval of the City's Using Agency, subcontract, in whole or in part, any of the Contractor's services. Any subcontractor so approved shall be required to secure and maintain insurance coverage equal to or better than that required of the Contractor and shall name the City as an additional insured party and said subcontractors shall deliver to the City a certificate of insurance evidencing such coverages. All subcontractors shall comply with all federal, state and local, laws, regulations and ordinances but such requirement shall not relieve the Contractor from its

requirement that all services provided hereunder shall comply with all Federal, State and local, laws, regulations and ordinances.

18.1. The Contractor shall be as fully responsible to the City for the acts and omissions of its subcontractors, and of persons either directly or indirectly employed by them, as it is for the acts and omissions of persons directly employed by the Contractor.

18.2. The Contractor is responsible for and shall control the activities of its subcontractors, and the subcontractors shall consult and cooperate with one another and other contractors working on the Project site. Each subcontractor shall furnish all necessary information to other subcontractors and shall lay out and install its own work to avoid any delays or interferences with the work of another. Any cost for changes, cutting and/or repairing, made necessary by failure to observe the above requirements shall be borne by the Contractor or subcontractor responsible for such failure or neglect.

18.3. The Contractor shall not, without the prior written approval of the City's Using Agency, substitute, terminate, replace or otherwise remove a subcontractor.

19. Assignability. The Contractor shall not assign any interest in this Contract and shall not transfer any interest in the same (whether by assignment or novation) without the prior written approval of the City; provided, however, that claims for money due or to become due the Contractor from the City under this Contract may be assigned to a bank, trust, company, or other financial institution, or to a Trustee in Bankruptcy, without such approval. Notice of any such assignment or transfer shall be furnished promptly to the City.

20. Audit. The City reserves the right to audit the Contractor's books of account in relation to this Contract at any time during the period of this Contract or at any time during the twelve-month period immediately following the closing or termination of this Contract. In the event the City elects to make such an audit, the Contractor shall immediately make available to the City all records pertaining to this Contract, including, but not limited to, payroll records, bank statements and canceled checks.

21. Interest of City Officials. No member of the governing bodies of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the Project to which this Contract pertains, shall have any personal interest, direct or indirect, in this Contract.

22. Interest of Contractor. The Contractor covenants that it presently has no interest and shall not acquire any interest, direct or indirect, in the Project or any parcel of land therein or any other interest which would conflict in any manner or degree with the performance of its services hereunder. The Contractor further covenants that in the performance of this Contract no person having any such interest shall be employed.

23. Entire Contract. This Contract shall constitute the complete and exclusive statement of the contract between the parties as it relates to this transaction and supersedes all previous

agreements and understandings, whether written or oral, relating to such subject matter. Any amendment to this Contract must be in writing and agreed to and executed by the City and the Contractor and must comply with the City's Charter and Code of Ordinances.

24. Independent Contractor Relationship. The relationship between the City and the Contractor is that of client and independent contractor. No agent, employee, or servant of the Contractor shall be deemed to be an employee, agent or servant of the City. The Contractor shall be solely and entirely responsible for its acts and the acts of its agents, employees, servants and subcontractors during the performance of this Contract.

25. Severability. Whenever possible, each provision of this Contract shall be interpreted in such a manner as to be effective and valid under applicable law. If any provision of this Contract, however, is held to be prohibited or invalid under applicable law, such provision shall be deemed restated to reflect the original intentions of the parties, as nearly as possible in accordance with applicable law, and if capable of substantial performance, the remaining provisions of this Contract shall be enforced as if this Contract was entered into without an invalid provision. If the ruling and/or controlling principle of law or equity leading to the ruling is subsequently overruled, modified or amended by legislation, judicial or administrative action, then the provision(s) in question as originally set forth in this Contract shall be deemed valid and enforceable to the maximum extent permitted by the new controlling principal of law or equity.

26. Survival. Any provisions of this Contract that impose continuing obligations on the parties shall survive the expiration or termination of this Contract for any reason.

27. Changes in the Project: Change Orders.

27.1. Requests for Change Orders. The City reserves the right on its own volition or based upon a proposal for a Change Order submitted in written form with a thorough explanation by the Contractor, to request from time to time any changes to the requirements and specifications of this Contract and the products to be provided and the functions and services to be performed by the Contractor under this Contract. Such changes must be authorized by the City. The City will not approve of any change orders, deletions, additions, or additional work items to the Scope of Services or any change in the terms and conditions of this Contract except by means of a City authorized amended Scope of Services, applicable and restricted to those items set forth in §1, above, or Change Order issued as set forth in this section, except in the event of an emergency endangering life or property.

27.2. Procedures.

27.2.1 The Contractor's Response to a Change Request.

i. Within thirty (30) calendar days after receipt of a request by the City for any such change or such other period as the Parties may mutually agree to in writing, the Contractor shall submit to the City a proposal describing any changes in Contract Milestones or Contract

completion dates, products, functions, timing of delivery, assignment of personnel, and the like, and any associated price adjustment. The Contractor's proposal shall describe, in detail, the basis for the proposed price adjustment, including the charges for any products required to implement the change request.

ii. To the extent that additional costs or cost savings result from a change in required products, the Contractor shall obtain any additional products and provide them to the City at a negotiated price acceptable to the City and the Contractor. Similarly, if the change request is expected to result in a reduction in products required to perform the services, the Contractor's charges shall be reduced by the cost savings resulting from the products eliminated by the change request.

27.2.2 City's Acceptance of Change Request. If the City accepts the Contractor's proposal, the City shall issue a change order referencing the Contractor's proposal and both parties shall sign the change order. The Contractor shall not implement any change request until the City has issued a valid, properly executed, change order.

27.2.3 City's Rejection of Change Request. If the City does not accept the Contractor's proposal, the City may within two weeks of such non-acceptance: **(i)** withdraw its change request; or, **(ii)** modify its change request, in which case the procedures set forth above shall apply to the Contractor's response to the modified change request.

27.3. City Discretion. The City may, in its sole discretion, approve the proposed Change Order and shall forward same for additional signatures under the following conditions: **(i)** If it conforms to provisions of applicable laws, and **(ii)** if it is consistent with this Contract, and **(iii)** if the time of performance of this Contract will not be unreasonably delayed, **(iv)** the Final Completion date is not changed, **(v)** if the Change Order requires a change to the Final Completion date, such change has been authorized by an approved, executed, written Amendment to this Contract, and **(vii)** if the Change Order requires an increase in the price of the Contract, the City **(1)** has sufficient funds, and **(2)** if a budget transfer is required to cover the cost of the proposed Change Order, such transfer has secured the written approval of the Board of Aldermen and other required regulatory agencies.

27.4. Change Orders Governed by the Provisions of this Contract. All work performed under a Change Order is governed by the provisions of this Contract.

28. Conflicts or Disputes. This Contract represents the concurrence between the City and the Contractor and governs all disputes between them. In the instance of a conflict or dispute over issues not specifically referenced within the Contract, the following documents shall be used as historical documents, without regard to the order of precedence, to resolve such conflicts or disputes, as follows: **(i)** the City's **RFP No. 7802** and **(ii)** the Contractor's Bid

response to **RFP No. 7802**, dated April of 2025. Said historical documents are attached hereto as part of **Attachment A.**

28.1. Procedure. This procedure supersedes all statements to the contrary occurring either in proposals or other prior agreements, oral or written, and all other communications between the parties relating to this subject.

28.2. Presumption. This Contract or any section thereof shall not be construed against any party because the Contract or any section thereof was drafted by such party.

29. Disputes; Legal Proceedings; Waiver of Trial by Jury and Continued Performance. The Contractor agrees that it waives a trial by jury as to all claims, causes of action or disputes arising out of this Contract or services to be provided pursuant to this Contract. Notwithstanding any such claim, dispute or legal action, the Contractor shall continue to perform services under this Contract in a timely manner, unless otherwise directed by the City.

30. Binding Contract. The City and the Contractor each bind themselves, and their successors, assigns and legal representatives to the other party to this Contract and to the successors, assigns and legal representatives of such other party with respect to all covenants of this Contract.

31. Waiver. Any waiver of the terms and conditions of this Contract by either of the parties hereto shall not be construed to be a waiver of any other term or condition of this Contract.

32. Governing Laws. This Contract, its terms and conditions and any claims arising there from shall be governed by the laws of the State of Connecticut.

33. Notice. Except as otherwise specifically prohibited in this Contract, whenever under this Contract approvals, authorizations, determinations, notices, satisfactions or waivers are required or permitted, such items shall be effective and valid only when given in writing signed by a duly authorized officer of the City or the Contractor, and delivered in hand or sent by mail, postage prepaid, to the party to whom it is directed, which until changed by written notice, are as follows:

Contractor:	Nosal Builders, Inc. Unit 1 85 Fieldstone Court Cheshire, CT 06410
City:	City of Waterbury Corporation Counsel's Office Third Floor 235 Grand Street Waterbury, CT 06702

34. City Code of Ordinances, Ethics and Conflict of Interest Code, Provisions. The Person (the term "Person" shall herein be as defined in Section 38 of the City's Code of Ordinances) supplying the labor, services, equipment, materials, reports, plans, specifications, drawings, deliverables, incidentals, etc. under this Contract shall comply with all applicable Federal, State and Municipal statutes, regulations, charters, ordinances, rules, etc, whether they are expressly stated in this Contract, including but not limited to the following:

34.1. It shall be a material breach of this Contract, and, except as may be permitted by regulations or rulings of the City of Waterbury Board of Ethics it shall be a violation of the City's Code of Ordinances, for any Public Official, City Employee or Member of a Board or Commission who is participating directly or indirectly in the procurement process as set forth in the City's Code of Ordinances, including those participating in exempt transactions, to become or be the employee of any person contracting with the governmental body by whom the Official, Employee, or Board or Commission member is employed or is a member.

34.2. It shall be a material breach of this Contract, and it shall be a violation of the City's Code of Ordinances for any Person to offer, give, or agree to give any current or former Public Official, Employee or Member of a Board or Commission, or for such current or former Public Official, Employee or Member of a Board or Commission to solicit, demand, accept or agree to accept from another Person, a gratuity or an offer of employment in connection with any: decision; approval; disapproval; recommendation; preparation of any part of a program requirement or a requisition; influencing the content of any specification or procurement standard; or rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim or controversy, or other particular matter, pertaining to any program requirement or a Contract or Purchase Order, or to any solicitation or proposal therefore.

34.3. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for any payment, Gratuity, or offer of employment to be made as an inducement for the award of a subcontract or order, by or on behalf of a subcontractor, the prime Contractor or higher tier subcontractor or any Person associated therewith, under a Contract or Purchase Order to the City.

34.4. The value of anything transferred or received in violation of the City's Charter, Code of Ordinances, and/or regulations promulgated there under, by any Person subject to said Charter and/or Ordinances may be recovered by the City.

34.5. Upon a showing that a subcontractor made a kickback to the City, a Prime Contractor or a higher tier subcontractor in connection with the award of a subcontract or order there under, it shall be conclusively presumed that the amount thereof was included in the price of the subcontract or order and ultimately borne by the City and will be recoverable hereunder from the recipient. In addition, said value may also be recovered from the subcontractor making such kickbacks. Recovery from one offending party shall not preclude recovery from other offending parties.

34.6. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for a Person to be retained, or to retain a Person, to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee; and every Person, before being awarded a City Contract, shall deliver to the City, on a City authored form, a representation that such Person has not retained anyone in violation of this subsection 34.6, the failure to deliver said form being a material breach of this Contract and a violation of the City's Code of Ordinances. Note, however, this subsection 34.6 shall not apply to full-time Employees who, as a condition of their employment, may be entitled to bonuses or other fees in accordance with their employment relationship.

34.7. The Person hereby expressly represents that he/she/it has complied with those sections of the City's Code of Ordinances requiring that said Person has **(i)** delivered to the City an affidavit, on a City authored form, stating that the Person and its affiliates have no delinquent taxes or other financial obligations owned to the City; **(ii)** filed the City authored financial disclosure statement form as set forth in the City's Code of Ordinances regarding disclosure of financial interests; **(iii)** delivered to the City a written acknowledgement, on a City authored form, evidencing receipt of a copy of the "Ethics and Conflict of Interest" ordinance for the City of Waterbury and hereby expressly represents that said Person is in full compliance with the entirety of said Code of Ordinances; and **(iv)** filed a current list of all taxable personal and real property as required by the State of Connecticut General Statutes. Any violation of this subsection 34.7 shall be deemed a material breach of this Contract and shall be a violation of the City's Code of Ordinances.

34.8. The definitions set forth in the City's Code of Ordinances shall be the primary source for interpretation of the forgoing subsections 34.1-34.7.

34.9. The Contractor is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, all relevant provisions of the City's Charter and all relevant provisions of the City's Code of Ordinances, including without limitation Chapters 93, titled "Discriminatory Practices", Chapter 38 titled "Centralized Procurement System", and Chapter 39 titled "Ethics and Conflict of Interest", of said Code as may be amended from time to time.

34.10. The Contractor hereby acknowledges receipt of a copy of Chapters 38 and 39 of the City's Ordinance regarding Procurement, Ethics, and Conflicts of Interest and has familiarized itself with said Code and hereby agrees to adhere to said Code. The text of Chapters 38 and 39 of said Code may be obtained from the Office of the City Clerk of the City and on the internet at the City Clerk's web site: *http://www.waterburyct.org/content/458/539/default.aspx* [click link titled "The City of Waterbury Code of Ordinances *Passed 8/24/2009*". For Chapter 38, click on **"TITLE III: ADMINISTRATION",** then click **on "CHAPTER 38: CENTRALIZED PROCUREMENT SYSTEM"**. For Chapter 39, click on **"TITLE III: ADMINISTRATION"**, then click on **"CHAPTER 39: ETHICS AND CONFLICTS OF INTEREST"**].

34.11. The Contractor is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, the City's Ordinance Sections 34.15 through 34.99 entitled, "Ordinance Concerning the Hiring of Waterbury Residents on Certain Publicly Funded Construction Projects," and the State of Connecticut Legislature's Special Act No. 01-1.

34.12. Every Person who conducts business with, contracts, with or provides commodities or services to the City, is charged with notice of the extent of the powers and authority, and the limitations thereon, of the Public Officials and Employees of the City, as set forth in the charter of the City, the Code of Ordinances and any Regulations or Policies pertaining thereto. In particular, and without implying any limitation as to its applicability, it applies to all Persons who participate in the procedures pertaining to the Centralized Procurement System as set forth in Chapter 38, and the Ethics and Conflict of Interest provisions set forth in Chapter 39 of the Code of Ordinances.

34.13. INTEREST OF CITY OFFICIALS. No member of the governing body of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the project, to which this agreement pertains, shall have any personal interest, direct or indirect, in this agreement.

34.14. PROHIBITION AGAINST CONTINGENCY FEES. The Contractor hereby represents that it has not retained anyone to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage or contingency fee.

34.15. FREEDOM OF INFORMATION ACT NOTICE. Pursuant to State statute, in the event the total compensation payable to the Contractor set forth in Section 6 of this Contract is greater than $2,500,000.00, the City is entitled to receive a copy of all Contractor records and files related to the performance of this Contract and those records and files are subject to the Freedom of Information Act ("the Act") and may be disclosed by the City pursuant to the Act.

35. Definitions. Whenever the following, words, terms, etc. appear in this Contract, the intent and meaning shall be as follows:

35.1. Additional Work: Work required by the City that involves a substantial addition to, deduction from or modification of the Contract Documents.

35.2. Bid or Proposal: The form on which the bidder is to submit a bid for the Work contemplated.

35.3. Bidder: A person, partnership, corporation or other business organization submitting a bid on the form for the Work contemplated.

35.4. City: The City of Waterbury, acting directly or through specifically authorized personnel.

35.5. Construction Supervisor: An employee of the City of Waterbury, or another City duly authorized person.

35.6. Contract Time: The number of days as stated in the Contract to: **(i)** achieve Substantial Completion and **(ii)** Final Completion.

35.7. Equal: The recognized equivalent in substance and function; considering quality, workmanship, economy of operation, durability and suitability for purposes intended, and not constituting a change in the Work specified. Whenever the words "equal" or "equals" or words of like import are used, it shall be understood they mean "equal" in the opinion of the City.

35.8. Final Completion: The time at which the Project has progressed to the point where, in the opinion of the City, the Project is complete such that it is ready for final payment as evidenced by the City's, or its duly authorized City representative's, written recommendation of final payment. The terms "finally complete" and "finally completed" as applied to the Project refer to Final Completion.

35.9. Notice to Proceed: A letter from the City which shall state the date of execution of the Contract and specifically advise the Contractor to begin work on the Contract.

35.10. Plans: All drawings or reproductions of drawings pertaining to the construction of the work contemplated and its appurtenances.

35.11. Project Engineer or Manager: An employee of the City or a person, partnership, corporation or other business organization under contract with the City, commissioned to perform construction administration and inspection duties during construction.

35.12. Shop Drawings: Drawings, diagrams, schedules, performance charts, brochures and other materials prepared by the Contractor or subcontractors, manufacturers or distributors which illustrate some portion of the work.

35.13. Specifications or Technical Specifications: The description, provisions and other requirements pertaining to the materials, methods and manner of performing the Project.

35.14. Subcontractor: A person, partnership, corporation or other business organization supplying labor and/or materials for work at the site of the Project to and under agreement with the Contractor.

35.15. Substantial Completion: The time at which, in the opinion of the Engineer, the Project (or a specified part thereof) is sufficiently complete, in accordance with the Contract Documents, so that the Project (or a specified part thereof) can be utilized for the purposes for which it is intended. The terms "substantially complete" and "substantially completed" as applied to all or part of the Project mean Substantial Completion thereof.

35.16. Substitution: A replacement of specified material, device or equipment which is sufficiently different in substance, function, quality or workmanship to become the subject of a Change Order.

35.17. Supplementary General Conditions: An extension to the terms, conditions, and provisions set forth in this document as additional, material, provisions of this Contract.

35.18. Work: All plant, labor, materials, services, supplies, equipment and other facilities and items necessary for, or incidental to, the completion of the terms of the Contract.

35.19. Using Agency: The Waterbury Fire Department.

IN WITNESS WHEREOF, the parties hereto execute this Contract on the dates signed below.

WITNESSES:

_____

_____

CITY OF WATERBURY

By:_____
Paul K. Pernerewski, Jr., Mayor

Date: _____

WITNESSES:

_____

_____

NOSAL BUILDERS, INC.

By:_____
Walter H. Lehner, V.P.

Date: _____

ATTACHMENT A

ATTACHMENT E
REVISED PRICING PROPOSAL 05/13/2025

Renovations to Fire Station #1

RFP#7802

1. General Conditions	$ 502,566.00
2. Demolition	$ 119,000.00
3. Abatement	$ 173,000.00
4. Concrete	$ 127,480.00
5. Masonry	$ 80,715.00
6. Metals	$ 56,209.00
7. Thermal and Moisture Protection	$ 286,207.00
8. Doors and Windows	$ 438,411.00
8A. Garage Doors	$ 85,500.00
9. Finishes	$ 266,133.00
10. Specialties	$ 107,595.00
11. Kitchen Equipment	$ 118,384.00
12. Furnishings	$ 25,206.00
13. Plumbing	$ 241,872.00
14. Mechanical	$ 902,000.00
15. Electrical	$ 494,000.00
16. Earthwork	$ 358,637.00
17. Paving	$ 17,588.00
18. Fuel Tank	$ 260,000.00
GRAND TOTAL	**$** 4,660,503.00
Unit Pricing	
ALTERNATE PRICIING	
1. Locker Room Addition (DEDUCT)	$ N/A, Addition is staying in project
2. Roof Hatch and Ladder	$ 16,466.00
3. (8) Four-Fold Doors	$ 328,913.00
4. (4) Four-Fold Doors (4 remaining Overhead Doors)	$ 170,625.00
5. Breathing Air Compressor per Attached Spec	$ 127,700.00
6. Patch, Grind, and Seal Apparatus Bay	$ 37,500.00
7. Remove and Repave Entire Parking Lot	$ 215,250.00

Estimated Lead Time for Major Equipment: (how many weeks)

8 weeks

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount as determined by the City shall govern in determining the TOTAL PROPOSAL PRICE.

The undersigned also agrees that the quantities indicated are for Proposal comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

061528418

Social Security Number or Federal Identification Number

Nosal Builders, Inc.

Company Name

Signature of Individual or Corporate Name

Walter H. Lehner, Vice President of Operations

Corporate Officer (if applicable)



Document A310™ – 2010

Conforms with The American Institute of Architects AIA Document 310

Bid Bond

CONTRACTOR:
(Name, legal status and address)

Nosal Builders, Inc.
85 Fieldstone Court, Unit 1
Cheshire, CT 06410

SURETY:
(Name, legal status and principal place of business)

Federal Insurance Company
202B Hall's Mill Road
Whitehouse Station, NJ 08889

OWNER:
(Name, legal status and address)

City of Waterbury
235 Grand Street
Waterbury, CT 06702

BOND AMOUNT: $ 10%

Ten Percent of Amount Bid

PROJECT:
(Name, location or address, and Project number, if any)

Proposal No. 7802 - Renovations to FIRE STATION #1, 1979 NORTH MAIN STREET, WATERBURY, CT 06704

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so furnished, the intent is that this Bond shall be construed as a statutory bond and not as a common law bond.

~~Signed and sealed~~ this 29th day of April, 2025

Omar D. Tolentino
(Witness)

Nosal Builders, Inc.
(Principal) *(Seal)*

By:
(Title) Walter H. Lehner Vice President of Operations

Ashley Martin
(Witness)

Federal Insurance Company
(Surety) *(Seal)*

By: Dana E. Wium
(Title) Dana E. Wium Attorney-in-Fact

FEDERAL INSURANCE COMPANY · INDIANA ·

CHUBB

Power of Attorney

Federal Insurance Company | Vigilant Insurance Company | Pacific Indemnity Company
Westchester Fire Insurance Company | ACE American Insurance Company

Know All by These Presents, that FEDERAL INSURANCE COMPANY, an Indiana corporation, VIGILANT INSURANCE COMPANY, a New York corporation, PACIFIC INDEMNITY COMPANY, a Delaware corporation, WESTCHESTER FIRE INSURANCE COMPANY and ACE AMERICAN INSURANCE COMPANY corporations of the Commonwealth of Pennsylvania, do each hereby constitute and appoint Dana E. Wium

Surety Bond No. Bid Bond

Obligee: City of Waterbury

each as their true and lawful Attorney-in-Fact to execute under such designation in their names and to affix their corporate seals to and deliver for and on their behalf as surety thereon or otherwise, bonds and undertakings and other writings obligatory in the nature thereof (other than bail bonds) given or executed in the course of business, and any instruments amending or altering the same, and consents to the modification or alteration of any instrument referred to in said bonds or obligations.

In Witness Whereof, said FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY and ACE AMERICAN INSURANCE COMPANY have each executed and attested these presents and affixed their corporate seals on this 1st day of October, 2024.

Rupert HD Swindells, Assistant Secretary

Warren Eichhorn, Vice President

STATE OF NEW JERSEY
County of Hunterdon ss.

On this 1st day of October, 2024 before me, a Notary Public of New Jersey, personally came Rupert HD Swindells and Warren Eichhorn, to me known to be Assistant Secretary and Vice President, respectively, of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY and ACE AMERICAN INSURANCE COMPANY, the companies which executed the foregoing Power of Attorney, and the said Rupert HD Swindells and Warren Eichhorn, being by me duly sworn, severally and each for himself did depose and say that they are Assistant Secretary and Vice President, respectively, of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY and ACE AMERICAN INSURANCE COMPANY and know the corporate seals thereof, that the seals affixed to the foregoing Power of Attorney are such corporate seals and were thereto affixed by authority of said Companies; and that their signatures as such officers were duly affixed and subscribed by like authority.

Notarial Seal

Albert Contursi
NOTARY PUBLIC OF NEW JERSEY
No 50202369
Commission Expires August 22, 2027

Notary Public

CERTIFICATION

Resolutions adopted by the Boards of Directors of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, and PACIFIC INDEMNITY COMPANY on August 30, 2016; WESTCHESTER FIRE INSURANCE COMPANY on December 11, 2006; and ACE AMERICAN INSURANCE COMPANY on March 20, 2009:

"RESOLVED, that the following authorizations relate to the execution, for and on behalf of the Company, of bonds, undertakings, recognizances, contracts and other written commitments of the Company entered into in the ordinary course of business (each a "Written Commitment"):

(1) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise.

(2) Each duly appointed attorney-in-fact of the Company is hereby authorized to execute any Written Commitment for and on behalf of the Company, under the seal of the Company or otherwise, to the extent that such action is authorized by the grant of powers provided for in such person's written appointment as such attorney-in-fact.

(3) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to appoint in writing any person the attorney-in-fact of the Company with full power and authority to execute, for and on behalf of the Company, under the seal of the Company or otherwise, such Written Commitments of the Company as may be specified in such written appointment, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.

(4) Each of the Chairman, the President and the Vice Presidents of the Company is hereby authorized, for and on behalf of the Company, to delegate in writing to any other officer of the Company the authority to execute, for and on behalf of the Company, under the Company's seal or otherwise, such Written Commitments of the Company as are specified in such written delegation, which specification may be by general type or class of Written Commitments or by specification of one or more particular Written Commitments.

(5) The signature of any officer or other person executing any Written Commitment or appointment or delegation pursuant to this Resolution, and the seal of the Company, may be affixed by facsimile on such Written Commitment or written appointment or delegation.

FURTHER RESOLVED, that the foregoing Resolution shall not be deemed to be an exclusive statement of the powers and authority of officers, employees and other persons to act for and on behalf of the Company, and such Resolution shall not limit or otherwise affect the exercise of any such power or authority otherwise validly granted or vested."

I, Rupert HD Swindells, Assistant Secretary of FEDERAL INSURANCE COMPANY, VIGILANT INSURANCE COMPANY, PACIFIC INDEMNITY COMPANY, WESTCHESTER FIRE INSURANCE COMPANY and ACE AMERICAN INSURANCE COMPANY (the "Companies") do hereby certify that

(i) the foregoing Resolutions adopted by the Board of Directors of the Companies are true, correct and in full force and effect.
(ii) the foregoing Power of Attorney is true, correct and in full force and effect.

Given under my hand and seals of said Companies at Whitehouse Station, NJ, this April 29, 2025.

Rupert HD Swindells, Assistant Secretary

IN THE EVENT YOU WISH TO VERIFY THE AUTHENTICITY OF THIS BOND OR NOTIFY US OF ANY OTHER MATTER, PLEASE CONTACT US AT:
Telephone (908) 903-3493 Fax (908) 903-3656 e-mail: surety@chubb.com

FEDERAL INSURANCE COMPANY

STATEMENT OF ASSETS, LIABILITIES AND SURPLUS TO POLICYHOLDERS

Statutory Basis

December 31, 2024

(In thousands)

ASSETS		*LIABILITIES AND SURPLUS TO POLICYHOLDERS*	
Cash, Cash Equivalents, and ST Investments	$ (355,746)	Outstanding Losses and Loss Expenses	$ 10,612,093
United States Government, State and Municipal Bonds	3,847,216	Reinsurance Payable on Losses and Expenses	1,730,804
Other Bonds	9,063,626	Unearned Premiums	3,117,855
Stocks	361,584	Ceded Reinsurance Premiums Payable	417,703
Other Invested Assets	1,562,503	Other Liabilities	583,379
TOTAL INVESTMENTS	14,479,183	TOTAL LIABILITIES	16,461,834
Investments in Affiliates:		Capital Stock	20,980
Great Northern Ins. Co.	444,946	Paid-In Surplus	2,711,474
Vigilant Ins. Co.	392,348	Unassigned Funds	2,245,956
Chubb National Ins. Co.	204,099		
Chubb Indemnity Ins. Co.	185,760	SURPLUS TO POLICYHOLDERS	4,978,410
Chubb Ins. Co. of New Jersey	41,523		
Chubb Lloyds Ins. Co. of Texas	6,140		
Other Affiliates	71,980		
Premiums Receivable	2,574,791		
Other Assets	3,039,474		
TOTAL ADMITTED ASSETS	$ 21,440,244	TOTAL LIABILITIES AND SURPLUS	$ 21,440,244

Investments are valued in accordance with requirements of the National Association of Insurance Commissioners. At December 31, 2024, investments with a carrying value of $569,829,993 were deposited with government authorities as required by law.

STATE OF PENNSYLVANIA

COUNTY OF PHILADELPHIA

John Taylor, being duly sworn, says that he is Senior Vice President of Federal Insurance Company and that to the best of his knowledge and belief the foregoing is a true and correct statement of the said Company's financial condition as of the 31 st day of December, 2024.

Sworn before me this March 20, 2025

Senior Vice President

Notary Public

August 8, 2027
My commission expires

Commonwealth of Pennsylvania - Notary Seal
Diane Wright, Notary Public
Philadelphia County
My commission expires August 8, 2027
Commission number 1235745
Member, Pennsylvania Association of Notaries

ATTACHMENT E

ATTACHMENT E
PRICING PROPOSAL

Renovations to Fire Station #1

RFP#7802

1. General Conditions	$ 580,774.00
2. Demolition	$ 119,000.00
3. Abatement	$ 173,000.00
4. Concrete	$ 127,480.00
5. Masonry	$ 80,715.00
6. Metals	$ 56,209.00
7. Thermal and Moisture Protection	$ 286,207.00
8. Doors and Windows	$ 438,411.00
8A. Garage Doors	$ 85,500.00
9. Finishes	$ 280,133.00
10. Specialties	$ 107,595.00
11. Kitchen Equipment	$ 118,384.00
12. Furnishings	$ 25,206.00
13. Plumbing	$ 241,872.00
14. Mechanical	$ 902,200.00
15. Electrical	$ 494,000.00
16. Earthwork	$ 358,637.00
17. Paving	$ 17,588.00
18. Fuel Tank	$ 260,000.00
GRAND TOTAL	**$** 4,752,911.00
ALTERNATE PRICING	
1. Locker Room Addition - Deduct	$ - 307,264.00
2. Roof Hatch and Ladder	$ 16,466.00
3. Breathing Air Compressor	$ 35,700.00
4. Four-Fold Doors	$ 334,177.00
Unit Pricing	
1. Small containment preparation containment (less than 160 square/260 linear feet of asbestos-containing material) Pricing for containments with larger amounts of materials are to be INCLUDED in the unit prices themselves listed below. There is no separate unit price for containments with larger amounts	$ 3,000.00 /containment

2. Cove base and adhesives (multiple layers, including contaminated substrates), removal and disposal as ACM	$ 15.00	/LF
3. Floor tile/flooring materials and mastics (includes all layers of carpeting/adhesives/multiple layers of floor tiles/flooring materials/linoleum/sheet flooring/vinyl flooring/wood/mastics, levelastics, contaminated flooring materials, etc.), removal and disposal as ACM	$ 8.50	/sf
4. Mudded pipe fitting insulation, removal and disposal as ACM	$ 75.00	/fitting or joint
5. Glove bag, removal and disposal as ACM	$ 400.00	/bag
6. Pipe and pipe fitting insulation, removal and disposal as ACM	$ 50.00	/lf
7. Ceiling tile, removal and disposal as ACM	$ 5.00	/sf
8. Duct breaching or paper insulation, removal and disposal as ACM	$ 30.00	/sf
9. Duct adhesives (including contaminated substrates), removal and disposal as ACM	$ 40.00	/sf
10. Duct seam/flange sealants (including contaminated substrates), removal and disposal as ACM	$ 40.00	/lf
11. Duct vibration isolation cloth, removal and disposal as ACM	$ 400.00	/cloth
12. Boiler (including all exterior and interior materials), removal and disposal as ACM	$ 15,000.00	/boiler
13. Paint (including contaminated substrates), removal and disposal as ACM	$ 12.00	/sf
14. Light backing paper insulation, removal and disposal as ACM	$ 50.00	/light fixture
15. Electrical insulation, removal and disposal as ACM	$ 20.00	/lf
16. Sink undercoating, removal and disposal as ACM	$ 50.00	/sink
17. Fire door/insulation, removal and disposal as ACM	$ 100.00	/door
18. Water fountain/dampproofing, removal and disposal as ACM	$ 150.00	/fountain

19. Custodial slop sink/dampproofing, removal and disposal as ACM	$ 900.00	/slop sink
20. Countertop/adhesive/caulking compounds, removal and disposal as ACM	$ 8.00	/sf
21. Transite cement board, removal and disposal as ACM	$ 8.00	/sf
22. Wall adhesives including contaminated substrates (mirrors/blackboards/bulletin boards/wood/wall tiles, etc.), removal and disposal as ACM	$ 8.00	/sf
23. Vermiculite and associated wall/ceiling materials, removal and disposal as ACM	$ 40.00	/sf
24. Ceramic tile wall/floor/ceiling, adhesives, mudset, grout, dampproofing, and contaminated substrates, removal and disposal as ACM	$ 10.00	/sf
25. Wall/ceiling plaster (multiple layers) and supporting systems and contaminated substrates, removal and disposal as ACM	$ 10.00	/sf
26. Wall/ceiling board/sheetrock/taping/joint compounds (multiple layers), removal and disposal as ACM	$ 6.00	/sf
27. Wall, ceiling tile, and glue daubs and contaminated substrates, removal and disposal as ACM	$ 7.00	/sf
28. Dampproofing/tars/mastics – interior/exterior walls/floors/slab/foundation and associated substrate/adjacent materials (includes multiple layers, contaminated materials and substrates), removal and disposal as ACM	$ 10.00	/sf walls/floors
	$ 15.00	/sf slabs
	$ 20.00	/sf foundations

29. Caulking, glazing and sealant compounds (includes substrates and contaminated materials), removal and disposal as ACM	$ 20.00 /lf
	$ 900.00 /window opening (multiple windows/sizes exist in openings)
	$ 250.00 /door opening (multiple doors/sizes exist in openings)
30. Caulking, glazing and sealant compounds, removal and disposal as PCB>50 ppm (PCB Bulk Product Waste)	$ 25.00 /lf
	$ 950.00 /window opening (multiple windows/sizes exist in openings)
	$ 300.00 /door opening (multiple doors/sizes exist in openings)
31. Caulking, glazing and sealant compounds, removal and disposal as ACM and PCB>50 ppm (PCB Bulk Product Waste)	$ 30.00 /lf
	$ 1,000.00 /window opening (multiple windows/sizes exist in openings)
	$ 350.00 /door opening (multiple doors/sizes exist in openings)

32. Roofing core/field base material (includes multiple layers and substrates), removal and disposal as ACM	$ 12.00	/sf
33. Roof flashing (includes multiple layers and substrates), removal and disposal as ACM	$ 20.00	/sf
34. Off-site transportation and disposal of hazardous lead-based paint demolition debris	$ 17,000.00	/40 yd dumpster
	$ n/a	/100 yd dumpster
	$ 2,500.00	/drum or box
35. Add pressure treated wood blocking, as specified, cut to fit around roof structure and systems installed	$ 10.00	/bf
36. Deduct pressure treated wood blocking, as specified, cut to fit around roof structure and system installed	$ 9.00	/bf

Estimated Lead Time for Major Equipment: (how many weeks)

8 weeks

In the event of mathematically incorrect calculations of individual items or totals, the mathematically correct amount as determined by the City shall govern in determining the TOTAL PROPOSAL PRICE.

The undersigned also agrees that the quantities indicated are for Proposal comparison purposes only and are not represented to be actual quantities for completion of the Work.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair, and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

061528418
Social Security Number or Federal Identification Number

Nosal Builders, Inc.
Company Name

Signature of Individual or Corporate Name

Walter H. Lehner, Vice President of Operations
Corporate Officer (if applicable)

ATTACHMENT A

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 202__)
Persons or Entities Conducting Business with the City

I. Outstanding Purchase Orders of Contracts with the City

A. Contracts

No Contracts with the City [X]

(Service or Commodity Covered by Contract)

(Term of Contract)

(Service or Commodity Covered by Contract)

(Term of Contract)

(Service or Commodity Covered by Contract)

(Term of Contract)

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 202__)
Persons or Entities Conducting Business with the City

B. Purchase Order(s).

No Purchase Order(s) with the City [X]

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

(Service or Commodity Covered by Purchase Order)

(Date of Purchase Order)

CITY OF WATERBURY
ANNUAL STATEMENT OF FINANCIAL INTERESTS (Calendar Year 202__)
Persons or Entities Conducting Business with the City

II. Financial Interest Disclosure

(Public Officials, Employees or Board and Commission Members with interest in Person or Entity Conducting Business with the City)

No Officials, Employees or Board and Commission Members with Financial Interest [X]

(Name of Official)

(Position with City)

(Nature of Business Interest)
(e.g. Owner, Director etc...)

Interest Held By:
Self [ ] Spouse [ ] Joint [ ] Child [ ]

(Name of Official)

(Position with City)

(Nature of Business Interest)
(e.g. Owner, Director etc...)

Interest Held By:
Self [ ] Spouse [ ] Joint [ ] Child [ ]

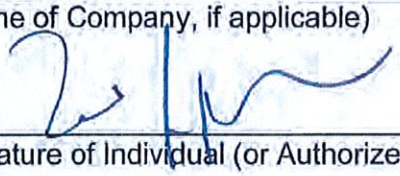
1. I certify that this Annual Statement of Financial Interests is a complete and accurate statement of those matter required to be disclosed by me pursuant to §39.061 of the Code of Ordinances.

2. I understand that if I fail to file an Annual Statement (or amendment thereto) or an inaccurate Statement I will be in violation with Chapter 39 of the Code of Ordinance and, thereby, subject to the remedies set forth in §§38.71 and 39.101 of said Code.

3. I understand that I must file with the City Clerk, within fifteen (15) days following any reportable occurrence, any amendments to the Annual Statement.

I have read and agree to the above certification.

Nosal Builders, Inc.
(Name of Company, if applicable)



Signature of Individual (or Authorized Signatory)

April 29, 2025
Date

Walter H. Lehner, Vice President of Operations
Print or Type Name and Title (if applicable)

DELIVERED | By Mail ☐ Hand-Delivered ☒

City of Waterbury Certification
Regarding
Debarment, Suspension, Ineligibility and Exclusion

If the transaction is Federally funded, in whole or in part (including pass through grants to state and/or municipal government), this certification is required by the regulations implementing one or more Presidential Executive Orders. If this transaction is funded by the State of Connecticut, in whole or in part, this certification is required in accordance with one or more State of Connecticut general statutes.

1. By signing and submitting the attached proposal and/or this document, the prospective lower tier participant, vendor, or contractor is providing the certification set out below.
2. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective lower tier participant, vendor, or contractor knowingly rendered an erroneous certification, in addition to other remedies available to the Federal , State, or City government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.
3. The prospective lower tier participant, vendor or contractor shall provide immediate written notice to the person to which the attached proposal and/or this document is submitted if at any time the prospective lower tier participant, vendor or contractor learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
4. The terms "covered transaction", "debarred", "suspended", "ineligible", "lower tier covered transaction", "participant", "person", "primary covered transaction", "principal", "proposal", and "voluntarily excluded", as used herein, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Order 12549 and/or State of Connecticut statutes and regulations. You may contact the person to which this proposal and/or this document is submitted for assistance in obtaining a copy of the foregoing.
5. The prospective lower tier participant, vendor or contractor agrees by submitting the attached proposal and/or this document that, should the proposed covered transaction be entered into, it shall not knowingly enter into any covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction unless authorized by the department or agency with which this transaction originated.
6. The prospective lower tier participant, vendor or contractor further agrees by submitting the attached proposal and/or this document that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility, and Voluntary Exclusion-Lower Tier Covered Transactions", without modification, in all covered transaction and in all solicitations for covered transactions.
7. A participant in a covered transaction may rely upon the certification of a prospective participant in a covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may but is not required to, check the Non-procurement List.
8. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required herein. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
9. Except for transactions authorized under paragraph 5 herein, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal, State or Municipal government, the department or agency with which this transaction originated may pursue available remedies, including suspension and/or debarment.

Certification

(1) The prospective recipient of monies hereby certifies, by submission of its attached proposal and/or by execution of this document, that neither it nor its principles are presently debarred, suspended, proposed for debarment, declared ineligible, disqualified, or voluntarily excluded from bidding or participation in the proposed transaction by any Federal, State, or Municipal department or agency or by the statutes, regulations or ordinances of the foregoing departments and agencies.

(2) Where the prospective recipient of monies is unable to certify to any of the statements in this certification, such prospective participant shall attach a written explanation hereto.

Full Legal Name and address of Recipient, Vendor, or Contractor: Nosal Builders, Inc.
85 Fieldstone Court Unit 1
Cheshire, CT 06410

Print Name and Title of Authorized Representative: Walter H. Lehner, Vice President of Operations

Signature of Authorized Representative:

Date: April 29, 2025

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

For the purposes of this Disclosure of Outstanding Financial Obligations, the following definitions apply:

(a) "Contract" means any Public Contract as defined below.

(b) "Person" means one (1) or more individuals, partnerships, corporations, associations, or joint ventures.

(c) "Public Contract" means any agreement or formal commitment entered into by the city to expend funds in return for work, labor, services, supplies, equipment, materials or any combination of the foregoing, or any lease, lease by way of concession, concession agreement, permit, or per agreement whereby the city leases, grants or demises property belonging to the city, or otherwise grants a right of privilege to occupy or to use said property of the city.

(d) "City" means any official agency, board, authority, department office, or other subdivision of the City of Waterbury.

State of Connecticut

SS.:_____

County of New Haven

Walter H. Lehner, Vice President of Operations, being first duly sworn, deposes and says that:

1. I am the ***owner, partner, officer, representative, agent or*** Vice President of Nosal Builders, Inc. (Contractor's Name), the Contractor that has submitted the attached agreement.

2. I am fully informed respecting the preparation and contents of the attached Agreement and of all pertinent circumstances respecting such Agreement;

3. That as a person desiring to contract with the City (check all that apply):

n/a The Contractor and each owner, partner, officer, representative, agent or affiliate of the Contractor has filed a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

n/a Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor are required to file a list of taxable personal property with the City of Waterbury for the most recent grand list, as required by Conn. Gen. Stat. §12-42.

n/a Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, owes back taxes to the City of Waterbury

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

n/a Neither the Contractor nor any owner, partner, officer, representative, agent or affiliate of the Contractor either directly or through a lease agreement, has any other outstanding obligations to the City of Waterbury

4. The following list is a list of the names of all persons affiliated with the business of the Contractor, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		None		
2				
3				
4				

5. That as a person desiring to contract with the City:

(a) The Contractor or an owner, partner, officer, representative, agent or affiliate of the Contractor provides, or has provided, services or materials to the City within one (1) year prior to the date of this disclosure, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Service or Material	DOB
1		None		
2				
3				
4				

(b) The Contractor possesses an ownership interest in the following business organizations, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized) :

Organization Name	Address	Type of Ownership
1 None		
2		
3		
4		

(c) The following persons possess an ownership interest in the Contractor. If the Contractor is a corporation, list all of the officers of the corporation and the names of each stockholder whose shares exceed twenty-five

(25) percent of the outstanding stock, if none, state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

	Name	Title	DOB	Stock %
1	None			
2				
3				
4				

(d) Of the following of the affiliates, individuals or business entities identified in this affidavit, list each that owns, owned, or within one (1) year prior to the date of this disclosure has owned, taxable property situated in the City of Waterbury, if none state none. Use additional sheet if necessary (Must be on company letterhead and notarized):

Name	Title	Affiliated Company (if none state NONE)	Address	DOB
1		None		
2				
3				
4				

(e) If the Contractor conducts business under a trade name, the following additional information is required: the place where such entity is incorporated or is registered to conduct such business; and the address of its principal place of business, if none, state none:

	TRADE NAME	PLACE OF INCORPORATION/REGISTRY	PRINCIPAL PLACE OF BUSINESS
1	None		
2			
3			
4			

I hereby certify that the statements set forth above are true and complete, and I understand that any incorrect information or omission of information from this affidavit may result in the immediate termination of the Contractor's agreement with the City of Waterbury.

For Partnership/Sole Proprietor

In presence of:

Witness

Name of Partnership/Business

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

By: _____
Name of General Partner/ Sole Proprietor

_____
Address of Business

State of _____)

) SS

County of _____)

_____ being duly sworn,

Deposes and says that he/she is _____ of _____ and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this _____ day of _____ 202_.

_____
(Notary Public)

My Commission Expires: _____

For Corporation

Omar R. Tolentino
Witness

Nosal Builders, Inc.
Name of Corporate Signatory
85 Fieldstone Court Unit 1
Cheshire, CT 06410
Address of Business

Affix
Corporate
Seal

By: Walter H. Lehner
Name of Authorized Corporate Officer

Its: Vice President of Operations
Title

CITY OF WATERBURY
DISCLOSURE AND CERTIFICATION AFFIDAVIT REGARDING OUTSTANDING OBLIGATIONS TO THE CITY OF WATERBURY

State of Connecticut)

) SS

County of New Haven)

Walter H. Lehner, Vice President of Operations being duly sworn,

deposes and says that he/she is Vice President of Operations of Nosal Builders, Inc. and that he/she answers to the foregoing questions and all statements therein are true and correct.

Subscribed and sworn to before me this 29th day of April 2025.

Beatrix Kassai
(Notary Public)

My Commission Expires: 11/30/2028

NOSAL BUILDERS
Together, We Build.

85 Fieldstone Court, Unit 1 ♦ Cheshire, CT 06410
Telephone: (203) 439-9320
Affirmative Action / Equal Opportunity Employer
www.nosalbuilders.com

RENOVATION OF FIRE STATION #1

SECTION I. Proposal Requirements & Required Format

1. **Proposer's Information:**

   a. Firm Name: Nosal Builders, Inc.

   b. Permanent Main Office Address:

      85 Fieldstone Court, Unit 1
      Cheshire, CT 06410

   c. Date Firm Organized: May 6, 1999

   d. Corporation (State of Connecticut)

   e. Doing business for 26 Years

   f. Principal Members: *Attached Resumes*

      Joseph Nosal, Jr., President

      Walter H. Lehner, Vice President of Operations

      Beatrix Kassai, Corporate Secretary

2. **Experience, Expertise and Capabilities:**

   a. Attached Philosophy and Business Focus

   b. See attached completed projects within the last 3 years

      b.1. Similar Projects in the last 3 years

   c. Personnel Listing: See attached resumes

   d. Conflict of Interest: n/a

3. **Statement of Qualifications and Work Plan:**

   a. Qualifications – See Attached

   b. Work Plan – See Attached

   c. Service Expected: Renovation of Fire Station #1

4. **Cost Schedule: See Attachment E Pricing Proposal Form**

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5. **Information Regarding: Failure to Complete Work, Default & Litigation:**

   a. Have you ever failed to complete any work awarded to you? If so, where and why?:

      Answer: No

   b. Have you ever defaulted on a contract? If so, where and why?;

      Answer: No

   c. Is there any pending litigation which could affect your organization's ability to perform this agreement? If so, please describe; No

   d. Has your firm ever had a contract terminated for cause within the past five years? If yes, provide details;

      Answer: No

   e. Has your firm been named in a lawsuit related to errors and omissions within the past five years? If yes, provide details;

      Answer: Attached Litigation

   f. During the past seven years, has your firm ever filed for protection under the Federal bankruptcy laws? If yes, provide details; and

      Answer: No

   g. Are there any other factors or information that could affect your firm 's ability to provide the services being sought about which the City should be aware?

      Answer: No

6. **Exceptions and Alternatives: See Attachment E, Pricing Proposal for list of Alternates**

7. **Stockholder and Non-Collusive Affidavit: See Attachment E**

8. **Additional Data: TBD**

ATTACHMENT C

ATTACHMENT C

KEVIN MC CAFFERY
DIRECTOR OF PURCHASING
235 GRAND STREET, ROOM 103
WATERBURY, CT 06702

The undersigned declares that the only persons or parties interested in this Proposal as principals are as stated; that the Proposal is made without any collusion with other persons, firms, or corporations; that Proposer has carefully examined the entire Request for Proposal; that Proposer has informed itself fully in regard to all conditions pertaining to the Work and the place where it is to be performed; and that with this representation, the undersigned makes this Proposal. These prices shall cover all expenses incurred in performing the Work required under the Contract Documents, of which this Proposal and Form are a part.

The undersigned agrees and covenants that the Contract Time shall commence on delivery of the City of Waterbury's written notice to proceed, which shall occur after contract execution by both parties.

The undersigned acknowledges receipt of addenda numbered: (insert date)

1 ADDENDUM #1 dated April 21, 2025 4 _____

2 ADDENDUM #2 dated April 23, 2025 5 _____

3 _____ 6 _____

All Work for this Project shall be performed at the Proposal Prices as described in the Proposal Documents.

The undersigned hereby certifies under the penalties of perjury that this Proposal is in all respects bona fide, fair and made without collusion or fraud with any other person. As used in this section, the work "person" shall mean any natural person, joint venture, partnership, corporation, or other business or legal entity.

061528418
Social Security Number
or Federal Identification Number

State of Connecticut
Signature of Individual or Corporate Name

Walter H. Lehner, Vice President of Operations
Corporate Officer
(if applicable)

City notice of acceptance should be mailed, telegraphed or delivered to the undersigned Proposer at the following address:

Name Walter H. Lehner
By: Vice President of Operations
(Title)
Business Address: 85 Fieldstone Court Unit 1, Cheshire, CT 06410
(City, State, Zip Code)

Phone: (203) 439-9320
Email: WLehner@nosalbuilders.com
Date: April 29, 2025

Note: If the Proposer is a corporation, indicate State of incorporation under signature, and affix corporate seal; if a partnership, give full names and residential addresses, if different from business address.

State of Connecticut

Department of Administrative Services (DAS) Contractor Prequalification

Bid Statement

(Statement to be included with the bid)

Connecticut General Statute §4a-100 and Connecticut General Statute §4b-91

Each bid submitted for a contract shall include a bid statement in such form as the Commissioner of Administrative Services prescribes and, if required by the public agency soliciting such bid, a copy of a prequalification certificate issued by the Commissioner of Administrative Services. The form for such bid statement shall provide space for information regarding all projects completed by the bidder since the date the bidder's prequalification certificate was issued or renewed, all projects the bidder currently has under contract, including the percentage of work on such projects not completed, the names and qualifications of the personnel who will have supervisory responsibility for the performance of the contract, any significant changes in the bidder's financial position or corporate structure since the date the certificate was issued or renewed, any change in the contractor's qualification status as determined by the provisions of subdivision (6) of subsection (c) of 4a-100 of the Connecticut General Statutes, and such other relevant information as the Commissioner of Administrative Services prescribes. Any public agency that accepts a bid submitted without a copy of such prequalification certificate, if required by such public agency soliciting such bid, and an update statement, may become ineligible for the receipt of funds related to such bid, except the public agency soliciting such bids may allow bidders no more than two business days after the opening of bids to submit a copy of the prequalification certificate, if required by such public agency, and an update statement.

PROJECT THAT COMPANY IS BIDDING ON	
PROJECT NAME	FIRE DEPARTMENT RENOVATION OF FIRE STATION #1
PROJECT NUMBER	7802

COMPANY INFORMATION	
LEGAL BUSINESS NAME	Nosal Builders, Inc.
DBA	
TAXPAYER ID	061528418
BUSINESS ADDRESS	85 Fieldstone Court Unit 1
BUSINESS CITY, STATE, ZIP	Cheshire CT 06410
PREQUALIFICATION CONTACT	Beatrix Kassai (bkassai@nosalbuilders.com), Walter Lehner (wlehner@nosalbuilders.com), Roel Legaspi (roel@nosalbuilders.com)

PREQUALIFICATION INFORMATION	
EXPIRATION DATE	06/25/2025
SINGLE LIMIT	$60,000,000.00
AGGREGATE WORK CAPACITY (AWC)	$100,000,000.00
REMAINING AGGREGATE WORK CAPACITY*	$66,474,015.62

*** The Remaining Aggregate Work Capacity equals your company's AWC minus the Total $ Amount of Work Remaining**

BONDED PROJECTS (BOTH PUBLIC AND PRIVATE) CURRENTLY UNDER CONTRACT

Project Name	Project Owner	% Complete	Total Contract Amount	Work Remaining
DOT Maintenance Facility - Vernon	State of CT DOT	13	$20,560,359.00	$17,887,512.33
CCC Interior Office Renovation	Capital Community College	0	$2,491,245.00	$2,491,245.00
DOT Farmington Maintenance Facility	State of CT DOT	83	$20,744,817.84	$3,526,619.03
GBCMHC Parking Garage Repairs	Department of Admin. Services	99	$10,913,529.00	$109,135.29
Torrington Bridge Facility	State of CT DOT	99	$22,932,899.07	$229,328.99
Root Center Lafayette Center	Root Center Advanced Recovery	99	$5,051,093.97	$50,510.94
DOT Town of Franklin Maint. Facility	State of CT DOT	54	$15,325,784.32	$7,049,860.79
UCONN Health Ct-4 NESCI	University of Connecticut	99	$4,215,309.67	$42,153.10

Waterbury Board of Education

THE CITY OF WATERBURY 236 Grand Street | Waterbury, CT 06702 203-574-8009

Juanita P. Hernandez
President

July 3, 2025

Honorable Board of Aldermen
c/o City Clerk
City of Waterbury
235 Grand Street
Waterbury, CT 06702

Ladies and Gentlemen:

We request your review and approval, subject to the Board of Education's anticipated approval at its special meeting of July 10, 2025, of a Purchase of Service Agreement with State of Connecticut Office of Early Childhood, from July 1, 2025 through June 30, 2027 and at no cost, for funding of Early Start CT (formerly known as School Readiness).

Respectfully,

Carrie A. Swain, Clerk
Waterbury Board of Education

Early Start Waterbury

Executive Summary

DATE: July 3, 2025

TO: Honorable Board of Education

Honorable Board of Alderman

From: Krista Pisano, Early Start CT Liaison

I am respectfully requesting approval of an agreement with the State of Connecticut for funding of the Early Start Classrooms in Waterbury Public Schools.

Early Start CT is the new state-funded early care and education program launched on July 1, 2025. The Early Start system has replaced the former School Readiness program as the means through which State funds are distributed to early care and education providers, like the City, in high-need communities throughout the state. Through a request for application process, the State selected the City to receive such funds.

This agreement will provide the City $3,888,000.00 over two years for the provision of early care and education services. It will fund 360 spaces each year of the two-year agreement at no cost to the City.

Respectfully submitted,

Krista Pisano

Early Start CT Liaison

Original Contract #: 25OECESC01WAT
Amendment #:
Max. Contract: $ 3,888,000.00
Contract Contact Person: Paul K. Pernerewski, Jr.

STATE OF CONNECTICUT
PURCHASE OF SERVICE AGREEMENT
("POS", "Contract" and/or "contract")

The State of Connecticut **OFFICE OF EARLY CHILDHOOD**

Street: **450 COLUMBUS BOULEVARD, 3rd FLOOR**

City: **HARTFORD** **State:** **CT** **Zip:** **06103**

Tel#: **(860) 500-4412** **("Agency," "Department," and/or "OEC"), hereby enters into a Contract with:**

Contractor's Name: **CITY OF WATERBURY BOARD OF EDUCATION**

Street: **236 GRAND STREET**

City: **WATERBURY** **State:** **CT** **Zip:** **06702**

Tel#: **203-574-6712**

("Contractor"), for the provision of services outlined in Part I and for the compliance with Part II. The Agency and the Contractor shall collectively be referred to as "Parties". The Contractor shall comply with the terms and conditions set forth in this Contract as follows:

Contract Term/ Effective Date	This Contract is in effect from 07/01 / 2025 through 06/ 30 /2027.
Statutory Authority	The Agency is authorized to enter into this Contract pursuant to P.A. 14-39 and §4-8, 4-98 as applicable, and 10-500 of the Connecticut General Statutes ("C.G.S.").
Set-Aside Status	Contractor ☐ IS or ☒ IS NOT a set aside Contractor pursuant to C.G.S. § 4a-60g.
Contract Amendment	The parties, by mutual agreement, may amend Part I of this contract only by means of a written instrument signed by the Agency and the Contractor, and, if required, approved by the Office of the Connecticut Attorney General. Part II of this Contract may be amended only in consultation with, and with the approval of, the Office of the Connecticut Attorney General and the State of Connecticut, Office of Policy and Management ("OPM") in accordance with the section in this Contract concerning Contract Amendments.

All notices, demands, requests, consents, approvals or other communications required or permitted to be given or which are given with respect to this Contract (collectively called "Notices") shall be deemed to have been effected at such time as the Notice is hand-delivered, placed in the U.S. mail, first class and postage prepaid, return receipt requested, sent by email, or placed with a recognized, overnight express delivery service that provides for a return receipt. All such Notices shall be in writing and shall be addressed as follows:

If to the Agency:	**STATE OF CONNECTICUT** **OFFICE OF EARLY CHILDHOOD** **450 COLUMBUS BOULEVARD** **HARTFORD, CT 06103** **Attention**: **Kimberly Paluska** **Email:** kim.paluska@ct.gov	If to the Contractor:	**CITY OF WATERBURY BOARD OF EDUCATION** **236 GRAND STREET** **WATERBURY, CT 06702** **Attention: Paul K. Pernerewski, Jr.** **Email:** mpernerewski@waterbury.org

A party may modify the addressee or address for Notices by providing fourteen (14) days prior written Notice to the other party. No formal amendment is required.

PART I. SCOPE OF SERVICES, CONTRACT PERFORMANCE, BUDGET, REPORTS, PROGRAM-SPECIFIC AND AGENCY-SPECIFIC SECTIONS

The Contractor shall provide the following specific services for **Early Start CT ("ESCT")** of the **Office of Early Childhood ("OEC")** and shall comply with the terms and conditions set forth in this Contract as required by the Agency, including but not limited to the requirements and measurements for scope of services, Contract performance, quality assurance, reports, terms of payment and budget.

A. DEFINITIONS AND ACRONYMS.

In addition to the Definitions in Part II of this contract, the following terms are defined.

1. **Administrative Fee:** is funding earned by the Contractor for the administration of subcontracts to family child care homes, at the rate of ten percent (10%) of the contracted maximum value of space allocations subcontracted to family child care homes.

2. **Annual Contract Rate:** is the annual amount per child care space the OEC agrees to provide to the Contractor for each child enrolled in a space as listed in Part I, Section B.2, and in Part I, Section E.1.a.

3. **Care 4 Kids (C4K):** is the State of Connecticut subsidy program to support the child care needs of families.

4. **Categories of Child Care:** are categories in which spaces are provided by the Contractor for children (also referred to as "Clients") receiving services under this contract.

5. **ChartField:** is a State of Connecticut accounting code used by state agencies to assign specific values for expenditure.

6. **CHEFA:** is the Connecticut Health and Educational Facilities Authority.

7. **Connecticut Early Learning and Development Standards (CT ELDS**): is a written document, published by the OEC, available to educators and parents, which outlines the developmental and social and emotional skills and abilities of children from birth to age five (https://www.ctoec.org/supporting-child-development/ct-elds/).

8. **Contract Term:**

9. **Cost of Living Adjustment (COLA):** is additional funding for a state fiscal year, provided by the State of Connecticut to Contractors for salaries and fringe benefits costs of contracted staff.

10. **CSDE:** is the State of Connecticut State Department of Education.

11. **CTsource:** is the State of Connecticut contracting portal.

12. **DCF:** is the State of Connecticut Department of Children and Families.

13. **DPH:** is the State of Connecticut Department of Public Health.

14. **Early Head Start:** is a program of the United States Department of Health and Human Services that follows the general Head Start model, with services tailored for the unique needs of infants and toddlers from birth up to age three (3).

15. **Early Care and Education (ECE) Reporter:** is the OEC online reporting system where state-funded child care programs submit child enrollment data and monthly reports.

16. **Enrolled:** is the status designated by the Contractor for a family eligible for program services to be included in utilization and payment determinations.

17. **Family:** is
    a. A parent(s), a parent's spouse and minor child(ren) who reside together. Additionally, a "parent" can be a person of majority age who has been legally granted "in loco parentis" status, or
    b. A child for who Temporary Family Assistance (TFA) is paid and who lives with a relative who does not receive TFA for themselves. Such a child shall be considered a family of one, or
    c. A child in foster care authorized by DCF. Such a child shall be considered a family of one.

18. **Family Child Care home:** a child care business, in a private family home, that provides child care for not more than six (6) children, including the provider's own children not in school full time, without the presence or assistance of an assistant or substitute staff member.

19. **FERPA**: is the Family Educational Rights and Privacy Act, 20 U.S.C. Sec. 1232g. https://www.govinfo.gov/link/uscode/20/1232g

20. **Head Start:** is a program of the United States Department of Health and Human Services that provides comprehensive early childhood education, health, nutrition, and parent involvement services to low-income children between three (3) and five (5) years of age and the children's families.

21. **Local Governance Partner (LGP):** is an entity, subcontracted by the LGP Intermediary, to assist OEC in the provision of early care and education in a community through P.A. 24-78 (S.B.14) Sec. 26(c) and 27. https://www.cga.ct.gov/2024/ACT/PA/PDF/2024PA-00078-R00SB-00014-PA.PDF

22. **LGP Intermediary:** is the entity responsible for executing contracts with LGP's and providing oversight and coordination across ESCT child care programs.

23. **Minimum 85% Enrollment Threshold (Enrollment Threshold):** is a minimum threshold of at least eighty-five (85) percent enrolled contract spaces calculated by ECE Reporter using monthly utilization data at the site level.

24. **Monthly Report**: is an OEC document by which Contractors provide required data on a monthly schedule established and published by the OEC, including, but not limited to, the following: enrollment/attendance; percentage enrolled; reimbursement earned; and other required data including C4K participation.

25. **National Association for the Education of Young Children (NAEYC):** is a professional membership organization that works to promote high-quality early learning for all young children, from birth through age eight (8), by connecting early childhood practice, policy, and research.

26. **National Association for Family Child Care (NAFCC):** is a nationwide non-profit organization dedicated to promoting high quality child care by strengthening the profession of family child care.

27. **OEC General Policies:** are the most current written requirements, amended from time to time, for the implementation and administration of Early Start CT published by the OEC and posted on the OEC's website (www.ctoec.org/general-policies/).

28. **Parent:** is a person or persons with whom a child resides, who is the child's parent(s) by blood, marriage or adoption, or who acts or is legally designated to act "in loco parentis".

29. **Private Provider Payment:** is funding, pursuant to State of Connecticut Public Act 23-204, Sec. 41, (b)(22), to provide support for Private Providers for recurring operating expenses reasonably attributed to the delivery of contractual services.

30. **Report Period:** is a schedule, established annually by the OEC, for the reporting of financial and enrollment information.

31. **Residing** or **Reside:** is living with, including taking meals together and sleeping in the same residence.

32. **SFY:** is State Fiscal Year, spanning the period of July 1st through and including June 30th.

33. **State Head Start:** is the program established in ESCT legislation for Head Start/Early Head Start grant recipients and/or delegate agencies to apply for competitive contracts to create new and/or expanded day programming for Federal Head Start/Early Head Start children and families.

B. DESCRIPTION OF SERVICES/CONTRACTOR RESPONSIBILITIES.

1. The Contractor shall utilize ESCT state funding to provide child care services for eligible children aged birth to twelve (12) years. In addition to age, eligibility is also based upon family income, residency, and the parent(s) employment. The Contractor shall determine eligibility in accordance with the OEC General Policies referenced in Part I, Section C.1.

2. **Contractor Eligibility.**

   a. The Contractor shall provide child care services at a child care facility(ies) which is (are) licensed to operate as a child care facility (ies) by the State of Connecticut and remain licensed throughout the term of the contract. If a facility has its child day care license revoked, the Contractor is required to notify OEC in writing within five (5) business days and funding for the spaces at the facility shall cease concurrent with the date of the revocation or other loss of license.
   b. The Contractor shall be either a municipality, preschool program, nonprofit organization, child care center, group child care home, family child care home, family resource center, Head Start/Early Head Start program, regional education service center, or local or regional board of education, as such terms are described in Conn. Gen. Stat. § 19a-77.
   c. The Contractor is required to notify the OEC in writing, within two (2) business days of any changes in status. Change in the Contractor's status as an elementary or secondary school, preschool program, nonprofit organization, child care center, group child care home, family child care home, family resource center, Head Start/Early Head Start program, regional education service center, or local or regional board of education shall result in the OEC's immediate termination of this contract.

3. **Categories of Child Care Services.**

   The Contractor shall provide child care services within the following categories:

Ages Served	Age Group	Space Type/ Care Level	Definition
6 weeks – 3 years	Infant/Toddler	Full-time Full year	Care for children from 6 weeks to 3 years of age that is provided for 35-50 hours per week
6 weeks – 3 years	Infant/Toddler	Half-time Full year	Care for children from 6 weeks to 3 years of age that is provided for 16-34 hours per week.
6 weeks – 3 years	Infant/Toddler	Quarter-time Full year	Care for children from 6 weeks to 3 years of age that is provided for 2-15 hours per week.
6 weeks – 3 years	Infant/Toddler	Full-time 10 Months	Care for children from 6 weeks to 3 years of age that is provided for 35-50 hours per week
6 weeks – 3 years	Infant/Toddler	Half-time 10 Months	Care for children from 6 weeks to 3 years of age that is provided for 16-34 hours per week.
6 weeks – 3 years	Infant/Toddler	Quarter-time 10 Months	Care for children from 6 weeks to 3 years of age that is provided for 2-15 hours per week.
3 years – 5 years*	Preschool	Full-time Full year	Care for children from 3 years to 5 years of age* that is provided for 35-50 hours per week.
3 years – 5 years*	Preschool	Half-time Full year	Care for children from 3 years to 5 years of age* that is provided for 16-34 hours per week.

3 years – 5 years*	Preschool	Quarter-time Full year	Care for children from 3 years to 5 years of age* that is provided for 2-15 hours per week.
3 years – 5 years*	Preschool	Full-time 10 Months	Care for children from 3 years to 5 years of age* that is provided for 35-50 hours per week.
3 years – 5 years*	Preschool	Half-time 10 Months	Care for children from 3 years to 5 years of age* that is provided for 16-34 hours per week.
3 years – 5 years*	Preschool	Quarter-time 10 Months	Care for children from 3 years to 5 years of age* that is provided for 2-15 hours per week.
Enrolled in Kindergarten – 12 years	School Age	Full-time	Care for children from 5 years of age and enrolled in kindergarten to 12 years of age that is provided for 35-50 hours per week when school is not in session, and 10-25 hours per week when school is in session.
Enrolled in Kindergarten – 12 years	School Age	Half-time	Care for children from 5 years of age and enrolled in kindergarten to 12 years of age that is provided for at least 10-25 hours per week when school is in session, 10 months per year.
Enrolled in Kindergarten – 12 years	School Age	Quarter-time	Care for children from 5 years of age and enrolled in kindergarten to 12 years of age that is provided for 35-50 hours per week when school is not in session, 2 months per year.

*Not enrolled in kindergarten.

4. **Prohibition of Space Funding by Multiple Entities.**

   a. The Contractor shall not allow the purchase of, or accept funding by, any other state or federal child care grantor contract for full-time child care spaces identified in Part I, Section B.2., including, but not limited to, the following grantors:
      i. The Federal Head Start/Early Head Start program;
      ii. CSDE's Family Resource Center programs; and
      iii. Child care grantor contract programs of DCF.
   b. The OEC Commissioner shall have the sole discretion to waive this provision and reserves the right to waive this provision, upon written request of the Contractor.
      i. The request must be submitted in writing to the OEC and, at a minimum, must identify the child care grant or contract program that the Contractor proposes will purchase or otherwise fund the child care spaces identified in Part I, Section B.2. of this contract, the manner in which the combined funding will be used to support a comprehensive program model, and a full budget detailing the use of the combined funding sources.
      ii. OEC shall notify the Contractor of the disposition of the application in writing within thirty (30) days of receipt of the Contractor's application. If the request is approved, notification to the Contractor shall identify the amount, if any, of the purchase or other funded program's funds that shall be included in the Contractor's Annual Contract Rate.

5. **Vacancies.**

   The Contractor shall list its state-supported vacant, eligible child care spaces with United Way of Connecticut, Inc., the state's resource and referral Contractor, to provide referral services to Connecticut families requiring child care. Vacancy information shall identify space type and care level and be provided within one (1) week of the vacancy becoming available if not filled through the program's waiting list.

C. PROGRAM ADMINISTRATION.

1. Throughout the term of this contract, the Contractor shall operate the Program in accordance with the OEC General Policies, described in Part I, Section A.27., provided by the OEC's Early Care and Education Division, updated from time to time, and published on the OEC Website https://www.ctoec.org/general-policies/

2. To the extent that FERPA provides greater privacy protections and obligations than provided for elsewhere in this contract, such FERPA privacy protections and obligations are hereby incorporated by reference into this Contract as a compliance requirement of the Contractor.

3. The Contractor shall develop and maintain policies relative to personnel including, but not limited to, employee hiring, work hours, benefits, performance evaluation, professional development, and workplace conduct. Said personnel policies shall be maintained at the Contractor's location in the Contractor's files and made available to the OEC as requested by the OEC, its representatives, and its agents. The Contractor shall submit a copy of its personnel policies to the OEC, if requested, within ten (10) calendar days of receipt of such request.

4. **Notification of Changes in Personnel.** The Contractor shall notify the OEC in writing within fourteen (14) calendar days whenever the Contractor intends to make or undergo changes in the following personnel:

   a. Agency Director (e.g., Executive Director, President, CEO, Board President); and
   b. Primary Fiscal contact, and/or Agency Signatory.

D. QUALITY ASSURANCE.

1. The Contractor shall use curriculum and child assessments in their facilities that align to the CT ELDS, as published on the OEC Website (and shall comply with all Quality Assurance requirements as updated from time to time in the ESCT General Policies.

2. The Contractor shall allow the OEC access to the child care facilities herein defined and to the Contractor's business offices, if different, during business hours, and shall provide to the OEC copies, within five (5) business days of request, any requested record that is material in the administration of this contract.

3. The Contractor shall authorize the OEC, throughout the term of this Contract, to access any and all information pertaining to the facilities providing the service(s) included in Part I, Section B.2. of this Contract with the following entities, their successors and assignees:

   a. LGP;
   b. LGP Intermediary;
   c. NAEYC;
   d. NAFCC;
   e. Head Start/Early Head Start;
   f. DPH; and
   g. DCF.

4. The Contractor shall participate in any evaluation program as directed by the OEC.

5. The Contractor shall comply with regulations adopted by the OEC or other agencies pursuant to the services provided under this Contract and, as applicable, require that all pertinent subcontractors comply as well.

6. The Contractor shall participate in all virtual, or in-person statewide meetings as scheduled by the OEC, and any other meetings at the request of the OEC in partnership with the LGPs that include topics related to compliance and performance management, which may include, but are not limited to, the following:

a. Reporting requirements;
b. Adherence to federal and state guidelines;
c. Expenditure tracking;
d. Payment processing;
e. Training needs;
f. Analysis of progress on key deliverables;
g. Reactive project troubleshooting; and
h. Systems improvement.

7. The Contractor shall participate in all OEC technical assistance meetings, either in-person or virtually, as the OEC deems appropriate, to enhance program operations.

8. **Contractor Performance Evaluations.** The OEC shall submit contractor performance ratings based on the following criteria: Quality, Timeliness, Responsiveness, and Compliance. Evaluations shall be completed upon the expiration of the contract and entered in CTsource.

E. BUDGET AND PAYMENT PROVISIONS.

1. **Budget.** The Contractor agrees to utilize OEC funds in accordance with the budget contained herein.

   a. The Contractor shall receive a maximum payment of **$ 3,888,000.00** for performance under this contract.

Fund	SID	Dept	Project	Bud Ref	Program	Account	ChartField	Amount
11000	16274	OEC64845	OEC*7	State-Funded Slots	83032	51290	CF 2: OEC00020 Infant/Tod	$ 0.00
11000	16274	OEC64845	OEC*7	State-Funded Slots	83032	51290	CF2: OEC00021 Preschool	$ 3,888,000.00
11000	16274	OEC64845	OEC*7	State-Funded Slots	83032	51290	CF2: OEC00022 School Age	$ 0.00
11000	16274	OEC64845	OEC*7	Admin (FCC)	83032	51290	CF2: OEC00011	$ 0.00
11000	16274	OEC64845	OEC*7	Private Provider Payment	83032	51290	CF2: OEC00017	$ 0.00
11000	16101	OEC64845	OEC*7	State Head Start	83032	51290	CF1: 170104	$ 0.00
11000	16101	OEC64845	OEC*7	SHS PQ	83032	51290	CF1: 170106	$ 0.00

SFY 2026

# Spaces	Categories of Care	Annual Contract Rate	ChartField	Total Annual Amount
0	Full-time Infant/Toddler care (Full year)	$13,500.00	CF2: OEC00020	$ 0.00
0	Half-time Infant/Toddler care (Full year)	$9,300.00	CF2: OEC00020	$ 0.00
0	Quarter-time Infant/Toddler care (Full year)	$4,800.00	CF2: OEC00020	$ 0.00
0	Full-time Infant/Toddler care (10 Months)	$11,250.00	CF2: OEC00020	$ 0.00
0	Half-time Infant/Toddler care (10 Months)	$7,750.00	CF2: OEC00020	$ 0.00
0	Quarter-time Infant/Toddler care (10 Months)	$4,000.00	CF2: OEC00020	$ 0.00
0	Full-time Preschool care (Full year)	$10,500.00	CF2: OEC00021	$ 0.00
0	Half-time Preschool care (Full year)	$7,200.00	CF2: OEC00021	$ 0.00
0	Quarter-time Preschool care (Full year)	$3,600.00	CF2: OEC00021	$ 0.00
0	Full-time Preschool care (10 Months)	$8,750.00	CF2: OEC00021	$ 0.00
288	Half-time Preschool care (10 Months)	$6,000.00	CF2: OEC00021	$ 1,728,000.00

72	Quarter-time Preschool care (10 Months)	$3,000.00	CF2: OEC00021	$ 216,000.00
0	Full-time School Age care (Hybrid space)	$4,125.00	CF2: OEC00022	$ 0.00
0	Half-time School Age care (10 Months)	$2,700.00	CF2: OEC00022	$ 0.00
0	Quarter-time School Age care (2 Months)	$1,150.00	CF2: OEC00022	$ 0.00
	Total Amount for Child Care Spaces			**$ 1,944,000.00**
	State Head Start Funding – Child Care Spaces			**$ 0.00**
	State Head Start Funding – Program Quality			**$ 0.00**
	Private Provider Payment			**$ 0.00**
	Cost of Living Adjustment (COLA)			**N/A**
	10% Administrative Fee			**$ 0.00**
	Total Child Care Funding			**$ 1,944,000.00**

SFY 2027

# Spaces	Categories of Care	Annual Contract Rate	ChartField	Total Annual Amount
0	Full-time Infant/Toddler care (Full year)	$13,500.00	CF2: OEC00020	$ 0.00
0	Half-time Infant/Toddler care (Full year)	$9,300.00	CF2: OEC00020	$ 0.00
0	Quarter-time Infant/Toddler care (Full year)	$4,800.00	CF2: OEC00020	$ 0.00

0	Full-time Infant/Toddler care (10 Months)	$11,250.00	CF2: OEC00020	$ 0.00
0	Half-time Infant/Toddler care (10 Months)	$7,750.00	CF2: OEC00020	$ 0.00
0	Quarter-time Infant/Toddler care (10 Months)	$4,000.00	CF2: OEC00020	$ 0.00
0	Full-time Preschool care (Full year)	$10,500.00	CF2: OEC00021	$ 0.00
0	Half-time Preschool care (Full year)	$7,200.00	CF2: OEC00021	$ 0.00
0	Quarter-time Preschool care (Full year)	$3,600.00	CF2: OEC00021	$ 0.00
0	Full-time Preschool care (10 Months)	$8,750.00	CF2: OEC00021	$ 0.00
288	Half-time Preschool care (10 Months)	$6,000.00	CF2: OEC00021	$ 1,728,000.00
72	Quarter-time Preschool care (10 Months)	$3,000.00	CF2: OEC00021	$ 216,000.00
0	Full-time School Age care (Hybrid space)	$4,125.00	CF2: OEC00022	$ 0.00
0	Half-time School Age care (10 Months)	$2,700.00	CF2: OEC00022	$ 0.00
0	Quarter-time School Age care (2 Months)	$1,150.00	CF2: OEC00022	$ 0.00

	Total Amount for Child Care Spaces			**$ 1,944,000.00**
	State Head Start Funding – Child Care Spaces			**$ 0.00**
	State Head Start Funding – Program Quality			**$ 0.00**
	Private Provider Payment			**$ 0.00**
	Cost of Living Adjustment (COLA)			**N/A**
	10% Administrative Fee			**$ 0.00**
	Total Child Care Funding			**$ 1,944,000.00**

b. The OEC may reduce the amount of this contract based on the number of children enrolled during each report period of this contract. The final amounts of this contract shall be determined by the number of children enrolled in each reporting period throughout the term of the contract, as established at time of audit and availability of funds. If more than ten (10) percent of the spaces allocated within this contract are unutilized for a period of three (3) or more months, the OEC reserves the right to amend the contracted funding amount and reallocate funding for these spaces to another existing ESCT Contractor based upon community needs.

2. **Payment Procedures.**

a. The Contractor shall receive quarterly payments, subject to the monthly enrollment and attendance for a minimum of one day in each report period, of eligible children in spaces contracted for as defined in Part I, Section E.1, of this contract, and as reported to the OEC. Payments shall be made on either a per child or Enrollment Threshold basis, as defined in Part I, Section A.23.

b. Funds shall be released based on submission by the Contractor, and approval by the OEC, of monthly utilization reports, programmatic reports, the availability of funds, and the Contractor's compliance with the terms of the contract.

3. **Payment Schedule.**

a. **Child Care Services Payments.** The OEC shall make payments up to a maximum amount of **$ 3,888,000.00** for Child Care Services as described in Part I, Section E.1, delivered during the Contract term on the following schedule:

i. An initial payment not to exceed **$ 486,000.00** shall be made upon execution of the contract by both parties; and

ii. Subsequent payments not to exceed **$ 486,000.00** per payment shall be made on a calendar quarterly basis, subject to OEC receipt and approval of reports due.

4. **CHEFA Intercepts.**

a. The Contractor agrees that the OEC may offset payments on this contract based on binding agreements with financial institutions or state agencies including, but not limited to, CHEFA loans.

5. **Budget Variance.**

i. The Contractor may request in writing that the OEC approve the conversion of space type, within the program's licensed capacity, of preschool, school-age spaces, and infant toddler spaces. Requests shall be

made in writing to the OEC's designated contact person prior to the 15th of the month (preceding the start date for the change).

ii. Requests shall not increase the total contract value.

iii. Only one (1) space conversion request shall be approved per State Fiscal Year.

The OEC shall provide approval of space changes in writing. A conversion of ten (10) or fewer spaces during the contract period shall not require a contract amendment. A change in the number of hours (full-time, half-time, quarter time) within the same space type (preschool, school-age, infant toddler) is permissible and does not constitute a conversion.

6. **Reconciliations.**

a. The OEC shall perform financial reconciliations based upon space utilization by the Contractor on a frequency basis to be determined by the OEC but not less than annually. This reconciliation shall be used to verify that funding paid to the Contractor was utilized for filled spaces either on a per space or Enrollment Threshold basis, as applicable, as submitted to the OEC by the Contractor on their Monthly Reports via ECE Reporter.

b. **Overpayments.**

i. If any reconciliation determines that the funds paid during the reconciliation period exceed the funds earned through reported utilization, the Contractor shall be determined to be overpaid for the reconciled period.

ii. At the discretion of OEC, overpayment of funds received by the Contractor shall either be recouped through a reduction to future payments to the Contractor or be returned to the OEC within thirty (30) days of the date of an OEC issued refund invoice, or earlier if the Contract is terminated.

7. **Unexpended Funds.**

a. Whenever the OEC determines from its review of the Contractor's audited annual financial statements and program operations that the total paid under this contract, together with applicable program income from other sources, exceeds the total expenses of the program, such excess income shall be deemed by the OEC to be unexpended funds. If the Contractor is not required to submit audited annual financial statements, the OEC may utilize the final annual financial report to determine the existence and amount of unexpended funds.

b. Unexpended funds shall be identified by and returned to the OEC in the following manner:

i. Funds paid to the Contractor shall be identified by the OEC's "Special Identification Number" (SID). The payments made by the OEC shall be compared to the expenses reported by the Contractor, by SID as noted on the "Schedule of Expenditures of Financial Assistance," or other similar schedule(s) as required by the State Single Audit acts.

ii. If the Contractor is not required to file Single Audit Reports, the OEC may utilize the Contractor's Annual Financial Report to determine any unexpended funds.

iii. If payments made by the OEC exceed the expenses reported, the OEC may recoup such payments by requesting payment from the Contractor by check or other means as determined by the OEC.

iv. The Contractor shall return to the OEC the amount of unexpended funds subject to recoupment not later than thirty (30) days after receipt of written notice from the OEC that such amount is due.

8. **State Requirements.**

a. **Annual Audit**. In addition to the provisions of Part II of this Contract, the Contractor shall comply with state audit guidance found here: (https://portal.ct.gov/sde/internal-audit/grantees-of-the-office-of-early-childhood). The OEC reserves the right to receive a copy of any audit for related parties under common control. The Contractor shall maintain all fiscal records and accounts for three (3) years after the end of the contract year, or until the State Auditors of Public Accounts complete an audit of the OEC for such fiscal year, whichever is later. The State Auditors of Public Accounts shall have access to such fiscal records and accounts during such period.

b. **Single State Audit Submission Process**. If the Contractor expends $500,000 or more in State financial assistance during any auditee's fiscal year during the term of this Contract, the Contractor shall submit its state single audit electronically to the OEC through a state-wide electronic system. The system is entitled "Office of Policy and Management - Electronic Audit Reporting System (EARS)." The link to access the system is https://www.appsvcs.opm.ct.gov/Auditing/Home.aspx. The Contractor shall send the OEC an e-mail alert stating that its audit has been uploaded to the identified system. If the Contractor requests an extension from the Office of Policy and Management, associated with the required audit submission, the Contractor must provide the OEC with a copy of the approved request.

c. **Single State Audit Exemption Notification Process.** If the Contractor expends less than $500,000 in State financial assistance during any auditee's fiscal year during the term of this Contract, the Contractor shall submit State Single Audit Exemption Notification, https://portal.ct.gov/-/media/opm/igpp/munfinsr/forms/filing-exemption-form-3-26-19_pdf, to the Connecticut Office of Policy Management at OPM.mfsforms@ct.gov.

d. **Match Requirements.** The Contractor also warrants that it is aware that funds provided by the OEC under this contract may be used for a service match. The Contractor must obtain OEC permission prior to identifying any or all of the allocated services as a service or monetary match. The OEC shall respond to all requests within five (5) business days of receipt.

The provisions of this subsection shall survive any termination of the Contract or the expiration of its term.

F. REPORTING.

1. The Contractor shall submit all required reports written or electronically as directed by the OEC to OEC.statefunded@ct.gov.

2. **Programmatic Reporting.** The Contractor shall comply with all programmatic reporting requirements, updated from time to time, in the ESCT General Policies.

3. The Contractor shall comply with the provisions of Conn. Gen. Stat. § 10-10a(g) that requires ". . . preschool programs which receive state or federal funding . . ." to participate in Public School Information System of the CSDE in a manner prescribed by the Commissioner of Education and agrees to participate in such data collection at times and in such a manner as is identified to it by the CSDE.

4. **Ad hoc Reporting.** The Contractor further agrees to provide other reports concerning contracted services which the OEC may reasonably require. The OEC shall notify the Contractor in writing at least thirty (30) calendar days prior to the submission date. This notification shall at a minimum include the required data, format, and date of submission for the report.

5. **Financial Reporting.**

   a. **Final Expenditure Reports**. The Contractor shall submit a Final Expenditure Report forty-five (45) days after the end of each SFY, detailing expenditures of funds earned through reimbursement for services rendered. The Contractor shall require subcontractors to file fiscal reports that detail and justify expenditures. The Contractor shall include detailed subcontractor expenditures and justifications for said expenditures in fiscal reports sent to OEC.

   b. **Interest.** Any interest earned by the Contractor as a result of payments authorized by the OEC shall be reported to the OEC by the Contractor on the next Financial Report submitted after that interest income is earned. The Contractor agrees to follow the OEC's direction as to the disposition of such interest income.

G. AUTHORIZED REPRESENTATIVES.

1. Both parties agree to have specifically named liaisons at all times. These representatives of the parties shall be the first contacts regarding any questions and problems which may arise during implementation and operation of the control.

2. Wherever under this contract one party is required to give notice to the other, such notice shall be deemed given upon delivery. Notices shall be addressed as follows:

   a. In case of notice to the Contractor:
   Paul K. Pernerewski, Jr.
   Mayor
   City of Waterbury Board of Education
   236 Grand Street
   Waterbury, CT 06702
   203-574-6712
   Email: mpernerewski@waterbury.org

   b. In case of notice to the OEC: (OEC Designee):
   Kimberly Paluska
   Division Director
   State of Connecticut Office of Early Childhood
   450 Columbus Boulevard, Suite 204
   Hartford, CT 06103
   860-500-4422
   Email: kim.paluska@ct.gov

3. Said notices shall become effective on the date of receipt or the date specified in the notice, whichever is later. Any change to notice information by the OEC or the Contractor shall be provided on the contact form provided by the OEC and not require a formal amendment.

H. SUBCONTRACTED SERVICES.

1. In accordance with Part II, Subcontracts (Section C.9.), the Contractor may use funds provided by this contract to subcontract with entities to conduct activities and services. The Contractor must request and obtain prior written approval from the OEC before finalizing any subcontract arrangement.

Subcontracting Organization	Address	Description of Services	Performance Period	Total Value

2. Each request to approve a subcontract arrangement must: (1) identify the name and business address of the proposed subcontract; (2) describe the services to be performed by the subcontractor; (3) identify the performance period, the payment terms and total value of the subcontract; process of notification of changes to subcontractor funding , process for contract resolution between the Contactor and subcontractors; and (4) provide assurances to the OEC that the proposed subcontract contains the terms specified in Part I, Section H.3 below.

3. **Required Terms.** Each and any subcontract must contain terms as appropriate that shall require the subcontractor to adhere to the following terms of this contract:
   a. Requirements of Part I, including, but not limited to, the following:

i. Description of Services (Section B);
ii. Budget and Payment Provisions (Section E); and
iii. Reporting (Section F).

b. Requirements of Part II, including, but not limited to, the following:
i. Contractor Obligations (Section C), specifically, Federal Funds; Audit and Inspection of Plant, Places of Business, and Records; Related Party Transactions; Suspension or Debarment; Independent Capacity of Contractor; Indemnification [of the State]; Insurance; Sovereign Immunity; Compliance with Law and Policy, Facilities Standards and Licensing; Representations and Warranties; Protection of Confidential Information; and Litigation;
ii. Changes to the Contract, Termination, Cancellation and Expiration (Section D), specifically, Contractor Changes and Assignment; and
iii. Statutory and Regulatory Compliance (Section E).

4. The Contractor agrees to be responsible to the OEC for the performance of any subcontractor. The establishment of a subcontractor relationship shall not relieve the Contractor of any responsibility or liability under this contract. The Contractor shall bear full responsibility, without recourse to the OEC, for the subcontractor's performance.

5. The Contractor shall retain the OEC's written approval of the use of the subcontractor and each subcontract in the contract file.

6. Absent compliance with this section, no Contractor Party expense related to the use of a subcontractor shall be paid or reimbursed by the OEC unless the OEC, in its sole discretion, waives compliance with the requirements of this section. In order to be effective, any waiver of the requirements of this section must be in writing and signed by the OEC Commissioner or his/her designee pursuant to Conn. Gen. Stat.. § 4-8. The OEC, in its discretion, may limit or condition any waiver of these requirements as it deems appropriate, including, for example, by limiting the dollar amount or any waiver, requiring proof that the subcontractor provided services under the contract, by requiring that any federal requirements under any federal grant program are satisfied, and/or requiring proof that the Contractor utilize the funds paid under the contract to promptly pay the subcontractor for services rendered.

I. PROCEDURE FOR TERMINATION.

1. **Termination by the OEC**. In addition to the sections in Part II of this contract, upon delivery to the Contractor of a Notice of Termination specifying the nature of the termination and the date upon which such termination becomes effective, the Contractor shall:

a. Stop work under the contract on the date and to the extent specified in the Notice of Termination;

b. If the OEC so directs, terminate all subcontracts to the extent that they relate to the performance of work terminated by the Notice of Termination or assign to the OEC in the manner and to the extent directed by the OEC all of the right, title, and interest of the Contractor under the subcontracts not so terminated, in which case the OEC shall have the right, in its discretion, to settle or pay any and all claims arising out of the termination of such subcontracts;

c. Complete the performance of the work that has not been terminated by the Notice of Termination; and

d. Be entitled to payment for services agreed upon by the parties and rendered to the OEC's satisfaction through the effective date of termination.

2. **Reduction of Services or Termination by the Contractor.** In the event that the Contractor terminates this Contract, closes, reduces services, or relocates any program funded under this contract, or if for any reason, the fiduciary responsibility of the Contractor changes, or if the OEC does not offer funding for the subsequent fiscal year, then pursuant to Part II, Section D.6 of this Contract, the OEC and the Contractor shall negotiate and resolve the

following issues:

a. the timelines for closure of the program;

b. the amount of any final payments due the Contractor or refunds due the OEC; and

c. the transfer or storage of all program records pursuant to the requirements of the Federal Confidentiality Regulations, 42 CFR Part 2;

d. the disposition of property and equipment in which the OEC has a financial interest pursuant to the requirements of Regulations of Connecticut State Agencies, Sections 17-226d-4(i), (1) & (2), including Bond Fund Award liens and obligations;

e. notification to participants of the closure, their options for transfer to other programs, and the Contractor's obligations to facilitate such transfer; and

f. any other issues pertinent to the specific situation causing the reduction or termination of services.

J. CONTRACTOR PRE-EXISTING INTELLECTUAL PROPERTY. Notwithstanding anything in this Contract to the contrary, all innovations, documents, materials, or information related to methods, tools, designs, techniques, know-how or analysis used in Contractor's business, as well as the concepts, inventions, suggestions, creative ideas, plans, methods, research designs, questionnaire forms (unless provided by the OEC), methods of process or questioning, systems of analysis, information and materials, whether or not patentable or copyrightable, developed by Contractor prior to the date of this Contract and used by Contractor in connection with this Contract (collectively, "Contractor Pre-existing Intellectual Property"), shall not be considered work made for hire under state or federal law and shall at all times remain the exclusive property of Contractor. The OEC shall not use the names, trademarks, logos, or other Contractor Pre-existing Intellectual Property without Contractor's prior written consent.

K. CONFIDENTIALITY. Subject to and limited by the provisions of Part II, Section E.6 of this Contract, the OEC shall treat all Confidential Information as defined in Part II, Section A.12 and all material developed or provided by either party in connection with the Contract as strictly confidential, and shall not use, disclose, or permit to be used or disclosed, such materials at any time prior to or after the termination of this Contract, except as specifically permitted in writing by Contractor, whether the OEC has such information in its memory, or it is embodied in writing or other form. Without limiting the foregoing, and subject to and limited by the aforesaid provisions of Part II, Section E.6, the OEC shall keep strictly confidential any information relating to the business or mission of Contractor, its officers, directors, members, affiliates, or employees if such information could reasonably be construed as confidential.

L. SEVERABILITY. If any section of this Contract is declared or found to be illegal, unenforceable, or void, then both parties shall be relieved of all obligations under that section. The remainder of this contract shall be enforced to the fullest extent permitted by law.

PART II TO FOLLOW

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PART II. TERMS AND CONDITIONS

The Contractor shall comply with the following terms and conditions.

A. Definitions. Unless otherwise indicated, the following terms shall have the following corresponding definitions:

1. **"Bid"** shall mean a bid submitted in response to a solicitation.

2. **"Breach"** shall mean a party's failure to perform some contracted-for or agreed-upon act, or his failure to comply with a duty imposed by law which is owed to another or to society.

3. **"Cancellation"** shall mean an end to the Contract affected pursuant to a right which the Contract creates due to a Breach.

4. **"Claims"** shall mean all actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, unmatured, contingent, known or unknown, at law or in equity, in any forum.

5. **"Client"** shall mean a recipient of the Contractor's Services.

6. "**Client Agency"** shall mean the agency of the State of Connecticut that is entering into this Contract.

7. **"Contract"** shall mean this agreement, as of its effective date, between the Contractor and the State for Services.

8. **"Contractor Parties"** shall mean a Contractor's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the Contractor is in privity of oral or written contract (e.g. subcontractor) and the Contractor intends for such other person or entity to perform under the Contract in any capacity. For the purpose of this Contract, vendors of support services, not otherwise known as human service providers or educators, shall not be considered subcontractors, e.g. lawn care, unless such activity is considered part of a training, vocational or educational program.

9. **"Data"** shall mean all results, technical information and materials developed and/or obtained in the performance of the Services hereunder, including but not limited to all reports, survey and evaluation tools, surveys and evaluations, plans, charts, recordings (video and/or sound), pictures, curricula, electronically prepared presentations, public awareness or prevention campaign materials, drawings, analyses, graphic representations, computer programs and printouts, notes and memoranda, and documents, whether finished or unfinished, which result from or are prepared in connection with the Services performed hereunder.

10. **"Expiration"** shall mean an end to the Contract due to the completion in full of the mutual performances of the parties or due to the Contract's term being completed.

11. **"Force Majeure"** shall mean events that materially affect the Services or the time schedule within which to perform and are outside the control of the party asserting that such an event has occurred, including, but not limited to, labor troubles unrelated to the Contractor, failure of or inadequate permanent power, unavoidable casualties, fire not caused by the Contractor, extraordinary weather conditions, disasters, riots, acts of God, insurrection or war.

12. **"Confidential Information" (formerly "Personal Information")** shall mean any name, number or other information that may be used, alone or in conjunction with any other information, to identify a specific individual including, but not limited to, such individual's name, date of birth, mother's maiden name, motor vehicle operator's license number, Social Security number, employee identification number, employer or taxpayer identification number, alien registration number, government passport number, health insurance identification number, demand deposit account number, savings account number, credit card number, debit card number or unique biometric data such as fingerprint, voice print, retina or iris image, or other unique physical representation. Without limiting the foregoing, Confidential Information shall also include any information regarding clients that the Agency classifies as "confidential" or "restricted." Confidential

Information shall not include information that may be lawfully obtained from publicly available sources or from federal, state, or local government records which are lawfully made available to the general public.

13. **"Confidential Information Breach" (formerly "Personal Information Breach")** shall mean, generally, an instance where an unauthorized person or entity accesses Confidential Information in any manner, including but not limited to the following occurrences: (1) any Confidential Information that is not encrypted or protected is misplaced, lost, stolen or in any way compromised; (2) one or more third parties have had access to or taken control or possession of any Confidential Information that is not encrypted or protected without prior written authorization from the State; (3) the unauthorized acquisition of encrypted or protected Confidential Information together with the confidential process or key that is capable of compromising the integrity of the Confidential Information; or (4) if there is a substantial risk of identity theft or fraud to the client, the Agency, the Contractor, or the State.

14. **"Records"** shall mean all working papers and such other information and materials as may have been accumulated and/or produced by the Contractor in performing the Contract, including but not limited to, documents, data, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries, correspondence, and program and individual service records and other evidence of its accounting and billing procedures and practices which sufficiently and properly reflect all direct and indirect costs of any nature incurred in the performance of this Contract, kept or stored in any form.

15. **"Services"** shall mean the performance of Services as stated in Part I of this Contract.

16. **"State"** shall mean the State of Connecticut, including any agency, office, department, board, council, commission, institution or other executive branch agency of State Government.

17. **"Termination"** shall mean an end to the Contract affected pursuant to a right which the Contract creates, other than for a Breach.

B. Client-Related Safeguards.

1. **Safeguarding Client Information.** The Agency and the Contractor shall safeguard the use, publication and disclosure of information on all applicants for and all Clients who receive Services under this Contract with all applicable federal and state law concerning confidentiality and as may be further provided under the Contract.

2. **Reporting of Client Abuse or Neglect.** The Contractor shall comply with all reporting requirements relative to Client abuse and neglect, including but not limited to requirements as specified in C.G.S. §§ 17a-101 through 17a-101q, inclusive, 17a-102a, 17a-103 through17a-103e, inclusive, 19a-216, 46b-120 (related to children); C.G.S. § 46a-11b (relative to persons with intellectual disabilities or any individual who receives services from the State); and C.G.S. § 17a-412 (relative to elderly persons).

3. **Background Checks.** The State may require that the Contractor and Contractor Parties undergo criminal background checks as provided for in the State of Connecticut Department of Emergency Services and Public Protection Administration and Operations Manual or such other State document as governs procedures for background checks. The Contractor and Contractor Parties shall cooperate fully as necessary or reasonably requested with the State and its agents in connection with such background checks.

C. Contractor Obligations.

1. **Cost Standards.** The Contractor and funding state Agency shall comply with the Cost Standards issued by OPM, as may be amended from time to time. The Cost Standards are published by OPM the Web at http://www.ct.gov/opm/cwp/view.asp?a=2981&Q=382994&opmNav_GID=1806.

2. **Credits and Rights in Data.** Unless expressly waived in writing by the Agency, all Records and publications intended for public distribution during or resulting from the performances of this Contract shall include a

statement acknowledging the financial support of the State and the Agency and, where applicable, the federal government. All such publications shall be released in conformance with applicable federal and state law and all regulations regarding confidentiality. Any liability arising from such a release by the Contractor shall be the sole responsibility of the Contractor and the Contractor shall indemnify and hold harmless the Agency, unless the Agency or its agents co-authored said publication and said release is done with the prior written approval of the Agency Head. All publications shall contain the following statement: "This publication does not express the views of the Office of Early Childhood or the State of Connecticut. The views and opinions expressed are those of the authors." Neither the Contractor nor any of its agents shall copyright Data and information obtained under this Contract, unless expressly previously authorized in writing by the Agency. The Agency shall have the right to publish, duplicate, use and disclose all such Data in any manner, and may authorize others to do so. The Agency may copyright any Data without prior Notice to the Contractor. The Contractor does not assume any responsibility for the use, publication or disclosure solely by the Agency of such Data.

3. **Organizational Information, Conflict of Interest, IRS Form 990.** During the term of this Contract and for the one hundred eighty (180) days following its date of Termination and/or Cancellation, the Contractor shall upon the Agency's request provide copies of the following documents within ten (10) days after receipt of the request:

   (a) its most recent IRS Form 990 submitted to the Internal Revenue Service, and

   (b) its most recent Annual Report filed with the Connecticut Secretary of the State's Office or such other information that the Agency deems appropriate with respect to the organization and affiliation of the Contractor and related entities.

THIS PROVISION SHALL CONTINUE TO BE BINDING UPON THE CONTRACTOR FOR ONE HUNDRED AND EIGHTY (180) DAYS FOLLOWING THE TERMINATION OR CANCELLATION OF THE CONTRACT.

4. **Federal Funds.**

   (a) The Contractor shall comply with requirements relating to the receipt or use of federal funds. The Agency shall specify all such requirements in Part I of this Contract.

   (b) The Contractor acknowledges that the Agency has established a policy, as mandated by section 6032 of the Deficit Reduction Act ("DRA") of 2005, P.L. 109-171, that provides detailed information about the Federal False Claims Act, 31 U.S.C. §§ 3729-3733, and other laws supporting the detection and prevention of fraud and abuse.

      (1) Contractor acknowledges that it has received a copy of said policy and shall comply with its terms, as amended, and with all applicable state and federal laws, regulations and rules. Contractor shall provide said policy to subcontractors and shall require compliance with the terms of the policy. Failure to abide by the terms of the policy, as determined by the Agency, shall constitute a Breach of this Contract and may result in cancellation or termination of this Contract.

      (2) This section applies if, under this Contract, the Contractor or Contractor Parties furnishes, or otherwise authorizes the furnishing of health care items or services, performs billing or coding functions, or is involved in monitoring of health care provided by the Agency.

   (c) Contractor represents that it is not excluded, debarred, suspended or otherwise ineligible to participate in federal health care programs.

   (d) Contractor shall not, for purposes of performing the Contract with the Agency, knowingly employ or contract with, with or without compensation:

(1) any individual or entity listed by a federal agency as excluded, debarred, suspended or otherwise ineligible to participate in federal health care programs; or

(2) any person or entity who is excluded from contracting with the State of Connecticut or the federal government (as reflected in the General Services Administration List of Parties Excluded from Federal Procurement and Non-Procurement Programs, Department of Health and Human Services, Office of Inspector General ("HHS/OIG") Excluded Parties list and the Office of Foreign Assets Control ("OFAC") list of Specially Designated Nationals and Blocked Persons List). Contractor shall immediately notify the Agency should it become subject to an investigation or inquiry involving items or services reimbursable under a federal health care program or be listed as ineligible for participation in or to perform Services in connection with such program. The Agency may cancel or terminate this Contract immediately if at any point the Contractor, subcontractor or any of their employees are sanctioned, suspended, excluded from or otherwise become ineligible to participate in federal health care programs.

5. Audit and Inspection of Plant, Places of Business and Records.

(a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, or where applicable, federal agencies, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor's Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract. The Contractor shall comply with federal and state single audit standards as applicable.

(b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.

(c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.

(d) The Contractor will pay for all costs and expenses of any audit and inspection which reveals information that, in the sole determination of the State, is sufficient to constitute a breach by the Contractor under this Contract. The Contractor will remit full payment to the State for such audit or inspection no later than thirty (30) days after receiving an invoice from the State.

(e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of:

(1) final payment under this Contract,

(2) the expiration or earlier termination of this Contract, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.

(f) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.

(g) The Contractor must incorporate this entire Section verbatim into any contract or other agreement it enters into with any Contractor Party.

6. **Related Party Transactions.** The Contractor shall report all related party transactions, as defined in this section, to the Agency on an annual basis in the appropriate fiscal report as specified in Part I of this Contract. "Related party" means a person or organization related through marriage, ability to control, ownership, family or business association. Past exercise of influence or control need not be shown, only the potential or ability to directly or indirectly exercise influence or control. "Related party transactions" between a Contractor or Contractor Party and a related party include, but are not limited to:

   (a) Real estate sales or leases;

   (b) Leases for equipment, vehicles or household furnishings;

   (c) Mortgages, loans and working capital loans; and

   (d) Contracts for management, consultant and professional services as well as for materials, supplies and other services purchased by the Contractor or Contractor Party.

7. **Suspension or Debarment.** In addition to the representations and requirements set forth in Section C.4:

   (a) The Contractor certifies for itself and Contractor Parties involved in the administration of federal or state funds that they:

      (1) are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any governmental agency (federal, state or local);

      (2) within a three year period preceding the effective date of this Contract, have not been convicted or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain or performing a public (federal, state or local) transaction or contract under a public transaction; for violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property;

      (3) are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state or local) with commission of any of the above offenses; and

      (4) have not within a three year period preceding the effective date of this Contract had one or more public transactions terminated for cause or fault.

   (b) Any change in the above status shall be immediately reported to the Agency.

8. **Liaison.** Each Party shall designate a liaison to facilitate a cooperative working relationship between the Contractor and the Agency in the performance and administration of this Contract.

9. **Subcontracts.** Each Contractor Party's identity, services to be rendered and costs shall be detailed in Part I of this Contract. Absent compliance with this requirement, no Contractor Party may be used or expense paid under this Contract unless expressly otherwise provided in Part I of this Contract. No Contractor Party shall acquire any direct right of payment from the Agency by virtue of this section or any other section of this Contract. The use of Contractor Parties shall not relieve the Contractor of any responsibility or liability under this Contract. The Contractor shall make available copies of all subcontracts to the Agency upon request.

10. Independent Capacity of Contractor. The Contractor and Contractor Parties shall act in an independent capacity and not as officers or employees of the state of Connecticut or of the Agency.

11. Indemnification.

(a) The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all:

(1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and

(2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts of the Contract. The Contractor shall use counsel reasonably acceptable to the State in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning

i. the confidentiality of any part of or all of the Contractor's bid or proposal, and

ii. Records, intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, or Goods furnished or used in the performance of the Contract. For purposes of this provision, "Goods" means all things which are movable at the time that the Contract is effective and which includes, without limiting this definition, supplies, materials and equipment

(b) The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Contractor or any Contractor Parties. The State shall give the Contractor reasonable notice of any such Claims.

(c) The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims and/or where the State is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims. The Contractor shall not be responsible for indemnifying or holding the State harmless from any liability solely from the negligence of the State or any other person or entity acting under the direct control or supervision of the State.

(d) The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall cause the State to be named as an additional insured on the policy and shall provide

i. a certificate of insurance,

ii. the declaration page and

iii. the additional insured endorsement to the policy to the Client Agency all in an electronic format acceptable to the Client Agency prior to the Effective Date of the Contract evidencing that the State is an additional insured. The Contractor shall not begin performance until the delivery of these three (3) documents to the Client Agency. Contractor shall provide an annual electronic update of the three (3) documents to the

Client Agency on or before each anniversary of the Effective Date during the Contract term. State shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that State is contributorily negligent.

(e) This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.

12. Insurance. Before commencing performance, the Agency may require the Contractor to obtain and maintain specified insurance coverage. In the absence of specific Agency requirements, the Contractor shall obtain and maintain the following insurance coverage at its own cost and expense for the duration of the Contract:

(a) Commercial General Liability. $1,000,000 combined single limit per occurrence for bodily injury, personal injury and property damage. Coverage shall include Premises and Operations, Independent Contractors, Products and Completed Operations, Contractual Liability, and Broad Form Property Damage coverage. If a general aggregate is used, the general aggregate limit shall apply separately to the services to be performed under this Contract or the general aggregate limit shall be twice the occurrence limit;

(b) Automobile Liability. $1,000,000 combined single limit per accident for bodily injury. Coverage extends to owned, hired and non-owned automobiles. If the vendor/contractor does not own an automobile, but one is used in the execution of this Contract, then only hired and non-owned coverage is required. If a vehicle is not used in the execution of this Contract then automobile coverage is not required.

(c) Professional Liability. $1,000,000 limit of liability, if applicable; and/or

(d) Workers' Compensation and Employers Liability. Statutory coverage in compliance with the Compensation laws of the State of Connecticut. Coverage shall include Employer's Liability with minimum limits of $100,000 each accident, $500,000 Disease – Policy limit, $100,000 each employee.

13. Sovereign Immunity. The Contractor and Contractor Parties acknowledge and agree that nothing in the Contract, or the solicitation leading up to the Contract, shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this Section conflicts with any other Section, this Section shall govern.

14. Choice of Law/Choice of Forum, Settlement of Disputes, Claims Against the State.

(a) The Contract shall be deemed to have been made in the City of Hartford, State of Connecticut. Both Parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.

(b) Any dispute concerning the interpretation or application of this Contract shall be decided by the Agency Head or his/her designee whose decision shall be final, subject to any rights the Contractor may have pursuant to state law. In appealing a dispute to the Agency Head pursuant to this section, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its appeal. Pending final resolution of a dispute, the Contractor and the Agency shall proceed diligently with the performance of the Contract.

(c) The Contractor agrees that the sole and exclusive means for the presentation of any claim against the State arising from this Contract shall be in accordance with Title 4, Chapter 53 of the Connecticut General Statutes (Claims Against the State) and the Contractor further agrees not to initiate legal proceedings, except as authorized by that Chapter, in any state or federal court in addition to or in lieu of said Chapter 53 proceedings.

15. Compliance with Law and Policy, Facility Standards and Licensing. Contractor shall comply with all:

(a) Pertinent local, state and federal laws and regulations as well as Agency policies and procedures applicable to contractor's programs as specified in this Contract. The Agency shall notify the Contractor of any applicable new or revised laws, regulations, policies or procedures which the Agency has responsibility to promulgate or enforce; and

(b) Applicable local, state and federal licensing, zoning, building, health, fire and safety regulations or ordinances, as well as standards and criteria of pertinent state and federal authorities. Unless otherwise provided by law, the Contractor is not relieved of compliance while formally contesting the authority to require such standards, regulations, statutes, ordinance or criteria.

16. Representations and Warranties. Contractor shall:

(a) Perform fully under the Contract;

(b) Pay for and/or secure all permits, licenses and fees and give all required or appropriate notices with respect to the provision of Services as described in Part I of this Contract; and

(c) Adhere to all contractual sections ensuring the confidentiality of all Records that the Contractor has access to and are exempt from disclosure under the State's Freedom of Information Act or other applicable law.

17. Reports. The Contractor shall provide the Agency with such statistical, financial and programmatic information necessary to monitor and evaluate compliance with the Contract. All requests for such information shall comply with all applicable state and federal confidentiality laws. The Contractor shall provide the Agency with such reports as the Agency requests as required by this Contract.

18. Delinquent Reports. The Contractor shall submit required reports by the designated due dates as identified in this Contract. After notice to the Contractor and an opportunity for a meeting with an Agency representative, the Agency reserves the right to withhold payments for services performed under this Contract if the Agency has not received acceptable progress reports, expenditure reports, refunds, and/or audits as required by this Contract or previous contracts for similar or equivalent services the Contractor has entered into with the Agency. This section shall survive any Termination of the Contract or the Expiration of its term.

19. Protection of Confidential Information.

(a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.

(b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data – security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the Agency or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:

(1) A security policy for employees related to the storage, access and transportation of data containing Confidential Information;

(2) Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;

(3) A process for reviewing policies and security measures at least annually;

(4) Creating secure access controls to Confidential Information, including but not limited to passwords; and

(5) Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.

(c) The Contractor and Contractor Parties shall notify the Agency and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Agency and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to C.G.S. § 36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and expenses for the credit monitoring and protection plan shall not be recoverable from the Agency, any State of Connecticut entity or any affected individuals.

(d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.

(e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to the Health Insurance Portability and Accountability Act of 1996 ("HIPAA") or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of Covered Entity.

20. Workforce Analysis. The Contractor shall provide a workforce Analysis Affirmative Action report related to employment practices and procedures.

21. Litigation.

(a) The Contractor shall require that all Contractor Parties, as appropriate, disclose to the Contractor, to the best of their knowledge, any Claims involving the Contractor Parties that might reasonably be expected to materially adversely affect their businesses, operations, assets, properties, financial stability, business prospects or ability to perform fully under the Contract, no later than ten (10) days after becoming aware or after they should have become aware of any such Claims. Disclosure shall be in writing.

(b) The Contractor shall provide written Notice to the Agency of any final decision by any tribunal or state or federal agency or court which is adverse to the Contractor or which results in a settlement, compromise or claim or agreement of any kind for any action or proceeding brought against the Contractor or its employee or agent under the Americans with Disabilities Act of 1990 as revised or amended from time to time, Executive Orders Nos. 3 & 17 of Governor Thomas J. Meskill and any other requirements of federal or state law concerning equal employment opportunities or

nondiscriminatory practices.

D. <u>Changes to the Contract, Termination, Cancellation and Expiration</u>.

1. Contract Amendment.

(a) Should the parties execute an amendment to this Contract on or before its expiration date that extends the term of this Contract, then the term of this Contract shall be extended until an amendment is approved as to form by the Connecticut Office of the Attorney General provided the extension provided hereunder shall not exceed a period of 90 days. Upon approval of the amendment by the Connecticut Office of the Attorney General the term of the contract shall be in accord with the provisions of the approved amendment.

(b) No amendment to or modification or other alteration of this Contract shall be valid or binding upon the parties unless made in writing, signed by the parties and, if applicable, approved by the Office of the Connecticut Attorney General.

(c) The Agency may amend this Contract to reduce the contracted amount of compensation if:

(1) the total amount budgeted by the State for the operation of the Agency or Services provided under the program is reduced or made unavailable in any way; or

(2) federal funding reduction results in reallocation of funds within the Agency.

(d) If the Agency decides to reduce the compensation, the Agency shall send written Notice to the Contractor. Within twenty (20) days of the Contractor's receipt of the Notice, the Contractor and the Agency shall negotiate the implementation of the reduction of compensation unless the parties mutually agree that such negotiations would be futile. If the parties fail to negotiate an implementation schedule, then the Agency may terminate the Contract effective no earlier than sixty (60) days from the date that the Contractor receives written notification of Termination and the date that work under this Contract shall cease.

2. Contractor Changes and Assignment.

(a) The Contractor shall notify the Agency in writing:

(1) at least ninety (90) days prior to the effective date of any fundamental changes in the Contractor's corporate status, including merger, acquisition, transfer of assets, and any change in fiduciary responsibility;

(2) no later than ten (10) days from the effective date of any change in:

(A) its certificate of incorporation or other organizational document;

(B) more than a controlling interest in the ownership of the Contractor; or

(C) the individual(s) in charge of the performance.

(b) No such change shall relieve the Contractor of any responsibility for the accuracy and completeness of the performance. The Agency, after receiving written Notice from the Contractor of any such change, may require such contracts, releases and other instruments evidencing, to the Agency's satisfaction, that any individuals retiring or otherwise separating from the Contractor have been compensated in full or that allowance has been made for compensation in full, for all work performed under terms of the Contract. The Contractor shall deliver such documents to the Agency in accordance with the terms of the Agency's written request. The Agency may also require, and the Contractor shall deliver, a financial statement showing that solvency of the Contractor is maintained. The death of any Contractor Party, as applicable, shall not release the Contractor from the obligation to perform under the Contract; the surviving Contractor Parties, as appropriate, must continue to perform under the Contract until performance is fully completed.

(c) Assignment. The Contractor shall not assign any of its rights or obligations under the Contract, voluntarily or otherwise, in any manner without the prior written consent of the Agency.

(1) The Contractor shall comply with requests for documentation deemed to be appropriate by the Agency in considering whether to consent to such assignment.

(2) The Agency shall notify the Contractor of its decision no later than forty-five (45) days from the date the Agency receives all requested documentation.

(3) The Agency may void any assignment made without the Agency's consent and deem such assignment to be in violation of this Section and to be in Breach of the Contract. Any cancellation of this Contract by the Agency for a Breach shall be without prejudice to the Agency's or the State's rights or possible claims against the Contractor.

3. Breach.

(a) If either party Breaches this Contract in any respect, the non-breaching party shall provide written notice of the Breach to the breaching party and afford the breaching party an opportunity to cure within ten (10) days from the date that the breaching party receives the notice. In the case of a Contractor Breach, the Agency may modify the ten (10) day cure period in the notice of Breach. The right to cure period shall be extended if the non-breaching party is satisfied that the breaching party is making a good faith effort to cure, but the nature of the Breach is such that it cannot be cured within the right to cure period. The Notice may include an effective Contract cancellation date if the Breach is not cured by the stated date and, unless otherwise modified by the non-breaching party in writing prior to the cancellation date, no further action shall be required of any party to affect the cancellation as of the stated date. If the notice does not set forth an effective Contract cancellation date, then the non-breaching party may cancel the Contract by giving the breaching party no less than twenty four (24) hours' prior written Notice after the expiration of the cure period.

(b) If the Agency believes that the Contractor has not performed according to the Contract, the Agency may:

(1) withhold payment in whole or in part pending resolution of the performance issue, provided that the Agency notifies the Contractor in writing prior to the date that the payment would have been due in accordance with the budget;

(2) temporarily discontinue all or part of the Services to be provided under the Contract;

(3) permanently discontinue part of the Services to be provided under the Contract;

(4) assign appropriate State personnel to provide contracted for Services to assure continued performance under the Contract until such time as the contractual Breach has been corrected to the satisfaction of the Agency;

(5) require that contract funding be used to enter into a subcontract with a person or persons designated by the Agency in order to bring the program into contractual compliance;

(6) take such other actions of any nature whatsoever as may be deemed appropriate for the best interests of the State or the program(s) provided under this Contract or both; or any combination of the above actions.

(c) The Contractor shall return all unexpended funds to the Agency no later than thirty (30) days after the Contractor receives a demand from the Agency.

(d) In addition to the rights and remedies granted to the Agency by this Contract, the Agency shall have all other rights and remedies granted to it by law in the event of Breach of or default by the Contractor under the terms of this Contract.

(e) The action of the Agency shall be considered final. If at any step in this process the Contractor fails to comply with the procedure and, as applicable, the mutually agreed plan of correction, the Agency may proceed with Breach remedies as listed under this section.

4. Non-enforcement Not to Constitute Waiver. No waiver of any Breach of the Contract shall be interpreted or deemed to be a waiver of any other or subsequent Breach. All remedies afforded in the Contract shall be taken and construed as cumulative, that is, in addition to every other remedy provided in the Contract or at law or in equity. A party's failure to insist on strict performance of any section of the Contract shall only be deemed to be a waiver of rights and remedies concerning that specific instance of performance and shall not be deemed to be a waiver of any subsequent rights, remedies or Breach.

5. Suspension. If the Agency determines in its sole discretion that the health and welfare of the Clients or public safety is being adversely affected, the Agency may immediately suspend in whole or in part the Contract without prior notice and take any action that it deems to be necessary or appropriate for the benefit of the Clients. The Agency shall notify the Contractor of the specific reasons for taking such action in writing within five (5) days of immediate suspension. Within five (5) days of receipt of this notice, the Contractor may request in writing a meeting with the Agency Head or designee. Any such meeting shall be held within five (5) days of the written request, or such later time as is mutually agreeable to the parties. At the meeting, the Contractor shall be given an opportunity to present information on why the Agency's actions should be reversed or modified. Within five (5) days of such meeting, the Agency shall notify the Contractor in writing of his/her decision upholding, reversing or modifying the action of the Agency head or designee. This action of the Agency head or designee shall be considered final.

6. Ending the Contractual Relationship.

(a) This Contract shall remain in full force and effect for the duration of its entire term or until such time as it is terminated earlier by either party or cancelled. Either party may terminate this contract by providing at least sixty (60) days prior written notice pursuant to the Notice requirements of this Contract.

(b) The Agency may immediately terminate the Contract in whole or in part whenever the Agency makes a determination that such termination is in the best interest of the State. Notwithstanding Section D.2, the Agency may immediately terminate or cancel this Contract in the event that the Contractor or any subcontractors becomes financially unstable to the point of threatening its ability to conduct the services required under this Contract, ceases to conduct business in the normal course, makes a general assignment for the benefit of creditors, suffers or permits the appointment of a receiver for its business or its assets.

(c) The Agency shall notify the Contractor in writing of Termination pursuant to subsection (b) above, which shall specify the effective date of termination and the extent to which the Contractor must complete or immediately cease performance. Such Notice of Termination shall be sent in accordance with the Notice provision contained on page 1 of this Contract. Upon receiving the Notice from the Agency, the Contractor shall discontinue all Services affected in accordance with the Notice, undertake all reasonable and necessary efforts to mitigate any losses or damages, and deliver to the Agency all Records as defined in Section A.13, unless otherwise instructed by the Agency in writing, and take all actions that are necessary or appropriate, or that the Agency may reasonably direct, for the protection of Clients and preservation of any and all property. Such Records are deemed to be the property of the Agency and the Contractor shall deliver them to the Agency no later than thirty (30) days after the Termination of the Contract or fifteen (15) days after the Contractor receives a written request from the Agency for the specified records whichever is less. The Contractor shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to ASCII or .TXT.

(d) The Agency may terminate the Contract at any time without prior notice when the funding for the Contract is no longer available.

(e) The Contractor shall deliver to the Agency any deposits, prior payment, advance payment or down payment if the Contract is terminated by either party or cancelled within thirty (30) days after receiving demand from the Agency. The Contractor shall return to the Agency any funds not expended in accordance with the terms and conditions of the Contract and, if the Contractor fails to do so upon demand, the Agency may recoup said funds from any future payments owing under this Contract or any other contract between the State and the Contractor. Allowable costs, as detailed in audit findings, incurred until the date of termination or cancellation for operation or transition of program(s) under this Contract shall not be subject to recoupment.

7. Transition after Termination or Expiration of Contract.

(a) If this Contract is terminated for any reason, cancelled or it expires in accordance with its term, the Contractor shall do and perform all things which the Agency determines to be necessary or appropriate to assist in the orderly transfer of Clients served under this Contract and shall assist in the orderly cessation of Services it performs under this Contract. In order to complete such transfer and wind down the performance, and only to the extent necessary or appropriate, if such activities are expected to take place beyond the stated end of the Contract term then the Contract shall be deemed to have been automatically extended by the mutual consent of the parties prior to its expiration without any affirmative act of either party, including executing an amendment to the Contract to extend the term, but only until the transfer and winding down are complete.

(b) If this Contract is terminated, cancelled or not renewed, the Contractor shall return to the Agency any equipment, deposits or down payments made or purchased with start-up funds or other funds specifically designated for such purpose under this Contract in accordance with the written

instructions from the Agency in accordance with the Notice provision of this Contract. Written instructions shall include, but not be limited to, a description of the equipment to be returned, where the equipment shall be returned to and who is responsible to pay for the delivery/shipping costs. Unless the Agency specifies a shorter time frame in the letter of instructions, the Contractor shall affect the returns to the Agency no later than sixty (60) days from the date that the Contractor receives Notice.

E. Statutory and Regulatory Compliance.

Note: The following provisions in this section do not apply if the Contractor is a municipality, political subdivision of the State, or a quasi-public agency: 5(i), 9, 11, 12, and 13.

1. Health Insurance Portability and Accountability Act of 1996. Notwithstanding the language in Part II, Section E.1(c) of this Contract, the language below is not applicable if the Agency is not a Covered Entity for the purposes of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"). However, if the Agency becomes a Covered Entity in the future and if the Contractor accordingly becomes a Business Associate, Contractor will comply with the terms of this Section upon written notice from the Agency that the Agency is a Covered Entity.

The Contractor ☐ IS or ☒ IS NOT CURRENTLY a Business Associate under the Health Insurance Portability and Accountability Act of 1996, as amended.

(a) If the Contactor is a Business Associate under the requirements of the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), as noted in this Contract, the Contractor must comply with all terms and conditions of this Section of the Contract. If the Contractor is not a Business Associate under HIPAA, this Section of the Contract does not apply to the Contractor for this Contract.

(b) The Contractor is required to safeguard the use, publication and disclosure of information on all applicants for, and all clients who receive, services under the Contract in accordance with all applicable federal and state law regarding confidentiality, which includes but is not limited to HIPAA, more specifically with the Privacy and Security Rules at 45 C.F.R. Part 160 and Part 164, subparts A, C, and E; and

(c) The State of Connecticut Agency named on page 1 of this Contract ("Agency") is a "covered entity" as that term is defined in 45 C.F.R. § 160.103; and

(d) The Contractor is a "business associate" of the Agency, as that term is defined in 45 C.F.R. § 160.103; and

(e) The Contractor and the Agency agree to the following in order to secure compliance with the HIPAA, the requirements of Subtitle D of the Health Information Technology for Economic and Clinical Health Act ("HITECH Act"), (Pub. L. 111-5, §§ 13400 to 13423), and more specifically with the Privacy and Security Rules at 45 C.F.R. parts 160 and 164, subparts A, C, and E (collectively referred to herein as the "HIPAA Standards").

(f) Definitions

(1) "Breach" shall have the same meaning as the term is defined in 45 C.F.R. § 164.402 and shall also include a use or disclosure of PHI that violates the HIPAA Standards.

(2) "Business Associate" shall mean the Contractor.

(3) "Covered Entity" shall mean the Agency of the State of Connecticut named on page 1 of this Contract.

(4) "Designated Record Set" shall have the same meaning as the term "designated record set" in 45 C.F.R. § 164.501.

(5) "Electronic Health Record" shall have the same meaning as the term is defined in section 13400 of the HITECH Act (42 U.S.C. § 17921(5)).

6) "Individual" shall have the same meaning as the term "individual" in 45 C.F.R. § 160.103 and shall include a person who qualifies as a personal representative as defined in 45 C.F.R. § 164.502(g).

(7) "Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable Health Information at 45 C.F.R. part 160 and part 164, subparts A and E.

(8) "Protected Health Information" or "PHI" shall have the same meaning as the term "protected health information" in 45 C.F.R. § 160.103, and includes electronic PHI, as defined in 45 C.F.R. § 160.103, limited to information created, maintained, transmitted or received by the Business Associate from or on behalf of the Covered Entity or from another Business Associate of the Covered Entity.

(9) "Required by Law"' shall have the same meaning as the term "required by law" in 45 C.F.R. § 164.103.

(10) "Secretary" shall mean the Secretary of the Department of Health and Human Services or his designee.

(11) "More stringent" shall have the same meaning as the term "more stringent" in 45 C.F.R. § 160.202.

(12) "This Section of the Contract" refers to the HIPAA Provisions stated herein, in their entirety.

(13) "Security Incident" shall have the same meaning as the term "security incident" in 45 C.F.R. § 164.304.

(14) "Security Rule" shall mean the Security Standards for the Protection of Electronic Protected Health Information at 45 C.F.R. part 160 and part 164, subpart A and C.

(15) "Unsecured protected health information" shall have the same meaning as the term as defined in 45 C.F.R. § 164.402.

(g) Obligations and Activities of Business Associates.

(1) Business Associate agrees not to use or disclose PHI other than as permitted or required by this Section of the Contract or as Required by Law.

(2) Business Associate agrees to use and maintain appropriate safeguards and comply with applicable HIPAA Standards with respect to all PHI and to prevent use or disclosure of PHI other than as provided for in this Section of the Contract and in accordance with HIPAA Standards.

(3) Business Associate agrees to use administrative, physical and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of electronic protected health information that it creates, receives, maintains, or transmits on behalf of the Covered Entity.

(4) Business Associate agrees to mitigate, to the extent practicable, any harmful effect that is known to the Business Associate of a use or disclosure of PHI by Business Associate in violation of this Section of the Contract.

(5) Business Associate agrees to report to Covered Entity any use or disclosure of PHI not provided for by this Section of the Contract or any security incident of which it becomes aware.

(6) Business Associate agrees in accordance with 45 C.F.R. § 502(e)(1)(ii) and § 164.308(d)(2), if applicable, to ensure that any subcontractor that creates, receives, maintains or transmits PHI on behalf of the Business Associate agrees to the same restrictions, conditions and requirements that apply to the Business Associate with respect to such information.

(7) Business Associate agrees to provide access (including inspection, obtaining a copy or both), at the request of the Covered Entity, and in the time and manner designated by the Covered Entity, to PHI in a Designated Record Set, to Covered Entity or, as directed by Covered Entity, to an Individual in order to meet the requirements under 45 C.F.R. § 164.524. Business Associate shall not charge any fees greater than the lesser of the amount charged by the Covered Entity to an Individual for such records; the amount permitted by state law; or the Business Associate's actual cost of postage, labor and supplies for complying with the request.

(8) Business Associate agrees to make any amendments to PHI in a Designated Record Set that the Covered Entity directs or agrees to pursuant to 45 C.F.R. § 164.526 at the request of the Covered Entity, and in the time and manner designated by the Covered Entity.

(9) Business Associate agrees to make internal practices, books, and records, including policies and procedures and PHI, relating to the use and disclosure of PHI received from, or created, maintained, transmitted or received by, Business Associate on behalf of Covered Entity, available to Covered Entity or to the Secretary in a time and manner agreed to by the parties or designated by the Secretary, for purposes of the Secretary investigating or determining Covered Entity's compliance with the HIPAA Standards.

(10) Business Associate agrees to document such disclosures of PHI and information related to such disclosures as would be required for Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.

(11) Business Associate agrees to provide to Covered Entity, in a time and manner designated by the Covered Entity, information collected in accordance with subsection (g)(10) of this Section of the Contract, to permit Covered Entity to respond to a request by an Individual for an accounting of disclosures of PHI in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.

Business Associate agrees at the Covered Entity's direction to provide an accounting of disclosures of PHI directly to an Individual in accordance with 45 C.F.R. § 164.528 and section 13405 of the HITECH Act (42 U.S.C. § 17935) and any regulations promulgated thereunder.

(12) Business Associate agrees to comply with any state or federal law that is more stringent than the Privacy Rule.

(13) Business Associate agrees to comply with the requirements of the HITECH Act relating to privacy and security that are applicable to the Covered Entity and with the requirements of 45 C.F.R. §§ 164.504(e), 164.308, 164.310, 164.312, and 164.316.

(14) In the event that an Individual requests that the Business Associate

i. restrict disclosures of PHI;

ii. provide an accounting of disclosures of the Individual's PHI;

iii. provide a copy of the Individual's PHI in an electronic health record; or

iv. amend PHI in the Individual's designated record set

(15) the Business Associate agrees to notify the Covered Entity, in writing, within five (5) business days of the request.

(16) Business Associate agrees that it shall not, and shall ensure that its subcontractors do not, directly or indirectly, receive any remuneration in exchange for PHI of an Individual without

i. the written approval of the Covered Entity, unless receipt of remuneration in exchange for PHI is expressly authorized by this Contract; and

ii. the valid authorization of the Individual, except for the purposes provided under section 13405(d)(2) of the HITECH Act, (42 U.S.C. § 17935(d)(2)) and in any accompanying regulations

(17) Obligations in the Event of a Breach.

i. The Business Associate agrees that, following the discovery by the Business Associate or by a subcontractor of the Business Associate of any use or disclosure not provided for by this section of the Contract, any breach of unsecured PHI, or any Security Incident, it shall notify the Covered Entity of such breach in accordance with Subpart D of Part 164 of Title 45 of the Code of Federal Regulations and this Section of the Contract.

ii. Such notification shall be provided by the Business Associate to the Covered Entity without unreasonable delay, and in no case later than thirty (30) days after the breach is discovered by the Business Associate, or a subcontractor of the Business Associate, except as otherwise instructed in writing by a law enforcement official pursuant to 45 C.F.R. § 164.412. A breach is considered discovered as of the first day on which it is, or reasonably should have been, known to the Business Associate or its subcontractor. The notification shall include the identification and last known

address, phone number and email address of each Individual (or the next of kin of the Individual if the Individual is deceased) whose unsecured PHI has been, or is reasonably believed by the Business Associate to have been, accessed, acquired, or disclosed during such breach.

iii. The Business Associate agrees to include in the notification to the Covered Entity at least the following information:

1. A description of what happened, including the date of the breach; the date of the discovery of the breach; the unauthorized person, if known, who used the PHI or to whom it was disclosed; and whether the PHI was actually acquired or viewed.

2. A description of the types of unsecured PHI that were involved in the breach (such as full name, Social Security number, date of birth, home address, account number, or disability code).

3. The steps the Business Associate recommends that Individual(s) take to protect themselves from potential harm resulting from the breach.

4. A detailed description of what the Business Associate is doing or has done to investigate the breach, to mitigate losses, and to protect against any further breaches.

5. Whether a law enforcement official has advised the Business Associate, either verbally or in writing, that he or she has determined that notification or notice to Individuals or the posting required under 45 C.F.R. § 164.412 would impede a criminal investigation or cause damage to national security and; if so, include contact information for said official.

iv. If directed by the Covered Entity, the Business Associate agrees to conduct a risk assessment using at least the information in subparagraphs 1 to 4 inclusive, of (g)(16)(C) of this Section and determine whether, in its opinion, there is a low probability that the PHI has been compromised. Such recommendation shall be transmitted to the Covered Entity within twenty (20) business days of the Business Associate's notification to the Covered Entity.

v. If the Covered Entity determines that there has been a breach, as defined in 45 C.F.R. § 164.402, by the Business Associate or a subcontractor of the Business Associate, if directed by the Covered Entity, shall provide all notifications required by 45 C.F.R. §§ 164.404 and 164.406.

vi. Business Associate agrees to provide appropriate staffing and have established procedures to ensure that Individuals informed of a breach have the opportunity to ask questions and contact the Business Associate for additional information regarding the breach. Such procedures shall include a toll-free telephone number, an e-mail address, a posting on its Web site and a postal address. Business Associate agrees to include in the notification of a breach by the Business Associate to the Covered Entity, a written description of the procedures that have been established

to meet these requirements. Costs of such contact procedures will be borne by the Contractor.

vii. Business Associate agrees that, in the event of a breach, it has the burden to demonstrate that it has complied with all notifications' requirements set forth above, including evidence demonstrating the necessity of a delay in notification to the Covered Entity.

(h) Permitted Uses and Disclosure by Business Associate.

(1) General Use and Disclosure Provisions. Except as otherwise limited in this Section of the Contract, Business Associate may use or disclose PHI to perform functions, activities, or services for, or on behalf of, Covered Entity as specified in this Contract, provided that such use or disclosure would not violate the HIPAA Standards if done by Covered Entity or the minimum necessary policies and procedures of the Covered Entity.

(2) Specific Use and Disclosure Provisions

i. Except as otherwise limited in this Section of the Contract, Business Associate may use PHI for the proper management and administration of Business Associate or to carry out the legal responsibilities of Business Associate.

ii. Except as otherwise limited in this Section of the Contract, Business Associate may disclose PHI for the proper management and administration of Business Associate, provided that disclosures are Required by Law, or Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and used or further disclosed only as Required by Law or for the purpose for which it was disclosed to the person, and the person notifies Business Associate of any instances of which it is aware in which the confidentiality of the information has been breached.

iii. Except as otherwise limited in this Section of the Contract, Business Associate may use PHI to provide data aggregation services to Covered Entity as permitted by 45 C.F.R. § 164.504(e)(2)(i)(B).

(i) Obligations of Covered Entity.

(1) Covered Entity shall notify Business Associate of any limitations in its notice of privacy practices of Covered Entity, in accordance with 45 C.F.R. § 164.520, or to the extent that such limitation may affect Business Associate's use or disclosure of PHI.

(2) Covered Entity shall notify Business Associate of any changes in, or revocation of, permission by Individual(s) to use or disclose PHI, to the extent that such changes may affect Business Associate's use or disclosure of PHI.

(3) Covered Entity shall notify Business Associate of any restriction to the use or disclosure of PHI that Covered Entity has agreed to in accordance with 45 C.F.R. § 164.522, to the extent that such restriction may affect Business Associate's use or disclosure of PHI.

(j) Permissible Requests by Covered Entity. Covered Entity shall not request Business Associate to use or disclose PHI in any manner that would not be permissible under the HIPAA Standards if done by the Covered Entity, except that Business Associate may use and disclose PHI for data aggregation, and management and administrative activities of Business Associate, as permitted under this Section of the Contract.

(k) Term and Termination.

(1) Term. The Term of this Section of the Contract shall be effective as of the date the Contract is effective and shall terminate when the information collected in accordance with provision (g)(10) of this Section of the Contract is provided to the Covered Entity and all of the PHI provided by Covered Entity to Business Associate, or created or received by Business Associate on behalf of Covered Entity, is destroyed or returned to Covered Entity, or, if it is infeasible to return or destroy PHI, protections are extended to such information, in accordance with the termination provisions in this Section.

(2) Termination for Cause Upon Covered Entity's knowledge of a material breach by Business Associate, Covered Entity shall either:

i. Provide an opportunity for Business Associate to cure the breach or end the violation and terminate the Contract if Business Associate does not cure the breach or end the violation within the time specified by the Covered Entity; or

ii. Immediately terminate the Contract if Business Associate has breached a material term of this Section of the Contract and cure is not possible; or

iii. If neither termination nor cure is feasible, Covered Entity shall report the violation to the Secretary.

(3) Effect of Termination.

i. Except as provided in (k)(2) of this Section of the Contract, upon termination of this Contract, for any reason, Business Associate shall return or destroy all PHI received from Covered Entity, or created, maintained, or received by Business Associate on behalf of Covered Entity. Business Associate shall also provide the information collected in accordance with section (g)(10) of this Section of the Contract to the Covered Entity within ten (10) business days of the notice of termination. This section shall apply to PHI that is in the possession of subcontractors or agents of Business Associate. Business Associate shall retain no copies of the PHI.

ii. In the event that Business Associate determines that returning or destroying the PHI is infeasible, Business Associate shall provide to Covered Entity notification of the conditions that make return or destruction infeasible. Upon documentation by Business Associate that return or destruction of PHI is infeasible, Business Associate shall extend the protections of this Section of the Contract to such PHI and limit further uses and disclosures of PHI to those purposes that make return or destruction infeasible, for as long as Business Associate maintains such PHI. Infeasibility of the return or destruction of PHI includes, but is not limited to,

requirements under state or federal law that the Business Associate maintains or preserves the PHI or copies thereof.

(l) Miscellaneous Sections.

(1) Regulatory References. A reference in this Section of the Contract to a section in the Privacy Rule means the section as in effect or as amended.

(2) Amendment. The Parties agree to take such action as in necessary to amend this Section of the Contract from time to time as is necessary for Covered Entity to comply with requirements of the Privacy Rule and the Health Insurance Portability and Accountability Act of 1996, Pub. L. No. 104-191.

(3) Survival. The respective rights and obligations of Business Associate shall survive the termination of this Contract.

(4) Effect on Contract. Except as specifically required to implement the purposes of this Section of the Contract, all other terms of the Contract shall remain in force and effect.

(5) Construction. This Section of the Contract shall be construed as broadly as necessary to implement and comply with the Privacy Standard. Any ambiguity in this Section of the Contract shall be resolved in favor of a meaning that complies, and is consistent with, the Privacy Standard.

(6) Disclaimer. Covered Entity makes no warranty or representation that compliance with this Section of the Contract will be adequate or satisfactory for Business Associate's own purposes. Covered Entity shall not be liable to Business Associate for any claim, civil or criminal penalty, loss or damage related to or arising from the unauthorized use or disclosure of PHI by Business Associate or any of its officers, directors, employees, contractors or agents, or any third party to whom Business Associate has disclosed PHI contrary to the sections of this Contract or applicable law. Business Associate is solely responsible for all decisions made, and actions taken, by Business Associate regarding the safeguarding, use and disclosure of PHI within its possession, custody or control.

(7) Indemnification. The Business Associate shall indemnify and hold the Covered Entity harmless from and against any and all claims, liabilities, judgments, fines, assessments, penalties, awards and any statutory damages that may be imposed or assessed pursuant to HIPAA, as amended or the HITECH Act, including, without limitation, attorney's fees, expert witness fees, costs of investigation, litigation or dispute resolution, and costs awarded thereunder, relating to or arising out of any violation by the Business Associate and its agents, including subcontractors, of any obligation of Business Associate and its agents, including subcontractors, under this section of the contract, under HIPAA, the HITECH Act, and the HIPAA Standards.

2. Americans with Disabilities Act. The Contractor shall be and remain in compliance with the Americans with Disabilities Act of 1990 (http://www.ada.gov/) as amended from time to time ("ADA") to the extent applicable, during the term of the Contract. The Agency may cancel or terminate this Contract if the Contractor fails to comply with the ADA. The Contractor represents that it is familiar with the terms of this Act and that it is in compliance with the law. The Contractor warrants that it shall hold the State harmless from any liability

which may be imposed upon the state as a result of any failure of the Contractor to be in compliance with this ADA. As applicable, the Contractor shall comply with § 504 of the Federal Rehabilitation Act of 1973, as amended from time to time, 29 U.S.C. § 794 (Supp. 1993), regarding access to programs and facilities by people with disabilities.

3. **Utilization of Minority Business Enterprises.** The Contractor shall perform under this Contract in accordance with 45 C.F.R. Part 74; and, as applicable, C.G.S. §§ 4a-60 to 4a-60a and 4a-60g to carry out this policy in the award of any subcontracts.

4. **Priority Hiring.** Subject to the Contractor's exclusive right to determine the qualifications for all employment positions, the Contractor shall give priority to hiring welfare recipients who are subject to time-limited welfare and must find employment. The Contractor and the Agency shall work cooperatively to determine the number and types of positions to which this Section shall apply.

5. **Non-discrimination.**

(a) For purposes of this Section, the following terms are defined as follows:

(1) "Commission" means the Commission on Human Rights and Opportunities;

(2) "Contract" and "contract" include any extension or modification of the Contract or contract;

(3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;

(4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.

(5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

(6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

(7) "marital status" means being single, married as recognized by the State of Connecticut, widowed, separated or divorced;

(8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;

(9) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons:

1. who are active in the daily affairs of the enterprise,
2. who have the power to direct the management and policies of the enterprise, and
3. who are members of a minority, as such term is defined in subsection (a) of C.G.S. § 32-9n

(10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

(b) For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is:

(1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract,
(2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in C.G.S. § 1-267,

(3) the federal government,

(4) a foreign government, or

(5) an agency of a subdivision, state or government described in the immediately preceding enumerated items (1), (2), (3), or (4).

(c) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the

State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, status as a victim of domestic violence, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved;

(2) The Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission;

(3) The Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(4) The Contractor agrees to comply with each provision of this Section and C.G.S. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to C.G.S. §§ 46a-56, 46a-68e, 46a-68f and 46a-86; and

(5) The Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and C.G.S. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

(d) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(e) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(f) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. § 46a-56, as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission

regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(g) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(h) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation;

(2) The Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining Agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment;

(3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to C.G.S. § 46a-56; and

(4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and C.G.S. § 46a-56.

(i) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(j) **Nondiscrimination Certification.** Pursuant to subsection (c) of section 4a-60 and subsection (b) of section 4a-60a of the Connecticut General Statutes, the Contractor, for itself and its authorized signatory of this Contract, affirms that it understands the obligations of this section and that it will maintain a policy for the duration of the Contract to assure that the Contract will be performed in compliance with the nondiscrimination requirements of such sections. The Contractor and its authorized signatory of this Contract demonstrate their understanding of this obligation by (A) having provided an affirmative response in the required online bid or response to a proposal question which asks if the contractor understands its obligations under such sections, (B) signing this Contract, or (C) signing this nondiscrimination affirmation on the following line:

Signature (Authorized Official)

6. Freedom of Information.

(a) Contractor acknowledges that the Agency must comply with the Freedom of Information Act, C.G.S. §§ 1-200 et seq. ("FOIA") which requires the disclosure of documents in the possession of the State upon request of any citizen, unless the content of the document falls within certain categories of exemption, as defined by C.G.S. § 1-210(b).

(b) Governmental Function. In accordance with C.G.S. § 1-218, if the amount of this Contract exceeds two million five hundred thousand dollars ($2,500,000), and the Contractor is a "person" performing a "governmental function", as those terms are defined in C.G.S. § 1-200(4) and (11), the Agency is entitled to receive a copy of the Records and files related to the Contractor's performance of the governmental function, which may be disclosed by the Agency pursuant to the FOIA.

7. Whistleblowing. This Contract is subject to C.G.S. § 4-61dd if the amount of this Contract is a "large state contract" as that term is defined in C.G.S. § 4-61dd(h). In accordance with this statute, if an officer, employee or appointing authority of the Contractor takes or threatens to take any personnel action against any employee of the Contractor in retaliation for such employee's disclosure of information to any employee of the Contracting state or quasi-public agency or the Auditors of Public Accounts or the Attorney General under subsection (a) of such statute, the Contractor shall be liable for a civil penalty of not more than five thousand dollars ($5,000) for each offense, up to a maximum of twenty per cent (20%) of the value of this Contract. Each violation shall be a separate and distinct offense and in the case of a continuing violation, each calendar day's continuance of the violation shall be deemed to be a separate and distinct offense. The State may request that the Attorney General bring a civil action in the Superior Court for the Judicial District of Hartford to seek imposition and recovery of such civil penalty. In accordance with subsection (f) of such statute, each large state Contractor, as defined in the statute, shall post a notice of the relevant sections of the statute relating to large state Contractors in a conspicuous place which is readily available for viewing by the employees of the Contractor.

8. Executive Orders and Other Enactments.

(a) All references in this Contract to any Federal, State, or local law, statute, public or special act, executive order, ordinance, regulation or code (collectively, "Enactments") shall mean Enactments that apply to the Contract at any time during its term, or that may be made applicable to the Contract during its term. This Contract shall always be read and interpreted in accordance with the latest applicable wording and requirements of the Enactments. Unless otherwise provided by Enactments, the Contractor is not relieved of its obligation to perform under this Contract if it chooses to contest the applicability of the Enactments or the Client Agency's authority to require compliance with the Enactments.

(a) This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of

employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of this Contract as if they had been fully set forth in it.

(b) This Contract may be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services; and Executive Order No. 61 of Governor Dannel P. Malloy promulgated December 13, 2017, concerning the Policy for the Management of State Information Technology Projects, as issued by the Office of Policy and Management, Policy ID IT-SDLC-17-04. If any of the Executive Orders referenced in this subsection is applicable, it is deemed to be incorporated into and made a part of this Contract as if fully set forth in it.

9. Campaign Contribution Restriction. For all State contracts, defined in section 9-612 of the Connecticut General Statutes as having a value in a calendar year of $50,000 or more, or a combination or series of such agreements or contracts having a value of $100,000 or more, the authorized signatory to this Contract represents that they have received the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "SEEC Form 10: Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations."

10. Summary of Ethics Laws. Pursuant to the requirements of section 1-101qq of the Connecticut General Statutes:

(a) the State has provided to the Contractor the summary of State ethics laws developed by the State Ethics Commission pursuant to section 1-81b of the Connecticut General Statutes, which summary is incorporated by reference into and made a part of this Contract as if the summary had been fully set forth in this Contract;

(b) the Contractor represents that the chief executive officer or authorized signatory of the Contract and all key employees of such officer or signatory have read and understood the summary and agree to comply with the provisions of state ethics law;

(c) prior to entering into a contract with any subcontractors or consultants, the Contractor shall provide the summary to all subcontractors and consultants and each such contract entered into with a subcontractor or consultant on or after July 1, 2021, shall include a representation that each subcontractor or consultant and the key employees of such subcontractor or consultant have read and understood the summary and agree to comply with the provisions of state ethics law;

(d) failure to include such representations in such contracts with subcontractors or consultants shall be cause for termination of the Contract; and

(e) each contract with such contractor, subcontractor or consultant shall incorporate such summary by reference as a part of the contract terms.

11. Large State Contract Representation for Contractor. Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz's Executive Order 21-2, the Contractor, for itself and on behalf of all of its principals or key personnel who submitted a bid or proposal, represents:

(a) That no gifts were made by:

(1) the Contractor,

(2) any principals and key personnel of the Contractor, who participate substantially in preparing bids, proposals or negotiating State contracts, or

(3) any agent of the Contractor or principals and key personnel, who participates substantially in preparing bids, proposals or negotiating State contracts, to:

i. any public official or State employee of the State agency or quasi- public agency soliciting bids or proposals for State contracts, who participates substantially in the preparation of bid solicitations or requests for proposals for State contracts or the negotiation or award of State contracts, or

ii. any public official or State employee of any other State agency, who has supervisory or appointing authority over such State agency or quasi-public agency;

(b) That no such principals and key personnel of the Contractor, or agent of the Contractor or of such principals and key personnel, knows of any action by the Contractor to circumvent such prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the Contractor to provide a gift to any such public official or State employee; and

(c) That the Contractor submitted bids or proposals without fraud or collusion with any person.

12. Large State Contract Representation for Official or Employee of State Agency. Pursuant to section 4-252 of the Connecticut General Statutes and Acting Governor Susan Bysiewicz Executive Order No. 21-2, promulgated July 1, 2021, the State agency official or employee represents that the selection of the person, firm or corporation was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

13. Iran Energy Investment Certification.

(a) Pursuant to section 4-252a of the Connecticut General Statutes, the Contractor certifies that it has not made a direct investment of twenty million dollars or more in the energy sector of Iran on or after October 1, 2013, as described in Section 202 of the Comprehensive Iran Sanctions, Accountability and Divestment Act of 2010, and has not increased or renewed such investment on or after said date.

(b) If the Contractor makes a good faith effort to determine whether it has made an investment described in subsection (a) of this section shall not be deemed to be in breach of the Contract or in violation of this section. A "good faith effort" for purposes of this subsection includes a determination that the Contractor is not on the list of persons who engage in certain investment activities in Iran created by the Department of General Services of the State of California pursuant to Division 2, Chapter 2.7 of the California Public Contract Code. Nothing in this subsection shall be construed to impair the ability of the State agency or quasi-public agency to pursue a breach of contract action for any violation of the provisions of the Contract.

14. Access to Data for State Auditors. The Contractor shall provide to OPM access to any data, as

defined in Conn. Gen Stat. Sec. 4e-1, concerning the Contract and OPM that are in the possession or control of the Contractor upon demand and shall provide the data to OPM in a format prescribed by the Client Agency and the State Auditors of Public Accounts at no additional cost.

15. **State Business-Related Call Center and Customer Service Work.** Pursuant to subsection (h) of section 31-57aa of the Connecticut General Statutes, Contractor shall perform all required state business-related call center and customer service work entirely within the State of Connecticut. If Contractor performs work outside of the State of Connecticut and adds customer service employees who will perform work pursuant to this Contract, then Contractor shall employ such new employees within the State of Connecticut prior to any such employee performing any work pursuant to this Contract.

16. **Consumer Data Privacy and Online Monitoring.** Pursuant to section 4e-72a of the Connecticut General Statutes, Contractor shall at all times comply with all applicable provisions of sections 42-515 to 42-525, inclusive, of the Connecticut General Statutes, as the same may be revised or modified.

17. **Consulting Agreements Representation.** Pursuant to section 4a-81 of the Connecticut General Statues, the person signing this Contract on behalf of the Contractor represents, to their best knowledge and belief and subject to the penalty of false statement as provided in section 53a-157b of the Connecticut General Statues, that the Contractor has not entered into any consulting agreements in connection with this Contract, except for the agreements listed below or in an attachment to this Contract. "Consulting agreement" means any written or oral agreement to retain the services, for a fee, of a consultant for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the State, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the State, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contracts. "Consulting agreement" does not include any agreements entered into with a consultant who is registered under the provisions of chapter 10 of the Connecticut General Statues as of the date such contract is executed in accordance with the provisions of section 4a-81 of the Connecticut General Statutes.

_____ _____

Consultant's Name and Title Name of Firm (if applicable)

_____

Start Date End Date Cost

The basic terms of the consulting agreement are:_____

_____

Description of Services Provided:

Is the consultant a former State employee or former public official? □ YES □ NO

If Yes: _____ _____

Name of Former State Agency Termination Date of Employment

SIGNATURE PAGE TO FOLLOW

(Space intentionally left blank)

IN WITNESS WHEREOF, the parties have executed this Contract by their duly authorized representatives with full knowledge of and agreement with its terms and conditions.

SIGNATURES AND APPROVALS

25OECESC01WAT

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

CONTRACTOR – CITY OF WATERBURY BOARD OF EDUCATION

_____ ___/____/____

PAUL K. PERNEREWSKI, JR., *Mayor* Date

OFFICE OF EARLY CHILDHOOD

_____ ____/____/____

BETH BYE, *Commissioner* OR AUTHORIZED DESIGNEE Date

CONNECTICUT ATTORNEY GENERAL (APPROVED AS TO FORM)

Waterbury Board of Education

THE CITY OF WATERBURY 236 Grand Street | Waterbury, CT 06702 203-574-8009

Juanita P. Hernandez
President

July 3, 2025

Honorable Board of Aldermen
c/o City Clerk
City of Waterbury
235 Grand Street
Waterbury, CT 06702

Ladies and Gentlemen:

We request your review and approval, subject to the Board of Education's anticipated approval at its special meeting of July 10, 2025, of Amendment Two (2) to the Maintenance Service Agreement with SNE Building Systems, Inc. for maintenance services for building management system, system support services and temperature control at various city schools.

Respectfully,

Carrie A. Swain, Clerk
Waterbury Board of Education

WATERBURY
PUBLIC SCHOOLS

Nicholas J. Albini
Chief Operating Officer
(203) 346-2340
nalbini@waterbury.k12.ct.us

EXECUTIVE SUMMARY

TO: Honorable Board of Aldermen Members
Honorable Board of Education Commissioners

FROM: Nicholas J. Albini, Chief Operating Officer

DATE: July 3, 2025

SUBJECT: Amendment #2 to the Maintenance Services Agreement for Maintenance Services for Building Management System, System Support Services and Temperature Control at Various City Schools with SNE Building Systems, Incorporated

The Education Department respectfully requests amending the agreement with SNE Building Systems for Building Automation System originally at nine school locations (Crosby/Wallace, Wilby/North End, Kennedy, Rotella, Maloney, Gilmartin, Duggan, Reed and State Street). SNE systems have been installed and maintained by SNE Building Systems and are the only recognized and authorized partner of Schneider Electric in Connecticut for programing and maintaining Invensys hardware and software. Amendment #1 added Carrington and Wendell Cross to the serviced locations.

Amendment #2 proposes an increase in labor hours for a number of district buildings to support the expanded HVAC infrastructure installed as part of the ESSER II-funded projects. These upgrades include new cooling zones, exhaust fans, and upgraded controllers. To ensure the proper maintenance and functionality of these newly installed systems, we are requesting an increase in both onsite labor hours and remote diagnostics support. Current on-site labor hours will increase from 676 to 780 and current remote diagnostics will increase from 33 to 60 hours. The amendment adds an additional three years to the contract extending the term to July 31, 2028. The additional three years will not exceed $418,270 (2025-2026 = $125,250, 2026-2027 = $129,420 and 2027-2028 = $133,600 plus $30,000 for owner controlled contingency).

This amendment ensures that our HVAC systems, which are critical to maintaining safe, comfortable, and healthy learning environments, are supported by sufficient labor coverage. Approval of this request will allow SNE Building Automation to continue providing essential maintenance and diagnostics services aligned with the expanded infrastructure. Waterbury Public School has been using SNE since 2010 and have been very pleased with their service and performance during that time.

Thank you for your consideration.

c: Mike Konopka, Doreen Biolo, Jerry Gay, File

AMENDMENT #2
to
MAINTENANCE SERVICES AGREEMENT
for
Maintenance Services for Building Management System, System Support Services and Temperature Control at Various City Schools
between
The City of Waterbury, Connecticut
and
SNE Building Systems, Inc.

THIS AMENDMENT ("Amendment #2"), effective on the date signed by the Mayor, is by and between the CITY OF WATERBURY (the "City"), City Hall, 235 Grand Street, Waterbury, Connecticut and SNE Building Systems, Inc., (the "Contractor" or "SNE"), located at 29H Kripes Road, P.O. BOX 575, East Granby, Connecticut 06026, a State of Delaware, duly registered Corporation (jointly referred to as the "Parties" to this Amendment #1).

WHEREAS, the City entered into an Agreement with SNE with a term commencing on August 1, 2019 and terminating on July 31, 2022 ("Agreement"); and

WHEREAS, parties amended the Agreement extending the term from July 31, 2022 to July 31, 2025 to include additional services and compensation; and

WHEREAS, the parties desire to further amend the Agreement to further extend the term from July 31, 2025 to July 31, 2028 to provide for additional services and compensation for the satisfactory provision of all goods and services as provided in this Amendment No. 2 as set forth below.

NOW THEREFORE, it is mutually agreed to amend the Agreement as follows:

1. **Section 5. of the Agreement ("Contract Time"), as amended by Amendment 1, shall be deleted in its entirety and replaced with the following:**

5. Contract Time. The Contractor shall commence all work and Services required under this Contract on August 1, 2019 and shall complete all work and services required under this on July 31, 2028. (" Contract Term").

5.1 Time is and shall be of the essence for all Project milestones and services to be provided to the City under this Contract. The Contractor further agrees that the Project shall be prosecuted regularly, diligently and uninterruptedly and at such rate of progress as will insure full completion of the services, milestones and Contract Time. It is expressly understood and agreed, by and between the Contractor and City, that the Contract Time is reasonable for the completion of the Project.

2. **Section 6.1. of the Agreement ("Fee Schedule") and Section 6.2 of the Agreement ("Contingency") shall be deleted in its entirety and replaced with the following:**

6.1. Fee Schedule. The fee payable to the Contractor shall not exceed **NINE HUNDRED SIXTY FIVE THOUSAND SIX HUNDRED TWENTY DOLLARS ($965,620.00)** in accordance with Attachment A ("Total Compensation"). This Total Compensation amount includes any City controlled contingency fees. All fees and hourly rates shall be as set forth in Attachment A and shall be payable to the Contractor semi-annually. With the basis of payment being as follows:

6.1.1 Fee for Year 1 –August 1, 2019- July 31, 2020
In an amount not to exceed
Seventy-Two Thousand Dollars.....$72,000.00

6.1.2 Fee for Year 2- August 1, 2020- July 31, 2021
In an amount not to exceed
Seventy-Two Thousand Dollars.....$72,000.00

6.1.3 Fee for Year 3- August 1, 2021- July 31, 2022
In an amount not to exceed
Seventy-Two Thousand Dollars.....$72,000.00

6.1.4 City Controlled Contingency for Years 1-3
August 1, 2020- July 31, 2022
In an amount not to exceed
Thirty Thousand Dollarss.....$30,000.00

6.1.5 Fee for Year 4 –August 1, 2022- July 31, 2023
In an amount not to exceed
Ninety Thousand Four Hundred Fifty Dollars.....$90,450.00

6.1.6 Fee for Year 5 –August 1, 2023- July 31, 2024
In an amount not to exceed
Ninety Thousand Four Hundred Fifty Dollars.....$90,450.00

6.1.7 Fee for Year 6 –August 1, 2024- July 31, 2025
In an amount not to exceed
Ninety Thousand Four Hundred Fifty Dollars.....$90,450.00

6.1.8 City Controlled Contingency for Years 7-9
August 1, 2022- July 31, 2025
In an amount not to exceed
Thirty Thousand Dollars.....$30,000.00

6.1.9 Fee for Year 7 –August 1, 2025- July 31, 2026
In an amount not to exceed
One Hundred Twenty Five Thousand Two Hundred
Fifty Dollars.....$125,250.00

6.1.10 Fee for Year 8 –August 1, 2026- July 31, 2027
In an amount not to exceed
One Hundred Twenty Nine Thousand Four Hundred
Twenty Dollars.....$129,420.00

6.1.11 Fee for Year 9 –August 1, 2027- July 31, 2028
In an amount not to exceed
One Hundred Thirty Three Thousand Six Hundred
Dollars.....$133,600.00

6.1.12 City Controlled Contingency for Years 7-9
August 1, 2025- July 31, 2028
In an amount not to exceed
Thirty Thousand Dollars.....$30,000.00

TOTAL CONTRACT AMOUNT
NOT TO EXCEED $965,620.00

6.2. Contingency. The City Controlled Contingency amounts stated above shall be utilized at the sole discretion of the City for additional work and services not set forth in this contract, such as emergency calls.

3. **All other terms, conditions, and provisions not specifically amended herein of the Agreement executed on May 4, 2020, shall remain in full force and effect and binding on the Parties hereto.**

(Signature Page to Follow)

IN WITNESS WHEREOF, the Parties hereto execute this Amendment #2 on the dates signed below.

WITNESSES:

_____
Sign & Print name

_____
Sign & Print name

CITY OF WATERBURY

By: _____
Paul K. Pernerewski, Jr.
Mayor, City of Waterbury

Date: _____

WITNESSES:

Linda D Cosore
Sign & Print name Linda D Cosore

Olga Crowley
Sign & Print name Olga Crowley

SNE BUILDING SYSTEMS, INC.

By: _____
Signature

PRESIDENT
Title

Date: 7/3/2025

CORPORATE RESOLUTION

I, R LINDSAY DRISKO, hereby certify that I am the duly elected and acting Secretary of SNE BUILDING SYSTEMS, INC, a corporation organized and existing under the laws of the State of DELAWARE, do hereby certify that the following facts are true and were taken from the records of said corporation.

The following resolution was adopted at a meeting of the corporation duly held on the 2nd day of January, 2015.

"It is hereby resolved that BYRON C BAILEY is authorized to make, execute and approve, on behalf of this corporation, any and all contracts or amendments thereof".

And I do further certify that the above resolution has not been in any way altered, amended, repealed and is now in full force and effect.

IN WITNESS WHEREOF, I hereunto set my hand and affix the corporate seal of said _____ corporation this _____ day of _____, 202__.

(SEE ATTACHED)

_____
Secretary

CERTIFICATE OF VOTE

At a duly authorized meeting of the Board of Directors of the SNE BUILDING SYSTEMS, INC, held on January 2, 2015, it was voted that Byron C Bailey, President of the Company, be and he hereby is authorized to execute contracts and bonds in the name on behalf of said Company, and affix its Corporate Seal thereto; and such execution of any contract or obligation in this Company's name on its behalf by such officer under seal of the Company; shall be valid and binding upon this Company.

I hereby certify that I am the Clerk of the above name corporation, and that Byron C Bailey is duly elected officer as above said Company, and that the above vote has not been amended or rescinded and remains in full force and effect as of the date of this contract.

7/3/25

Date

R. Lindsay Drisko

Clerk R Lindsay Drisko

Corporate Seal

OFFICE OF THE DIRECTOR OF FINANCE
THE CITY OF WATERBURY
CONNECTICUT

Date: July 3, 2025

To: Michael DiGiovancarlo,
President, Board of Aldermen

Members, Board of Aldermen
Mayor Paul K. Pernerewski, Jr.

From: Michael LeBlanc, Director of Finance MJL

Subject: 55 Cole St. and 320 East Main Street (Former St. Mary's Elementary School Complex) Real Estate Purchase and Sale Agreement with KayBar Development Corp.

Kindly give favorable consideration by approving a Real Estate Purchase and Sale Agreement to sell 55 Cole St. and 320 East Main Street, (the former St. Mary's Elementary School Complex) with KayBar Development Corp. at a purchase price of $1,600,000.

Pursuant to the provisions of Connecticut General Statutes § 7-163e, a "Public Hearing" must be held prior to final vote on the property sale. I respectfully request that a public hearing on this matter be set for the evening of Monday, August 11, 2025.

In late calendar 2023 the City acquired certain real property located at 55 Cole Street & 320 East Main Street, (St. Mary's School Buildings (3), Convent, and Storage Building) for the purchase price of $1,000,000 from the Corporation of the Church of the Immaculate Conception. Vacated in 2018, the City acquired the Property with the ultimate goal of redevelopment.

Last fall the City issued RFP No. 8254 for the Purchase & Redevelopment of the Former St. Mary's Elementary School Complex into residential housing, with a focus on housing for people working in the health care industry. Connecticut Public Act 22-118 Section 359 allows for the State's issuance of up to $20MM in bonds for the development of housing for health care workers. The City believes the Property is a prime site for the potential deployment of these funds being adjacent to St. Mary's Hospital.



KayBar Development Corp. was selected as the successful respondent to RFP No. 8254 due in large part to recent past, successful experiences in commercial and housing redevelopment projects within the City. These projects include:

- The purchase & successful redevelopment of the former Odd Fellows Building
- The purchase & successful redevelopment of the former Howland Hughes Building
- The purchase & successful redevelopment of the Brown Building

Additional considerations included KayBar's commitment to provide increased private funding as needed depending on the amount of grant assistance obtained from the Department of Housing and the offering of the highest purchase price of $1.6 million.

KayBar has proposed the following three development scenarios each contemplating an $18-$20 Million project budget for the development of eighty (80) housing units.

Financing & Construction Projections	Financing $	Total Units	Existing Complex	New Building	Grant $	Private $	Type
Scenario #1	18-20 Million	80	100%	100%	16,000,000	3-5 Million	100% Median-Income/Workforce Housing
Scenario #2	18-20 Million	80	100%	100%	10,000,000	8-10 Million	70% Median-Income/Workforce Housing; 30% Fair Market
Scenario #3	18-20 Million	80	100%	100%	6,000,000	12-14 Million	50% Median-Income/Workforce Housing; 50% Fair Market

All three scenarios include the full renovation of the four existing buildings, comprising 40 apartments, and the construction of a new building with 40 additional apartments, bringing the total to 80 apartments. The apartment units will range in size from one to three bedrooms. Additionally, these scenarios facilitate the comprehensive redevelopment of both lots, including upgraded parking facilities, a playground, and renovations to Building 5 to establish a community amenity space. The overall beautification plan will address landscaping, perimeter and site security, and curb appeal enhancements.

Since issuance of the award letter on RFP No. 8254, KayBar has been diligently working with the Department of Housing in KayBar's preparation of an application for State healthcare workforce housing development assistance. KayBar has advised the City that the application for State assistance will be finalized and submitted in August with completion of 90 percent design development plans.

Pursuant to the provisions of Connecticut General Statutes § 8-24, a request for a recommendation to sell 55 Cole St. and 320 East Main Street has been submitted to the City Plan Commission for addition to the July 9, 2025 meeting agenda for consideration.

PURCHASE AND SALE AGREEMENT

This PURCHASE AND SALE AGREEMENT (this "**Agreement**"), dated as of the _____ day of _____, 2025 (the "**Effective Date**"), is entered into between City of Waterbury, a municipal corporation organized and existing under the laws of the State of Connecticut ("**Seller**"), and KayBar, Development Corp., a New York corporation ("**Purchaser**"), having an address at 17A Whittier Hill Road, North Salem, New York 10560.

RECITALS

WHEREAS, Seller is the owner of the Property (as hereinafter defined); and

WHEREAS, subject to the terms and conditions hereof, Seller desires to sell to Purchaser the Property and Purchaser desires to purchase the Property from Seller.

WHEREAS, Purchaser responded to a Request for Proposal (RFP #8254) ("**Request for Proposal**"), dated September 6, 2024, and has agreed to purchase and redevelop the Property into work force housing.

WHEREAS, Seller notified Purchaser that it was the successful respondent to the Request for Proposal on January 17, 2025.

NOW, THEREFORE, in consideration of the mutual covenants and agreements hereinafter set forth and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows:

ARTICLE I
CONVEYANCE OF THE PROPERTY

Section 1.01 Subject of Conveyance. Seller agrees to sell and convey to Purchaser and Purchaser agrees to purchase from Seller, upon the terms and conditions hereinafter set forth, all right, title, and interest of Seller in and to the following property (collectively referred to herein as the "**Property**"):

(a) All those certain lots, pieces, or parcels of land located at 55 Cole Street and 320 East Main Street, Waterbury, County of New Haven, and State of Connecticut, occupied by the former parochial school, St. Mary's Elementary School, as more particularly bounded and described in Exhibit A attached hereto and hereby made a part hereof (the "**Land**"). The Land is located in the Central Business District (CBD) zoning district in the City of Waterbury and currently includes approximately 2.21 acres of land, five buildings, and parking areas;

(b) All buildings and improvements located on the Land and any and all fixtures attached thereto (collectively, the "**Improvements**");

(c) All equipment, machinery, apparatus, appliances, and other articles of personal property located on and used in connection with the operation of the Improvements (collectively, the "**Personal Property**"), to the extent owned by Seller;

(d) All rights appurtenant to the Land, if any, including without limitation, any strips and gores abutting the Land, and any land lying in the bed of any street, road, or avenue in front of, or adjoining the Land, to the center line thereof;

(e) All other rights, privileges, easements, licenses, appurtenances, and hereditaments relating to the Land.

Section 1.02 AS-IS.

(a) Except for the representations and warranties set forth herein and as expressly set forth in this Agreement to the contrary, Purchaser acknowledges that Purchaser is purchasing the Property "AS-IS, WHERE IS, AND WITH ALL FAULTS" and in the condition existing as of the date of this Agreement. Purchaser has undertaken or shall undertake all such investigations of the Property as Purchaser deems necessary or appropriate under the circumstances as to the status of the Property and the existence or nonexistence of curative action to be taken with respect to any hazardous or toxic substances on or discharged from the Property, and based upon same, Purchaser is and will be relying strictly and solely upon such inspections and examinations and the advice and counsel of its own consultants, agents, legal counsel, and officers.

(b) Neither party to this Agreement is relying on any statement or representation not expressly stated in this Agreement. Purchaser specifically confirms and acknowledges that in entering into this Agreement, Purchaser has not been induced by, and has not relied upon, whether express or implied, warranties, guaranties, promises, statements, inducements, representations, or information pertaining to the Property or its uses, the physical condition, environmental condition, state of title, income, expenses, or operation of the Property, or any other matter or thing with respect thereto, written or unwritten, whether made by Seller or any agent, employee, or other representative of Seller, or any broker or any other person representing (or purporting to represent) Seller, which are not expressly set forth in this Agreement.

(c) Purchaser acknowledges that the Property is restricted by that certain deed covenant recorded in that certain Correcting Statutory Warranty Deed at Volume 8934, Page 245 of the City of Waterbury land records (the "**Deed Covenant**") and takes title, as set forth herein, subject to the restrictions in said Deed Covenant.

(d) The provisions of this Section 1.02 shall survive the Closing and shall not be deemed to have merged into any of the documents executed or delivered at the Closing.

ARTICLE II
PURCHASE PRICE

Section 2.01 Purchase Price and Deposit. The purchase price to be paid by Purchaser to Seller for the Property is One Million Six Hundred Thousand and 00/100 Dollars ($1,600,000) (the "**Purchase Price**"). The Purchase Price shall be payable as follows:

(a) Simultaneously with the execution and delivery of this Agreement by Purchaser, Purchaser shall pay the sum of Twenty-Five Thousand and 00/100 Dollars ($25,000) (the "**Deposit**") by wire transfer of immediately available federal funds to the Seller who shall hold it in escrow. Notwithstanding Purchaser's access rights granted in Article 3 of this Agreement, Purchaser acknowledges and agrees that Buyer shall not have any so-called "due diligence period," and Seller is permitting such rights of access as a courtesy to Purchaser in its preparation for taking title to the Property. For the avoidance of doubt, except as provided in Section 2.02, Purchaser shall have no right of termination, no right to an adjustment in the Purchase Price, no right to a return of the Deposit, nor any other remedies in connection with its access and inspection rights herein.

(b) The balance of the Purchase Price in the amount of One Million Five Hundred Seventy-Five Thousand and 00/100 Dollars ($1,575,000) shall be paid to Seller on the Closing Date, subject to any credits or apportionments as provided for under this Agreement, simultaneously with delivery of the Deed, by one or more wire transfers of immediately available federal funds. Purchaser shall deposit balance of the Purchase Price with Seller at least two (2) Business Days prior to Closing.

Section 2.02 Financing Contingency. The parties hereto expressly agree and acknowledge that Purchaser's obligations to pay the Purchase Price and otherwise consummate the transactions contemplated hereby are solely conditioned upon Purchaser obtaining Six Million Dollars ($6,000,000) in funding from the State of Connecticut Department of Housing or the Connecticut Municipal Development Authority (the "**Financing**"). Purchaser's inability to obtain Financing shall be the only basis for allowing Purchaser to terminate this Agreement. Only in the event: (i) Purchaser does not obtain Financing, (ii) notifies Seller in writing, (iii) provides a letter from the State of Connecticut stating that the Financing will not be granted to Purchaser; and (iv) Purchaser terminates this Agreement, then Purchaser shall be entitled to obtain a refund of the entire $25,000 of the Deposit.

ARTICLE III
DUE DILIGENCE INVESTIGATION

Section 3.01 Environmental. Purchaser acknowledges that Seller has provided to Purchaser the Tighe & Bond "Targeted Hazardous Building Material Assessment Report," dated February 23, 2024 (the "Report"). As documented in the Report: (i) asbestos-containing materials; (ii) lead-based paints; (iii) polychlorinated biphenyl-containing

materials; and (iv) mold-impacted building materials and contents are present in the buildings on the Property (collectively the "HazMat Materials"). Purchaser understands that the Report is only a preliminary assessment of the HazMat Materials on the Property. Purchaser understands and agrees that it is purchasing the Property "AS IS" and is solely responsible for completing more comprehensive environmental assessments of the HazMat Materials on the Property in full and strict compliance with applicable laws post-closing. Purchaser will require worker training and certifications and safety protocols and procedures to be in place for the HazMat Materials in connection with any entry onto or work on the Property for any environmental or any other due diligence. Purchaser acknowledges that Seller has provided to Purchaser the Phase I Environmental Site Assessment Report, dated September 25, 2024, prepared by the environmental consulting firm of Tighe & Bond. Purchaser agrees that no other environmental reports or testing (including Phase IIs or IIIs or other subsurface investigations) will be completed pre-closing, except Seller agrees that Purchaser can complete additional environmental investigations in the form of a Phase II or III solely if required for the Financing by the State of Connecticut Department of Housing or the Connecticut Municipal Development Authority. Purchaser agrees to initiate Phase II or III studies within five (5) business days of notice from the State of Connecticut Department of Housing or the Connecticut Municipal Development Authority that such investigations are required and will have them completed within thirty (3) business days of initiation of the investigations. The Purchaser shall keep the Seller advised of the status of the investigations and shall provide Seller with copies of any Phase II or III environmental reports and lab data within three (3) business days of receipt. To the extent that Purchaser has waived to undertake inspections and investigations as a condition to the completion of the Closing under the terms of this Agreement, Buyer has knowingly and voluntarily done so.

Section 3.02 Purchaser's Access and Inspections. At any time prior to the Closing (including during the Due Diligence Period), and at all times, subject to Section 3.04, Purchaser and its agents, employees, consultants, inspectors, appraisers, engineers, and contractors (collectively, "**Purchaser's Representatives**") shall have the right to enter upon and pass through the Property during normal business hours (9:00 a.m. to 5:00 p.m.) to examine and inspect the same, as well as conduct reasonable tests, studies, investigations, and surveys to assess utility availability, soil conditions, and the physical condition the Property (the "**Inspections**").

Section 3.03 Purchaser's Right to Inspect.

(a) In conducting the Inspections or otherwise accessing the Property, Purchaser shall at all times comply with all laws and regulations of all applicable governmental authorities and maintain insurance in the amounts and of the types set forth on Exhibit B attached hereto and made a part hereof and provide evidence of same to Seller prior to Purchaser's or Purchaser's Representatives first entry onto the Property to conduct any Inspection. In connection with such Inspections, neither Purchaser nor any of Purchaser's Representatives shall: (i) unreasonably interfere with or permit unreasonable interference with any person occupying or providing service at the Property; or (ii) cause any damage to the Improvements or the Property.

(b) Purchaser shall schedule and coordinate all Inspections or other access thereto with Seller and shall give Seller at least two (2) Business Days' prior notice thereof. Seller shall be entitled to have a representative present at all times during each such inspection or other access. Purchaser will require worker training and certifications and safety protocols and procedures to be in place for the HazMat Materials in connection with any entry onto or work on the Property.

ARTICLE IV
PURCHASER COVENANTS

Section 4.01 Purchaser's Environmental Assumption, Release and Indemnity. Notwithstanding anything herein to the contrary, at the Closing Purchaser agrees to assume liability for all environmental conditions currently existing or hereafter found over, on, in or under the Property and further agrees to release and hold the Seller harmless from and against and fully indemnify Seller from and against any and all losses, claims, expenses, obligations, damages, liabilities, payments, fines, penalties, causes of action, liens and expenses, including claims for personal injury and/or death and/or damage to property or the environment (including, but not limited to, natural resource damages) and reasonable attorneys' and consultants' fees arising from any and all Environmental Requirements and/or Hazardous Materials. This assumption, release and indemnity shall survive closing and shall inure to the benefit of the Seller and its respective heirs, executors, administrators, personal and legal representatives, predecessors, successors, assigns, officers, directors, and employees and shall be binding upon the Purchaser and its respective heirs, executors, administrators, personal and legal representatives, predecessors, successors, assigns, officers, directors, and employees heirs, executors, administrators, personal and legal representatives, successors and permitted assigns.

Section 4.02 Definitions. As used in this Section and in the Agreement, "**Hazardous Materials**" shall mean any substance which is or contains (i) any "hazardous substance" as now or hereafter defined in §101(14) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended (42 U.S.C. §9601 et seq.) ("CERCLA") or any regulations promulgated under CERCLA; (ii) any "hazardous waste" as now or hereafter defined in the Resource Conservation and Recovery Act (42 U.S.C.§6901 et seq.) ("RCRA") or regulations promulgated under RCRA; (iii) any substance regulated by the Toxic Substances Control Act (15 U.S.C. §2601 et seq.); (iv) gasoline, diesel fuel, or other petroleum hydrocarbons; (v) asbestos and asbestos containing materials, in any form, whether friable or non-friable; (vi) polychlorinated biphenyls; (vii) radon gas; (viii) mold and (ix) any additional substances or materials which are now or hereafter classified or considered to be hazardous or toxic under Environmental Requirements (as hereinafter defined) or the common law, or any other applicable laws relating to the Property. Hazardous Materials shall include, without limitation, any substance, the presence of which on the Property, (A) requires reporting, investigation or remediation under Environmental Requirements; (B) causes or threatens to cause a nuisance on the Property or adjacent property or poses or threatens to pose a hazard to the health or safety of persons on the Property or adjacent property; or (C) which, if it emanated or migrated from the Property, could constitute a trespass. As used in this Section an in the Agreement, "**Environmental**

Requirements" shall mean all laws, ordinances, statutes, codes, rules, regulations, agreements, judgments, orders, guidance documents and decrees, now or hereafter enacted, promulgated, or amended or applied in draft form, of the United States, the states, the counties, the cities, or any other political subdivisions in which the Property is located, and any other political subdivision, agency or instrumentality exercising jurisdiction over the owner of the Property, the Property, or the use of the Property, relating to pollution, the protection or regulation of human health, natural resources, or the environment, or the emission, discharge, release or threatened release of pollutants, contaminants, chemicals, or industrial, toxic or hazardous substances or waste or Hazardous Materials into the environment (including, without limitation, ambient air, surface water, ground water or land or soil).

Section 4.03 Declaration of Covenants. Within fifteen (15) days after the Effective Date, Seller shall deliver to Purchaser a draft Declaration of Covenants (the "**Declaration of Covenants**"), to be recorded at or prior to Closing, by which the Property is restricted further to the primary use of work force housing. Purchaser shall have the right to review and comment on such Declaration of Covenants prior to the conclusion of the Due Diligence Period.

Section 4.04 Development Plan. Purchaser's plan to develop the Property (the "**Development Plan**") shall materially conform to Purchaser's proposals set forth in response to the Request for Proposal. Under the Declaration of Covenants, Purchaser shall agree to develop and use the Property substantially in accordance with the Development Plan. The Development Plan shall be provided to the Seller within fifteen (15) days of the execution of the Agreement and shall be satisfactory to the Seller in its reasonable discretion. If the Development Plan is not satisfactory to Seller in its reasonable discretion, Seller may terminate this Agreement by written notice to Purchaser prior to the Closing Date.

Section 4.05 Performance and Completion Guaranty. Simultaneously with the execution of this Agreement, Purchaser or its affiliate (as same may be reasonably acceptable to Seller) shall execute the Performance and Completion Guaranty, attached hereto as Exhibit E (the "**Guaranty**"), and/or provide a performance bond to the Seller, which shall be reasonably acceptable to the Seller, obligating Purchaser, upon Closing, to develop the Property in accordance therewith.

ARTICLE V
CLOSING

Section 5.01 Closing Date.

(a) The closing of the transaction contemplated by this Agreement (the "**Closing**") shall take place no later than 5:00 p.m. Eastern Time on Monday, September 15, 2025 (the "**Closing Date**"). The Parties agree that the Closing Date will be extended up to thirty (30) days provided Purchaser has not received the Financing or was awarded Financing after August 15, 2025. The Purchaser may request an additional extension of the Closing Date, which shall not be unreasonably

withheld, provided Purchaser has not received the Financing as of October 15, 2025 but is diligently pursing the Financing, has provided all necessary documents to the State of Connecticut Department of Housing or the Connecticut Municipal Development Authority, and there is no unnecessary delay in the Financing due to Purchaser's failure to respond to the agencies or take any action requested by the agencies. Notwithstanding, the Closing shall occur no later than December 15, 2025. Purchaser acknowledges and agrees that **TIME SHALL BE OF THE ESSENCE** with respect to the performance by Purchaser of its obligations to purchase the Property, pay the Purchase Price, and otherwise consummate the transactions contemplated in this Agreement on the Closing Date.

Section 5.02 Seller's Closing Deliverables. At Closing, Seller shall deliver or cause to be delivered to Purchaser the following, executed, certified, and acknowledged by Seller as appropriate:

(a) A special warranty deed (the "Deed"), in a form reasonably acceptable to the Title Company so as to convey title to the Property as required by this Agreement, containing the deed restriction language set forth in Exhibit C. The delivery of the Deed by Seller, and the acceptance by Purchaser, shall be deemed the full performance and discharge of every obligation on the part of Seller to be performed pursuant to this Agreement, except those obligations of Seller which are expressly stated in this Agreement to survive the Closing.

(b) State of Connecticut and City of Waterbury conveyance transfer tax forms.

(c) A bill of sale (the "**Bill of Sale**") in substantially the form attached hereto as Exhibit D, executed by Seller, conveying to Purchaser all Seller's right, title, and interest in and to the Personal Property, equipment, and other tangible property included in this sale, together with all state and local sales tax returns, and payment of all sales taxes payable in connection with such conveyance of Personal Property. The Bill of Sale will be delivered without any Seller representations or warranties.

(d) Evidence demonstrating City council approval of the sale of the Property.

(e) A 1099S Form.

(f) A certification that Seller is not a "foreign person" as such term is defined in Section 1445 of the Internal Revenue Code, as amended and the regulations thereunder, which certification shall be signed under penalty of perjury.

(g) An original title affidavit in a form reasonably acceptable to Seller and the Title Insurance Company.

(h) A counterpart of a closing statement jointly prepared by Seller and Purchaser reflecting the prorations and adjustments required under Section 5.05 of this Agreement and the balance of the Purchase Price due Seller.

(i) All other documents reasonably necessary or otherwise required by the Escrow Agent and Title Insurance Company to consummate the transaction contemplated by this Agreement.

Section 5.03 Purchaser's Closing Deliverables. On the Closing Date, Purchaser shall deliver or cause to be delivered to Seller the following, executed, certified, and acknowledged by Purchaser as appropriate:

(a) The balance of the Purchase Price as set forth in Section 2.01(b).

(b) Executed Performance and Completion Guaranty that shall commence upon the Closing Date.

(c) The Declaration of Covenants, signed and acknowledged by Seller.

(d) Purchaser shall, where applicable, join with Seller in the execution and delivery of the closing documents and instruments required under Section 5.02 of this Agreement.

(e) A resolution of the members of Purchaser authorizing the transaction contemplated hereby and the execution and delivery of the documents required to be executed and delivered hereunder.

(f) All other documents reasonably necessary or otherwise required by the Escrow Agent or the Title Insurance Company to consummate the transactions contemplated by this Agreement.

Section 5.04 Closing Costs.

(a) Seller and Purchaser shall each pay the fees and expenses of its own counsel in connection with the preparation and negotiation of this Agreement. The Deed and other agreements and instruments related to the transaction contemplated by this Agreement and such legal costs shall not be part of the closing costs; provided, however, that if any legal action is instituted under this Agreement, the prevailing party in such action shall be entitled to recover from the other party costs related to such legal action, including reasonable attorneys' fees and costs in all trial, appellate, post-judgment, and bankruptcy proceedings.

(b) Seller shall pay:

(i) All state and local transfer and conveyance taxes;

(ii) One-half of Escrow Agent's fees;

(iii) All recording fees for the release of any liens on the Property, as required pursuant to the terms of this Agreement.

(c) Purchaser shall pay:

(i) The costs charged by the Title Insurance Company, including, without limitation, costs related to the Title Commitment, any premiums, title endorsements, and affirmative insurance;

(ii) The costs related to the Purchaser's Survey and any other survey or survey update;

(iii) One-half of Escrow Agent's fees;

(iv) Any other fees or costs related to Purchaser's due diligence reviews;

(v) Any transfer fees charged by the issuer of any letters of credit; and

(vi) All recording fees payable in connection with the recording of the Deed and Purchaser's lender's security instruments, if any.

Section 5.05 Apportionments. The following shall be apportioned as of 11:59 p.m. Eastern Time on Friday, September 12, 2025, unless the Closing Date is extended as set forth in Section 5.01 or unless expressly provided for otherwise:

(a) All water and sewer charges based on the fiscal year for which they are assessed, unless the meters are read on the date immediately preceding the Closing Date.

(b) Utilities, fuel, gas, and electric charges based on most recently issued bills, unless the meters are read on the date immediately preceding the Closing Date.

(c) All other items customarily apportioned in connection with sales of buildings substantially similar to the Property in the State of Connecticut.

Section 5.06 Miscellaneous. Any errors in calculations or apportionments shall be corrected or adjusted as soon as practicable after the Closing Date. The provisions of this Section 5.06 and Section 5.05 shall survive the Closing Date.

ARTICLE VI
TITLE MATTERS AND VIOLATIONS

Section 6.01 Acceptable Title. Seller shall convey, and Purchaser shall accept, such title to the Property that Chicago Title Insurance Company (the "**Title Insurance Company**") would be willing to insure, in accordance with the terms and conditions of this Agreement, subject to:

(a) The Permitted Exceptions; and

(b) Such other matters as any Title Insurance Company shall be willing to omit as exceptions to coverage or the Title Insurance Company lists as a title exception but provides affirmative title coverage over said title exception against the Property.

Section 6.02 Permitted Exceptions. The Property shall be sold, assigned, and conveyed by Seller to Purchaser, and Purchaser shall accept and assume same, subject to the following matters (collectively, the "**Permitted Exceptions**"):

(a) Any and all present and future zoning, building, environmental, and other laws, statutes, ordinances, codes, rules, regulations, requirements, or executive mandates of all governmental authorities having jurisdiction with respect to the Property, including, without limitation, landmark designations and all zoning variances and special exceptions, if any.

(b) Possible encroachments and/or projections of stoop areas, roof cornices, window trims, vent pipes, cellar doors, steps, columns and column bases, flue pipes, signs, piers, lintels, windowsills, fire escapes, satellite dishes, protective netting, sidewalk sheds, ledges, fences, coping walls (including retaining walls and yard walls), air-conditioners, and the like, if any, on, under, or above any street or highway, the Property, or any adjoining property.

(c) Any state of facts that an accurate survey of the Property would disclose.

(d) All presently existing and future liens for unpaid real estate taxes, assessments, and water and sewer charges that are not due and payable as of the Closing Date, subject to any apportionments as provided for in this Agreement.

(e) All covenants, restrictions, and rights of record, and all easements and agreements of record for the erection and/or maintenance of water, gas, steam, electric, telephone, sewer or other utility pipelines, poles, wires, conduits, or other like facilities, and appurtenances thereto, over, across, and under the Property.

(f) Party walls and party wall rights; beams and beam rights; the possible revocable nature of or lack of right to maintain vaults or other improvements or installations beyond building or property lines.

(g) Variations between tax lot lines and lines of record title, provided same do not render title unmarketable.

(h) Any lien or encumbrance arising out of the acts or omissions of the Purchaser.

(i) Any exceptions disclosed on Schedule B of the Title Commitment (as hereinafter defined) which will be extinguished upon the transfer of the Property.

(j) Any exceptions disclosed on Schedule B of the Title Commitment which are the responsibility of any tenant under the Leases to cure, correct, and remove of record.

(k) The standard conditions and exceptions to title contained in the form of title policy or "marked-up" Title Commitment issued to Purchaser by the Title Insurance Company.

Section 6.03 Title.

(a) Purchaser shall promptly order at its sole cost and expense:

(i) A commitment for title insurance from the Title Insurance Company, together with true, legible (to the extent available) and complete copies of all instruments giving rise to any defects or exceptions to title to the Property (collectively, the "**Title Commitment**"), which Title Commitment shall be delivered to counsel for both Purchaser and Seller concurrently, but in no event later than August 15, 2025; and

(ii) A new survey of the Property, prepared by a surveyor licensed by Connecticut ("**Purchaser's Survey**"), which Purchaser's Survey shall be delivered to counsel for both Purchaser and Seller concurrently. To the best of Seller's knowledge, it is not in possession of any existing surveys for the Property. To the extent, Seller identifies any existing surveys, it will provide a copy to the Purchaser as soon as possible.

(b) Purchaser shall deliver to Seller, and Seller's attorney, in writing, any objections to the exceptions to title (the "Title Objections") set forth in the Title Commitment or Purchaser's Survey, other than the Permitted Exceptions (collectively, "**Title Objection Notice**"), by no later than August 30, 2025 ("**Title Objection Date**"). The failure by Purchaser, or Purchaser's attorney, to deliver the Title Objection Notice on or before the Title Objection Date shall constitute Purchaser's irrevocable acceptance of the Title Commitment and Purchaser's Survey and Purchaser shall be deemed to have unconditionally waived any right to object to any matters set forth therein. If Purchaser objects to any exceptions, Seller shall, within ten (10) days after receipt of Purchaser's objections, either: (i) attempt (but shall not be obligated except to the extent expressly required hereunder) to remove the exception(s) to which Purchaser has objected or otherwise cure Purchaser's objections or (ii) notify Purchaser of its intent not to cure any of Purchaser's objections; provided in all events Seller shall be obligated to remove any Voluntary Liens, as hereinafter defined. If, after giving the Title Objection Notice to Seller and Seller's attorney, Purchaser receives any amendment or update to the Title Commitment or to Purchaser's Survey showing any title defects which Purchaser claims are not Permitted Exceptions, Purchaser shall give written notice thereof to Seller immediately after the date Purchaser receives such evidence and Purchaser shall be deemed to have unconditionally waived any such matters which it fails to give such notice to Seller within five (5) days after the date Purchaser receives same. Purchaser acknowledges and agrees that **TIME IS OF THE ESSENCE** with

respect to all time periods relating to Purchaser's obligations set forth in this Section 6.03.

Section 6.04 Seller's Inability to Convey.

(a) Seller shall use commercially reasonable efforts to eliminate all Title Objections by the Closing Date that it has agreed to remove or have the Title Insurance Company provide affirmative insurance over those objections. If Seller is unable to eliminate any Title Objection or have the Title Insurance Company provide affirmative insurance over those objections by the Closing Date, Seller shall provide written notice of same to Purchaser and then, unless the same is waived by Purchaser in writing, in its sole and absolute discretion, Purchaser may either: (i) terminate this Agreement by written notice to Seller and Escrow Agent delivered on or before the Closing Date, in which event Purchaser shall be entitled to a return of the Deposit, and this Agreement shall thereupon be deemed terminated and of no further effect, and neither party hereto shall have any obligations to the other hereunder or by reason hereof, except for the provisions hereof that expressly survive termination of this Agreement; or (ii) complete the purchase (with no reduction in the Purchase Price) with such title as Seller is able to convey on the Closing Date.

(b) Notwithstanding anything to the contrary contained in this Agreement, Seller shall not be required to take or bring any action, proceeding, or any other steps to remove any defect in or objection to title or to fulfill any condition precedent to Purchaser's obligations under this Agreement or to expend any moneys therefor, nor shall Purchaser have any right of action against Seller therefor, at law or in equity, except that Seller shall, on or prior to the Closing, pay, discharge, remove of record, or cause to be paid, discharged, or removed of record, any Voluntary Lien at Seller's sole cost and expense. The term "**Voluntary Liens**" as used herein shall mean any lien and other encumbrances (other than Permitted Exceptions) which: (i) Seller has knowingly and intentionally placed (or allowed to be placed) on the Property, including, without limitation, mortgages and mechanic's liens; (ii) are in a liquidated amount; and (iii) may be satisfied solely by the payment of money. For all Voluntary Liens, other than mortgages and mechanic's liens, Seller shall have no obligation to pay, discharge, or remove of record Voluntary Liens, in the aggregate, in an amount greater than Five Thousand and 00/100 Dollars ($5,000.00).

(c) Notwithstanding anything in this Section 6.04 above to the contrary, Purchaser may at any time accept such title as Seller can convey, without reduction of the Purchase Price or any credit or allowance on account thereof or any claim against Seller. The acceptance of the Deed by Purchaser shall be deemed to be full performance of, and discharge of, every agreement and obligation on Seller's part to be performed under this Agreement, except for such matters which are expressly stated to survive the Closing hereunder.

Section 6.05 Violations. Notwithstanding anything to the contrary in this Agreement, Purchaser shall accept title to the Property subject to any and all violations or

any notices of violations of law or municipal ordinances, orders or requirements noted or issued prior to, on or after the date of this Agreement (collectively, the "**Violations**"), if any. Purchaser acknowledges and accepts that Seller shall not be obligated to comply with, take any action, or incur any expense in connection with any Violations. If requested by Purchaser, Seller shall furnish Purchaser with an authorization to make any required violation searches against the Property.

ARTICLE VII
REPRESENTATIONS AND WARRANTIES

Section 7.01 Seller's Representations and Warranties. Seller represents and warrants to Purchaser on and as of the date of this Agreement, and on and as of the Closing Date, as follows:

(a) Seller is a municipal corporation organized and existing under the laws of the State of Connecticut.

(b) The execution, delivery, and performance of this Agreement by Seller and all agreements, instruments, and documents herein provided to be executed by Seller on the Closing Date have been duly authorized by the City of Waterbury.

(c) Seller is not a "foreign person" as such term is defined in Section 1445 of the Internal Revenue Code or any regulations promulgated thereunder, as amended.

(d) To Seller's actual knowledge, there is no pending or threatened litigation or condemnation action against the Property or against Seller with respect to the Property as of the date of this Agreement.

(e) Seller is not, and will not become, a person or entity with whom United States persons or entities are restricted or prohibited from doing business under regulations of the Office of Foreign Asset Control ("**OFAC**") of the Department of the Treasury (including those named on OFAC's specially designated and blocked persons list) or under any statute, executive order (including the September 24, 2001, Executive Order Blocking Property and Prohibiting Transactions With Persons Who Commit, Threaten to Commit, or Support Terrorism), or other governmental action and is not and will not engage in any dealings or transactions or be otherwise associated with such persons or entities.

Section 7.02 Purchaser's Representations and Warranties. Purchaser represents and warrants to Seller on and as of the date of this Agreement and on and as of the Closing Date as follows:

(a) Purchaser is a corporation duly formed, validly existing, and in good standing under the laws of the State of New York.

(b) The execution, delivery, and performance of this Agreement by Purchaser and all agreements, instruments, and documents herein provided to be

executed by Purchaser on the Closing Date: (i) do not violate the Operating Agreement of Purchaser, or any contract, agreement, commitment, lease, order, judgment, or decree to which Purchaser is a party; and (ii) have been duly authorized by the resolutions of the members of Purchaser and the appropriate and necessary action has been taken by such members on the part of Purchaser. This Agreement is valid and binding upon Purchaser, subject to bankruptcy, reorganization, and other similar laws affecting the enforcement of creditors' rights generally.

(c) Purchaser has not violated any contract, agreement, or other instrument to which Purchaser is a party nor any judicial order, judgment, or decree to which Purchaser is bound by: (i) entering into this Agreement; (ii) executing any of the documents Purchaser is obligated to execute and deliver on the Closing Date; or (iii) performing any of its duties or obligations under this Agreement or otherwise necessary to consummate the transactions contemplated by this Agreement.

(d) There are no actions, lawsuits, litigation, or proceedings pending or threatened in any court or before any governmental or regulatory agency that affect Purchaser's power or authority to enter into or perform this Agreement. There are no judgments, orders, or decrees of any kind against Purchaser unpaid or unsatisfied of record, or, to the best of Purchaser's knowledge, threatened against Purchaser, which would have any material adverse effect on the business or assets or the condition, financial or otherwise, of Purchaser or the ability of Purchaser to consummate the transactions contemplated by this Agreement.

(e) Except for the express representations and warranties of Seller found in Section 7.01, Purchaser is acquiring the Property on an "AS IS, WHERE IS" basis, without any representation or warranty of any kind or nature whatsoever, express or implied, and Purchaser acknowledges that no such representations or warranties have been made except as set forth in writing herein. In deciding whether to acquire the Property, Purchaser is relying solely on Purchaser's investigation of the Property

(f) Purchaser is not, and will not become, a person or entity with whom United States persons or entities are restricted or prohibited from doing business under regulations of OFAC (including those named on OFAC's specially designated and blocked persons list) or under any statute, executive order (including the September 24, 2001, Executive Order Blocking Property and Prohibiting Transactions With Persons Who Commit, Threaten to Commit, or Support Terrorism), or other governmental action and is not and will not engage in any dealings or transactions or be otherwise associated with such persons or entities.

ARTICLE VIII
LEASES

Section 8.01 Leases.

(a) Notwithstanding anything to the contrary in this Agreement, until the Closing or earlier termination of this Agreement, Seller shall not enter into any lease encumbering any part of the Property.

(b) Seller shall not enter into any new agreements with any brokers in connection with any prospective tenants for new leases from the date of this Agreement through the Closing Date, or earlier termination of this Agreement. There shall be no apportionment between Seller and Purchaser of any commission payments arising under such agreements and originating from prospective tenants who after the Closing Date become tenants of the Property.

ARTICLE IX
RISK OF LOSS

Section 9.01 Risk of Loss. If prior to the Closing Date any portion of the Property shall be taken by condemnation or eminent domain or damaged or destroyed by fire or other casualty, neither party shall have the right to cancel this Agreement. Purchaser shall purchase the Property in accordance with this Agreement, and the Purchase Price shall not be reduced; provided, however, Seller's rights to any award resulting from such taking or any insurance proceeds resulting from such fire or other casualty (less any reasonable sums expended by Seller for repair or restoration through the Closing Date) shall be assigned by Seller to Purchaser at the Closing. Purchaser and Seller hereby irrevocably waive the provision of any statute that provides for a different outcome or treatment in the event the Property shall be taken or damaged or destroyed by fire of other casualty.

ARTICLE X
NOTICES

Section 10.01 Delivery of Notices. Unless specifically stated otherwise in this Agreement, all notices, demands, requests, consents, approvals, waivers, or other communications (for purposes of this Section 10.01 collectively referred to as "**Notices**") shall be in writing and delivered to Purchaser, Seller or Escrow Agent, at the addresses set forth in Section 10.02, by one the following methods:

(a) Personal delivery, whereby delivery is deemed to have occurred at the time of delivery;

(b) Overnight delivery by a nationally recognized overnight courier company, whereby delivery is deemed to have occurred on the Business Day following deposit with the courier;

(c) Registered or certified mail, postage prepaid, return receipt requested, whereby delivery is deemed to have occurred on the third Business Day following deposit with the United States Postal Service; or

(d) Electronic transmission (facsimile or email), provided that the transmission is completed no later than 5:00 pm Eastern Time on a Business Day and the original also is sent by personal delivery, overnight delivery or by mail in the manner previously described, whereby delivery is deemed to have occurred at the end of the Business Day on which electronic transmission is completed.

Section 10.02 Parties' Addresses.

(a) Unless changed in accordance with Section 10.02(b) of this Agreement, the addresses for all communications and notices shall be by overnight mail as follows:

If to Seller:
City of Waterbury
235 Grand Street
Waterbury, CT 06702
Phone: 203-574-6840
Attention: Director of Finance and Corporation Counsel (one individual copy to each party)

With a copy to:
Brown Rudnick LLP
185 Asylum Street, 38th Floor
Hartford CT 06103
Phone: 860-509-6500
Attention: Franca DeRosa
Email: fderosa@brownrudnick.com

If to Purchaser:
KayBar Development Corp.
17A Whittier Hills Road
North Salem, NY 10560
Phone: 914-224-7757
Attention: Joe Gramando
Email: gramando@kaybardev.com

With a copy to:

Berlandi Nussbaum & Reitzas LLP
527 Route 22, Suite 2

Pawling, New York 12564
Phone: 646-878-6166
Attention: Brian L. Berlandi, Esq.
Email: bberlandi@bnrllp.com

(b) Any party may, by notice given in accordance with this Article, designate a different address or person for receipt of all communications or notices.

(c) Any notice under this Agreement may be given by the attorneys of the respective parties who are hereby authorized to do so on their behalf.

ARTICLE XI
REMEDIES

Section 11.01 Remedies.

(a) If Purchaser shall default in the observance or performance of Purchaser's obligations under this Agreement and the Closing does not occur as a result thereof (a "**Purchaser Default**"), Seller's sole and exclusive remedy shall be to retain the Deposit plus any accrued interest thereon, if any, as and for full and complete liquidated and agreed damages for Purchaser's Default, and the parties shall be released from further liability to each other hereunder, except for those obligations and liabilities that are expressly stated to survive termination of this Agreement. **SELLER AND PURCHASER AGREE THAT IT WOULD BE IMPRACTICAL AND EXTREMELY DIFFICULT TO ESTIMATE THE DAMAGES WHICH SELLER MAY SUFFER UPON A PURCHASER DEFAULT AND THAT THE DEPOSIT AND ANY INTEREST EARNED THEREON, AS THE CASE MAY BE, REPRESENTS A REASONABLE ESTIMATE OF THE TOTAL NET DETRIMENT THAT SELLER WOULD SUFFER UPON A PURCHASER DEFAULT. SUCH LIQUIDATED AND AGREED DAMAGES ARE NOT INTENDED AS A FORFEITURE OR A PENALTY WITHIN THE MEANING OF APPLICABLE LAW.**

(b) If Seller shall default in the observance or performance of any of the terms of this Agreement, and Purchaser is ready, willing, and able to close in accordance with the terms, provisions, and conditions of this Agreement and the Closing does not occur as a result thereof, Purchaser's sole and exclusive remedy shall be to receive the Deposit plus any accrued interest thereon. Notwithstanding anything to the contrary contained in this Agreement, in no event shall Seller be liable to Purchaser for any damages of any kind whatsoever. Purchaser waives all rights to specific performance or injunctive relief or other relief to cause Seller to perform its obligations under this Agreement.

(c) Upon the release of the Deposit, and any interest accrued thereon, to either Purchaser or Seller, as the case may be, this Agreement shall be deemed null and void and no party hereto shall have any obligations to, or rights against, the other hereunder, except as expressly provided herein.

(d) The provisions of this Article shall survive the Closing or termination of this Agreement.

ARTICLE XII
PURCHASER'S AGREEMENT TO ABIDE BY ETHICS REQUIREMENTS

Section 12.01 Ethics Requirements. Purchaser understands that the Seller, as a municipality, has certain ethics requirements, as set forth in Exhibit F to the Agreement ("**Ethics Requirements**"), and Purchaser fully agrees to abide by and adhere to the Ethic Requirements with no exceptions or deviations.

Section 12.02 Survival. This Article shall survive the Closing or the termination of this Agreement.

ARTICLE XIII
MISCELLANEOUS

Section 13.01 Governing Law. This Agreement shall be governed by, interpreted under, and construed and enforced in accordance with the laws of the State of Connecticut. Any legal action, suit or proceeding in connection with this Agreement or for enforcement of any judgment rendered in any such action, suit or proceeding may be brought in the federal or state courts of the State of Connecticut, and, the parties hereby irrevocably accept and submit to the jurisdiction of the aforesaid courts personally, generally and unconditionally with respect to any such action, suit, or proceeding for itself and in respect of its property.

Section 13.02 Merger; No Representations. This Agreement constitutes the sole and entire agreement of the parties to this Agreement with respect to the subject matter contained herein and supersedes all prior and contemporaneous understandings and agreements, both written and oral, with respect to such subject matter. This Agreement is entered into after full investigation, with no party relying upon any statement or representation not set forth in this Agreement, made by any other party.

Section 13.03 No Survival. Except as otherwise provided in this Agreement, no representations, warranties, covenants, or other obligations of Seller set forth in this Agreement shall survive the Closing and no action based thereon shall be commenced after the Closing.

Section 13.04 Business Days. Whenever any action must be taken (including the giving of notices) under this Agreement during a certain time period (or by a particular date) that ends or occurs on a non-Business Day, then such period (or date) shall be extended until the next succeeding Business Day. As used herein, the term "**Business Day**" shall be deemed to mean any day other than a Saturday, a Sunday, or a legal holiday on which national banks are not open for general business in the State of Connecticut.

Section 13.05 Modifications and Amendments. This Agreement cannot, under any circumstance, be modified or amended orally, and no agreement shall be effective to waive, change, modify, terminate, or discharge this Agreement, in whole or in part, unless such agreement is in writing and is signed by both Seller and Purchaser.

Section 13.06 No Recording. Neither this Agreement nor any memorandum of this Agreement shall be recorded. The recording of this Agreement or any memorandum of this Agreement by Purchaser shall constitute a material default and shall entitle Seller to retain the Deposit and any interest earned thereon.

Section 13.07 Successors and Assigns; Assignment. This Agreement shall be binding upon and shall inure to the benefit of the parties hereto and their respective heirs or successors and permitted assigns. Purchaser may not assign or otherwise transfer this Agreement, or any of its rights or obligations hereunder, without the prior written consent of Seller, which consent may be withheld in Seller's sole discretion. Any purported assignment without Seller's consent shall be void and of no force or effect. Any change in control of Purchaser or of any of the direct or indirect ownership interests in Purchaser, at any level or tier of ownership, whether in one transaction or a series of transactions, shall constitute an assignment for purposes of this Section 13.07. Notwithstanding the foregoing to the contrary, Purchaser may assign this Agreement prior to the Closing Date to an affiliated entity that is owned at least 51% by Joe Gramando, who shall also have the majority of the voting authority for the affiliate.

Section 13.08 Severability. If any term or provision of this Agreement is invalid, illegal, or unenforceable in any jurisdiction, such invalidity, illegality, or unenforceability shall not affect, invalidate, or render unenforceable any other term or provision of this Agreement. Upon such determination that any term or other provision is invalid, illegal, or unenforceable, the parties hereto shall negotiate in good faith to modify this Agreement so as to give effect to the original intent of the parties as closely as possible in a mutually acceptable manner in order that the transactions contemplated by this Agreement be consummated as originally contemplated to the greatest extent possible.

Section 13.09 Further Assurances. Each of the parties hereto shall execute and deliver such additional documents, instruments, conveyances, and assurances and take such further actions as may be reasonably required to carry out the provisions of this Agreement and give effect to the transactions contemplated hereby, provided such documents are customarily delivered in real estate transactions in Connecticut and do not impose any material obligations upon any party hereunder except as set forth in this Agreement.

Section 13.10 Counterparts. This Agreement may be executed by the parties in separate counterparts, each of which when so executed and delivered shall be an original for all purposes, but all such counterparts shall together constitute but one and the same instrument.

Section 13.11 Time Is of the Essence. The parties hereto acknowledge and agree that, except as otherwise expressly provided in this Agreement, **TIME IS OF THE ESSENCE** for the performance of all actions (including, without limitation, the giving of notices, the delivery of documents, and the funding of money) required or permitted to be taken under this Agreement. Whenever action must be taken (including, without limitation, the giving of notice, the delivery of documents, or the funding of money) under this Agreement, prior to the expiration of, by no later than or on a particular date, unless otherwise expressly provided in this Agreement, such action must be completed by 5:00

p.m. Eastern Time on such date. However, notwithstanding anything to the contrary herein, whenever action must be taken (including, without limitation, the giving of Notice, the delivery of documents, or the funding of money) under this Agreement prior to the expiration of, by no later than, or on a particular date that is not a Business Day, then such date shall be extended until the immediately following Business Day.

Section 13.12 Headings. The captions or paragraph titles contained in this Agreement are for convenience and reference only and shall not be deemed a part of the text of this Agreement.

Section 13.13 No Waivers. No waiver by any party of any of the provisions hereof shall be effective unless explicitly set forth in writing and signed by the party providing the waiver. No waiver by either party of any failure or refusal to comply with any obligations under this Agreement shall be deemed a waiver of any other or subsequent failure or refusal to so comply.

Section 13.14 No Offer. This Agreement shall not be deemed an offer or binding upon Seller or Purchaser until this Agreement is fully executed and delivered by Seller and Purchaser.

Section 13.15 Waiver of Jury Trial. SELLER AND PURCHASER HEREBY WAIVE TRIAL BY JURY IN ANY ACTION, PROCEEDING, OR COUNTERCLAIM (WHETHER ARISING IN TORT OR CONTRACT) BROUGHT BY SUCH PARTY AGAINST THE OTHER ON ANY MATTER ARISING OUT OF OR IN ANY WAY CONNECTED WITH THIS AGREEMENT.

Section 13.16 No Brokers. Seller and Purchaser represent that no broker has assisted either party in connection with the sale of the Property.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, the parties have caused this Agreement to be executed as of the date first written above.

PURCHASER:

KayBar Development Corp, a New York corporation

By: Joseph Gramando
Name:
Title:

SELLER:

City of Waterbury, a municipal corporation organized and existing under the laws of the State of Connecticut

By:_____
Name:
Title:

ESCROW AGENT:

[ESCROW AGENT NAME]

By:_____
Name:
Title:

Exhibit A

Property Description

55 Cole Street, Waterbury, CT
Map/Block/Lot: 0295-0073-0043
Approximately 1.81 Acres

320 East Main Street, Waterbury CT
Map/Block/Lot: 0295-0073-0431
Approximately 0.4 Acres

Exhibit B

INSURANCE REQUIREMENTS

1.0 Any designees or contractors of Purchaser that access the Property shall first provide Seller with certificates of insurance evidencing, at a minimum, the insurance coverages listed below, and that Seller has been added as an additional named insured on a primary and non-contributory basis to all policies except for Worker's Compensation Insurance and Professional Liability Insurance, and shall provide coverage for any of the Designees' on-going and completed operations. Further, any designees or contractors of Purchaser shall be responsible for all insurance premiums and the payment of any deductibles and/or self-insured retention.

2.0 Workers' Compensation Insurance as required by applicable law;

3.0 Comprehensive General Liability Insurance with a limit of $2,000,000 per incident and in the aggregate;

4.0 Automobile Liability Insurance with a limit of $2,000,000 per incident and in the aggregate;

5.0 Contractor's Pollution Liability Insurance with a limit of $2,000,000 per incident and in the aggregate, covering off-site and on-site claims of property damage, bodily injury, loss of use, clean-up, disposal, and defense costs; and

6.0 Professional Liability Insurance with a limit of $2,000,000 per incident and in the aggregate.

Exhibit C

Restrictive Covenant Language

Said Property is subject to (a) any and all provisions of any ordinance, municipal regulation, or public or private law; declarations, restrictions, covenants, and easements of record, any state of facts an accurate survey or personal inspection of the Property might reveal, provided they do not render title to the Property unmarketable; (b) current taxes and assessments; (c) a restriction, for a period of five (5) years from October 30, 2023, that the property shall be used for residential purposes, for mixed use residential development, for educational purposes, as a community center, or for social services purposes; and (d) a restriction that the Purchaser will not use the name "Saint Mary" or any derivative thereof in connection with any operations or activities on the subject Property, including property identification.

Exhibit D

Form of Bill of Sale

[To be completed]

Exhibit E

FORM OF PERFORMANCE AND COMPLETION GUARANTY

This PERFORMANCE AND COMPLETION GUARANTY (this "**Guaranty**"), dated as of _____ ___, 2025 is made in favor of City of Waterbury, a municipal corporation organized and existing under the laws of the State of Connecticut (herein, with its successors and assigns, "**Seller**") by _____, ("**Guarantor**") (collectively, the "**Parties**" or, individually, a "**Party**").

WHEREAS, Seller has entered into that certain Purchase and Sale Agreement, dated as of _____, 2025 (the "**Agreement**"), by and between Seller and KayBar, LLC, New York limited liability company, having an address of 17A Whittier Hills Road, North Salem, NY 10560 (herein, with its successors and assigns, "**Purchaser**"), as Purchaser, with respect to the properties located at 55 Cole Street and 320 East Main Street, Waterbury, Connecticut, which property is more particularly described in Exhibit A to the Agreement (the "**Premises**");

WHEREAS, Seller was unwilling to enter into the Agreement unless Guarantor agreed to absolutely and unconditionally guarantee to Seller the prompt and unconditional payment and performance of the Guaranteed Obligations (as defined below), in accordance with and subject to the provisions of this Guranty; and

WHEREAS, Guarantor is a _____ of Purchaser and acknowledges that he has received and will continue to receive substantial benefits from Seller and Purchaser having entered into the Agreement, and Guarantor is entering into this Guaranty on behalf of himself and not on behalf of Purchaser or any of its affiliates.

NOW, THEREFORE, in consideration of Ten Dollars ($10.00), Seller's agreement to enter into the Agreement for the Premises, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Guarantor, intending to be legally bound, hereby guarantees as follows:

1. Definitions. Capitalized terms used but not defined in this Guaranty shall have the meanings given them in the Agreement. In the event of a conflict between capitalized terms defined herein and in the Agreement, the Agreement shall control.

2. Guaranteed Obligations. Guarantor hereby absolutely, unconditionally, and irrevocably guarantees to Seller the full and punctual performance by Purchaser of all of the following continuing obligations (collectively called the "**Guaranteed Obligations**"):

(a) Complete, and pay the cost of completing, construction of the Purchaser Improvements (as defined in Exhibit A hereto) in accordance with the terms and provisions of the Agreement and the Plans and Specifications, as the same may be modified from time to time in accordance with the provisions of the Agreement, and in compliance with all applicable laws, rules and regulations;

(b) Pay all other expenses, charges, costs and fees of, or relating to, the construction of the Project, including, without limitation, hard costs, soft costs, all permitting fees, licensing fees, amounts payable under the Architect/Engineer Contracts and all other construction

contracts and subcontracts, and amounts payable to the Contractor and all architects, engineers, contractors, subcontractors and other consultants engaged in the construction of the Project;

(c) Within the period, and to the extent required by the Agreement, cause any Mechanics Lien filed against the Premises or the Project in connection with the construction of the Project to be to be discharged or bonded by payment, deposit, bonding or other security satisfactory to Seller in its reasonable discretion and having the same released of record;

3. Guaranty of Payment and Performance. This is a guaranty of payment and performance, and not of collection, and Seller shall not be obligated to enforce or exhaust its remedies against Purchaser before proceeding to enforce this Guaranty against Guarantor.

4. Payment and Performance. Guarantor hereby covenants and agrees that if Purchaser defaults in the payment or performance, as the case may be, of any of the Guaranteed Obligations when such payment or performance becomes due, Guarantor shall, within ten (10) Business Days from the date Seller notifies Guarantor of Purchaser's failure to satisfy any of its obligations which constitute Guaranteed Obligations and at Guarantor's sole cost and expense, pay or perform any such Guaranteed Obligations then due, including, but not limited to, the diligent construction of the Project to Substantial Completion.

5. Waivers. Guarantor hereby waives: (a) all notices of any default by Purchaser under the Agreement (except as may be otherwise expressly required herein or the Agreement); (b) any Agreement termination notice; (c) all other notices required or permitted to be given under the Agreement or otherwise; (d) acceptance and notice of acceptance of this Guaranty; (e) all demands for payment and performance; (f) any notice of modification or amendment of the Agreement or the Plans and Specifications; (g) all legal and equitable defenses, including without limitation, misrepresentation, other than payment and performance in full; and (h) all suretyship defenses.

6. Direct Guaranty. This Guaranty is a direct guaranty and independent of any remedies which Seller has under the Agreement or any applicable laws. Seller may proceed against Guarantor at any time and shall not be obligated, in order to enforce the Guaranteed Obligations, first to institute suit or exhaust its remedies against Purchaser. Guarantor represents, warrants, and agrees that its obligations under this Guaranty are not and shall not be subject to any counterclaims (except compulsory counterclaims), offsets, or defenses of any kind against Seller or Purchaser whether now existing or which may arise in the future.

7. Cumulative Remedies. The exercise by Seller of any right or remedy hereunder or under any other instrument, or at law or in equity, shall not preclude the concurrent or subsequent exercise by Seller of any other right or remedy. No termination of the Agreement or recovery of the Premises shall deprive Seller of any of its rights and remedies against Guarantor under this Guaranty. Notwithstanding the foregoing, in any event Seller shall be entitled to only one recovery of the Guaranteed Obligations from Purchaser or Guarantor, or both collectively.

8. Liability of Guarantor Not Affected. Guarantor's liability under this Guaranty shall not be released, diminished, impaired, reduced, or affected by any one or more of the following events: (a) the taking or accepting of any other security or guaranty for any or all the Guaranteed Obligations; (b) any release, surrender, exchange, subordination, or loss of any security at any time in connection with any or

all the Guaranteed Obligations; (c) any delay or failure of Seller in enforcing any of its rights or remedies under the Agreement or any laws; (d) any waiver, settlement, deferral, or release of Purchaser's obligations under the Agreement; (e) any accord and satisfaction or any forbearance by Seller in exercising any of its rights and remedies or by any other action, inaction, or omission by Seller; (f) the insolvency, bankruptcy, or lack of partnership or corporate power of Purchaser, or of any party at any time liable for any or all the Guaranteed Obligations, whether now existing or hereafter occurring; (g) the existence of any claim, setoff, counterclaim, defense, or other rights that Guarantor may have against Purchaser or Seller, whether in connection with the Premises or any other transaction; (h) any assignment of the Agreement or any assignment of the Guaranteed Obligations or any part thereof; (i) the unenforceability of all or any part of the Guaranteed Obligations against Purchaser by reason of the fact that the act of creating the Guaranteed Obligations, or any part thereof, is ultra vires, or the officers creating same acted in excess of their authority; (j) any payment by Purchaser to Seller in respect of the Guaranteed Obligations is held to constitute a preference under the Bankruptcy Code of the United States (the "**Code**") or if for any other reason Seller is required to refund such payment or pay the amount to someone else; or (k) any impairment, modification, release, or limitation of liability of Purchaser or its estate in bankruptcy, resulting from the operation of any present or future provision of the Code or from the decision of any court interpreting same.

9. Termination of Guaranty. Provided Purchaser is not in default under the Agreement in respect of any of the Guaranteed Obligations, this Guaranty shall terminate on the date (the "**Termination Date**") that Substantial Completion of the Project shall have occurred. Upon termination of this Guaranty, Seller and Guarantor shall promptly execute a written confirmation of such termination; provided, however, any failure or refusal by Seller to execute any such confirmation that may be required by Guarantor shall not have any impact upon the effectiveness of the termination. Furthermore, this Guaranty shall be null, void, and of no force and effect in the event the Agreement is properly terminated prior to the Commencement Date.

10. Representations and Warranties of Guarantor. Guarantor represents and warrants that: (a) this Guaranty constitutes Guarantor's valid and legally binding agreement in accordance with its terms; (b) Guarantor's execution of this Guaranty will not violate any order, judgment, or decree to which Guarantor or any of his assets may be subject; (c) no action, suit, proceeding, or investigation, judicial, administrative or otherwise (including without limitation, any reorganization, bankruptcy, insolvency, or similar proceeding), currently is pending or, to the best of Guarantor's knowledge, threatened against Guarantor which, either in any one instance or in the aggregate, may have a material adverse effect on Guarantor's ability to perform its obligations under this Guaranty; and (d) Guarantor is currently solvent and will not be rendered insolvent by providing this Guaranty.

11. Waiver of Subrogation and Subordination. Until such time as this Guaranty is terminated as provided in Section 9 above, Guarantor shall have no rights (direct or indirect) of subrogation, contribution, reimbursement, indemnification, or other rights of payment or recovery from any person or entity (including without limitation, Purchaser) for any payments made by Guarantor hereunder, and Guarantor hereby waives and releases, absolutely and unconditionally, any such rights of subrogation, contribution, reimbursement, indemnification, and other rights of recovery which it may have or hereafter acquire. Until such time as this Guaranty is terminated as provided in Section 9 above, Guarantor: (a) waives to the fullest extent permitted by law any rights that Guarantor may have: (i) against Purchaser by reason of one or more payments or acts in compliance with the Guaranteed Obligations hereunder; (ii) to assert a counterclaim, other than a mandatory or compulsory counterclaim,

in any action or proceeding brought against Guarantor; and (iii) to presentment for payment, demand, protest, notice of nonpayment, or failure to perform or observe, or of any other proof, notice, or demand (except as may be otherwise expressly required herein); and (b) subordinates any liability or indebtedness of Purchaser held by Guarantor to the obligations of Purchaser to Seller under the Agreement.

12. Notices. Any and all notices, demands, requests, submissions, approvals, consents, disapprovals, objections, offers or other communications or documents required to be given, delivered or served, or which may be given, delivered or served, under or by the terms and provisions of this Guaranty or pursuant to law or otherwise, shall be in writing (regardless of whether or not this Guaranty expressly so provides in the operative provision hereof, unless express provision is made in this Guaranty for verbal notice) and shall be delivered (a) by hand, (b) sent by overnight delivery service, such as Federal Express, with provision for receipt, or (c) by registered or certified mail, return receipt requested, addressed if to Guarantor to:

KayBar, LLC
17A Whittier Hills Road
North Salem, NY 10560
Attn: Joe Gramando
Email:

With a copy to:

or to such other address as Guarantor may from time to time designate by written notice to Seller, or if to Seller addressed to:

City of Waterbury
235 Grand Street
Waterbury CT 06702
Attn: Director of Finance and Corporation Counsel (one individual copy to each party)

Brown Rudnick LLP
185 Asylum Street
Hartford, CT 06103
Attn: Franca DeRosa, Esq.
fderosa@brownrudnick.com

or to such other address as Seller may from time to time designate by written notice to Purchaser, or to such other agent or agents as may be designated in writing by either Party. The earlier of: (i) the date of delivery by hand or refusal of delivery, or (ii) the next Business Day after depositing such notice with a nationally-recognized overnight delivery service, or (iii) the date of delivery or upon which delivery was refused as indicated on the registered or certified mail return receipt shall be deemed to be the date such notice or other submission was given.

13. Enforcement Costs. Guarantor hereby agrees to pay, on written demand by Seller, all reasonable costs incurred by Seller in collecting any amount payable under this Guaranty or enforcing or protecting its rights under this Guaranty, in each case whether or not legal proceedings are commenced. Such fees and expenses may include, without limitation, reasonable fees for attorneys and other hired professionals, court fees, costs incurred in connection with pre-trial, trial, and appellate level proceedings (including discovery and expert witnesses), costs incurred in post-judgment collection efforts or in any bankruptcy proceeding.

14. Successors and Assigns. This Guaranty is for the benefit of Seller and its successors, transferees, and assigns. If the Seller assigns the Agreement, the rights hereunder may be transferred by Seller to such successor, transferee, or assign and all such successors, transferees, or assigns shall hold the benefits of this Guaranty and may enforce the provisions of this Guaranty. This Guaranty shall be binding, to the extent permitted under applicable law, on Guarantor and his heirs, estate, personal representatives, successors, transferees, and assigns. Guarantor may not assign or transfer its rights or obligations hereunder without the express prior written consent of Seller, which consent Seller may withhold in its sole and absolute discretion. Any attempted assignment in violation of this Section shall be null and void.

15. Joint and Several Liability. If more than one Person has signed this Guaranty, each of the undersigned Guarantors shall be jointly and severally liable for all the Guaranteed Obligations herein. Any notice by Seller to any one of the undersigned Guarantors shall be deemed to be given to all Guarantors and shall have the same force and effect as though given to all Persons constituting Guarantor.

16. Governing Law. THIS GUARANTY SHALL BE GOVERNED BY, CONSTRUED, AND ENFORCED IN ACCORDANCE WITH THE LAWS OF THE STATE OF CONNECTICUT, WITHOUT REGARD TO THE CONFLICTS OR CHOICE OF LAW PRINCIPLES THEREOF. GUARANTOR HEREBY IRREVOCABLY CONSENTS TO THE PERSONAL JURISDICTION OF THE STATE AND FEDERAL COURTS LOCATED IN THE STATE OF CONNECTICUT IN ANY ACTION, CLAIM, OR OTHER PROCEEDING ARISING OUT OF OR ANY DISPUTE IN CONNECTION WITH THIS GUARANTY. GUARANTOR HEREBY IRREVOCABLY CONSENTS TO THE SERVICE OF A SUMMONS AND COMPLAINT AND OTHER PROCESS IN ANY ACTION, CLAIM, OR PROCEEDING BROUGHT BY SELLER IN CONNECTION WITH THIS GUARANTY.

17. Waiver of Jury Trial. TO THE EXTENT PERMITTED BY LAW, SELLER, AND GUARANTOR, BY THEIR ACCEPTANCE OF THIS GUARANTY OR THE BENEFITS THEREOF, HEREBY IRREVOCABLY WAIVE THEIR RESPECTIVE RIGHTS TO A JURY TRIAL WITH RESPECT TO ANY ACTION, CLAIM, OR OTHER PROCEEDING ARISING OUT OF OR ANY DISPUTE IN CONNECTION WITH THIS GUARANTY.

18. Severability. If any provision of this Guaranty is invalid and unenforceable in any jurisdiction, then, to the fullest extent permitted by law: (a) the other provisions hereof shall remain in full force and effect in such jurisdiction and shall be liberally construed in favor of Seller in order to carry out the intentions of the parties as nearly as may be possible; and (b) the invalidity or unenforceability of any provisions hereof in any jurisdiction shall not affect the invalidity or unenforceability of such provision in any other jurisdiction.

19. Interpretation and Construction. The various headings of this Guaranty are inserted for convenience only and shall not affect the meaning or interpretation of this Guaranty or any provisions hereof. The use of the singular shall be deemed to refer to the plural whenever the context so requires. The use of the masculine, feminine, or neuter genders shall be deemed to refer to another gender wherever the context so requires.

20. Entire Agreement. This Guaranty constitutes the entire agreement between Seller and Guarantor. No amendment or modification shall be binding unless such amendment or modification is in writing and signed by the party against whom enforcement is sought.

[SIGNATURE PAGE FOLLOWS]

IN WITNESS WHEREOF, Guarantor has executed this Guaranty as of the date first above written.

GUARANTOR:

_____

Name:

Exhibit A

Purchaser Improvements

Exhibit F

CITY OF WATERBURY ETHICS REQUIREMENTS

The PURCHASER (which shall be a "Person" as the term is defined in Section 38 of the City's Code of Ordinances) agrees as follows:

1.1 It shall be a material breach of this Agreement, and, except as may be permitted by regulations or rulings of the City of Waterbury Board of Ethics it shall be a violation of the City's Code of Ordinances, for any Public Official, City Employee or Member of a Board or Commission who is participating directly or indirectly in the procurement process as set forth in the City's Code of Ordinances, including those participating in exempt transactions, to become or be the employee of any person contracting with the governmental body by whom the Official, Employee, or Board or Commission member is employed or is a member.

1.2 It shall be a material breach of this Agreement, and it shall be a violation of the City's Code of Ordinances for any Person to offer, give, or agree to give any current or former Public Official, Employee or Member of a Board or Commission, or for such current or former Public Official, Employee or Member of a Board or Commission to solicit, demand, accept or agree to accept from another Person, a gratuity or an offer of employment in connection with any: decision; approval; disapproval; recommendation; preparation of any part of a program requirement or a requisition; influencing the content of any specification or procurement standard; or rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim or controversy, or other particular matter, pertaining to any program requirement or a Contract or Purchase Order, or to any solicitation or proposal therefore.

1.3 It shall be a material breach of this Agreement and it shall be a violation of the City's Code of Ordinances for any payment, gratuity, or offer of employment to be made as an inducement for the award of a subcontract or order, by or on behalf of a subcontractor, the prime Consultant/Vendor or higher tier subcontractor or any Person associated therewith, under a Contract or Purchase Order to the City.

1.4 The value of anything transferred or received in violation of the City's Charter, Code of Ordinances, and/or regulations promulgated thereunder, by any Person subject to said Charter and/or Ordinances may be recovered by the City. The City represents that the transfer of the Property pursuant to the Agreement to which this **Schedule F** is attached is not prohibited by the City's Charter, Code of Ordinances, and/or regulations promulgated thereunder.

1.5 Upon a showing that a subcontractor made a kickback to the City, a prime Consultant/Vendor or a higher tier subcontractor in connection with the award of a subcontract or order there under, it shall be conclusively presumed that the amount thereof was included in the price of the subcontract or order and ultimately borne by the City and will be recoverable hereunder from the recipient. In addition, said value may also be recovered from the subcontractor making such kickbacks. Recovery from one offending party shall not preclude recovery from other offending parties.

1.6 It shall be a material breach of this Agreement and it shall be a violation of the City's Code of Ordinances for a Person to be retained, or to retain a Person, to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee; and every Person, before being awarded a City Contract, shall deliver to the City, on a City authored form, a representation that such Person has not retained anyone in violation of this subsection 1.6, the failure to deliver said form being a material breach of this Contract and a violation of the City's Code of Ordinances.

1.7 The OURCHASER agrees that it will comply with those sections of the City's Code of Ordinances requiring that said Person has (1) delivered to the City an affidavit, on a City authored form, stating that the Person and its affiliates have no delinquent taxes or other financial obligations owned to the City, a copy of which is attached hereto; (2) filed the City authored financial disclosure statement form as set forth in the City's Code of Ordinances regarding disclosure of financial interests, a copy of which is attached hereto; (3) delivered to the City a written acknowledgement, on a City authored form, a copy of which is attached hereto, evidencing receipt of a copy of the "Ethics and Conflict of Interest" ordinance for the City of Waterbury and hereby expressly represents that said Person is in full compliance with the entirety of said Code of Ordinances; and (4) filed a current list of all taxable personal and real property as required by the State of Connecticut General Statutes. Any violation of this subsection 1.7 shall be deemed a material breach of the Agreement and shall be a violation of the City's Code of Ordinances for a Person.

1.8 The definitions set forth in the City's Code of Ordinances shall be the primary source for interpretation of this **Schedule F**.

1.9 The PURCHASER shall fully comply with, all relevant provisions of the City's Charter and all relevant provisions of the City's Code of Ordinances, including Chapter 93, titled "Discriminatory Practices", Chapter 38 titled "Procurement and Contractual Agreements", and Chapter 39 titled "Ethics and Conflict of Interest", of said Code as may be amended from time to time.

1.10 The PURCHASER hereby acknowledges receipt of a copy of the Chapters 38 and 39 of City's Ordinance regarding Procurement, Ethics, and Conflicts of Interest and has familiarized itself with said Code and hereby agrees to adhere to said Code. The text of Chapters 38 and 39 of said Code may be obtained from the Office of the City Clerk of the City and on the internet at the City Clerk's web site: http://www.waterburyct.org/content/458/539/default.aspx (click link titled "The City of Waterbury Code of Ordinances Passed 8/24/2009". For Chapter 38, click on "Title III: Administration", then click on "CHAPTER 38: CENTRALIZED PROCUREMENT SYSTEM". For Chapter 39, click on "TITLE III: ADMINISTRATION", then click on "CHAPTER 39: ETHICS AND CONFLICTS OF INTEREST").

1.11 The PURCHASER is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, the City's "Ordinance Concerning the Hiring of Waterbury Residents" and the State of Connecticut Legislature's Special Act No. 01-1.

1.12 Every Person who conducts business with, contracts, with or provides commodities or services to the City, is charged with notice of the extent of the powers and authority, and the limitations thereon, of the Public Officials and Employees of the City, as set forth in the charter of the City, the Code of Ordinances and any Regulations or Policies pertaining thereto. In particular, and without implying any limitation as to its applicability, it applies to all Persons who participate in the procedures pertaining to the Centralized Procurement System as set forth in Chapter 38 and the Ethics and Conflict of Interest provisions set forth in Chapter 40 of the Code of Ordinances.

1.13 INTEREST OF CITY OFFICIALS. No member of the governing body of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the project, to which this Agreement pertains, shall have any personal interest, direct or indirect, in this Agreement.

1.14 PROHIBITION AGAINST CONTINGENCY FEES. The PURCHASER hereby represents that it has not retained anyone to solicit or secure this Agreement with the City upon an agreement or understanding for a commission, percentage, brokerage or contingency fee.

1.15 FREEDOM OF INFORMATION ACT NOTICE. Pursuant to State statute, in the event the total compensation payable to the PURCHASER is greater than $2,500,000, the City is entitled to receive a copy of any and all Seller records and files related to the performance of this contract and those records and files are subject to the Freedom of Information Act (the "Act") and may be disclosed by the City pursuant to the Act.

4900-6634-3465, v. 7

Signature: Joe Gramando
Joe Gramando (Jul 2, 2025 12:16 EDT)

Email: gramando@kaybardev.com

OFFICE OF THE DIRECTOR OF FINANCE

THE CITY OF WATERBURY

CONNECTICUT

Date: July 3, 2025

To: Michael DiGiovancarlo,
President, Board of Aldermen

Members, Board of Aldermen
Mayor Paul K. Pernerewski, Jr.

From: Michael LeBlanc, Director of Finance MJL
James Nardozzi, Waterbury Development Corporation, Executive Director
Brad Malay, Acting Superintendent of Water

Subject: $18,000,000 Capital Appropriation & Bond Authorization for West Main Street Improvements

Kindly give favorable consideration by approving the attached Capital Appropriation and Bond Authorization Resolution for West Main Street Improvements. This project would include upgrades to roughly 4,440 linear feet of underground utilities (sanitary sewer relining, water main replacement and relining, and stormwater drainage infrastructure improvements) under one of the most trafficked areas in the City. The actual amount of bonds issued by the City would be net of any grants and other funding secured for the project. To date the City has secured a $4 million grant from the State via a Community Investment Fund, Round 6 award. An application for an additional $4 million grant from the State was recently submitted under Round 7 of the Community Investment Fund.

In accordance with provisions of City Charter, Chapter 10, Section 10-A-2(b), a "Public Hearing" must be held prior to final vote on the bond authorization. The hearing date and time must be published in the local newspaper with at least a seven day advance notice (refer to charter for specifics). **I respectfully request that a public hearing on this matter be set for the evening of Monday, August 11, 2025.**

The proposed utility and stormwater improvements are critical to the success of the upcoming $9.8 million complete streetscape project, funded by a U.S. DOT RAISE Grant. The complete streetscape project is currently under design and scheduled to begin in November 2026. Additionally, this project will complement the City's $8.3 million Downtown Traffic Signal Upgrade Project in addition to several Capital Improvement Plan allocations for sanitary sewer upgrades within the project limits.

This project will further address the underinvestment in the City's water, sewer, and stormwater systems. The City's water and sewer mains date back to the 1880s. West Main Street is a key thoroughfare connecting the two hospitals with the Central

Business District, social services, government services, dinning, shopping, entertainment and educational institutions. Upgrading utilities will prevent future infrastructure degradation and reduce the need for costly emergency repairs and unplanned disruptions affecting daily operations throughout this corridor. The project will complement and support ongoing efforts in downtown revitalization, including the several completed and ongoing downtown utility improvement and complete streetscape projects. Over the past decade, the City has executed on multiple large-scale infrastructure projects as is currently proposed. Phases I and II of downtown improvements which spanned Freight Street, East Main Street, North Main Street, Bank Street, and Exchange Place were founded through strategic capital stacking of local, state, and federal funds as is proposed for West Main Street's 4,440 liner foot of underground utilities improvements and complete streetscape project.

Approval of the bond authorization resolution can be accomplished by an affirmative vote of at least eleven members of the Board of Aldermen.

RESOLUTION OF THE BOARD OF ALDERMEN AUTHORIZING AN APPROPRIATION OF $18,000,000 FOR WEST MAIN STREET IMPROVEMENTS AND AUTHORIZING THE ISSUANCE OF THE CITY'S GENERAL OBLIGATION BONDS IN AN AMOUNT NOT TO EXCEED $18,000,000 TO FINANCE THE APPROPRIATION

RESOLVED:

Section 1. By the Board of Aldermen of the City of Waterbury (the "City"), that the sum of $18,000,000 be appropriated for costs associated with the design and construction of improvements to West Main Street, consisting of drainage, streetscape, underground utility and other related improvements (the "Project"). The Project includes upgrades to roughly 4,440 linear feet of streetscape and underground utilities comprised of sanitary sewer relining, water main replacement and relining, and stormwater drainage infrastructure. The appropriation may be spent on costs for design and construction costs, materials, demolition and removal of existing materials, milling and paving, traffic protection, including signage and police and flag personnel, site assessment, survey costs, equipment, permitting and other fees, consulting and engineering costs, legal fees, net temporary interest and other financing costs, and other expenses related to the Project. To meet said appropriation and in lieu of a tax therefor, bonds of the City shall be issued pursuant to the City Charter and Chapter 109 of the Connecticut General Statutes, as amended, or any other provision of law thereto enabling, in an amount not to exceed $18,000,000 or so much thereof as may be necessary after deducting grants or other sources of funds available for the Project.

Section 2. The bonds may be sold in a single issue or may be consolidated with other authorized but unissued bonds of the City. The bonds shall be issued in fully registered form, be executed in the name and on behalf of the City by the facsimile or manual signatures of the Mayor and the Director of Finance, bear the City seal or a facsimile thereof, be certified and payable at a bank or trust company designated by the Mayor and the Director of Finance which bank or trust company may also be designated as the registrar and transfer agent, and be approved as to their legality by bond counsel to the City. The bonds may be issued in one or more series and in book entry form. The bonds shall be general obligations of the City and each of the bonds shall recite that every requirement of law relating to its issue has been duly complied with, that such bonds are within every debt and other limit prescribed by law, and that the full faith and credit of the City are pledged to the payment of the principal thereof and interest thereon. The aggregate principal amount of bonds to be issued, the annual installments of principal, redemption provisions, if any, the interest rate or rates, form and manner of sale, and other terms, details and particulars of such bonds shall be determined by the Mayor and the Director of Finance in accordance with the Connecticut General Statutes, as amended. The net proceeds of the sale of the bonds, after the payment of the costs of the issuance thereof, shall be applied to the payment of the principal of and interest on all temporary notes issued in anticipation thereof, and thereafter further applied to the purposes of the Project.

Section 3. The bonds may be sold by the Mayor and the Director of Finance in a negotiated underwriting and the Mayor and the Director of Finance are authorized to select the underwriter or underwriters and to enter into, execute and deliver on behalf of the City a contract of purchase for such bonds on such terms and conditions as they shall determine, or, in the alternative, the bonds may be sold by public sale or sealed proposal as the Mayor and the Director of Finance deem to be in the best interests of the City.

Section 4. The Mayor and the Director of Finance are further authorized to enter into, execute and deliver, on behalf of the City, any agreements they deem reasonable or necessary to provide for and secure credit enhancement for the bonds. The Mayor and Director of Finance are further authorized to enter into and execute, on behalf of the City, any and all security agreements, letters of representation or other agreements, instruments or documents they deem necessary to provide for the sale, security, issuance and delivery of the bonds.

Section 5. The Mayor and the Director of Finance are authorized to appoint a certifying agent, paying agent, transfer agent, registrar, interest rate advisor, trustee and such other advisors and consultants as they may deem necessary or desirable, and to execute and deliver on behalf of the City any and all tax regulatory, continuing disclosure, letter of representation or other agreements they deem necessary to provide for the issuance of such bonds.

Section 6. While it is anticipated that the bonds will qualify as tax-exempt bonds, the Mayor and the Director of Finance are authorized, upon the advice of bond counsel, to issue all or any portion of the bonds as bonds the interest on which is includable in the gross income of the owners thereof for federal income tax purposes, and it is hereby found and determined that the issuance of any such bonds is in the public interest.

Section 7. The Mayor and the Director of Finance are authorized to issue temporary notes in anticipation of the receipt of the proceeds of the bonds and the receipt of any federal, state or other grants-in-aid for the Project. The temporary notes shall be executed in the name and on behalf of the City by the facsimile or manual signatures of the Mayor and the Director of Finance, bear the City seal or a facsimile thereof, be certified and payable at a bank or trust company designated by the Mayor and the Director of Finance which bank or trust company may also be designated as the registrar and transfer agent, and be approved as to their legality by bond counsel to the City. The temporary notes shall be issued with maturity dates in accordance with the Connecticut General Statutes, as amended and in such amounts and form, bear such interest rates and be subject to such terms as determined by the Mayor and the Director of Finance. The temporary notes may be sold by the Mayor and the Director of Finance in a negotiated underwriting and the Mayor and the Director of Finance are authorized to select the underwriter or underwriters and to enter into, execute and deliver on behalf of the City a contract of purchase for such notes on such terms and conditions as they shall determine, or, in the alternative, the temporary notes may be sold by public sale or sealed proposal as the Mayor and the Director of Finance deem to be in the best interests of the City. The temporary notes shall be general obligations of the City and each of the notes shall recite that every requirement of law relating to its issue has been duly complied with, that such notes are within every debt and other limit prescribed by law, and that the full faith and credit of the City are pledged to the payment of the principal thereof and interest thereon. The net interest cost on such temporary notes, including renewals thereof, and the expense of preparing, issuing, and marketing such notes, to the extent paid from the proceeds from the issuance of bonds or additional temporary notes, shall be included as a cost of the Project.

Section 8. The City hereby declares its official intent under Federal Income Tax Regulation Section 1.150-2 that the amounts appropriated under Section 1 hereof may be paid from temporary advances of available funds and that the City reasonably expects to reimburse any such advances from the proceeds of borrowings in an aggregate principal amount not in excess of the amount of borrowing authorized hereby. The Mayor and the Director of Finance are authorized to amend such declaration

of official intent and to bind the City pursuant to such representations and covenants as they deem necessary or advisable in order to maintain the continued exemption from federal income taxation of interest on the bonds or temporary notes authorized by this resolution if issued on a tax-exempt basis, including covenants to pay rebates of investment earnings to the United States in future years.

Section 9. The Mayor and the Director of Finance are hereby authorized, on behalf of the City, to enter into agreements or otherwise covenant for the benefit of bondholders to provide information on an annual or other periodic basis to nationally recognized municipal securities information repositories or state based information repositories (the "Repositories") and to provide notices to the Repositories of material events as enumerated in Securities and Exchange Commission Rule 15c2-12, as amended, as may be necessary, appropriate or desirable to effect the sale of the bonds or temporary notes authorized by this resolution.

Section 10. The Mayor and Director of Finance are authorized to apply for, accept execute documents in connection with any federal, state or other grants-in-aid for the Project. The Mayor, Director of Finance and other proper officers of the City are authorized to take all actions necessary and proper to carry out the Project and to issue the bonds or temporary notes to finance the appropriation for the Project.

DEPARTMENT OF PUBLIC HEALTH
THE CITY OF WATERBURY
CONNECTICUT

EXECUTIVE SUMMARY

To: Michael DiGiovancarlo, President of the Board of Aldermen
City of Waterbury Board of Aldermen
Juanita Hernandez, President of the Waterbury Board of Education
Waterbury Board of Education

CC: Mayor Paul K. Pernerewski Jr.
Superintendent Darren Schwartz

From: Aisling McGuckin, RN, MSN-MPH
Director of Health

Date: June 26, 2025

Re: CRT 25-108: Waterbury Health Department Contract with Equinox Home Care, LLC for Individualized Nursing Services for Students with Complex Medical Needs

The Waterbury Health Department (WHD) respectfully requests approval of the attached contract with Equinox Home Care for provision of specialized nursing services, solicited through Request for Proposals (RFP) 8448. Attached is the RFP, the cost proposal submitted by the agency, and the agency's proposal narrative, which are incorporated in the contract. This contract is funded with Waterbury Public Schools Special Education funding.

Background:
Waterbury Health Department (WHD) released RFP 8448 for Individualized Nursing Services for Students with Complex Medical Needs in April 2025 to solicit proposals from agencies with expertise in provision of home care services for students with special health care needs. These nurses are assigned individually to students and accompany them to and from school and provide care according to each child's individualized health care plan and individualized education plan. This contract will commence upon execution and conclude on June 30, 2028. Each nurse assigned through this contract will be

supervised by an RN from the agency. In collaboration with Waterbury Public Schools Division of Pupil Services, Waterbury Health Department will oversee this contract to assure the quality of care delivered to students and their families. The previous cost to the City for this service was $ 3,089,772, inclusive of LPNs and RNs combined, over three years. This amount is subject to slight fluctuation based on the number of students in need of the service which has been ten students each year for the past few years.

Attached: City of Waterbury Contract with Equinox Home Care, LLC.

PROFESSIONAL SERVICES AGREEMENT
RFP No. 8448
for
Individualized Nursing Services for Students with Complex Medical Needs
between
The City of Waterbury, Connecticut
And
Equinox Home Care, LLC

THIS AGREEMENT effective on the date signed by the Mayor, is by and between the CITY OF WATERBURY (the "City"), located at City Hall, 235 Grand Street, Waterbury, Connecticut 06702 and EQUINOX HOME CARE, LLC (the "Consultant"), located at 80 Ferry Blvd, Suite 205, Stratford, CT, 06615, a State of Connecticut duly registered domestic limited liability company. (Jointly referred to as the "Parties" to this Agreement).

WHEREAS, the Consultant submitted a proposal to the City in response to **Request for Proposal ("RFP") Number 8448** for Individualized Nursing Services for Students with Complex Medical Needs; and

WHEREAS, the City selected the Consultant to perform services regarding **RFP Number 8448**; and

WHEREAS, the City desires to obtain the Consultant's services pursuant to the terms, conditions and provisions set forth in this agreement (the "Project").

NOW THEREFORE, THE PARTIES AGREE AND COVENANT AS FOLLOWS:

1. Scope of Services. The Consultant shall furnish all of the labor, services, equipment, materials, reports, supplies, transportation, and incidentals plans, specifications, deliverables, incidentals, etc. necessary to complete the Project as specified in this agreement (also referred to herein as "Contract") and such shall be completed in a satisfactory manner, as reasonably determined by the City. All labor, services, equipment, materials, reports, supplies, transportation, and incidentals plans, specifications, deliverables, incidentals, etc. shall comply with any and all applicable Local, State and Federal laws, statutes, ordinances and regulations and with generally accepted professional standards. The Consultant shall make such revisions or modifications to its work, at its own cost and expense, as the City may require in order to be deemed complete.

1.1. The Project consists of Registered Nurses (RNs) and/or Licensed Practical Nurses (LPNs) to provide individualized school-based nursing services to students ages 3-22 with complex medical needs pursuant to the students' individual education programs (IEPs) and individualized health care plans (IHCPs) or other medical/nursing instructions or plans provided by the students' health care providers, and other related work, as further detailed and described in the Proposal Documents in **Attachment A** and are hereby made material provisions of this Contract. **Attachment A** shall consist of the following, which are attached hereto, are acknowledged by the Consultant as having been received, or are

otherwise hereby incorporated by reference as noted below, and all are made a part hereof:

1.1.1 **RFP Number 8448** (attached hereto excluding template Contract and City forms);
1.1.2 Addendum 1 to **RFP Number 8448** dated May 9, 2025, consisting of 7 pages (attached hereto);
1.1.3 Consultant's Response to **RFP Number 8448**, dated May 15, 2025, consisting of 101 pages (attached hereto excluding template Contract);
1.1.4 Consultant's Revised Cost Proposal dated June 2, 2025, consisting of 1 page (attached hereto);
1.1.5 Any and all amendment(s) and Change Orders, issued by the City after execution of Contract (incorporated by reference);
1.1.6 Annual Statement of Financial Interests; Disclosure and Affidavit Re: Outstanding Obligations to the City; Debarment Certification; Corporate Resolution, Non-Collusion Affidavit (incorporated by reference);
1.1.7 Certificates of Insurance (incorporated by reference);
1.1.8 Licenses (incorporated by reference); and
1.1.9 All applicable Federal, State, and local statutes, regulations charter and ordinances (incorporated by reference).

1.2. The entirety of **Attachment A** plus this executed instrument are together deemed the Contract Documents (collectively referred to as "Contract Documents"). The City's record copy of the Contract Documents shall control and shall be effective and binding on the Consultant. In the event that any provision in the Contract Documents conflict with any other provision therein, the provision in the component part of the Contract Document first enumerated below shall govern over any other component part which follows it numerically:

1.2.1 All applicable Federal, State, and local statutes, regulations charter and ordinances;
1.2.2 This Contract;
1.2.3 **RFP Number 8448**;
1.2.4 Any Addendums to **RFP Number 8448**;
1.2.5 Consultant's Response to **RFP Number 8448;**
1.2.6 Consultant's Revised Price Proposal Price Proposal dated June 2, 2025.

2. Consultant Representations Regarding Qualification and Accreditation. The Consultant represents that its employees are licensed to perform the scope of work set forth in the Contract Documents. The Consultant further represents that its employees have the requisite skill, expertise and knowledge necessary to perform the scope of work required under the terms of this Contract, including any supplementary work and the City relies upon these representations.

2.1. Representations regarding Personnel. The Consultant represents that it has, or will secure at its own expense, all personnel required to perform the services under this Contract. Such personnel shall not be employees of or have any contractual relationship with the City, unless use of City employees or of personnel having a contractual relationship with the City is approved by the City in writing prior to their employment by the agency. As set forth above, all the services required hereunder shall be performed

by the Consultant under its supervision and all personnel engaged in the work shall be fully qualified, supervised by agency staff as required under the law regarding practice of Registered Nurses (RNs) and/or Licensed Practical Nurses (LPNs) and shall be authorized or permitted under state or local law to perform such services.

2.2. Representations regarding Qualifications. The Consultant hereby represents that, to the extent required by Federal, State and Local statutes, regulations, codes, ordinances, and policies, that the Consultant and/or its employees be licensed, certified, registered, or otherwise qualified, the Consultant and all employees providing services under this Contract are in full compliance with those statutes, regulations and ordinances. Upon City request, the Consultant shall provide to the City a copy of the Consultant's licenses, certifications, registrations, etc.

2.3. Activities, Work, and Services Performed on behalf of the Waterbury Health Department in School Facilities, on School Grounds, at Student Sporting Events, at Afterschool programs, and/or where City Students Present. For all activities in school facilities and/or Department of Education facilities, the Consultant shall first be required to coordinate all on-site visits and activities with the appropriate Department/personnel in Education, or the designated person and shall obtain any necessary clearance, ID badges, etc. Consultant shall enter and exit all school facilities and Department of Educational facilities as designated and authorized by the appropriate Department/personnel in Education, or the designated person.

2.4. Confidentiality/FERPA Consultant shall strictly adhere to all State and Federal Statutes, rules, policy, regulations, codes of participant protection and confidentiality, administrative directives of the State of Connecticut Board of Education, Connecticut Department of Education and the Waterbury Board of Education regarding confidentiality of student records, files, PPTs, IEPs, etc. Consultant shall further ensure that its employees, agents, or anyone performing work on their behalf under the terms of this Agreement shall strictly adhere to all State and Federal Statutes, rules, policy, regulations, codes of participant protection and confidentiality, administrative directives of the State of Connecticut Board of Education and those of the Waterbury Board of Education regarding confidentiality of student records, files, PPTs, IEPs, etc.

2.4.1. Any and all materials contained in City of Waterbury student files that are entrusted to Consultant or gathered by the Consultant in the course of its services shall remain in the strictest confidence to prevent disclosure of the same. All information furnished by the City or gathered by Consultant shall be used solely for the purposes of providing services under this Agreement.

2.4.2. Consultant acknowledges that in the course of providing services under this Agreement, it may come into the possession of education records of City Waterbury students as defined in and governed by Family Educational Rights and Privacy Act ("FERPA," 20 U.S.C. § 1232g) and related regulations (34 C.F.R. § 99) Consultant and City shall comply with the requirements of said statute and regulations, as amended from time to time and Consultant agrees to use information obtained from the City or student education records only for the purposes provided in this Agreement. Without the prior written consent of the student's parent or guardian,

as required by FERPA, Consultant has no authority to make disclosures of any information from education records.

2.5. Criminal Background Check and DCF Registry Check. The Consultant shall comply with the requirements of Conn. Gen. Stat. §10-222 c (g) and shall ensure, and represents to the City, that any employee who will be on school grounds/Department of Education Property/at Department of Education events and/or where City Students are present, that will or may have direct contact with Students while providing services pursuant to this Agreement has stated, in writing, whether such person has ever been convicted of a crime or whether criminal charges were ever pending against such person. The Consultant shall further ensure, and represents to the City that any person who will have direct contact with a Student has submitted to a records check of the Department of Children and Families child abuse and neglect registry established pursuant to Conn. Gen. Stat. §17a-101k, as well as state and national criminal history records checks conducted in accordance with Conn. Gen. Stat. §29-17a, the federal National Child Protection Act of 1993, and the federal Volunteers for Children Act of 1998. The Consultant shall not permit any person with a disqualifying criminal history to have direct contact with a student. The Consultant agrees the "direct contact" shall include the Consultant and its employees providing services under this contract if said services are performed on school grounds/Department of Education Property/at Department of Education events and/or where City students are present.

2.6. Activities, Work, and Services Performed on other City Property (Non-Education facilities). For all activities involving non-Board of Education facilities and/or buildings, Consultant shall first be required to coordinate all on-site visits and activities with the Waterbury Health Department or its designee.

3. Responsibilities of the Consultant. All data, information, etc. given by the City to the Consultant and/or created by the Consultant shall be treated by the Consultant as proprietary to the City and confidential unless the City agrees in writing to the contrary and shall be used solely for the purposes of providing services under this Contract. The Consultant agrees to forever hold in confidence all files, records, documents and other information which may come into the Consultant's possession during the term of this Contract, except where a disclosure is expressly stated as a requirement of this Contract. Notwithstanding the foregoing, where a Consultant disclosure is required to comply with statute, regulation, or court order, the Consultant shall provide prior advance written notice to the City of the need for such disclosure. The Consultant agrees to properly implement the services required in the manner herein provided.

3.1. Use of City Property. To the extent the Consultant is required to be on City property to render its services hereunder, the Consultant shall have access to such areas of City property as the City and the Consultant agree are necessary for the performance of the Consultant's services under this Contract (the "Site" or the "Premises") and at such times as the City and the Consultant may mutually agree. Consultant shall perform all work in full compliance with Local, State and Federal health and safety regulations. All work hereunder shall be performed in a safe manner. Consultant shall immediately correct any dangerous condition caused by or resulting from its work. If it fails to correct, or to act diligently to correct, any condition which City reasonably believes to be a hazard to persons or property, then immediately upon oral or written notice to any supervisory or similar personnel of Consultant, City may, but shall not be required to, correct same at

Consultant's expense. City shall confirm in writing any oral notice given within five (5) business days thereafter.

3.2. Working Hours. To the extent the Consultant is required to be on City property to render its services hereunder, the Consultant shall coordinate its schedule so that work on the Premises is performed during those hours the City sets forth in a written notice to the Consultant, unless written permission is obtained from the City to work during other times. This condition shall not excuse Consultant from timely performance under the Contract. The work schedule must be agreed upon by the City and the Consultant.

3.3. Cleaning Up. To the extent the Consultant is required to be on City property to render its services hereunder, the Consultant shall at all times keep the Premises free from accumulation of waste materials or rubbish caused by Consultant, its employees or subcontractors, and at the completion of the work shall remove all rubbish from and about the Project and all tools, scaffolding and surplus materials and shall leave the Premises "broom clean" or its equivalent, unless more exactly specified. In case of dispute, the City may remove the rubbish and charge the cost to the Consultant.

3.4. Publicity. Consultant agrees not to deliberately disclose the fact that the City has entered into or terminated this Contract or disclose any of the terms of the Contract or use the City's name in connection with any publicity, unless the City gives prior written consent to such use of the City's name in each instance.

3.5. Standard of Performance. All workmanship, services, materials or equipment, either at the Premises or intended for it, shall conform in all respects with the requirements of all this Contract, and shall be the best obtainable from the crafts and trades. In all cases, the services, materials, equipment, reports, plans, specifications, deliverables, workmanship, etc. shall be equal to or better than the grade specified, and the best of their kind that is obtainable for the purpose for which they are intended. The standard of care and skill for all services performed by the Consultant shall be that standard of care and skill ordinarily used by other members of the Consultant's profession practicing under the same or similar conditions at the same time and in the same locality, including adherence to the American Nurses Association Code of Ethics (View the Code of Ethics for Nurses | ANA). The Consultant's services rendered hereunder shall be rendered completely and by qualified personnel in accordance with standard industry practice.

3.6. Consultant's Employees. The Consultant shall at all times enforce strict discipline and good order among its employees, and shall not employ any unfit person or anyone not skilled in the work assigned.

3.7. Due Diligence Obligation. The Consultant acknowledges its responsibilities to examine and to be thoroughly familiar with the City's proposal document, including, but not limited to the specifications, and any addenda thereto. The Consultant hereby warrants and represents that prior to the submission of its proposal during the proposal process it reviewed or was afforded opportunity, by the City, to review all physical items, facilities, services or functions essential to the satisfactory performance of the services required ("Due Diligence") and thereby certifies that all such items facilities, services or

functions are included in this Contract and thereby warrants that:

3.7.1 it conducted or had opportunity to conduct all Due Diligence prior to the submission of its proposal and, accordingly, any additional costs, services or products resulting from the failure of the Consultant to complete Due Diligence prior to submission of its proposal shall be borne by the Consultant. Furthermore the Consultant had the opportunity during the proposal process to ask questions it saw fit and to review the responses from the City;

3.7.2 its failure or omission to make investigation and verification of data shall, in no way, be cause for future claim of ignorance of such data or conditions nor shall such failure to investigate and verify be the basis for any claim whatsoever, monetary or otherwise;

3.7.3 it is solely responsible for resolving any issues resulting from the failure to conduct Due Diligence and shall assume any and all resulting costs it incurs during the Project;

3.7.4 it was responsible for specifying any changes and disclosing any new costs prior to the submittal of its proposal. Thus, in the event any changes or costs are disclosed by the Consultant, or otherwise required, during the performance of its services, the sole responsibility for any modification, delay and cost of such changes shall reside with the Consultant;

3.7.5 it has familiarized itself with the nature and extent of the Contract Documents, Work, locality, and with all local conditions and Federal, State and Local laws, ordinances, rules and regulations that in any manner may affect cost, progress or performance of the Work;

3.7.6 it has given the City written notice of any conflict, error or discrepancy that the Consultant has discovered in the Proposal Documents; and

3.7.7 it agrees that the Proposal Documents are sufficient in scope and detail to indicate and convey understanding of all terms and conditions for performance of the Work.

4. Responsibilities of the City. Upon the City's receipt of Consultant's written request, the City will provide the Consultant with all documents, data and other materials the City agrees are necessary and appropriate to the service to be performed by the Consultant hereunder and the City will endeavor to secure, where feasible and where the City agrees it is necessary and appropriate, materials or information from other sources requested by the Consultant for the purpose of carrying out the services under this Contract.

5. Contract Time. The Consultant shall provide all work and services required under this Contract for a term of (3) three years commencing on June 1, 2025 and terminating on June 30, 2028 ("Initial Term").

5.1. Options Period. The City, shall have the option, at its sole discretion, to extend the term of this Agreement for one (1) year, upon the same terms and conditions as stated herein, provided that the City gives the Consultant sixty (60) days advance written notice, prior to the expiration of the existing term of its election to extend this Agreement.

5.2. Time is and shall be of the essence for all Project milestones and completion dates for the Project. The Consultant further agrees that the Work shall be prosecuted regularly, diligently and uninterruptedly and at such rate of progress as will insure full completion thereof within the Contract Time stated above. It is expressly understood and agreed, by and between the Consultant and City, that the Contract Time is reasonable for the completion of the Work. The Consultant shall be subject to City imposed fines and/or penalties in the event the Consultant breaches the foregoing dates.

6. Compensation. The City shall compensate the Consultant for satisfactory provision of all of the goods and services set forth in this Contract as follows in this Section 6.

6.1. Fee Schedule. The Fee payable shall not exceed **Three Million Eighty Nine Thousand Seven Hundred Seventy Two Dollars and 00/100 Cents ($3,089,772.00)** for the entire term of this Agreement and shall be in accordance with Consultant's Revised Cost proposal, dated June 2, 2025.

6.1.1	Registered Nurse (RN)	$68.00 per hour
6.1.2	Licensed Practical Nurse (LPN)	$58.00 per hour

6.2. Limitation of Payment. Compensation payable to the Consultant is limited to those fees set forth in Section 6.1. above. Such compensation shall be paid by the City upon review and approval of the Consultant's invoices for payment and review of the work, services, deliverables, etc. required in this Contract and review as may be further required by the Charter and Ordinances of the City. Consultant's invoices shall describe the work, services, reports, plans, specifications, deliverables, etc. rendered and the compensation sought therefore in a form and with detail and clarity acceptable to the City.

6.2.1. The Consultant and its affiliates are hereby provided with notice that the City reserves the right, in the City's sole discretion, to offset, withhold, or otherwise reduce City payment(s) to the Consultant in an amount equaling the sum or sums of money the Consultant and/or its affiliates is/are, or becomes delinquent or in arrears on, regarding the Consultant's and/or its affiliate's real and personal tax obligations to the City.

6.2.2. No Payment for Lost Profits. In no event shall the City be obligated to pay or otherwise compensate the Consultant for any lost or expected future profits.

6.3. Review of Work. The Consultant shall permit the City to review, at any time, all work performed under the terms of this Contract at any stage of the work. The Consultant shall maintain or cause to be maintained all records, books or other documents relative to charges, costs, expenses, fees, alleged breaches of the Contract, settlement of claims or any other matter pertaining to the Consultant's demand for payment. The City

shall not certify fees for payment to the Consultant until the City has determines that the Consultant has completed the work in accordance with the requirements of this Contract.

6.4. Proposal Costs. All costs of the Consultant in preparing its proposal for **RFP Number 8448** shall be solely borne by the Consultant and are not included in the compensation to be paid by the City to the Consultant under this Contract or any other agreement.

6.5. Payment for Services, Materials, Employees. The Consultant shall be fully and solely responsible for the suitability, and compliance with the Contract, of all labor, services, equipment, materials, reports, plans, specifications, deliverables, incidentals, etc. furnished to the City under this Contract. The Consultant shall promptly pay all employees as their pay falls due, shall pay promptly as they fall due all bills for labor, services, equipment, materials, reports, plans, specifications, deliverables, incidentals, etc., going into the work, and all bills for insurance, bonds, Worker's Compensation coverage, Federal and State Unemployment Compensation, and Social Security charges applicable to this Project. Before final payment is made, the Consultant shall furnish a legal statement to the City that all payments required under this subparagraph have been made.

6.6. Liens. Neither the City's final payment nor any part of the retained percentage, if any, shall become due until the Consultant shall deliver to the City a complete release of all liens arising out of this Contract, or receipts in full in lieu thereof, and in either case, an affidavit that so far as the Consultant has knowledge or information, the releases and receipts include all the labor and material for which a lien could be filed; but the Consultant may, if any subcontractor refuses to furnish a release or receipt in full, furnish a bond satisfactory to the City, to indemnify it against any lien. If any lien remains unsatisfied after all payments are made, the Consultant shall refund to the City all moneys that the City may be compelled to pay in discharging such a lien, including all costs and a reasonable attorney's fee.

7. Passing of Title and Risk of Loss. Title to each item of equipment, material, reports, plans, specifications, supplies, services, etc. required to be delivered to the City hereunder shall pass to City upon City payment to the Consultant for that item. Consultant and its insurer shall assume the risk of loss or damage up to and including the date title passes, except that City shall be responsible for loss or damage caused by City's negligence.

8. Indemnification.

8.1. The Consultant shall indemnify, defend, and hold harmless the City, City's Boards, Waterbury Board of Education and Public Health Department, commissions, agents, officials and employees from and against all claims, suits, damages, losses, judgments, costs and expenses including attorney's fees arising out of or resulting from the delivery of the labor, services, equipment, materials, reports, plans, specifications, design, drawings, deliverables, incidentals, etc. arising under or related to this Agreement provided that any such claims, suits, damages, losses, judgments, costs or expenses **(i)** are attributable to bodily injury, sickness, disease or death, or to injury to or destruction of tangible property, including the loss of use resulting there from, **(ii)** are

alleged to be caused in whole or in part by any willful, intentional, negligent, or reckless act or omission of the Consultant, its employees, any subcontractor or consultant, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder; **(iii)** enforcement action or any claim for breach of the Consultant duties hereunder or **(iv)** claim for intangible loss(es) including but not limited to business losses, lost profits or revenue, regardless of whether or not it is caused in part by a party indemnified hereunder.

8.2. In any and all claims against the City or any of its boards, agents, employees or officers by the Consultant or any employee of the Consultant, any subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under Paragraph 8.1, above, shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Consultant or any subcontractor under Worker's Compensation Acts, disability benefit acts or other employee benefit acts.

8.3. The Consultant understands and agrees that any insurance required by this Contract, or otherwise provided by the Consultant, shall in no way limit the responsibility to indemnify, defend, keep and hold harmless the City, the Board of Education, any of City's boards, agents, employees as provided in this Contract as provided in this Contract.

8.4. The Consultant expressly understands and agrees that any performance bond or insurance protection required by this Contract, or otherwise provided by the Consultant, shall in no way limit the responsibility to indemnify, keep and save harmless and defend the City and the City's boards, agents, employees or officers as provided herein.

8.5. Royalties and Patents. The Consultant shall, for all time, secure to the City the free and undisputed right to the use of any and all patented articles and methods used in the work and shall defend at Consultant's own expense any and all suits for infringement or alleged infringement of such patents, and in the event of adverse award under patent suits, the Consultant shall pay such awards and hold the City harmless in connection with any patent suits that may arise as a result of installations made by the Consultant and as to any award made thereunder.

8.6. In the event this Contract and/or the Consultant's, or its subcontractor, work and services provisioned hereunder is/are subject to the provisions of any Federal or State statute or regulations, or the City Charter or City Ordinance, the Consultant shall indemnify, defend and hold harmless the City from any fine, penalty or other amounts imposed on the City under said statutes, regulations, Charter or Ordinances, if caused by Consultant, or its subcontractor, omission or commission.

9. Consultant's Insurance.

9.1. The Consultant shall not commence work under this Contract until all insurance required under this Section 9 has been obtained by the Consultant and such insurance has been approved by the City. The Consultant shall not allow any subcontractor to

commence work on any subcontract until all insurance required of any such subcontractor has been so obtained and approved by the City. Insurance shall be provided by insurers that are satisfactory to the City, authorized to do business in the State of Connecticut, that have at least an "A-" Best's Rating, and are in an A.M. Best financial size category of VIII or higher. The A.M. Best classifications are based on the most current A.M. Best Company ratings or an equivalent City approved rating system.

9.2. At no additional cost to the City, the Consultant shall purchase and maintain the insurance coverages set forth below which shall protect the City from claims which may arise out of or result from the Consultant's obligation under this Contract, whether such obligations are the Consultant's or subcontractor or person or entity directly or indirectly employed by said Consultant or subcontractor, or by any person or entity for whose acts said Consultant or subcontractor may be liable.

9.3. Each insurance policy shall state that the insurance company shall agree to investigate and defend the insured against all claims for damages, even if groundless. If any insurance required herein is to be issued or renewed on a claims made form as opposed to an occurrence form, the retroactive date for coverage shall be no later than the commencement date of this Contract and shall provide that in the event of cancellation or non-renewal, the discovery period for insurance claims ("Tail Coverage") shall be available for at least 60 months.

9.4. The following policies with stated limits shall be maintained, in full force and effect, at all times during which the services are to be performed by the Consultant:

9.4.1 General Liability Insurance:
$1,000,000.00 each Occurrence
$2,000,000.00 General Aggregate
$2,000,000.00 Products/Completed Operations Aggregate
Providing coverage to protect the City for all damages arising out of bodily injuries, sickness to or death of all persons in any one accident or occurrence and for all damages arising out of destruction of property in any one accident or occurrence.

9.4.2 Automobile Liability Insurance:
$1,000,000.00 Combined Single Limit Each Accident
Any Auto, All Owned and Hired Autos
Providing coverage to protect the City with respect to claims for damage for bodily injury and or property damage arising out of ownership, maintenance, operation, use or loading and unloading of any auto including hired & non- owned autos.

9.4.3 Workers' Compensation: Statutory Limits within the State of Connecticut:
Employer Liability (EL):
$1,00,000.00 EL Each Accident
$1,00,000.00 EL Disease Each Employee

$1,00,000.00 EL Disease Policy Limit
Consultant shall comply with all State of Connecticut statutes as it relates to Workers' Compensation.

9.4.4 Excess/Umbrella Liability:
$1,000,000.00 each Occurrence
$1,000,000.00 Aggregate

9.4.5 Professional Liability/E&O:
$1,000,000.00 each Wrongful Act
$1,000,000.00 Aggregate

9.4.6 Abuse/Molestation Liability Insurance:
$1,000,000.00 each Occurrence
$1,000,000.00 Aggregate.
(Applicable to Contractors/Consultants working directly with Youth/Minors)

9.5. Failure to Maintain Insurance: In the event the Consultant fails to maintain the minimum required coverage as set forth herein, the City may at its option purchase the same, and offset the Consultant's invoices for the cost of said insurance.

9.6. Cancellation: The City of Waterbury shall receive written notice of cancellation from the Consultant at least thirty (30) calendar days prior to the date of actual cancellation, regardless of the reason for such cancellation.

9.7. Certificates of Insurance: All Consultant's policies except Workers' Compensation shall be endorsed to add the City and its Board of Education as an additional insured and provide a waiver of subrogation on all policies. The insurance afforded the additional insured shall be primary and non-contributory insurance and the coverage and limits provided under the Consultant's policies shall not be reduced or prorated by the existence of any other insurance applicable to any loss the additional insured may have suffered. At the time the Consultant executes this Contract, it shall furnish to the City, subject to City approval, certificate(s) of insurance and Additional Insured Endorsement and Waiver of Subrogation Endorsement verifying the above coverages, including the naming of the City of Waterbury, as follows: "**The City of Waterbury and its Board of Education is listed as an Additional Insured on a primary and non-contributory basis on all policies except Workers Compensation and Professional Liability. All policies shall include a Waiver of Subrogation except Professional Liability.**" The City's Request for Proposal Number must be shown on the certificate of insurance. The Consultant must supply replacement/renewal certificates at least thirty (30) calendar days prior to the expiration of the policy(ies).

9.8. If any policy is written on a "Claims Made" basis, the policy must be continually renewed for a minimum of two (2) years from the completion date of this contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

10. Conformance with Federal, State and Other Jurisdictional Requirements. By executing this Contract, the Consultant represents and warrants that, at all pertinent and relevant times to the Contract, it has been, is and will continue to be in full compliance with all applicable statutes, acts, ordinances, guidelines, resolutions, orders, judgments, decrees, injunctions, rules, and regulations of all government authorities applicable to performance by the Consultant of services hereunder, including those having jurisdiction over its registration and licensing to perform services hereunder; including, but not limited to, the following: *EQUAL EMPLOYMENT OPPORTUNITY ACT*; *COPELAND ANTI-KICKBACK ACT*, as supplemented in the Department of Labor Regulations (29 CFR Part 3); *DAVIS-BACON ACT* as supplemented by Department of Labor Regulations (29 CFR Part 5); Section 103 and 107 of the Contract Work Hours and Safety Standards Act, as supplemented by the Department of Labor Regulations (29 CFR Part 5); the *HOUSING and COMMUNITY DEVELOPMENT ACT of 1974*, as amended; TITLE 31 and Section 12-430(7) of the State of Connecticut General Statutes. All applicable sections of the City Charter and Code of Ordinances are incorporated by reference and made a part hereof.

10.1. Permits, Laws, and Regulations. Permits and licenses necessary for the delivery and completion of the Consultant's work and services shall be secured in advance and paid by the Consultant. The Consultant shall give all notices and comply with all laws, ordinances, rules and regulations bearing on the conduct of the work and services as specified.

10.2. Taxes-Federal, State and Local. The City is exempt from Federal Excise and Transportation, State and Local Sales and Use Taxes, including without limitation, taxes that would otherwise be imposed upon the Consultant for transactions required or necessitated hereunder between it and its subcontractors, suppliers, etc. The Consultant remains liable, however, for any applicable tax obligations it incurs. Moreover, the Consultant represents that the proposal and pricing contained in this Contract do not include the amount payable for said taxes.

10.3. Labor and Wages. The Consultant and its subcontractors shall conform to Federal and State of Connecticut labor laws, and all other laws, ordinances, and legal requirements affecting the work in Connecticut.

10.3.1 The Consultant is aware of the provisions of Title 31, §53 of the Connecticut General Statutes, latest revision (the "Act"), concerning the payment of minimum wages and other payments or contributions established by the State of Connecticut Labor Commissioner for work on public facilities. The provisions of the Act are incorporated by reference and made a part of this Contract. The Act provides that the Connecticut prevailing wage law applies to certain remodeling, refurbishing, alteration, repair and new construction. The wages paid on an hourly basis to any person performing the work of any mechanic, laborer or worker on the work herein contracted to be done and the amount of payment or contribution paid or payable on behalf of each such person to any employee welfare fund, as defined in Conn. Gen. Stat. §31-53(i), shall be at a rate equal to the rate customary or prevailing for the same work in the same trade or

occupation in the town in which such public works project is being constructed. Any contractor who is not obligated by agreement to make payment or contribution on behalf of such persons to any such employee welfare fund shall pay to each mechanic, laborer or worker as part of such person's wages the amount of payment or contribution for such person's classification on each pay day.

10.3.2 The Consultant is aware of, and shall comply with, the provisions of both the Federal Davis-Bacon Act and the Federal American Recovery and Reinvestment Act of 2009, the provisions of both acts hereby incorporated by reference and made a part of this Contract. The Federal Davis-Bacon Act provides that Federal wage rate laws apply to certain federally funded contracts. The American Recovery and Reinvestment Act ("ARRA") provides that Federal wage rate laws apply to all ARRA funded contracts regardless of the contract's dollar value.

11. Discriminatory Practices. In performing this Contract, the Consultant shall not discriminate against any employee or applicant for employment, with respect to his or her hire, tenure, terms, conditions or privileges of employment, or any matter directly or indirectly related to employment, because of race, color, sex, gender identity or expression, age, religious creed, disability, national origin or ancestry, marital status, family status, prior psychiatric treatment, health care, military status or source of income or because of a handicap that is unrelated to the employee's or the applicant's ability to perform the duties of a particular job or position. Subcontracts with each subcontractor shall contain a provision requiring non-discrimination in employment as herein specified. This covenant is required pursuant to §93.04 of the Code of Ordinances of the City and any breach thereof may be regarded as a material breach of this Contract. Said provisions with subcontractors shall require conformity and compliance with all Local, State and Federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements.

11.1. Discrimination Because of Certain Labor Matters. No person employed on the work covered by this Contract shall be discharged or in any way discriminated against because such person has filed any complaint or instituted or caused to be instituted any proceeding or has testified or is about to testify in any proceeding under or related to the labor standards applicable hereunder to its employer.

11.2. Equal Opportunity. In its execution of the performance of this Contract, the Consultant shall not discriminate and shall comply with applicable laws prohibiting discrimination on the grounds of race, color, religion, sex, gender identity or expression, national origin or citizenship status, age or handicap. The Consultant agrees to comply with all Local, State and Federal laws, rules and regulations and Executive orders pertaining to discrimination and equal opportunity requirements, and will require the same of all subcontractors.

12. Intentionally Omitted.

13. Termination.

13.1. Termination of Contract for Cause. If, through any cause, in part or in full, not the fault of the Consultant, the Consultant shall fail to fulfill in a timely and proper manner its obligations under this Contract, or if the Consultant shall violate any of the covenants, agreements, or stipulations of this Contract , the City shall thereupon have the right to terminate this Contract by giving written notice to the Consultant of such termination and specifying the effective date thereof, at least five (5) business days before the effective date of such termination.

13.1.1 In the event of such termination, all finished or unfinished documents, data, studies, reports, specifications, deliverables, etc. prepared by the Consultant under this Contract shall, at the option of the City, become the City's property, and the Consultant shall be entitled to receive just and equitable compensation for any satisfactory work completed for such.

13.1.2 Notwithstanding the above, the Consultant shall not be relieved of liability to the City for damages sustained by the City by virtue of any breach of this Contract by the Consultant, and the City may withhold any payments to the Consultant for the purpose of setoff until such time as the exact amount of damages due the City from the Consultant is determined.

13.2. Termination for Convenience of the City. The City may terminate this Contract at any time for the convenience of the City, by a notice in writing from the City to the Consultant. If this Contract is terminated by the City as provided herein, the Consultant will be paid an amount which bears the same ratio to the total compensation as the services actually performed bear to the total services of the Consultant covered by this Contract, less payments of compensation previously made.

13.3. Termination for Non-Appropriation or Lack of Funding. The Consultant acknowledges that the City is a municipal corporation and that this Contract is subject to the appropriation of funds by the City sufficient for this Contract for each budget year in which this Contract is in effect. The Consultant therefore agrees that the City shall have the right to terminate this Contract in whole or in part without penalty in the event sufficient funds to provide for City payment(s) under this Contract is not appropriated, not authorized or not made available pursuant to law, or such funding has been reduced pursuant to law.

13.3.1 Effects of Non-Appropriation. If funds to enable the City to effect continued payment under this Contract are not appropriated, authorized or otherwise made available by law, the City shall have the right to terminate this Contract without penalty at the end of the last period for which funds have been appropriated, authorized or otherwise made available by law by giving written notice of termination to the Consultant.

13.3.2 Effects of Reduced Levels of Funding. If funding is reduced by law, or funds to pay the Consultant for the agreed to level of the products, services and functions to be provided by the Consultant under this Contract are not appropriated, authorized or otherwise made available by law, the City may,

upon seven (7) calendar days written notice to the Consultant, reduce the level of the products, services or functions in such manner and for such periods of time as the City may elect. The charges payable under this Contract shall be equitably adjusted to reflect such reduced level of products, services or functions and the parties shall be afforded the rights set forth in this Contract.

13.3.3 No Payment for Lost Profits. In no event shall the City be obligated to pay or otherwise compensate the Consultant for any lost or expected future profits.

13.4. Rights Upon Cancellation or Termination.

13.4.1 Termination for Cause. In the event the City terminates this Contract for cause, the Consultant shall relinquish to the City any applicable interest, title and ownership including, but not limited to, perpetual use of any proprietary rights in and to the documents, data, studies, reports, specifications, deliverables, etc. provided to, in possession of, and properly invoiced and paid for by (except to the extent such invoiced amount is disputed) the City. With regard to third party products, the Consultant shall transfer all licenses to the City which the Consultant is permitted to transfer in accordance with the applicable third party license. The City shall have no financial obligation to compensate the Consultant for such terminated documents, data, studies, reports, specifications, deliverables, etc. unless payment is otherwise approved by the City prior to such termination. The Consultant shall be liable for costs incurred by the City, including but not limited to reasonable attorney fees and all court awarded fees and costs incurred in terminating this agreement in whole or in part.

13.4.2 Termination for Lack of Funding or Convenience. In the event of termination of this Contract by the City for lack of funding or convenience, the City shall pay the Consultant for all documents, data, studies, reports, specifications, deliverables, etc. (including any holdbacks), installed and delivered to the City as of the Termination Date and the Consultant shall relinquish to the City any applicable interest, title and ownership including, but not limited to perpetual use of any proprietary rights in and to said documents, data, studies, reports, specifications, deliverables, etc. in possession of and paid for by the City (except to the extent any invoiced amount is disputed). The Consultant shall be required to exercise commercially reasonable efforts to mitigate damages.

13.4.3 Termination by the Consultant. The Consultant may, by written notice to the City, terminate this Contract if the City materially breaches, provided that the Consultant shall give the City thirty (30) calendar days prior written notice and an opportunity to cure by the end of said thirty (30) day period. In the event of such termination, the Consultant will be compensated by the City for work performed prior to such termination date and the Consultant shall deliver to the City all deliverables as otherwise set forth in this Contract.

13.4.4 Assumption of Subcontracts. In the event of termination of this Contract, the City shall have the right to assume, at its option, any and all subcontracts for

products, services and functions provided exclusively under this Contract, and may further pursue completion of the work under this Contract by replacement contract or otherwise as the City may in its sole judgment deem expedient.

13.4.5 Delivery of Documents. In the event of termination of this Contract, **(i)** the Consultant shall promptly deliver to the City, in a manner reasonably specified by the City, all labor, services, equipment, materials, reports, plans, specifications, deliverables, incidentals, etc. and other tangible items furnished by, or owned, leased, or licensed by, the City, and **(ii)** the City shall pay the Consultant for all services performed and deliverables completed and accepted (pro-rated for deliverables partially completed) prior to the effective date of the termination (except to the extent any invoice amount is disputed).

14. Ownership of Instruments of Professional Services. The City acknowledges the Consultant's documents, data, studies, reports, specifications, deliverables, etc. created and to be created pursuant to this Contract, including electronic files, are Instruments of Professional Services. Nevertheless, the final Instruments of Professional Services, including, but not limited to documents, data, studies, reports, specifications, deliverables, etc. prepared for the City under this Contract shall become the property of the City upon City payment for that Instrument of Professional Services and the City reserves the right to use the Instruments of Professional Services.

15. Force Majeure. Neither the Consultant nor the City shall be held responsible for delays or be considered to be in breach of this Contract or be subject to liquidated damages when their respective obligations under this Contract are caused by conditions beyond their control, including without limitation:

15.1. Acts of God, such as severe acts of nature or weather events including floods, fires, earthquakes, hurricanes, cyclones, or explosions;

15.2. war, acts of terrorism, acts of public enemies, revolution, civil commotion or unrest, riots, or epidemics;

15.3. acts of governmental authorities such as expropriation, condemnation, changes of law and order or regulations, proclamation, ordinance, or other governmental requirement;

15.4. strikes and labor disputes; and

15.5. certain accidents including but not limited to hazardous, toxic, radioactive or nuclear contamination spills, contamination, combustion or explosion, which prevent a Party from fulfilling their obligations or otherwise render performance under the Contract impossible.

Upon cessation of work for reason of force majeure delays, the Party(ies) whose obligations are affected shall use their best efforts to meet their obligations under this Agreement.

16. Subcontracting. The Consultant shall not, without the prior written approval of the City, subcontract, in whole or in part, any of the Consultant's services. Any subcontractor so

approved shall be required to secure and maintain insurance coverage equal to or better than that required of the Consultant and shall name the City as an additional insured party and said subcontractors shall deliver to the City a certificate of insurance evidencing such coverages. All subcontractors shall comply with all Federal, State and Local, laws, regulations and ordinances but such requirement shall not relieve the Consultant from its requirement that all work and services provided or required hereunder shall comply with all Federal, State and Local, laws, regulations and ordinances.

16.1. The Consultant shall be as fully responsible to the City for the acts and omissions of the Consultant's subcontractors, and of persons either directly or indirectly employed by them, as it is for the acts and omissions of persons directly employed by the Consultant.

17. Assignability. The Consultant shall not assign any interest in this Contract, and shall not transfer any interest in the same (whether by assignment or novation) without the prior written approval of the City; provided, however, that claims for money due or to become due the Consultant from the City under this Contract may be assigned to a bank, trust, company, or other financial institution, or to a Trustee in Bankruptcy, without such approval. Notice of any such assignment or transfer shall be furnished promptly to the City.

18. Audit. The City reserves the right to audit the Consultant's books of account in relation to this Contract any time during the period of this Contract or at any time during the twelve month period immediately following the closing or termination of this Contract. In the event the City elects to make such an audit, the Consultant shall immediately make available to the City all records pertaining to this Contract, including, but not limited to, payroll records, bank statements and canceled checks.

19. Risk of Damage and Loss. The Consultant shall be solely responsible for causing the timely repair to and/or replacement of, City property or item(s) intended to become City property hereunder, where the need for repair or replacement was caused by the Consultant, by someone under the care and/or control of the Consultant, by any subcontractor of the Consultant, or by any shipper or delivery service. The Consultant shall be solely responsible for all costs and expenses, including but not limited to shipping, delivery, insurance, etc. associated with the foregoing repair and replacement obligation. Further, the Consultant shall be solely responsible for securing the City's written acceptance of all completed repairs and replacements required hereunder. The City hereby retains sole discretion to determine whether a repair or a replacement is the proper remedy.

20. Interest of Consultant. The Consultant covenants that it presently has no interest and shall not acquire any interest, direct or indirect, in the project or any parcel of land therein or any other interest which would conflict in any manner or degree with the performance of its services hereunder. The Consultant further covenants that in the performance of this Contract no person having any such interest shall be employed.

21. Entire Agreement. This Contract shall constitute the complete and exclusive statement of the contract between the parties as it relates to this transaction and supersedes all previous agreements and understandings, whether written or oral, relating to such subject matter. Any

amendment to this Contract must be in writing and agreed to and executed by the City and the Consultant.

22. Independent Contractor Relationship. The relationship between the City and the Consultant is that of client and independent contractor. No agent, employee, or servant of the Consultant shall be deemed to be an employee, agent or servant of the City. The Consultant shall be solely and entirely responsible for its acts and the acts of its agents, employees, servants and subcontractors during the performance of this Contract. It is the express intention of the parties hereto, and the Consultant hereby agrees and covenants, that it and any and all third party(ies) and subcontractor(s) retained by the Consultant hereunder is/are not and shall not be deemed an employee of the City of Waterbury, but is/are and shall remain an independent contractor relative to the City and that nothing herein shall be interpreted or construed as creating or establishing the relationship of employer-employee between the City of Waterbury and the Consultant or between the City of Waterbury and any third party(ies) or subcontractor(s). Thus, the Consultant hereby covenants that it, its subcontractor(s) and third party(ies) shall not be entitled to the usual characteristics of employment, such as income tax withholding, F.I.C.A. deductions, pension or retirement privileges, Workers Compensation coverage, health benefits, etc. and that the Consultant shall be solely and entirely responsible for its acts and the acts of its agents, employees, servants, representatives, subcontractors and third party(ies).

23. Severability. Whenever possible, each provision of this Contract shall be interpreted in such a manner as to be effective and valid under applicable law. If any provision of this Contract, however, is held to be prohibited or invalid under applicable law, such provision shall be deemed restated to reflect the original intentions of the parties, as nearly as possible in accordance with applicable law, and if capable of substantial performance, the remaining provisions of this Contract shall be enforced as if this Contract was entered into without an invalid provision. If the ruling and/or controlling principle of law or equity leading to the ruling is subsequently overruled, modified or amended by legislation, judicial or administrative action, then the provision(s) in question as originally set forth in this Contract shall be deemed valid and enforceable to the maximum extent permitted by the new controlling principal of law or equity.

24. Survival. Any provisions of this Contract that impose continuing obligations on the parties shall survive the expiration or termination of this Contract for any reason.

25. Contract Change Orders.

25.1. At the sole discretion of the City, a Change Order may be issued solely by the City to modify an existing party obligation set forth in this Contract where the scope of the Change Order is:

25.1.1 within the scope of the original Contract OR is made pursuant to a provision in the original Contract, AND

25.1.2 the Change Order monetary cost is charged solely against those funds encumbered for and at the time the Contract was originally executed by the City, that is those funds set forth in the original Contract as a not to exceed payment

amount OR within the original Contract's contingency / allowance / reserve amount (if any is stated therein), AND

25.1.3 the Final Completion Date has not been changed.

25.2. Notwithstanding the foregoing subsection A, a Change Order shall not include:

25.2.1 an upward adjustment to a Consultant's payment claim, or

25.2.2 a payment increase under any escalation clause set forth in the original contract, or any Change Order, or any amendment.

25.3. That the work and/or services contemplated are necessary does not, in itself, permit a Change Order. Should the need for a Change Order arise, the request shall be reviewed, and if agreed to, approved by the City's Using Agency and any City designated representative(s). To be binding and enforceable, a Change Order shall thereafter be signed by both the Consultant, any City designated representative(s), and a duly authorized representative of the City's Using Agency prior to the Consultant's delivery of the services, etc. contemplated in said Change Order. All Change Orders are governed by the provisions of this Contract. Any contract change NOT fully complying with this Section 25 shall be effectuated solely by an amendment to this Contract complying with Section 38.073 of the City's "Centralized Procurement System" ordinance.

26. Conflicts or Disputes. This Contract represents the full and complete concurrence between the City and the Consultant and governs all disputes between them. In the instance of a conflict or dispute over issues not specifically referenced within the Contract, the following documents shall be used as historical documents. Without regard to the order of precedence, to resolve such conflicts or disputes, the historical documents are **(i)** the City's aforementioned **RFP Number 8448** and (ii) the Consultant's proposal responding to the aforementioned **RFP Number 8448.**

26.1. Procedure. This procedure supersedes all statements to the contrary occurring either in proposals or other prior agreements, oral or written, and all other communications between the parties relating to this subject.

26.2. Presumption. This Contract or any section thereof shall not be construed against any party due to the fact that the Contract or any section thereof was drafted by such party.

27. Disputes; Legal Proceedings; Waiver of Trial by Jury and Continued Performance. The Consultant agrees that its waives a trial by jury as to any and all claims, causes of action or disputes arising out of this Contract or services to be provided pursuant to this Contract. Notwithstanding any such claim, dispute or legal action, the Consultant shall continue to perform services under this Contract in a timely manner, unless otherwise directed by the City.

28. Binding Agreement. The City and the Consultant each bind themselves, and their successors, assigns and legal representatives to the other party to this Contract and to the successors, assigns and legal representatives of such other party with respect to all covenants of

this Contract.

29. Waiver. Any waiver of the terms and conditions of this Contract by either of the parties hereto shall not be construed to be a waiver of any other term or condition of this Contract.

30. Governing Laws. This Contract, its terms and conditions and any claims arising there from shall be governed by the laws of the State of Connecticut.

31. Notice. Except as otherwise specifically prohibited in this Contract, whenever under this Contract approvals, authorizations, determinations, notices, satisfactions or waivers are required or permitted, such items shall be effective and valid only when given in writing signed by a duly authorized officer of the City's Using Agency or the Consultant, and delivered in hand or sent by mail, postage prepaid, to the party to whom it is directed, which until changed by written notice, are as follows:

Consultant: Equinox Home Care, LLC
80 Ferry Blvd, Suite 205
Stratford, CT, 06615

City: The City of Waterbury
Department of Health
185 South Main Street
Waterbury, CT 06702

With a copy to: Office of the Corporation Counsel
City Hall Building
235 Grand Street, 3rd Floor
Waterbury, CT 06702

32. City Code of Ordinances, Ethics and Conflict of Interest Code, Provisions.
The Person (the term "Person" shall herein be as defined in Section 38 of the City's Code of Ordinances) supplying the documents, data, studies, reports, specifications, deliverables, etc. under this Contract shall comply with all applicable Federal, State and Municipal statutes, regulations, charters, ordinances, rules, etc. whether or not they are expressly stated in this Contract, including but not limited to the following:

32.1. It shall be a material breach of this Contract, and, except as may be permitted by regulations or rulings of the City of Waterbury Board of Ethics it shall be a violation of the City's Code of Ordinances, for any Public Official, City Employee or Member of a Board or Commission who is participating directly or indirectly in the procurement process as set forth in the City's Code of Ordinances, including those participating in exempt transactions, to become or be the employee of any person contracting with the governmental body by whom the Official, Employee, or Board or Commission member is employed or is a member.

32.2. It shall be a material breach of this Contract, and it shall be a violation of the City's Code of Ordinances for any Person to offer, give, or agree to give any current or former Public Official, Employee or Member of a Board or Commission, or for such

current or former Public Official, Employee or Member of a Board or Commission to solicit, demand, accept or agree to accept from another Person, a gratuity or an offer of employment in connection with any: decision; approval; disapproval; recommendation; preparation of any part of a program requirement or a requisition; influencing the content of any specification or procurement standard; or rendering of advice, investigation, auditing, or in any other advisory capacity in any proceeding or application, request for ruling, determination, claim or controversy, or other particular matter, pertaining to any program requirement or a Contract or Purchase Order, or to any solicitation or proposal therefore.

32.3. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for any payment, Gratuity, or offer of employment to be made as an inducement for the award of a subcontract or order, by or on behalf of a subcontractor, the prime Consultant or higher tier subcontractor or any Person associated therewith, under a Contract or Purchase Order to the City.

32.4. The value of anything transferred or received in violation of the City's Charter, Code of Ordinances, and/or regulations promulgated there under, by any Person subject to said Charter and/or Ordinances may be recovered by the City.

32.5. Upon a showing that a subcontractor made a kickback to the City, a prime Consultant or a higher tier subcontractor in connection with the award of a subcontract or order there under, it shall be conclusively presumed that the amount thereof was included in the price of the subcontract or order and ultimately borne by the City and will be recoverable hereunder from the recipient. In addition, said value may also be recovered from the subcontractor making such kickbacks. Recovery from one offending party shall not preclude recovery from other offending parties.

32.6. It shall be a material breach of this Contract and it shall be a violation of the City's Code of Ordinances for a Person to be retained, or to retain a Person, to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee; and every Person, before being awarded a City contract, shall deliver to the City, on a City authored form, a representation that such Person has not retained anyone in violation of this subsection 32.6, the failure to deliver said form being a material breach of this Contract and a violation of the City's Code of Ordinances. Note, however, this subsection 32.6 shall not apply to full-time Employees who, as a condition of their employment, may be entitled to bonuses or other fees in accordance with their employment relationship.

32.7. The Person hereby expressly represents that he/she/it has complied with those sections of the City's Code of Ordinances requiring that said Person has **(i)** delivered to the City an affidavit, on a City authored form, stating that the Person and its affiliates have no delinquent taxes or other financial obligations owned to the City; **(ii)** filed the City authored financial disclosure statement form as set forth in the City's Code of Ordinances regarding disclosure of financial interests; **(iii)** delivered to the City a written acknowledgement, on a City authored form, evidencing receipt of a copy of the "Ethics and Conflict of Interest" ordinance for the City of Waterbury and hereby expressly

represents that said Person is in full compliance with the entirety of said Code of Ordinances; and **(iv)** filed a current list of all taxable personal and real property as required by the State of Connecticut General Statutes. Any violation of this subsection 32.7 shall be deemed a material breach of this Contract and shall be a violation of the City's Code of Ordinances.

32.8. The definitions set forth in the City's Code of Ordinances shall be the primary source for interpretation of the forgoing subsections 32.1-32.7.

32.9. The Consultant is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, all relevant provisions of the City's Charter and all relevant provisions of the City's Code of Ordinances, including without limitation Chapters 93, titled "Discriminatory Practices", Chapter 38 titled "Centralized Procurement System", and Chapter 39 titled "Ethics and Conflict of Interest", of said Code as may be amended from time to time.

32.10. The Consultant hereby acknowledges receipt of a copy of the Chapters 38 and 39 of City's Ordinance regarding Procurement, Ethics, and Conflicts of Interest and has familiarized itself with said Code and hereby agrees to adhere to said Code. The text of Chapters 38 and 39 of said Code may be obtained from the Office of the City Clerk of the City and on the internet at the City Clerk's web site: http://www.waterburyct.org/content/9569/9605/9613/15125.aspx [click link titled *"The City of Waterbury - Code of Ordinances. (Rev. 12/31/19)"*. For Chapter 38, click on "Title III: Administration", then click on "Chapter 38: Centralized Procurement System". For Chapter 39, click on "Title III: Administration", then click on "Chapter 39: Ethics And Conflicts of Interest"]

32.11. The Consultant is hereby charged with the requirement that it shall have knowledge of, and shall fully comply with, the City's Ordinance Sections 34.15 through 34.99 entitled, "Ordinance Concerning the Hiring of Waterbury Residents on Certain Publicly-Funded Construction Projects" and the State of Connecticut Legislature's Special Act No. 01-1.

32.12. Every Person who conducts business with, contracts, with or provides commodities or services to the City, is charged with notice of the extent of the powers and authority, and the limitations thereon, of the Public Officials and Employees of the City, as set forth in the charter of the City, the Code of Ordinances and any Regulations or Policies pertaining thereto. In particular, and without implying any limitation as to its applicability, it applies to all Persons who participate in the procedures pertaining to the Centralized Procurement System as set forth in Chapter 38, and the Ethics and Conflict of Interest provisions set forth in Chapter 39 of the Code of Ordinances.

32.13. INTEREST OF CITY OFFICIALS. No member of the governing body of the City, and no other officer, employee, or agent of the City who exercises any functions or responsibilities in connection with the carrying out of the Project to which this Contract pertains shall have any personal interest, direct or indirect, in this Contract.

32.14. PROHIBITION AGAINST CONTINGENCY FEES. The Consultant hereby represents that it has not retained anyone to solicit or secure a contract with the City upon an agreement or understanding for a commission, percentage, brokerage or contingency fee.

32.15. FREEDOM OF INFORMATION ACT NOTICE. Pursuant to State statute, in the event the total compensation payable to the Consultant set forth in Section 6 herein is greater than $2,500,000.00, the City is entitled to receive a copy of any and all Consultant records and files related to the performance of this Contract and those records and files are subject to the Freedom of Information Act ("the Act") and may be disclosed by the City pursuant to the Act.

IN WITNESS WHEREOF, the Parties hereto execute this Contract on the dates signed below.

WITNESSES:

Sign:_____
Print name:

Sign:_____
Print name:

CITY OF WATERBURY

By:_____
Paul K. Pernerewski, Jr., Mayor

Date: _____

WITNESSES:

Sign:_____
Print name:

Sign:_____
Print name:

EQUINOX HOME CARE, LLC

By:_____

Date:_____

ATTACHMENT A

1.1.1 **RFP Number 8448** (attached hereto excluding template Contract and City forms);

1.1.2 Addendum 1 to **RFP Number 8448** dated May 9, 2025, consisting of 7 pages (attached hereto);

1.1.3 Consultant's Response to **RFP Number 8448**, dated May 15, 2025, consisting of 101 pages (attached hereto excluding template Contract);

1.1.4 Consultant's Revised Cost Proposal dated June 2, 2025, consisting of 1 page (attached hereto);

1.1.5 Any and all amendment(s) and Change Orders, issued by the City after execution of Contract (incorporated by reference);

1.1.6 Annual Statement of Financial Interests; Disclosure and Affidavit Re: Outstanding Obligations to the City; Debarment Certification; Corporate Resolution, Non-Collusion Affidavit (incorporated by reference);

1.1.7 Certificates of Insurance (incorporated by reference);

1.1.8 Licenses (incorporated by reference); and

1.1.9 All applicable Federal, State, and local statutes, regulations charter and ordinances (incorporated by reference).

REQUEST FOR PROPOSAL #8448
BY
THE CITY OF WATERBURY
HEALTH DEPARTMENT

Individualized Nursing Services for Students with Complex Medical Needs

The City of Waterbury, Department of Public Health (hereinafter "City"), is seeking one or more agencies, vendors, proposers or contractors to provide:

Registered Nurses (RNs) and/or Licensed Practical Nurses (LPNs) to provide individualized school-based nursing services to students ages 3-22 with complex medical needs pursuant to the students' individualized education programs (IEPs) and individualized health care plans (IHCPs) or other medical/nursing instructions or plans provided by the students' health care providers, and other related work for a period commencing on or about June 1, 2025 through June 30, 2028.

A. Background and Intent

The purpose of this request for proposal is to obtain hourly cost proposals for the time period above from licensed, reliable, experienced, professional proposers to provide nursing services and related services as needed by Waterbury Public Schools for students with complex medical needs as set forth by the students' IEPs, IHCPs, or health care providers. The City reserves the right to assign nurses to the students based on the students' needs and the needs of Waterbury Public Schools.

The Waterbury Health Department leads the School Health Program in Waterbury Public Schools. As such, the school nurse is the leader in delivery of health services in the school setting. The one to one specialized care services delivered by nursing agency staff are under the purview of the Waterbury Health Department, in collaboration with Waterbury Public Schools. The relationship and communication between the school nurse and the one to one nurse should be collaborative and prioritize continuity of care.

The nursing care delivered under this contract will be implemented by the assigned nurses and supervised by nursing staff within the agency. This contract will be overseen by the Waterbury Health Department on behalf of Waterbury Public Schools. The subject matter expertise for oversight of this contract is shared between Waterbury Health Department School Nurse Supervisors and Waterbury Public Schools Department of Special Education.

Examples of services to be delivered under this contract may include tracheostomy care, suctioning, ventilator use and maintenance, tube feedings, diabetic care, seizure disorder management and other complex medical needs.

B. Qualifications

Eligible proposers will be those agencies, consultants, businesses, and institutions that have the following qualifications:

1. Experience and expertise in providing RNs and/or LPNs for the types of or similar services as those outlined in the Scope of Services in this request for proposal to students with complex medical needs ages 3-22.

2. A proven track record in providing these types of or similar services for similar school districts in a timely fashion and within a budget to the City's satisfaction. Please provide the names, addresses, contact persons and phone numbers for all of the school contracts the proposer has had over the last five (5) years. The City reserves the right to contact any of these school districts for a reference.

3. Ability to ensure staff compliance with federal and state laws and regulations, staff adherence to nursing practice standards, and staff competence in providing the services outlined in the Scope of Services.

4. Evidence of sufficient staff of experienced, licensed and qualified RNs and/or LPNs to provide to the City upon request without delay to fill its immediate and changing needs.

5. The proposer's RNs and/or LPNs have experience in a school setting providing individualized nursing care to students with medical and hygiene needs in compliance with IEPs, IHCPs, and/or instructions from health care providers.

6. The proposer and its RNs and/or LPN's have experience establishing effective communication systems with families to obtain prior notice of student absences or other changes in school attendance.

7. The proposer must submit a list of the proposed staff's level of training and individual experience in the provision of nursing services to students with disabilities. The proposer will also provide retention/turnover rates of RNs and/or LPNs.

8. The proposer will ensure that assigned nurses are able to demonstrate proficiency of any skill or service required to serve the students to whom they are assigned. The proposer will provide the proof of proficiency to the City immediately upon request. The proposer will further ensure that they will provide training to each nurse as is necessary to properly and safely serve the needs of the students to whom they are assigned.

9. The proposer will ensure each nurse has training in CPR, administration of

medications and use of the AED, in addition to training required under federal or state law.

10. Each nurse provided must follow procedures, guidelines and other requirements as set forth by the City and Waterbury Public Schools. The proposer must obtain prior satisfactory criminal background checks, Department of Children and Families (DCF) Registry checks, fingerprinting and drug screening of each nursing candidate prior to placement.

11. Each nurse shall provide timely and complete reports including nursing notes to City staff and provide to the City any Medicaid documentation in a form and manner acceptable to the City and which is in compliance with the State of Connecticut Department of Social Service regulations and other relevant laws.

12. The proposer will be responsible for the direct clinical supervision of the nurses provided to the City.

13. The proposer will invoice the City monthly and provide backup documentation with the invoice and other documentation as requested by the City. The proposer will be able to accurately track the nurses' start and end times and provide verification of hours billed.

C. Scope of Services

1. The proposer agrees to provide nurses for school based individualized nursing services to students, ages 3-22, for the number of hours, days or portions thereof as the City may need during the school year and during the summer program if applicable. All hours billed must be for school-based nursing services performed for the City during school hours and in transit to and from school if required by the IEP, on days the school is in session and the student is in attendance.

2. Nursing services must be provided by Connecticut licensed RNs and/or LPNs without delay to fill the immediate and changing needs of the Waterbury Public Schools. The proposer will ensure that all nurses working in the Waterbury Public Schools have had satisfactory criminal background checks, drug tests and DCF registry checks as required under law and will provide the City proof upon request.

3. The proposer will designate at least one Connecticut licensed RN who will provide direct clinical supervision of the RNs and LPNs practice. Each nurse will be assigned a student or students and other related responsibilities. The student's assigned RN will participate in planning and placement team (PPT) meetings, write goals and objectives for the student's IEP, and communicate with the student's health care providers

as necessary. If an LPN is assigned a student or students, the RN supervising that LPN will participate with the LPN in PPT meetings, write goals and objectives for the student's IEP, and communicate with the student's health care providers as necessary.

4. The proposer must demonstrate a formal orientation process for nurses who will be employed under this contract. The orientation should include any skilled nursing procedures that have been ordered by the health care provider and will be carried out by the assigned nurse. This orientation should include appropriate documentation processes following City, federal, state, local and district laws, policies, procedures, regulations and ethical standards. Proposers may demonstrate this by providing a sample copy of their orientation materials with their proposal.

5. The proposer must designate at least one person within the agency who will be assigned to the City to respond to any issues or concerns of the City.

6. Typically, the City provides nursing services to approximately 10 students but this will fluctuate based on need. The nurses may work with one or more students based upon the students' IHCPs. Students are primarily located in Waterbury schools. Nursing services may be needed at out of district facilities, to accompany students on school-sponsored activities off campus, or if the student is receiving homebound instruction. Work hours consist of the hours the student is in school and include the transportation time if the nurse is traveling with the student for up to 8 hours per day. The nurse must be on time for departure with the student.

7. Nursing services are needed for each school day following the school calendar of approximately 181 days and for extended school year services for up to an additional 25 days in the summer. The nurse must provide their own transportation to the student's home or school. Parking is the responsibility of the nurse or the proposer.

8. The proposer will supervise its nurses and monitor services provided and time billed by each and ensure adherence to the terms of the contract. The proposer's nurses will maintain nursing notes and other records as required by the City and in compliance with all relevant federal, state, local and district laws, policies, procedures, regulations and ethical standards.

9. Each nurse shall provide timely and complete reports to Waterbury Public Schools. Documentation shall be in a form and manner acceptable to the Department and in compliance with the State of Connecticut Department of Social Services regulations and other relevant laws.

10. The proposer will promptly submit bills for services rendered no later than 30 days after the services were provided with supporting documentation as requested by the City. The proposer will monitor the hours of service to ensure compliance with the terms and amount of the contract. The proposer will immediately provide substitutes for staff who are absent. If a nurse is absent for or unable to complete an assigned shift, they shall communicate their absence or need for replacement to the agency. It is the agency's responsibility to replace the nurse with a substitute and communicate that substitution to the family of the student under their care and to the City.

11. Communication between the proposer's nurses and the school nurses is critical to the care and safety of the student. Waterbury Health Department expects the proposer to direct their staff to communicate regularly and openly to the school nurse and/or the School Nurse Supervisors any information pertinent to the student's health status.

D. Agreement Period

The agreement period for any contract or purchase order resulting from this RFP is anticipated to be June 1, 2025 – June 30, 2028. The City, shall have the option, at its sole discretion, to extend the term of this Agreement for one (1) year, upon the same terms and conditions as stated herein, provided that the City gives the Consultant sixty (60) days advance written notice, prior to the expiration of the existing term of its election to extend this Agreement.

E. Insurance

The respondent shall provide insurance as set for in Attachment D provided by insurers, satisfactory to the City and authorized to do business in the State of Connecticut, an "A-" Best's Rating and at least a Class V3 or better financial category as shown in the most current A.M. Best Company ratings.

F. General Information

1. The City is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, or business practices. The City is committed to complying with the Americans with Disabilities Act of 1990 (ADA) and does not discriminate on the basis of disability, in admission to, access to, or operation of its programs, services, or activities.

2. There will be a mandatory Information Session with respect to this RFP on April 30,2025 at 1:00PM as per Attachment E. ATTENDANCE AND ON-TIME SIGN-IN AT THE Information Session IS MANDATORY. THOSE LATE/NOT ATTENDING WILL NOT BE ELIGIBLE TO SUBMIT A PROPOSAL.

3. Proposers must complete and sign the items and any forms included in Attachment A. (Contract Compliance Packet).

4. All questions and communications about this request for Proposal and submission requirements must be directed to the City of Waterbury ProcureWare website and must be received **by 2:00 PM on May 6, 2025**. Prospective proposers must limit their contact regarding this RFP to the Purchasing Director or such other person otherwise designated by the Purchasing Director. Responses to questions submitted by the above date or identified at any Information Session to be held in regard to this RFP, along with any changes or amendments to this RFP, will be available via the City of Waterbury ProcureWare website by **May 9, 2025, 2:00 PM**. It shall be the responsibility of the proposer to download this information. If you have any procedural questions in this regard, please call the Purchasing Director at (203) 574-6748.

G. Management

Any contract or purchase order resulting from this RFP will be managed by the Waterbury Health Department.

H. Conditions

All those submitting proposals must be willing to adhere to the following conditions and must positively state this in the proposal:

1. All proposals in response to this RFP are to be the sole property of the City. Proposers are encouraged **not** to include in their proposals any information which is proprietary. All materials associated with this procurement process are subject to the terms of state laws defining freedom of information and privacy and all rules, regulations and interpretations resulting from those laws.

2. Any product, whether acceptable or unacceptable, developed under a contract awarded as a result of the RFP is to be the sole property of the City.

3. The timing and sequence of events resulting from this RFP will ultimately be determined by the City.

4. The proposer agrees that the proposal will remain valid for a period of **120** days after the closing date for the submission and may be extended beyond that time by mutual agreement.

5. The City may amend the terms or cancel this RFP any time prior to the execution of a contract or purchase order for these services if the City deems it to be necessary, appropriate or otherwise in the best interests of the City.

Failure to acknowledge receipt of amendments, in accordance with the instructions contained in the amendments, may result in a proposal not being considered. At his option, the City's Director of Purchasing may provide all proposers with a limited opportunity to remedy any technical deficiencies identified by the City in their initial review of proposals.

6. The proposer must certify that the personnel identified in its response to this RFP will be the persons actually assigned to the project. Any additions, deletions or changes in personnel from the proposal during the course of the agreement period must be approved by the City, with the exception of personnel who have terminated employment. Replacements for personnel who have terminated employment are subject to approval by the City. At its discretion, the City may require the removal and replacement of any of the proposer's personnel who do not perform adequately, regardless of whether they were previously approved by the City.

7. All subcontractors hired by the proposer awarded a contract or purchase order as a result of this RFP must have prior approval of the City prior to and during the agreement period.

8. Any costs and expenses incurred by proposers in preparing or submitting proposals are the sole responsibility of the proposer.

9. A proposer must be prepared to present evidence of experience, ability, financial standing, and any other information deemed necessary by the City to satisfactorily meet the requirements set forth or implied in the proposal.

10. No additions or changes to the original proposal will be allowed after submittal, except as may be allowed by the City, at its option, in accordance with Section H.5. of this RFP. While changes are not permitted, clarification of proposals may be required by the City at the proposer's sole cost and expense. The final price and scope of services of any contract or purchase order resulting from this RFP may be negotiated with responsible proposers.

11. The proposer may be required to give presentations to the extent necessary to satisfy the City's requirements or needs. In some cases, proposers may have to give presentations or further explanation to any RFP selection committee established by the City.

12. The proposer represents and warrants that the proposal is not made in connection with any other proposer and is in all respects fair and without collusion or fraud. The proposer further represents and warrants that it did not participate in any part of the RFP development process, had no knowledge of the specific contents of the RFP prior to its issuance, and that no agent, representative or employee of the City participated directly in the proposer's proposal preparation.

13. All responses to the RFP must conform to instruction. Failure to include any required signatures, provide the required number of copies, to meet deadlines, answer all questions, follow the requested format, or failure to comply with any other requirements of this RFP may be considered appropriate cause for rejection of the response.

14. The proposer must accept the City's standard agreement language. See Professional Service Agreement in Attachment B.

15. Any contract or purchase order resulting from this RFP process will represent the entire agreement between the proposer and the City and will supersede all prior negotiations, representations or agreements, alleged or made, between the parties. The City shall assume no liability for payment of services under the terms of the contract or purchase order until the successful proposer is notified that the contract or purchase order has been accepted and approved by the City. Any contract resulting from this RFP may be amended only by means of a written instrument signed by the proposer and signed by the Mayor.

I. Proposal Requirements & Required Format

One original (clearly identified as such) and seven (7) paper copies of the proposal, as well as a copy of the original proposal in PDF format on a CD or flash drive, must be received at the following address no later than **11:30 AM on May 15, 2025. Proposals received after that time shall be not be considered.**

Mr. Kevin McCaffery
Director of Purchasing
City of Waterbury
235 Grand Street Room 103
Waterbury, CT 06702

Proposals submitted must be bound, paginated, indexed and numbered consecutively. Proposers shall complete **Attachment C** addressed to Mr. McCaffery, which, in part, includes a statement by the proposer accepting all terms and conditions and requirements contained in the RFP, and which shall be signed by a duly authorized official of the organization submitting the proposal. Proposers shall also, as indicated in Attachment C, identify the name of a contact person, along with their telephone number, email address, if applicable, and address, who can be contacted for the purpose of clarifying the information contained in their response to this RFP. In addition to any other information required in Attachment C, proposers shall provide their firm's authorization and a request to any persons, firm, or corporation to furnish any information requested by the City of Waterbury in verification of the recitals included in its response to this RFP.

Proposals must set forth accurate and complete information for each of the items listed below. At the City's discretion, failure to do so could result in disqualification.

1. Proposer Information: Please provide the following information:

   a. Firm Name
   b. Permanent main office address
   c. Date firm organized.
   d. Legal Form of ownership. If a corporation, indicate where incorporated.
   e. How many years have you been engaged in services you provide under your present name?
   f. Names, titles, reporting relationships, and background and experience of the principal members of your organization, including officers.

2. Experience, Expertise and Capabilities

   a. Philosophy Statement and Business Focus. A statement of the proposer's philosophy and approach in undertaking the services of the nature outlined in the RFP, as well as a description of its primary business focus.
   b. Summary of Relevant Experience. A listing of all projects that the proposer has completed within the last three (3) years must be provided, as well as all projects of a similar nature to those included in the Scope of Services in this RFP. The following information shall be provided for each organization listed under this subsection:
      - Organization name and the name, title, address and telephone number of a responsible contact person.
      - Nature of services provided and dates services started and actually completed. Please indicate, for each assignment, if it was completed within the original contract timeframe and budget. If not, please explain.
      - For projects of a similar nature to those included in the Scope of Services, describe experience providing skilled nursing services to children with complex medical needs in a home care or private duty capacity.
      - For each project done for a municipality or other government agency, please indicate the gross cost of the agreement.
      - A copy of the proposer's home health care agency license from the Connecticut Department of Public Health Facility Licensing and Investigations Section.

      Additionally, please list any contracts or purchase orders in the last three (3) years between the proposer and any agency of the City of Waterbury.
   c. Personnel Listing. A complete listing of the staff identified in the work plan by job classification, along with their current resumes and copies of Connecticut nursing licensure. Each resume shall include the individual's full legal name, qualifications and experience providing nursing care to children with special healthcare needs. Please also include a current organizational chart.
   d. Conflict of Interest. Disclose any current (within the last 3 years) business,

financial, personal or other types of relationships which may pose a conflict of interest.

3. Statement of Qualifications and Work Plan

   a. Qualifications. Please describe your firm's qualifications, experience and capabilities as they pertain to each of the areas of qualifications listed, as well as those of the personnel to be assigned to this project.
   b. Work Plan. Please describe the approach that would be generally followed in undertaking the Scope of Services in Section C above. Please explain roles and responsibilities of all staff assigned to this contract, designating which individual(s) is responsible for nursing supervision.
   c. Services Expected of the City. Identify the nature and scope of the services that would be generally required of the City in undertaking these projects.

4. Cost Schedule. Proposals shall include a **single hourly rate for an RN and a single hourly rate for an LPN, for the term of the contract,** for services performed in accordance with this RFP, inclusive of all personnel and non-personnel expenses. This price should encompass the entire Scope of Services in this RFP. In addition, the proposal shall include an hourly rate for a RN and an LPN for services delivered solely during transit to and from school. The City reserves the right to negotiate costs, scope of services, and key personnel based on provider proposals. In order for the City to evaluate the proposed cost, proposers must include for each element in the Work Plan outlined in Section I.3.b. above, the staff, hours, hourly rates and the total cost.

   The City requires that the cost of the LPN services must include the supervision by the RN. RN supervision should be included as an indirect cost and not be billed separately as an added hourly rate. Include details generally associated with non-personnel costs as an additional cost section.

   Since the City may desire to consider the proposer's experience, qualifications, statement of work, and other aspects of the RFP prior to the Cost Proposal, the Cost Proposal shall be sealed in a separate envelope marked "Confidential: Cost Proposal".

   Note: The City is exempt from the payment of excise, transportation and sales taxes imposed by the Federal Government and/or the State. Such taxes must not be included in prices.

5. Information Regarding: Failure to Complete Work, Default and Litigation.

   Please respond to the following questions:
   a. Have you ever failed to complete any work awarded to you? If so, where and

why?

b. Have you ever defaulted on a contract? If so, where and why?
c. Is there any pending litigation which could affect your organization's ability to perform this agreement? If so, please describe.
d. Has your firm ever had a contract terminated for cause within the past five years? If yes, provide details.
e. Has your firm been named in a lawsuit related to errors and omissions within the past five years? If yes, provide details.
f. During the past seven years, has your firm ever filed for protection under the Federal bankruptcy laws? If yes, provide details.
g. Have you ever exceeded the amount of the contract you were awarded? If so, provide the details of what happened.
h. Are there any other factors or information that could affect your firm's ability to provide the services being sought about which the City should be aware?

6. Exceptions and Alternatives. Proposers wishing to take any exceptions to any requirement in the RFP shall state and explain such exceptions. The City may accept proposals which take exception to any requirement in this RFP, or which offer any alternative to a requirement herein, as well as consider such exceptions and alternatives in evaluating responses. Any exception or alternative must be clearly delineated and cannot materially affect the substance of this Request for Proposal.

7. Additional Data. Any additional information which the proposer wishes to bring to the attention of the City that is relevant to this RFP.

J. Evaluation of Proposals; Selection Process

1. Evaluation Criteria

The following criteria are expected to be among those utilized in the selection process. They are presented as a guide for the proposer in understanding the City's requirements and expectations for this project and are not necessarily all inclusive or presented in order of importance.

a. Proposed statement of work. Emphasis will be on grasp of the issues involved, soundness of approach and the quality of the overall proposal.

b. Proposed cost schedule.

c. Experience, expertise, and capabilities of the proposer. Background, qualifications and previous experience of personnel to be assigned to the project and their demonstrated competence, experience and expertise in the type of work to be performed. The type of experience, expertise, capabilities, and qualifications desired are outlined in Section B. - Qualifications of this RFP. The City may contact one or more of the schools listed in Section B.2

of this RFP as part of assessing the experience, expertise and capabilities of the proposers or those selected as the finalist(s).

d. Time, Project and Cost Schedule. Emphasis will be on the proposer's record with completing tasks and producing the necessary products within required time frames and within budget.

2. Selection Process

The City of Waterbury may elect to have the proposals evaluated by a committee as part of making a selection. If deemed necessary, the City reserves the right to arrange for interviews/oral presentations as part of the selection process, which invitations for interviews may involve a short-listing of the proposals received.

K. Rights Reserved To The City

The City reserves the right to award in part, to reject any and all proposals in whole or in part for misrepresentation or if the proposer is in default of any prior City contract, or if the proposal limits or modifies any of the terms and conditions and/or specifications of the RFP. The City also reserves the right to waive technical defects, irregularities and omissions if, in its judgment, the best interest of the City will be served.

L. Federal, State and Local Employment Requirements NOT APPLICABLE

Contractors, if applicable, shall be obligated to fully comply with the attached Hiring of Waterbury Residents on Certain Publicly-Funded Construction Projects, i.e. City of Waterbury Ordinances Chapter 34 ("Good Jobs Ordinance"), Federal Davis- Bacon Act, Federal American Recovery and Reinvestment Act of 2009, and the Housing and Urban Development Section 3 Clause, all as further specified in the attached City of Waterbury Contract form. Also attached hereto, is a full copy of the aforesaid City of Waterbury Ordinance, commonly referred to as the "Good Jobs Ordinance".

M. State Set-Aside Requirements NOT APPLICABLE

i. The contractor who is selected to perform this municipal public works project, funded in whole or part by the State, must comply with CONN. GEN. STAT. §§ 4a-60, 4a-60a, 4a- 60g, and 46a-68b through 46a-68f, inclusive, as amended by June 2015 Special Session Public Act 15-5. An Affirmative Action Plan must be filed with and approved by the Commission on Human Rights and Opportunities prior to the commencement of construction.

ii. State law requires a minimum of twenty-five (25%) percent of the state-funded portion of the contract for award to subcontractors holding current certification from the Connecticut Department of Administrative Services ("DAS") under the provisions of CONN. GEN. STAT. § 4a-60g, as amended. (25% of the work with DAS certified Small

and Minority owned businesses and 25% of that work with DAS certified Minority, Women and/or Disabled owned businesses.) The contractor must demonstrate good faith effort to meet the 25% set-aside goals.

iii. For municipal public works contracts, the contractor must file a written or electronic non- discrimination certification with the Commission on Human Rights and Opportunities. Forms can be found at:
http://www.ct.gov/opm/cwp/view.asp?a=2982&q=390928&opmNav_GID=1806.

N. State DAS Requirements for Construction Projects NOT APPLICABLE

If applicable, Proposers shall submit with their Proposals their DAS Contractor Prequalification Certificate along with a current Updated Bid/Proposal Statement. In addition, any named Subcontractor whose subcontract value is equal to or greater than $500,000 shall hold a current DAS Contractor Prequalification Certificate in the closest applicable Classification of the work that the Subcontractor will complete in the contract. The proposer must submit with their proposal, all applicable Subcontractor DAS Prequalification certificates. Any Proposal submitted without a copy of the DAS Prequalification Certificate and an Updated Bid/Proposal Statement for the proposal and DAS Prequalification Certificates for Subcontractors whose subcontract value is equal to or greater than $500,000 shall be invalid.

The Successful Proposer and each of its Subcontractors having subcontracts in value equal to or greater than $500,000 shall maintain and keep current their respective DAS Contractor Prequalification Certificates at all times during the term of the Contract and any warranty period set forth in the Contract Documents.

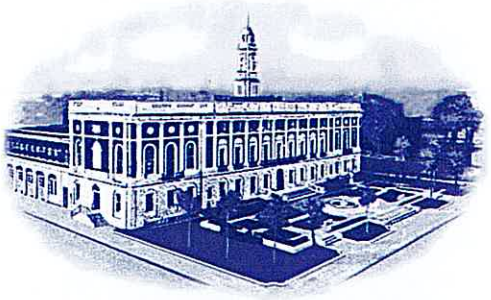
O. Bid Bond NOT APPLICABLE

Each Proposal submitted shall be accompanied by a Proposal Security (a Certified Check or Bid Bond) in the amount of **ten (10) percent** of the Total Proposal Price.

P. Performance/Payment Bonds NOT APPLICABLE

The Proposer to whom a contract is offered, must furnish to the City, if that contract has a total cost greater than $50,000.00, a 100 percent Performance Bond with a surety, and in a form, acceptable to the City. In the City's sole discretion, it may also require a 100 percent Payment Bond and/or other additional security with a surety, and in a form, acceptable to the City.

MAUREEN McCAULEY
ASSISTANT DIRECTOR OF PURCHASING



OFFICE OF THE DIRECTOR OF PURCHASING
THE CITY OF WATERBURY
CONNECTICUT

ADDENDUM #1

May 9, 2025

RFP 8448 Individualized Nursing Services for Students with Complex Medical Needs City of Waterbury

Please Be Advised:

1. All Proposers are hereby notified that this Addendum No. 1 shall be part of the Contract Documents for the above referenced **RFP #8448**.
2. This Addendum amends or supplements the RFP Documents. All provisions which are not so amended or supplemented remain in full force and effect.
3. Each Proposer shall be responsible for determining that it has received all Addenda issued and shall acknowledge receipt of all Addenda on the Addendum Acknowledgment Form (see Attachment C). Failure to do so may subject the Proposer to disqualification.

Please refer to the questions and answers below.

Question	Answer
Please clarify the position how many RNs and LPNs are required? Please provide the number of RNs working on contract with Waterbury.	The number of RNs and LPNs required for this contract will vary according to the number of students requiring specialized services. In the last academic year this represented 10 nurses.
Could the City please confirm if the vendor needs to submit the resumes for the required candidates (RNs, LPNs)? If yes, Does the vendor need to submit sample resumes or actual resumes? On Page 9, under Experience, Expertise and capabilities, heading "personal Listing" states, "A complete listing of the staff identified in the work plan by job classification, along with	The vendor must submit the resumes of all their current staff who may be assigned to this contract. The City understands that new staff may be recruited for this assignment and the vendor does not have those resumes yet. The City asks that if awarded, the vendor be prepared to submit resumes for any new staff assigned to provide services so that Waterbury Health Department (WHD) can review and approve the assignment of those nurses. The

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their current resumes and copies of Connecticut nursing licensure. Each resume shall include the individual's full legal name, qualifications and experience providing nursing care to children with special healthcare needs. Please also include a current organizational chart." Could the City please confirm if this requirement is for key personnel of the vendor or is it for the candidates we are going to hire?	requirement to submit resumes, licensure, and job classification refers to all staff involved in this contract – administrative and nursing team members.
Could the City please confirm if the vendor needs to provide hourly bill rate for the requested positions?	The vendor must provide an hourly bill rate for each position.
Please confirm if the vendor needs to have Schools experience in order to be responsive or for getting award. Will vendors without experience working with schools receive a negative impact in the evaluation?	Vendors with school experience are preferred in the selection process, as outlined in the RFP.
Could the City please confirm how many vendors will be selected through this RFP? How many bidders do you expect to award? Can you clarify the expected number of awards for this solicitation?	The number of vendors selected for this contract is at the City's discretion.
Will you consider a Private Duty homecare company that has RN case management, Clinical Supervision, manages and maintains Plan of care/485, orders, in-services and has past performance with the exact same students for Waterbury but is not a licensed homecare company?	No, the vendor must be a CT FLIS-licensed home health care agency.
RE: Page 9, 2. B: "A copy of the proposer's home health care agency license from the Connecticut Department of Public Health Facility Licensing and Investigations Section (FLIS)." Will a Temporary Nurse Agency License be accepted if we are able to provide an RN Supervisor?	No, the vendor must be a CT FLIS-licensed home health care agency.

Are we able to submit this online?	No.
What is the estimated budget for this contract? Could the City please share the estimated budget for this contract? Is there a predefined budget for this contract?	Not available.
Could the City please share the previous spending for the same services? Could you provide details on the previous expenditure associated with this contract? What are the current incumbent rates for these positions? What are the current hourly rates for these positions? Please provide the current bill rate for RNs under contract with Waterbury.	$ 3,089,772 (LPNs and RNs combined) for three years.
Is it mandatory to subcontract?	It is not mandatory to subcontract.
In order to be considered responsive for this solicitation, is it mandatory to bid on all positions?	In order to be responsive for this RFP, vendors should submit a plan to deliver the care outlined in the scope of services. This includes the provision of nursing care by nurses who have experience caring for children with special health care needs according to an IEP, IHCP or a doctor's orders using RNs or if using LPNs, the provision of LPN nursing care supervised by an RN.
Is there a minimum number of hours required for the service?	Care must be provided during the period needed by the student in transit to and from school and during the school day according to the care plan. RNs and LPNs work 180 school days up to 7 hours a day and sometimes ride the bus with students. The hours in the day are determined on whether the student attends school and the number of hours in the student day.

Are there any specific challenges or issues currently being faced with the existing vendors? If so, what has been their biggest strength and weakness?	Some of the challenges faced by the current program are that the previous RFP solicited nursing staffing agencies rather than nursing home care agencies. Staffing agency staff assume a level of supervision by on-site nurses or orientation to the assignment by the contractor which WPS (Waterbury Public Schools) does not have the capacity to conduct. The current solicitation requires licensure as a home care agency and experience working with pediatric populations with complex medical needs to assure the practice of assigned nurses is supervised by the vendor and performed by professionals familiar with the clinical needs of these populations.
What are the primary goals of this RFP, and are there any specific challenges you hope to address?	The goals of the RFP are stated in the RFP.
Is there any preference or priority given to local vendors for this contract?	Vendors must be licensed in Connecticut.
Is this a newly initiated project, or is it a continuation of an existing one? Is this a new contract or renewal of an existing contract? Is this a rebid for the contract, or is it a new contract?	This is a continuation of services delivered to WPS students currently. This is a new competitive RFP to solicit these services under the WHD. Former solicitations and contracts were under the supervision of WPS and had different scopes of services and expectations.
If it is ongoing, kindly provide the names of the current service providers/incumbent vendors? Could you confirm if it is possible to obtain the proposals or pricing details of the incumbent vendors? If there is an existing contract, could you please share the names of the current vendors and their pricing?	The current vendors are Stepping Stones LLC and WorldWide Travel Staffing. Stepping Stones: Fee schedule for Registered Nurses: July 1, 2024 - June 30, 2025: $69 per hour; WorldWide Travel Staffing: Fee schedule for Licensed Practical Nurses: July 1, 2024 - June 30, 2027: $59 per hour;

Could the City please share if there is any incumbent for this contract? If yes please share the winning vendor response and their pricing. Is there an incumbent vendor?	
If it is a rebid, what prompted the search for a new vendor?	This RFP was prompted by Waterbury Public Schools (WPS) intention to collaborate more effectively with Waterbury Health Department by consolidating the provision of nursing care to students under the supervision of the School Nursing Division at WHD in order to assure the highest quality of care to the students.
How should pricing be structured (fixed rates, markups, volume discounts, etc.)?	As stated in the RFP, the proposal should be for hourly costs for the professionals listed. Please see pp. 8 & 9 of the RFP Section 4: "Cost Schedule. Proposals shall include a **single hourly rate for an RN and a single hourly rate for an LPN, for each year for three years,** for services performed in accordance with this RFP, inclusive of all personnel and non-personnel expenses. This price should encompass the entire Scope of Services in this RFP. In addition, the proposal shall include an hourly rate for a RN and a LPN for accompanying the student on the bus or van without the need for services before or after the transportation. The City reserves the right to negotiate costs, scope of services, and key personnel based on provider proposals."
Will you consider proposals that include W-2 employees or 1099 independent contractors as part of the staffing solution under this RFP?	Payment is made to the vendor. The vendor's relationship to its employees is not the City's concern; provision of quality nursing care is the outcome on which payment is assured.
What are your expectations for candidate screening, assessments, and background checks?	Please see B. Qualifications # 7, 8, 9, 10 on page 2: "7. The proposer must submit a list of the proposed staff's level of training in the school setting and individual experience in the provision of nursing services to students with disabilities. The proposer will also provide retention/turnover rates of RNs and LPNs.

	8. The proposer will ensure that assigned nurses are able to demonstrate proficiency of any skill or service required to serve the students to whom they are assigned. The proposer will provide the proof of proficiency to the City immediately upon request. The proposer will further ensure that they will provide training to each nurse as is necessary to properly and safely serve the needs of the students to whom they are assigned. 9. The proposer will ensure each nurse has training in CPR, administration of medications and use of the AED in addition to training required under federal or state law. 10. Each nurse provided must follow City and School District procedures, guidelines and other requirements as set forth by Waterbury School District representatives. The proposer must obtain prior satisfactory criminal background checks, Department of Children and Families Registry checks, fingerprinting and drug screening of each nursing candidate prior to placement." And please see C. Scope of Services #2 on page 3: "Nursing services must be provided by CT licensed, certified and qualified RNs or LPNs without delay to fill the immediate and changing needs of the Waterbury School District. The proposer will ensure that all nurses working in the Waterbury School District have had satisfactory criminal background checks, drugs tests and DCF registry checks as required under law and will provide the City proof upon request."
Will there be a transition period between vendors, and if so, how will it be handled?	WHD and WPS are working to complete these contracts prior to the next academic year and determine the best mechanism for transition.
Is this a sole-source bid?	No, this is an open competitive bid under an RFP.
What is the expected timeline for vendor selection and contract award?	WHD and WPS intend to select and contract with vendor(s) as soon as possible, ideally before the start of the next academic year.

When would you like services to begin?	By the first day of school of the 2025-2026 academic year.

Thank you.

Maureen McCauley

Assistant Director of Purchasing – City of Waterbury

Response to RFP #8448
Individualized Nursing Services for Students
with Complex Medical Needs

Request for Proposal from:
The City of Waterbury
Health Department

May 15, 2025

Proposer:

Equinox Home Care, LLC
80 Ferry Boulevard, Suite 205
Stratford, CT 06615
(203) 380-9252
HHC.9915760

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DISCLAIMER

The purpose of this form is to streamline Submittal format and provide additional guidance to Respondents. In the event of any conflict or ambiguity between the RFP and this form, the RFP prevails.

1. PROPOSER INFORMATION

a. Firm Name: Equinox Home Care, LLC

b. Permanent Main Office Address: 80 Ferry Blvd, Suite 205, Stratford, CT 06615

c. Date of Organization: June 2002

d. Equinox Home Care, LLC is a Limited Liability Company duly formed under the laws of the State of Connecticut.

e. Equinox Home Care, LLC has been an actively licensed Home Health Agency for 23 years, reflecting more than two decades of clinical excellence, regulatory compliance, and an unwavering commitment to delivering compassionate, high-quality care.

f. Names/Titles of Essential Personnel:

- Silvana Kokkoros, RN, BSN, Owner
- Raquel Kokkoros, Master of Science in Business Administration, Owner
- Nicole Lavin, RN, MSN, Administrator
- Lori Alterio, RN, Supervisor of Clinical Services
- Carmen Kokkoros, RN, Home Health Aide Supervisor

2. EXPERIENCE, EXPERTISE AND CAPABILITIES

a. Philosophy Statement and Business Focus

At Equinox Home Care, LLC, we believe that quality nursing care should meet people where they are, whether that's at home or in the classroom. Our philosophy centers on providing dependable, compassionate, and individualized care that supports each person's unique health needs while promoting dignity and independence.

When it comes to school-based nursing services, our approach is simple: deliver consistent, skilled care that allows students with medical needs to safely participate in their education. We view our nurses as part of a larger team that includes educators, parents, and healthcare providers all working together to support the student's health and learning goals. We emphasize communication, flexibility, and professionalism in every placement.

We also understand that school environments are fast-paced and require a calm, capable nursing presence. That's why we prioritize matching each assignment with nurses who are not only clinically qualified but also experienced in pediatric care and school-based settings.

Our Business Focus

Equinox Home Care, LLC is a Medicare-certified home health care agency based in Stratford, Connecticut. Our primary focus is providing skilled nursing and supportive services in the home and community. Over the years, we've expanded to serve a wide range of clients, from medically complex children to elderly adults requiring long-term care.

Through our shared ownership with Pro Med Staff, LLC, we also provide nurse staffing solutions to local institutions, including schools and municipalities. This dual focus on both home care and staffing allows us to meet a wide variety of healthcare needs with flexibility and reliability.

Under the new ownership of GB Holding Co., LLC, our agency is proudly operated by a local family with a proven track record in Connecticut's healthcare industry. This transition ensures a continued tradition of personalized, client-focused care.

b. Summary of Relevant Experience

Relevant Client List	
1. Client Name	**Confidential Family (Home-based Pediatric Nursing)**
Location	Fairfield County, CT
Contact name	Available upon request/agreement to disclose to preserve client confidentiality
Contact title	Available upon request/agreement to disclose to preserve client confidentiality
Contact telephone number	Available upon request/agreement to disclose to preserve client confidentiality
Contact email address	Available upon request/agreement to disclose to preserve client confidentiality
Customer base	Private Pediatric Case Management

Services provided (those services provided by Respondent)	One-on-one skilled nursing care provided to a 7-year-old student with a tracheostomy and seizure disorder. Services included ventilator care, medication administration, emergency response readiness, and school-day medical oversight. Nursing support was coordinated through collaboration with the child's school nurse, IEP team, and medical providers.
Implementation dates (project start date and go-live date)	August 2021 – August 2023
Completion	On time and within budget
Relevance	Directly aligned with RFP #8448 scope; skilled pediatric nursing in support of educational participation.

2. Client name	**Private Duty- Bridgeport Public Schools (via staffing arrangement)**
Location	Bridgeport, CT
Contact name	Available upon request/agreement to disclose to preserve client confidentiality
Contact title	Available upon request/agreement to disclose to preserve client confidentiality
Contact telephone number	Available upon request/agreement to disclose to preserve client confidentiality
Contact email address	Available upon request/agreement to disclose to preserve client confidentiality
Customer base	In-School Nursing Support
Services provided (those services provided by Respondent)	Assigned a Licensed Practical Nurse (LPN) to a 9-year-old student with Type 1 Diabetes and multiple food allergies during the academic day. Provided insulin monitoring and administration, care coordination, and emergency protocol adherence.

Implementation dates (project start date and go-live date)	September 2022 – June 2023
Gross Cost	$148,900 (based on hourly rate and school calendar schedule)
Completion	On time and within budget
Relevance	Similar in scope to RFP #8448; school-based individual nursing services for medically complex students.

3. Client name	Family Private Care (non-agency referred, transitioned to Equinox management)
Location	Stratford, CT
Contact name	Available upon request/agreement to disclose to preserve client confidentiality
Contact title	Available upon request/agreement to disclose to preserve client confidentiality
Contact telephone number	Available upon request/agreement to disclose to preserve client confidentiality
Contact email address	Available upon request/agreement to disclose to preserve client confidentiality
Customer base	Pediatric Private Duty Nursing
Services provided (those services provided by Respondent)	Provided 12-hour daily nursing shifts to a medically fragile toddler with a feeding tube, seizure disorder, and chronic lung condition. Services included skilled assessments, medication management, parent education, and regular physician reporting.
Implementation dates (project start date and go-live date)	May 2020 – December 2022
Completion	Within original timeline and budget
Relevance	Skilled pediatric home care mirroring school-based medical complexity scenarios.

Equinox Home Care, LLC does not have any direct contracts with the City of Waterbury. For complete transparency, Pro Med Staff, LLC (common ownership) has a contract with the City of Waterbury resulting from RFP #8247.

c. Personnel Listing

Equinox Home Care, LLC maintains a dedicated team of licensed and experienced nursing professionals who are qualified to provide individualized care to students with complex medical needs in accordance with their IEPs, IHCPs, and physician directives. Equinox Home Care, LLC is supported by a robust network of experienced RNs and LPNs, allowing for flexible and responsive staffing across multiple specialties.

Below is a listing of proposed staff by job classification who are identified to support the services outlined in RFP #8448. Full resumes and Connecticut nursing license documentation for each clinician are included.

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1. Non-Clinical

- **Name:** Raquel Kokkoros, MS
  - **CT License #:** N/A
  - **Qualifications:** Offers a strong background in home care leadership with more than 15 years of administrative experience, multi-business ownership in the healthcare sector, and advanced academic training with a Master of Science in Entertainment Business, majoring in Film/Television Production Management, and minor in Business Administration.
  - **Role:** Owner, Chief Operating Officer
  - **Experience:** Ownership interest in Pro Med Staff, LLC, ASYST Medical Staffing of CT, LLC, GB Holding Co., LLC, and Equinox Home Care, LLC.

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2. Registered Nurses (RNs)

- **Name:** Silvana Kokkoros, RN, BSN
  - **CT License #:** 68191
  - **Qualifications:** BSN, over 30 years of pediatric and home health experience, including trach/vent care and school-based nursing support.
  - **Role:** Owner, Lead Field Nurse Supervisor
  - **Experience:** Extensive experience supporting children with seizure disorders, diabetes, and neurodevelopmental conditions in home and school settings. Vital link between educational staff and student care teams.

- **Name:** Nicole Lavin, RN, MSN
  - **CT License #:** E57535
  - **Qualifications:** 34 years as an RN, 8+ years in pediatric private duty nursing and transitional care planning.
  - **Role:** Administrator, Field RN (Float/Backup)
  - **Experience:** Direct school nursing experience for medically fragile children aged 5-17, with emphasis on chronic respiratory conditions.

- **Name:** Lori Alterio, RN
  - **CT License #:** E56494
  - **Qualifications:** Coding Specialist with over 20 years of private duty nursing, hospice care, and administrative nursing.
  - **Role:** Supervisor of Clinical Services, Field RN (Float/Backup)
  - **Experience:** Direct and Administrative Care experience for 20+ years

- **Name:** Peter Kokkoros, RN
  - **CT License #:** 10.080715
  - **Qualifications:** 18+ years in psychiatric nursing services
  - **Role:** Field RN, On-Call RN
  - **Experience:** Extensive 18-year background providing compassionate, evidence-based psychiatric nursing care to individuals with acute and chronic mental health conditions
  -

- **Name:** Carmen Kokkoros, RN
  - **CT License #:** 10.119084
  - **Qualifications:** 14 years in pediatric nursing, BLS, PALS, Pediatric Tracheostomy & Ventilator Management.
  - **Role:** Field RN and HHA Supervisor
  - **Experience:** *Montefiore Medical Center (Bronx, NY) Pediatric ICU Nurse*: Provided critical care to infants and children with respiratory failure, congenital disorders, and multi-organ complications. Developed acute clinical judgment and emergency responsiveness in high-pressure, fast-paced ICU settings.
  - *Greenwich Hospital Pediatric Unit Nurse:* Delivered comprehensive inpatient pediatric care including medication administration, seizure management, and parental teaching. Worked closely with interdisciplinary teams and discharge planners to ensure smooth transitions to home or school environments.
  - *Yale New Haven Hospital Pediatric Emergency Department Nurse:* Cared for pediatric patients presenting with trauma, respiratory distress, chronic illness exacerbations, and acute behavioral health crises. Familiar with rapid triage, procedural sedation, and coordination with specialists for follow-up care.
  - *School-Based & Private Duty Nursing:* Transitioned to community health with a focus on school-aged children requiring 1:1 nursing care for conditions such as Type 1 Diabetes, seizure disorders, and ventilator dependence.

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3. Licensed Practical Nurses (LPNs)

- **Name:** Juliette Jean Baptiste, LPN
  - **CT License #:** 43586
  - **Qualifications:** Graduate of Lincoln Tech Practical Nursing Program, certified in CPR/First Aid and Medication Administration.
  - **Role:** Assigned 1:1 LPN
  - **Experience:** Previously assigned to diabetic and seizure-prone pediatrics in both home and academic settings; adept at coordinating with school nursing staff.

- **Name:** Tiona Stewart, LPN
  - **CT License #:** 11.038404
  - **Qualifications:** 12 years of LPN experience, with 3 years focused on pediatric care and religious-based infirmary care.
  - **Role:** Assigned 1:1 LPN
  - **Experience:** Hands-on experience with patients requiring feeding tubes, suctioning, and allergy emergency response protocols.

- **Name:** Dominique Sharell Williams, LPN
  - **CT License #:** 11.044007

- **Qualifications:** Consistently assigned to pediatric cases for the past 4 years.
- **Role:** Alternate/On-Call Nurse
- **Experience:** Assigned to children with chronic respiratory conditions requiring daily nebulizer treatments and tracheostomy suctioning. Skilled in documenting daily nursing notes for IEP compliance and maintaining communication logs with families and school personnel. Facilitates open, ongoing dialogue between educational staff and our RN leadership team to promote accountability, clarity, and timely information sharing for uninterrupted care.

Organizational Chart as attachment accompanied by resumes and credential copies for all staff members listed herein.

d. Conflict of Interest

Equinox Home Care, LLC does not have any direct contracts with the City of Waterbury. For complete transparency, Pro Med Staff, LLC (common ownership) has a contract with the City of Waterbury resulting from RFP #8247.

3. STATEMENT OF QUALIFICATIONS AND WORK PLAN

a. Qualifications

Equinox Home Care, LLC is a licensed, Medicare-certified home health agency with over 20 years of continuous operation in Connecticut, delivering skilled nursing, private duty care, and individualized health services across a broad spectrum of populations, including children with complex medical needs. Our leadership team and field staff bring a unique blend of clinical expertise, school-based nursing experience, and administrative depth to fulfill the scope of services required under RFP #8448.

- Licensed by the Connecticut Department of Public Health and continuously Medicare-certified since November 26, 2002.
- Owned and operated by GB Holding Co., LLC and the Kokkoros family, who also own and manage Pro Med Staff, LLC, a healthcare staffing agency specializing in temporary nursing placements for municipal and institutional contracts.
- Extensive pediatric experience, including direct service provision to children requiring tracheostomy care, seizure monitoring, ventilator support, and diabetic management in both home and school settings.
- Strong compliance infrastructure, including dedicated personnel for HIPAA, FERPA, DPH, and Board of Education standards, ensuring strict adherence to confidentiality, documentation, and emergency response protocols.
- Operational capacity to rapidly deploy our own qualified staff and provide reliable backup coverage through our affiliated staffing agency.

b. Work Plan

Equinox Home Care, LLC will implement a structured, student-centered approach to delivering the school-based nursing services outlined in Section C of RFP #8448. Our work plan prioritizes individualized care, staffing continuity, clear communication with school personnel, and strict compliance with all applicable health, education, and privacy regulations.

General Approach

- *Student Assignment and Onboarding*
  Upon receipt of each student's IEP, IHCP, or relevant medical documentation, our clinical team (led by our RN Supervisor) will review the care plan and designate an appropriate nurse (RN or LPN) with matching clinical qualifications. Nurses will participate in a case-specific orientation covering the student's condition(s), emergency protocol, school environment, and communication expectations.

- *Daily Service Delivery*
  Assigned nurses will provide 1:1 care during the school day, following all medical orders, assisting with daily health needs, and documenting all interventions, observations, and incidents. Nurses will complete electronic or written daily logs for school records and family updates as appropriate.

- *Communication and Coordination*
  Our staff will maintain open communication with school nurses, teachers, paraprofessionals, and family members. Any changes in a student's status or health plan will be promptly communicated to the RN Supervisor and the school liaison.

- *Supervision and Oversight*
  All clinical services will be overseen by our Supervisor of Clinical Services, Lori Alterio, RN, who will conduct regular check-ins, field visits, performance reviews, and clinical documentation audits to ensure quality and compliance. Issues requiring medical judgment, protocol clarification, or incident review will be escalated directly to the RN Case Supervisor.

- *Back-Up Coverage and Staffing Flexibility*
  In the event of nurse absence and no in-office coverage immediately available, Equinox will draw from its shared staffing pool with Pro Med Staff, LLC to provide trained substitutes with minimal disruption to the student's care or school day.

- *Ongoing Evaluation and Reporting*
  We will work with the school district to evaluate service effectiveness and satisfaction on a quarterly basis. Incident reports, performance metrics, and case updates will be provided as needed or requested.

c. Services Expected of the City

To ensure the smooth and effective delivery of nursing services outlined in RFP #8448, Equinox Home Care, LLC respectfully requests the following support from the City and its designated school district representatives:

- *Student Case Information and Documentation*
  Timely provision of relevant student documentation, including current Individualized Education Programs (IEPs), Individualized Health Care Plans (IHCPs), medical orders from licensed providers, and any relevant behavior or emergency response protocols.
  Notification of any changes or updates to a student's health plan, care needs, or educational placement.

- *School Site Access and Orientation*
  Facilitation of on-site access for assigned nursing staff to perform their duties, including entry badges, workspace if available, and access to student health offices or classrooms as needed. Orientation or briefings on school-specific protocols, emergency procedures, and key personnel contacts.

- *Coordination and Communication*
  Designation of a primary point of contact at each school or within the district to coordinate communication between the nurse, school staff, families, and the Equinox supervisory team.
  Collaboration in resolving any service-related issues, including nurse-student compatibility concerns or the need for additional supports.

- *Scheduling and Attendance Notifications*
  Timely communication regarding school closures, student absences, or schedule changes that may impact service delivery.

- *Incident and Compliance Cooperation*
  Support in the reporting and investigation of any incidents, including medical events, injuries, or disciplinary matters involving the assigned student and nursing staff.
  Cooperation in compliance-related matters, including audits, quality reviews, and documentation requests related to FERPA, HIPAA, and state regulations.

Equinox Home Care, LLC is committed to working in close partnership with the City and its school district personnel to ensure high-quality, individualized care for students while maintaining full regulatory and procedural compliance. We believe that clear communication and shared accountability are the foundation of a successful collaboration.

4. COST SCHEDULE

In accordance with the requirements of RFP #8448, Equinox Home Care, LLC submits the following all-inclusive hourly rates for Registered Nurse (RN) and Licensed Practical Nurse (LPN) services. These rates cover all personnel and non-personnel expenses, including supervision, administrative overhead, scheduling, insurance, training, and compliance. The same rates apply for the entire term of the contract (June 1, 2025 – June 30, 2028).

ENCLOSED IN "CONFIDENTIAL: COST PROPOSAL"

REVISED COST PROPOSAL V.1 6/2/25

CONFIDENTIAL: COST PROPOSAL

Equinox Home Care, LLC HHC.9915760
RFP #8448
Individualized Nursing Services for Students with Complex Medical Needs
City of Waterbury Health Department
Hourly Rates (All-Inclusive for 2025–2028 Contract Term)

6/2/25

ORIGINAL: STRICKEN **REVISED: IN GREEN**

Service Type	Hourly Rate (RN)	Hourly Rate (LPN)
In-School Nursing Services	~~$69.00~~ $68.00	~~$59.00~~ $58.00
Transit to/from School (Student Accompaniment)	~~$69.00~~ $68.00	~~$59.00~~ $58.00

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with LPN covering shift)
(Based on 8-hour school day, 5 days/week)

Work Plan Element	Assigned Staff	Estimated Hours/Week	Hourly Rate	Total Cost/Week
In-School Nursing Services (1:1)	LPN	40 hours	$58.00 ~~$59.00~~	$2,320.00 ~~$2,360.00~~
Transit Services (if applicable)	LPN	10 hours	$58.00 ~~$59.00~~	$580.00 ~~$590.00~~
RN Supervision (included in LPN rate)	RN	N/A	N/A	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	N/A	Included

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with RN covering shift)
(Based on 8-hour school day, 5 days/week)

Work Plan Element	**Assigned Staff**	**Estimated Hours/Week**	**Hourly Rate**	**Total Weekly Cost**
In-School Nursing Services (1:1)	RN	40 hours	$68.00 ~~$69.00~~	$2,720.00 ~~$2,760.00~~
Transit Services (if applicable)	RN	10 hours	$68.00 ~~$69.00~~	$680.00 ~~$690.00~~
Clinical Supervision	RN (self-managed)	N/A	Included	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	Included	Included

Non-Personnel Costs (Included in Hourly Rates)

RN clinical supervision, Scheduling and case coordination, Liability insurance and administrative overhead, Staff credentialing, training, background checks, PPE, nursing bags, documentation materials, Electronic and written reporting/logs, Incident response planning and communication

Note: Actual costs may vary based on authorized student hours, school calendar, and district-specific service needs. Equinox Home Care, LLC is open to final scope negotiation as requested by the City.

CONFIDENTIAL: COST PROPOSAL

Equinox Home Care, LLC HHC.9915760
RFP #8448
Individualized Nursing Services for Students with Complex Medical Needs
City of Waterbury Health Department
Hourly Rates (All-Inclusive for 2025–2028 Contract Term)

Service Type	Hourly Rate (RN)	Hourly Rate (LPN)
In-School Nursing Services	$69.00	$59.00
Transit to/from School (Student Accompaniment)	$69.00	$59.00

5/10/25

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with LPN covering shift)
(Based on 8-hour school day, 5 days/week)

Work Plan Element	Assigned Staff	Estimated Hours/Week	Hourly Rate	Total Cost/Week
In-School Nursing Services (1:1)	LPN	40 hours	$59.00	$2,360.00
Transit Services (if applicable)	LPN	10 hours	$59.00	$590.00
RN Supervision (included in LPN rate)	RN	N/A	N/A	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	N/A	Included

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with RN covering shift)
(Based on 8-hour school day, 5 days/week)

Work Plan Element	Assigned Staff	Estimated Hours/Week	Hourly Rate	Total Weekly Cost
In-School Nursing Services (1:1)	RN	40 hours	$69.00	$2,760.00
Transit Services (if applicable)	RN	10 hours	$69.00	$690.00
Clinical Supervision	RN (self-managed)	N/A	Included	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	Included	Included

Non-Personnel Costs (Included in Hourly Rates)

RN clinical supervision, Scheduling and case coordination, Liability insurance and administrative overhead, Staff credentialing, training, background checks, PPE, nursing bags, documentation materials, Electronic and written reporting/logs, Incident response planning and communication

Note: Actual costs may vary based on authorized student hours, school calendar, and district-specific service needs. Equinox Home Care, LLC is open to final scope negotiation as requested by the City.

5. INFORMATION REGARDING FAILURE TO COMPLETE WORK, DEFAULT, AND LITIGATION

a. **Have you ever failed to complete any work awarded to you?**

No. Equinox Home Care, LLC has never failed to complete any awarded work. All contracts have been fulfilled in accordance with agreed-upon terms and timelines.

b. **Have you ever defaulted on a contract?**

No. Equinox Home Care, LLC has never defaulted on any contract.

c. **Is there any pending litigation which could affect your organization's ability to perform this agreement?**

No. There is no pending litigation that would impact Equinox Home Care, LLC's ability to perform or fulfill the obligations under this agreement.

d. **Has your firm ever had a contract terminated for cause within the past five years?**

No. Equinox Home Care, LLC has not had any contracts terminated for cause in the past five years.

e. **Has your firm been named in a lawsuit related to errors and omissions within the past five years?**

No. Equinox Home Care, LLC has not been named in any lawsuit related to errors and omissions in the past five years.

f. **During the past seven years, has your firm ever filed for protection under the Federal bankruptcy laws?**

No. Equinox Home Care, LLC has never filed for bankruptcy or sought protection under federal bankruptcy laws.

g. **Have you ever exceeded the amount of the contract you were awarded?**

No. Equinox Home Care, LLC has not exceeded the budgeted amounts of any awarded contracts. All work has been performed within the scope and financial terms of the original agreements.

h. **Are there any other factors or information that could affect your firm's ability to provide the services being sought about which the City should be aware?**

No. Equinox Home Care, LLC is in stable financial and operational standing and has the staffing capacity, regulatory compliance infrastructure, and clinical expertise to fully perform the services outlined in RFP #8448.

6. EXCEPTIONS AND ALTERNATIVES

Equinox Home Care, LLC has carefully reviewed all requirements outlined in RFP #8448 and is prepared to comply fully with the scope, terms, and conditions as stated.

At this time, we do not propose any exceptions or alternatives to the requirements specified in the RFP. Our proposal is submitted in full alignment with the City's stated expectations and specifications.

Should the City identify opportunities during negotiation where alternatives could enhance operational flexibility or improve cost-efficiency without compromising care quality, we are open to exploring such discussions in good faith.

7. ADDITIONAL DATA

Equinox Home Care, LLC is pleased to provide the following additional information for the City's consideration in support of our proposal for RFP #8448:

- *Ownership and Affiliation*: Equinox Home Care, LLC is owned and operated by GB Holding Co., LLC, under the direction of the Kokkoros family, who also own and manage Pro Med Staff, LLC, a CT DPH Registered healthcare staffing agency. This shared ownership structure strengthens our staffing capabilities and enhances our ability to maintain uninterrupted services during nurse absences or increased demand.

- *Licensure and Certification*: Equinox Home Care, LLC is fully licensed by the Connecticut Department of Public Health and has maintained continuous Medicare certification since November 26, 2002. Our agency operates in full compliance with all applicable local, state, and federal healthcare regulations.

- *Insurance and Liability:* We maintain comprehensive general liability, professional liability, workers' compensation, and employer liability coverage exceeding the minimums required by the City. Certificates of insurance are available upon request.

- *Staff Credentialing and Background Checks:* All nurses assigned to school-based roles are licensed in the State of Connecticut and have undergone criminal background checks, abuse registry verification, and training in HIPAA, FERPA, infection control, and emergency response.

- *Emergency Preparedness:* Equinox has internal protocols for medical emergencies, inclement weather, and service disruptions, ensuring continuity of care and communication with school officials and families.

- *Technology and Documentation:* We utilize secure documentation systems to maintain nursing logs, incident reports, and compliance forms, which can be shared with the district in accordance with FERPA and HIPAA regulations.

- *Community Commitment:* As a locally operated agency with a strong presence in Fairfield and New Haven Counties, we are committed to supporting the educational success and well-being of students with special healthcare needs in our community.

- Equinox Home Care, LLC agrees to the requirements set forth in this RFP regarding Insurance Requirements:

    - All required insurance policies will be issued by carriers licensed to operate in the State of Connecticut, with an AM Best's rating of no less than "A" VIII.

    - Equinox Home Care, LLC agrees to name the City of Waterbury and the Waterbury Board of Education as Additional Insureds on a primary and non-contributory basis for all applicable policies, excluding Workers' Compensation and Professional Liability.

    - All policies will include a Waiver of Subrogation, where applicable, in favor of the City of Waterbury and the Waterbury Board of Education.

- Original, completed Certificates of Insurance will be submitted to the City and Board of Education prior to the execution of the contract and at least 30 days prior to any policy expiration.

- Should any policy be written on a "Claims Made" basis, we will ensure continuous coverage or obtain tail coverage for a minimum of two (2) years following contract completion.

Required coverage limits, including General Liability, Auto Liability, Workers Compensation, Employer Liability, Umbrella/Excess Liability, Abuse/Molestation Liability, and Professional Liability, will be maintained as specified below:

Required Coverage Limits:

General Liability:
$1,000,000 Each Occurrence
$2,000,000 General Aggregate
$2,000,000 Products/Completed Operations Aggregate

Automobile Liability:
$1,000,000 Combined Single Limit
(Any Auto, All Owned and Hired Vehicles)

Workers' Compensation:
Statutory Limits
Employer Liability (EL):
$1,000,000 Each Accident
$1,000,000 Disease – Each Employee
$1,000,000 Disease – Policy Limit

Umbrella/Excess Liability:
$1,000,000 Each Occurrence
$1,000,000 Aggregate

Abuse/Molestation Liability:
$1,000,000 Each Occurrence
$1,000,000 Aggregate

Professional Liability / Errors & Omissions:
$1,000,000 Each Wrongful Act
$1,000,000 Aggregate

Equinox Home Care, LLC is fully prepared to furnish certificates of insurance reflecting the above limits and endorsements prior to the start of services and upon renewal throughout the contract period.

8. POINT OF CONTACT

The direct point of contact designated for this RFP is:

Raquel Kokkoros, Owner
GB Holding Co, LLC
Pro Med Staff, LLC
ASYST Medical Staffing of CT, LLC
Equinox Home Care, LLC
(203) 895-5020 (direct line)
gbholdingcollc@gmail.com

Equinox Home Care, LLC
Compliance Statement Regarding Criminal Background and DCF Registry Checks
Date: May 15, 2025

This statement is submitted by Equinox Home Care, LLC in connection with its services to the City of Waterbury Public Health Department.

Equinox Home Care, LLC affirms the following:

We fully comply with the requirements of **Connecticut General Statutes §10-222c(g)** regarding criminal background disclosures and child abuse registry checks for any personnel who may have direct contact with students while providing services under our contract with the City.

Specifically:

- Any Equinox Home Care employee who will be present on school grounds, at Department of Education events, or in any location where students are present, and who may have direct contact with students, has completed a written disclosure regarding any past criminal convictions or pending criminal charges.
- Such individuals have undergone a **Department of Children and Families (DCF) child abuse and neglect registry check**, as required under **Conn. Gen. Stat. §17a-101k.**
- They have also completed **state and national criminal history records checks**, as outlined in **Conn. Gen. Stat. §29-17a**, the **National Child Protection Act of 1993**, and the **Volunteers for Children Act of 1998**.
- No employee with a disqualifying criminal history will be permitted to have direct contact with any student.

The term "direct contact" includes any services provided by Equinox Home Care, LLC employees under this contract when performed on school grounds, Department of Education property, or in the presence of students.

This statement is submitted in good faith and in accordance with all applicable legal and contractual obligations.

Sincerely,



Raquel Kokkoros
Owner
Equinox Home Care, LLC
gbholdingcollc@gmail.com

equinox home care

Pediatric Review/Refresher Sheet for Nurses

Definitions & Key Concepts

- CYSHCN: Children and Youth with Special Health Care Needs - Children with chronic physical, developmental, behavioral, or emotional conditions requiring health services beyond those required by children generally.
- Tech-Dependent: Reliance on medical devices such as ventilators, feeding tubes, or oxygen therapy.
- Medical Home: A care model emphasizing accessible, continuous, comprehensive, family-centered, coordinated, compassionate, and culturally effective care.

Discharge Planning Essentials

- Multidisciplinary Team: Includes physicians, nurses, social workers, therapists, and care coordinators.
- Family Training: Education on equipment use, emergency procedures, and daily care routines.
- Home Assessment: Evaluation of the home environment to ensure safety and suitability for care.

Home Care Components

- DME: Durable Medical Equipment (e.g., ventilators, monitors).
- PCP: Primary Care Provider.
- Care Coordination: Ensuring seamless communication among all care providers and services.

Nursing Responsibilities

- Monitoring: Regular assessment of vital signs, equipment functionality, and patient status.
- Education: Ongoing instruction for families on care techniques and updates.
- Advocacy: Supporting families in accessing resources and services.

Common Abbreviations

Abbreviation	Meaning
ADLs	Activities of Daily Living
G-tube	Gastrostomy Tube

IV	Intravenous
OT	Occupational Therapy
PT	Physical Therapy
RT	Respiratory Therapy
RN	Registered Nurse
LPN	Licensed Practical Nurse
DME	Durable Medical Equipment
PCP	Primary Care Provider

Study Questions

1. What are the key components of an effective discharge plan for a child with complex medical needs?

2. How does the medical home model benefit children who are technology-dependent?

3. What are the nurse's roles in supporting families during the transition from hospital to home care?

4. List and define five common abbreviations used in pediatric home care.

Reference

Elias, E. R., & Murphy, N. A. (2012). Home Care of Children and Youth With Complex Health Care Needs and Technology Dependencies. Pediatrics, 129(5), 996–1005. https://publications.aap.org/pediatrics/article/129/5/996/73753/Home-Care-of-Children-and-Youth-With-Complex.



RAQUEL KOKKOROS

Entrepreneur with a Master of Science Degree

CONTACT

203-895-5020

rkokkoros@yahoo.com

linkedin.com/raquelkokkoros

Connecticut

CREDITS

Research Coordinator- Judge Jerry for NBCUniversal

Production Manager- Jerry Springer for NBCUniversal

Unit Production Manager- "Moments of Youth" Screen Actor's Guild Production for Jackpot Pictures

Production Manager- Maury for NBCUniversal

On-Screen interview for The Doctors- CBS Television Distribution

EDUCATION

Master of Science in Entertainment Business Management

Full Sail University

Graduated 2020

Bachelor of Science in Entertainment Business Management

Full Sail University

2015-2018, Graduated 2018

Film and Television Studies

NYU Tisch School of the Arts

Graduated 2020

WORK EXPERIENCE

Owner and Chief Operating Officer

Pro Med Staff, LLC 2021-Present

- Owner/Operator for Temporary Nurse Services Agency in CT.

Production Manager and Research Coordinator

NBCUniversal Media, Syndicated Television Studios 2014-2020

- Led team of 20 researchers across the country in collecting small claims records for an arbitration-based televison program.
- Developed detailed production schedules and timelines.
- Coordinated with producers, directors, and other key staff to define production goals and requirements.
- Oversight of budgeting, including cost estimation, allocation, and tracking expenses.
- Secure necessary permits, locations, and equipment for the production.
- Monitor daily production activities to ensure they align with the schedule and budget.
- Troubleshoot and resolve issues that arise during production.
- Ensure compliance with safety regulations and industry standards.
- Manage and track the use of equipment and resources.

Unit Production Manager

Jackpot Pictures, LLC Freelance 2022

- Liaise with external partners, such as networks, sponsors, and talent agencies.
- Ensure effective communication between all parties involved in the production.
- Address and resolve any conflicts or issues that may arise during the production process.
- Coordinated all aspects of production.

Cinema Schedule Publisher

The Boxoffice Company Freelance 2018-2019

- Achieved target key performance indicators on a weekly basis
- Coordinated with major film distribution studios to gather publishing times for first-run movie theater releases across the domestic and international box offices.
- Directly contributed to generating $659 million worth of ticket sales for exhibitor clients through our showtime URLs in 2019.

Talent Manager

Creation Entertainment Freelance 2016-2019

- Provided executive staff and talent with support for the CW Network talent during press conferences, media appearances, and network marketing meetings.
- Developed and implemented daily call sheets and scheduling for all aspects of appearances.

SILVANA KOKKOROS

REGISTERED NURSE, BSN, MEMBER, BILINGUAL

CT RN LICENSE #68191

A seasoned Registered Nurse (RN) with over 40 years of experience in diverse clinical settings. Expertise in providing individualized care to students with disabilities, developing healthcare plans, and collaborating with multidisciplinary teams. Strong leadership background in administration, policy development, and staff management. Skilled in both California and Connecticut healthcare systems, with a focus on improving patient outcomes and promoting wellness.

PROFESSIONAL EXPERIENCE

Chief Executive Officer/Director of Clinical Operations **Jan 2022- Present**
Pro Med Staff, LLC

- Oversee all operations of a nurse staffing agency, including client relations, recruitment, and contract management.
- Ensure compliance with healthcare regulations, staffing requirements, and quality standards.
- Lead strategic planning and business development initiatives to enhance service offerings and improve patient care.
- Maintain partnerships with healthcare facilities to deliver high-quality staffing solutions.

Administrator, Director of Clinical Services **Feb 2018- Present**
Home Health Speciality Services, Inc.

- Managed clinical operations for a home health agency, overseeing patient care, nursing staff, and scheduling.
- Led clinical team through disaster operations during COVID-19.
- Developed patient care plans for individuals with chronic conditions, disabilities, and complex medical needs.
- Conducted in-home assessments and coordinated care with physicians, therapists, and family members to ensure quality outcomes.
- Trained and supervised nursing staff on best practices, compliance, and patient care protocols.

Registered Nurse **August 1995-Present**
Various institutions in California and Connecticut

- Delivered high-quality nursing care in various settings, including hospitals, outpatient clinics, and home care.
- Assessed patient health status, documented medical histories, and provided nursing interventions as part of a comprehensive care team.
- Educated patients and families on healthcare management, including disease prevention, nutrition, and self-care strategies.
- Assisted in patient discharge planning, ensuring follow-up care and necessary community resources.

SKILLS

- Native Spanish speaker with expert-level proficiency in English
- Medication Administration & Treatment Management
- Healthcare Management & Leadership
- Policy & Procedure Development
- Clinical Documentation & Compliance
- Individualized Healthcare Plans (IEP & 504 Plans)

State of Connecticut

Lookup Detail View

Name

Name
SILVANA C KOKKOROS

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Registered Nurse	68191	09/30/2025	02/28/2002	Silvana C. Kokkoros	ACTIVE	CURRENT	None

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Nicole Lavin, RN, MSN

CT License #: E57535

Registered Nurse (RN) – Administrator & Field RN

Experienced and compassionate Registered Nurse with 33 years of clinical expertise, including more than 8 years focused on pediatric private duty nursing and transitional care. Currently serving as both Administrator and Field RN, with a strong background in managing medically fragile children in school settings. Proven ability to lead care teams, develop transition plans, and respond swiftly as a float/backup nurse.

Licensure & Certifications

- Connecticut Registered Nurse – License #E57535
- Master of Science in Nursing (MSN)
- CPR & First Aid Certified
- Medication Administration Certified

Professional Summary

- Over 20 years of RN experience with diverse patient populations
- 8+ years in pediatric private duty and transitional care nursing
- Experienced administrator and field RN, with leadership in care operations
- Direct experience providing nursing services to medically fragile children aged 5–17
- Specialized in respiratory care, including tracheostomy and chronic airway management
- Effective communicator and collaborative care coordinator

Clinical & Administrative Experience

Administrator & Field RN (Float/Backup) – Pediatric Home and School-Based Care

Various Assignments – Connecticut

- Provide oversight and support for agency operations and field staff
- Deliver nursing care to pediatric clients with complex respiratory conditions
- Develop and implement transitional care plans for medically fragile children
- Serve as a float/backup RN across multiple school sites
- Ensure compliance with care plans, IEPs, and DPH regulations
- Facilitate communication between families, school staff, and clinical teams

Education

Master of Science in Nursing (MSN)

Graduation Date: 1991

Core Skills

- Pediatric Nursing & Transitional Care
- School-Based Nursing
- Tracheostomy & Respiratory Support
- Care Team Leadership
- Staff Oversight & Supervision
- Float/Backup Nursing Coverage
- Regulatory Compliance
- Family & School Communication

Administrator – Equinox Home Care, LLC

Stratford, Connecticut

2020 – Present

- Lead administrator overseeing all aspects of agency operations and compliance
- Manage staffing, patient care coordination, and regulatory documentation
- Ensure adherence to Connecticut DPH and CMS requirements
- Support field nursing staff through direct supervision and training initiatives
- Promote continuity of care, patient safety, and quality outcomes across services

State of Connecticut

Lookup Detail View

Name

Name
NICOLE M LAVIN

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Registered Nurse	E57535	06/30/2026	06/23/1995	Nicole M. Lavin	ACTIVE	CURRENT	None

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PETER KOKKOROS

MONROE, CT 06468 (203) 895-5030 DRCAP5@AOL.COM

Efficient Psychiatric Registered Nurse with 18 years of experience. Successful at providing fast and accurate assessments and working calmly under pressure. Collaborate effectively with doctors to maintain top notch healthcare practices.

EXPERIENCE

PER DIEM REGISTERED NURSE, DEPARTMENT OF CORRECTIONS NEWTOWN, CT
JULY 2018 - DECEMBER 2019

- Respond to emergency situations with speed, expertise, and a level-headed approach providing optimal care and life-savings interventions.
- Administer various medications and therapies.
- Monitor and record condition, vitals, recovery progress, and medication side effects.
- Communicate with primary care physicians or emergency care providers to relay patient needs.

REGISTERED NURSE, ST. VINCENT'S BEHAVIORAL HEALTH WESTPORT, CT
SEPTEMBER 2010 – PRESENT

- Administer oral, intramuscular, and IV medications and monitor responses.
- Utilize pharmacological and non-pharmacological modalities to treat various disorders, diseases, and injuries.
- Assess patients in active drug and alcohol withdrawal and provide interventions to manage physical and psychological symptoms.
- Monitor and educate nursing students and externs on proper care procedures and prioritization strategies.
- Supervise ancillary staff of 3 nurses including scheduling shifts, delegating tasks, and monitoring quality of care.
- Observe and record patient condition, vital signs, recovery process, and medication side effects.

REGISTERED NURSE, UCONN HEALTH FARMINGTON, CT
APRIL 2011 – OCTOBER 2011

- Conducted patient assessments and diagnostic tests to provide physicians with pertinent patient health information used to create concise treatment plans and manage ongoing care.
- Assessed patients in active drug and alcohol withdrawal and provide interventions to manage physical and psychological symptoms.
- Observed and record patient condition, vital signs, recovery process, and medication side effects.

REGISTERED NURSE, UCONN HEALTH/CMHC FARMINGTON, CT
MAY 2009 – APRIL 2011

- Monitored and recorded patient condition, vital signs, recovery progress, and medication side effects.
- Assessed patients in active drug and alcohol withdrawal and provide interventions to manage physical and psychological symptoms.

- Administered oral and intramuscular medications and monitored responses.
- Used facility EMR system to update patient records, transmit prescriptions, and transfer files.
- Collected lab specimens, ordered diagnostic tests, and interpreted lab results.

REGISTERED NURSE, BRIDGEPORT HOSPITAL BRIDGEPORT, CT
JANUARY 2007 – SEPTEMBER 2010

- Administered oral, intramuscular, and IV medications and monitored responses.
- Collaborated with physicians on patient medications, medical needs, and performance.
- Developed patient care plans, including overall patient health assessments and evaluations.
- Conferred with physicians during medical rounds, updated treatment plans, and provided plan of care to patient and authorized family members.
- Administered medications and treatment plans in accordance with physician orders.

EDUCATION

HOUSATONIC COMMUNITY COLLEGE BRIDGEPORT, CT
2004-2005

- Nursing Pre/Co Requisites

BRIDGEPORT HOSPITAL SCHOOL OF NURSING BRIDGEPORT, CT
2006

- Certificate Program

SKILLS AND ACCOMPLISHMENTS

- Presented with "Preservation of Life" award.
- Emotional awareness and acute cultural sensitivity.
- Phlebotomy and Infectious Disease Control.
- Removal of staples and stitches.
- Monitoring respiratory activity, blood pressure, and blood glucose level in response to medication administration.
- Psychiatric and mental healthcare.
- Patient Intake and Discharge.
- Monitoring and documenting vital signs.
- Basic Life Support Certification.
- Documentation, Reporting, and Collaboration skills.
- Cared for 8-60 patients during single shift.

State of Connecticut

Lookup Detail View

Name

Name
PETER M KOKKOROS

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Registered Nurse	80725	02/28/2026	01/11/2007	Peter M. Kokkoros	ACTIVE	CURRENT	None

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Carmen Kokkoros

Registered Nurse Supervisor | BLS Certified Instructor
ckokkoros@yahoo.com

PROFESSIONAL SUMMARY

Dedicated and compassionate Registered Nurse Supervisor with over 15 years of hands-on experience in the Pediatric Intensive Care Unit (PICU) and Emergency Department (ED). Highly skilled in providing critical care to pediatric patients, managing emergency situations, and leading multidisciplinary teams in fast-paced environments. Certified BLS Instructor with a strong commitment to education, staff development, and patient safety.

PROFESSIONAL EXPERIENCE

Registered Nurse Supervisor
Pro Med Staff, LLC
January 2022-Present

- Supervise and coordinate nursing activities in the PICU and ED, ensuring optimal patient care and smooth operations.
- Lead and mentor nursing staff, providing guidance on clinical procedures, best practices, and patient care protocols.
- Implement quality improvement initiatives and monitor compliance with safety standards.
- Collaborate with physicians, specialists, and support staff to develop and execute patient care plans.
- Manage staffing schedules and ensure adequate coverage for critical care situations.
- Provide training and in-service education to nursing staff, focusing on BLS, patient care techniques, and emergency protocols.

Registered Nurse, Pediatric ICU
Montefiore Medical Center, Queens, NY
January 2016-September 2021

- Delivered comprehensive nursing care to critically ill pediatric patients, including ventilator management, medication administration, and wound care.
- Worked closely with pediatric intensivists, respiratory therapists, and social workers to create and implement individualized care plans.
- Provided support to families, explaining care procedures and offering emotional support during difficult times.
- Ensured timely documentation of patient conditions, treatments, and progress.

Registered Nurse, Emergency Department
Yale New Haven Health- Greenwich Hospital and Yale New Haven
June 2014-Present

- Provided immediate and efficient care in high-pressure emergency situations, including trauma, cardiac events, and pediatric emergencies.
- Assessed, triaged, and stabilized patients with varying degrees of acuity.
- Collaborated with the multidisciplinary team to perform critical interventions and ensure proper patient flow through the ED.
- Administered medications, performed procedures, and monitored patients' progress.

CERTIFICATIONS AND TRAINING

- **Registered Nurse** – 2014-Presenr CT License #119084
- **BLS Certified Instructor** – 2014- Present– American Red Cross
- **Licensed Practical Nurse**- 2011-2014 CT License #36301 (Inactive- became RN)

EDUCATION

Bachelor of Science in Nursing (BSN)
Bridgeport Hospital School of Nursing
2013

Licensed Practical Nurse (LPN)
Licoln Technical Institute
2010

SKILLS AND STRENGTHS

- Pediatric and Adult Critical Care
- Emergency Care and Trauma Response
- Team Leadership and Staff Supervision
- Patient and Family Education
- BLS and CPR Training
- Strong Communication and Interpersonal Skills
- Time Management and Prioritization
- Patient Advocacy and Support

State of Connecticut

Lookup Detail View

Name

Name
CARMEN E KOKKOROS

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Registered Nurse	119084	04/30/2026	05/30/2014	CARMEN E KOKKOROS	ACTIVE	CURRENT	None

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Lori Alterio, RN

CT License #: E56494

Email: alterioloril@gmail.com · Connecticut

Registered Nurse (RN) – Supervisor of Clinical Services & Field RN

Dedicated and results-driven Registered Nurse with over 20 years of experience in both direct patient care and clinical leadership. Demonstrated expertise in pediatric private duty nursing, transitional care planning, and nursing supervision. Known for driving quality outcomes, ensuring regulatory compliance, and supporting medically fragile children in both home and school settings.

Licensure & Certifications

- Connecticut Registered Nurse – License #E56494

- CPR & First Aid Certified

- Medication Administration Certified

Professional Summary

- 20+ years of experience in nursing, including both clinical and administrative roles
- 8+ years of pediatric private duty nursing and transitional care planning
- Supervisor of Clinical Services at Equinox Home Care since 2019
- Serves as Field RN (Float/Backup) supporting complex pediatric clients
- Committed to maintaining the highest standards of care and team collaboration

Clinical & Administrative Experience

Supervisor of Clinical Services – Equinox Home Care, LLC

Stratford, Connecticut

2019 – Present

- Lead oversight of clinical operations and nursing personnel across home and school settings
- Deliver direct care to pediatric clients as a float/backup RN
- Develop individualized care plans and ensure regulatory compliance with DPH and CMS
- Supervise staff nurses, coordinate training, and evaluate clinical performance
- Serve as primary liaison between clinical teams, administrative leadership, and external stakeholders
- Promote quality assurance and continuous improvement in service delivery

Education

Registered Nurse Program

Core Skills

- Pediatric Nursing & Transitional Care
- Clinical Supervision & Team Leadership
- Float/Backup RN Services
- Regulatory Compliance (DPH, CMS)
- Quality Assurance & Improvement
- Staff Training & Support
- Documentation & Plan of Care Development
- Interdisciplinary Communication

State of Connecticut

Lookup Detail View

Name

Name
LORI Lynn ALTERIO

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Registered Nurse	E56494	07/31/2025	03/18/1994	LORI Lynn ALTERIO	ACTIVE	CURRENT	None

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Tiona Stewart, LPN

CT License #: 11.038404

- Pediatric Nursing
- Infirmary and Religious-Based Care
- Feeding Tube & Suctioning Management
- Allergy Emergency Response
- Individualized Care Plans
- Medication Administration
- Clinical Documentation
- Interdisciplinary Communication

Licensed Practical Nurse (LPN)

Dedicated and skilled Licensed Practical Nurse with 12 years of clinical experience, including 3 years of specialized pediatric and faith-based infirmary care. Demonstrated expertise in managing medically complex patients, including those with feeding tubes, suction needs, and severe allergies requiring emergency protocols. Compassionate and reliable, with a strong commitment to individualized patient care.

Licensure & Certifications

- Connecticut Licensed Practical Nurse – License #11.038404, CPR & First Aid Certified, Medication Administration Certified

Professional Summary

- 12 years of LPN experience across diverse care settings
- 3 years of dedicated service in pediatric and religious-based infirmary environments
- Proficient in tube feeding, suctioning, and emergency allergy protocols
- Known for providing compassionate, high-quality care in 1:1 setting
- Strong collaborator with families and interdisciplinary teams

Clinical Experience

1:1 LPN – Pediatric and Infirmary-Based Care

- Delivered direct care to pediatric clients and residents of faith-based infirmaries
- Managed enteral feeding and performed routine suctioning procedures
- Administered medications and monitored patients for allergic reactions
- Implemented emergency response plans for severe allergy incidents
- Maintained detailed documentation and ensured continuity of care across care environments

State of Connecticut

Lookup Detail View

Name

Name
TIONA A STEWART

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Licensed Practical Nurse	38404	09/30/2025	11/06/2013	TIONA A STEWART	ACTIVE	CURRENT	NONE

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Juliette Jean Baptiste, LPN

CT License #: 43586
Phone: (347) 456-3920 · Email: baptistejb@yahoo.com · Location: Stratford, CT

Licensed Practical Nurse (LPN)
Compassionate and dedicated Licensed Practical Nurse with experience providing one-on-one care to pediatric clients in both home and school environments. Skilled in managing chronic conditions including diabetes and seizure disorders, and in collaborating effectively with families, school personnel, and interdisciplinary healthcare teams.

Licensure & Certifications
- Connecticut Licensed Practical Nurse License #43586
- CPR & First Aid Certified
- Medication Administration Certified

Professional Summary
- Graduate of Lincoln Technical Institute's Practical Nursing Program
- Proven track record as a 1:1 LPN in pediatric settings
- Experienced in managing complex medical needs, including glucose monitoring and seizure protocol implementation
- Collaborative and communicative, with strong documentation and coordination skills
- Committed to maintaining a safe, nurturing, and compliant care environment

Clinical Experience
1:1 LPN – Pediatric Home and School-Based Care
Various Assignments – Connecticut
Dates: 2022-Presnt

Provided individualized care to pediatric clients with diabetes and seizure disorders
- Administered medications, monitored vitals, and implemented emergency protocols
- Coordinated closely with school nurses and educators to ensure continuity of care during academic hours
- Maintained accurate clinical documentation and care plans in compliance with DPH and school regulations
- Supported families with education and updates regarding health status and care routines

Education
Lincoln Technical Institute : Practical Nursing Program
Graduation Date: 2020

Core Skills
- Pediatric Nursing
- Seizure and Diabetic Care
- Medication Administration
- Individualized Care Planning
- Emergency Response
- School-Based Collaboration
- Patient and Family Communication
- Medical Documentation

State of Connecticut

Lookup Detail View

Name

Name
JULIETTE JEANBAPTISTE

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Licensed Practical Nurse	43586	10/31/2025	02/09/2021	JULIETTE JEANBAPTISTE	ACTIVE	CURRENT	None

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Dominique Sharell Williams, LPN

#: 11.044007

Phone: 203-738-1990 · Email: dominqueshlpn@yahoo.com ·

Licensed Practical Nurse (LPN)

Experienced and dependable Licensed Practical Nurse specializing in pediatric care, with a strong focus on chronic respiratory conditions. For the past four years, Dominique has served as a trusted alternate and on-call nurse, delivering high-quality care to children with complex medical needs. Skilled in tracheostomy suctioning, nebulizer treatments, and seamless coordination between families, school staff, and clinical leadership.

Licensure & Certifications

- Connecticut Licensed Practical Nurse – License #11.044007
- CPR & First Aid Certified
- Medication Administration Certified

Professional Summary

- 4 years of continuous pediatric case assignments
- Expert in chronic respiratory management including tracheostomy suctioning and nebulizer use
- Proven ability to maintain IEP-compliant documentation and family communication logs
- Strong liaison between educational teams and RN leadership for consistent, high-quality care
- Reliable and responsive in alternate/on-call nurse roles

Clinical Experience

Pediatric Respiratory Care
Tracheostomy Suctioning
Nebulizer Treatment Administration
IEP-Compliant Documentation
Family & School Communication
On-Call Responsiveness
Care Coordination
Team Collaboration

- Provided skilled nursing care to children with tracheostomies and chronic respiratory needs
- Administered daily nebulizer treatments and managed airway care
- Completed timely and accurate documentation for IEP compliance
- Maintained detailed communication logs for families and school staff
- Fostered continuous dialogue with school personnel and RN supervisors to ensure uninterrupted care and accountability

State of Connecticut

Lookup Detail View

Name

Name
DOMINIQUE SHARELL WILLIAMS

License Information

License Information

License Type	License Number	Expiration Date	Granted Date	License Name	License Status		Licensure Actions or Pending Charges
Licensed Practical Nurse	44007	07/31/2025	10/22/2021	DOMINIQUE SHARELL WILLIAMS	ACTIVE	CURRENT	None

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REQUEST FOR PROPOSAL #8448
BY THE CITY OF WATERBURY HEALTH DEPARTMENT
Individualized Nursing Services for Students
with Complex Medical Needs
Attachment D

Insurance Requirements

Contractor/Vendor shall agree to maintain in force at all times during the contract the following minimum coverages and shall name the City of Waterbury and the Waterbury Board of Education as Additional Insured on a primary and non-contributory basis to all policies, except Workers Compensation. All policies should also include a Waiver of Subrogation. Insurance shall be written with Carriers approved in the State of Connecticut and with a minimum AM Best's rating of "A"VIII.

If any policy is written on a "Claims Made" basis, the policy must be continually renewed for a minimum of two (2) years from the completion date of this contract. If the policy is replaced and/or the retroactive date is changed, then the expiring policy must be endorsed to extend the reporting period for claims for the policy in effect during the contract for two (2) years from the completion date.

Original, completed Certificates of Insurance must be presented to the City of Waterbury and the Waterbury Board of Education prior to contract issuance. Contractor/Vendor agrees to provide replacement/renewal certificates at least 30 days prior to the expiration date of the policies. Should any of the above described policies be cancelled, limits reduced or coverage altered, 30 days written notice must be given to the City of Waterbury and the Waterbury Board of Education.

General Liability:	$1,000,000 each Occurrence $2,000,000 General Aggregate $2,000,000 Products/ Completed Operations Aggregate
Auto Liability:	$1,000,000 Combined Single Limit each Accident Any Auto, All Owned and Hired Autos
Workers Compensation:	WC Statutory Limits Employer Liability (EL) $1,000,000 EL each Accident $1,000,000 EL Disease each Employee $1,000,000 EL Disease Policy Limits
Excess/Umbrella Liability:	$1,000,000 each Occurrence $1,000,000 Aggregate
Professional Liability/E&O:	$1,000,000 each Wrongful Act $1,000,000 Aggregate
Abuse/Molestation Liability:	$1,000,000 each Occurrence $1,000,000 Aggregate (Applicable to Contractors working directly with Youth/Minors)

Wording for Additional Insured Endorsement and Waiver of Subrogation:
The City Waterbury and its Board of Education is listed as an Additional Insured on a primary and non-contributory basis on all policies except Workers Compensation and Professional Liability. All policies shall include a Waiver of Subrogation except Professional Liability.

REVISED COST PROPOSAL V.1 6/2/25

CONFIDENTIAL: COST PROPOSAL

Equinox Home Care, LLC HHC.9915760
RFP #8448
Individualized Nursing Services for Students with Complex Medical Needs
City of Waterbury Health Department
Hourly Rates (All-Inclusive for 2025–2028 Contract Term)

6/2/25

ORIGINAL: STRICKEN **REVISED: IN GREEN**

Service Type	Hourly Rate (RN)	Hourly Rate (LPN)
In-School Nursing Services	~~$69.00~~ $68.00	~~$59.00~~ $58.00
Transit to/from School (Student Accompaniment)	~~$69.00~~ $68.00	~~$59.00~~ $58.00

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with LPN covering shift)
(Based on 8-hour school day, 5 days/week)

Work Plan Element	Assigned Staff	Estimated Hours/Week	Hourly Rate	Total Cost/Week
In-School Nursing Services (1:1)	LPN	40 hours	$58.00 ~~$59.00~~	$2,320.00 ~~$2,360.00~~
Transit Services (if applicable)	LPN	10 hours	$58.00 ~~$59.00~~	$580.00 ~~$590.00~~
RN Supervision (included in LPN rate)	RN	N/A	N/A	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	N/A	Included

Work Plan Cost Breakdown Per Student/Weekly (Sample Scenario with RN covering shift)
(Based on 8-hour school day, 5 days/week)

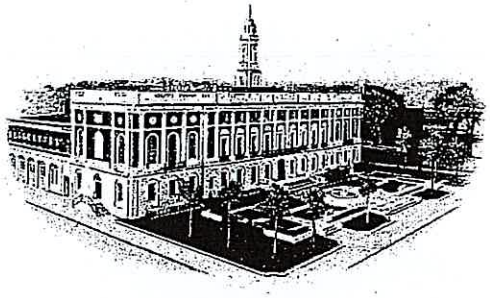
Work Plan Element	**Assigned Staff**	**Estimated Hours/Week**	**Hourly Rate**	**Total Weekly Cost**
In-School Nursing Services (1:1)	RN	40 hours	$68.00 ~~$69.00~~	$2,720.00 ~~$2,760.00~~
Transit Services (if applicable)	RN	10 hours	$68.00 ~~$69.00~~	$680.00 ~~$690.00~~
Clinical Supervision	RN (self-managed)	N/A	Included	Included
Scheduling and Admin (indirect)	Internal Admin	N/A	Included	Included

Non-Personnel Costs (Included in Hourly Rates)

RN clinical supervision, Scheduling and case coordination, Liability insurance and administrative overhead, Staff credentialing, training, background checks, PPE, nursing bags, documentation materials, Electronic and written reporting/logs, Incident response planning and communication

Note: Actual costs may vary based on authorized student hours, school calendar, and district-specific service needs. Equinox Home Care, LLC is open to final scope negotiation as requested by the City.

MICHAEL LEBLANC
DIRECTOR OF FINANCE



PHONE: (203) 574-6840

OFFICE OF THE DIRECTOR OF FINANCE

THE CITY OF WATERBURY

CONNECTICUT

Certification of Funds

Re: Education Department contract between the City of Waterbury and Equinox Home Care, LLC

This letter is to certify that, regarding the above referenced, proposed contract or purchase order, pursuant to City of Waterbury Ordinance 38.004(A)(1)(c), sufficient funds are included in the Education Budget of the General Fund for the 2025-2026 fiscal year and will be proposed for the 2026-2027 and 2027-2028 fiscal years in an aggregate amount not to exceed $3,089,772. The contract provides for a termination for non-appropriation.

Accounting Unit:	88510001
Account:	556056
Amount FY26-FY28:	$3,089,772
Description:	Individualized Nursing Services for Students with Complex Medical Needs
Source of Funds:	General Fund Appropriations for the Education Department

Signed: _____
Michael LeBlanc, Director of Finance

Date: 7-2-25
July 2, 2025

OFFICE OF THE TAX COLLECTOR
THE CITY OF WATERBURY
CONNECTICUT

MEMORANDUM

Date: June 26th, 2025

From: Delinquent Tax Office

To: Nancy Bergmiller
Grant Coordinator. Dept. of Public Health

Subject: Tax Clearance

As of this date, the records in the Tax Collector's Office indicate that the following **are not** delinquent for taxes, water or parking tickets.

Equinox Home Care
80 Ferry Boulevard, Suite 205
Stratford, CT 06615

If you have any questions regarding this matter, please do not hesitate to call our office at (203) 574-6810.

Very truly yours,

Nancy J Olson

Nancy J. Olson. CCMC
Interim Deputy Tax Collector
City of Waterbury

NO/adw

WATERBURY
DEVELOPMENT CORPORATION

Date: July 7, 2025

To: Michael Digiovancarlo
President, Board of Aldermen

Members, Board of Aldermen

Mayor Paul Pernerewski

From: Ronald Cassella
Vice President of Operations and Construction

Subject: Project Authorization Letter for the Fire Station 5 Project

Dear Honorable Members of the Board of Aldermen,

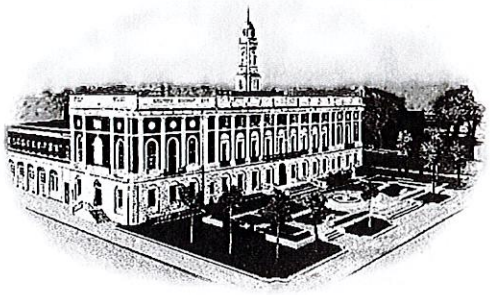
Kindly give favorable consideration by approving the attached Project Authorization Letter to allow WDC to manage and administer the Fire Station 5 Project pursuant to Master Municipal Agreement between Waterbury Development Corporation and the City of Waterbury Dated February 12, 2014.

This Project involves the demolition of the existing Fire Station 5 building and new construction of Fire Station 5. At this time, the estimated cost for this Project is $5 million for the demolition and new construction.

The funding for this project is City Bonds.

Attached please find the Project Authorization Letter.

PAUL K. PERNEREWSKI JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

June 30, 2025

The Waterbury Development Corporation
Attn: James Nardozzi, Executive Director
83 Bank Street, 3rd Floor
Waterbury, CT 06702

RE: Project Authorization Letter, Fire Station 5 Project pursuant to Master Municipal Agreement between Waterbury Development Corporation and the City of Waterbury Dated February 12, 2014

Dear Mr. Nardozzi:

On February 12, 2014, the City of Waterbury (City) and the Waterbury Development Corporation (WDC) entered into a Master Municipal Agreement for the Management of Projects. This Project Authorization Letter (PAL) is hereby issued pursuant to the Master Municipal Agreement.

The Waterbury Development Corporation shall be responsible for the project management services for the Fire Station 5 Project (hereinafter "Project"), as more specifically set forth in Exhibit A, attached hereto and made a part of this PAL. WDC shall commence work on this Project upon the receipt by the City of this PAL signed by WDC along with all necessary documents and insurance coverage as required by the Master Municipal Agreement. WDC shall perform all services set forth herein and as set forth in the Master Municipal Agreement pursuant to Exhibit A.

At this time, the estimated cost for this Project is $5 million for the demolition of the existing Fire Station 5 building and the new construction of Fire Station 5. Funding for this Project is provided through the City's General Funds. All documents and grant agreements related to funding sources are herein incorporated by reference and made a part of this PAL. WDC is hereby given authorization to apply for, on behalf of the City of Waterbury, additional Federal and/or State funding that may be available to complete this Project.

WDC shall be compensated through the City's annual contribution to WDC for services herein provided and otherwise in an amount not to exceed that amount allowed by said Federal and/or State and/or other funding sources for services herein provided. If additional funds are secured by WDC and/or City for this completion of this Project, WDC shall be compensated in such additional amount not to exceed that amount allowed by said additional funding sources. The City and WDC agree that this PAL may be revised in accordance with the Master Municipal Agreement.

Payment to WDC shall be made (a) pursuant to authorization by the City after the review and final approval of WDC's requests for draw–downs against grant and program funds allocated to the City pursuant to the Master Municipal Agreement; and/or (b) pursuant to any Federal and/or State funding agreements for funds allocated directly to WDC on behalf of the City of Waterbury for the Project. In addition, any reimbursement for actual expenditures will be in accordance with the terms of the Master Municipal Agreement.

WDC is authorized under the Master Municipal Agreement to pursue funding sources, engage in planning, designing, engineering, and supervision of construction for this project.

Please indicate your concurrence with this PAL by signing below and returning a copy to the City of Waterbury. Submission of the written acknowledgement of the PAL by facsimile or electronic transmission is acceptable. The Master Municipal Agreement will be incorporated into this PAL and shall together contain the legal and binding obligations of the WDC with respect to the management of this Project.

Very truly yours,

Paul K. Pernerewski Jr.
Mayor, City of Waterbury

Accepted and agreed this _____ day of _____, 2025.

WATERBURY DEVELOPMENT CORPORATION

By: _____
James Nardozzi
Executive Director

EXHIBIT A
Fire Station 5 Project
Scope of Services

General Overview

This Project consists of the management and administration of the Fire Station 5 Project. This Project involves the demolition of the existing Fire Station 5 building and new construction of Fire Station 5. WDC shall be responsible for the overall management, and administration of all Phases related to this Project. In working collaboratively with the City, WDC shall provide all related services to this Project including, not limited to, general administration, professional and technical services, contract management, funding oversight and coordination, scheduling, contracting with all necessary consultants and contractors to perform the services herein.

WDC shall (as applicable to this Project):

1. Work with City staff to create an overall plan for this Project, shepherd this plan through approval process and implement and oversee all activities mandated by this plan;

2. Work with the City's Director of Finance, or his designee, to determine the cash flow needs of this Project;

3. Liaise with stakeholders including the City and the Project's consultants, engineers and contractors regarding various aspects of this Project;

4. Work with the City's Purchasing Department to procure all necessary professional, engineering and/or construction services for this Project;

5. Work with City on the bid/proposal/qualification evaluation process to ensure that all submission requirements are accounted for and that the most qualified/capable respondent is selected;

6. Evaluate submitted value engineering proposals with the City to identify options for obtaining optimum value and otherwise realize maximum value;

7. Attain all requisite approvals, clearances, consultant/contractor documentation, etc. for any/all professional service, engineering and/or construction agreements, contracts, and/or purchase orders and maintain copies of all associated paperwork;

8. Contract, on behalf of the City of Waterbury, with all necessary professionals, engineers and/or contractors for this Project;

9. On behalf of the City of Waterbury, enter into confidentiality, access or other agreements, with or without options to buy, as needed for this Project;

10. Manage the day-to-day activities of this Project on the City's behalf;

11. Oversee/Review the work of consultants, engineers and contractors to ensure compliance with terms and conditions of contracts, agreements, and/or purchase orders and perform all other obligations and responsibilities of the City's Project Manager as delineated in any agreement, contract and/or purchase order that the City and/or WDC may enter into for this Project;

12. Inform/Advise the City about the design, cost/budget, schedule, status, etc. of all work associated with this Project. This will likely include the review, management and administration of the following:

    a. Environmental assessment/abatement/remediation plans (hazardous building material, soil, groundwater, etc.)
    b. Environmental and geotechnical sampling plans and results
    c. Work plans
    d. Health and safety plans
    e. Architectural/Construction plans
    f. Demolition plans
    g. Grading and drainage plans
    h. Structural plans
    i. Zoning regulations
    j. Technical specifications
    k. Work schedules
    l. Agreements/Contracts/Purchase Orders for professional, engineering and construction services

13. Obtain and maintain all closeout documentation (reports, warranties/warranty letters, as-built drawings, etc.);

14. Assist in the preparation and presentation of all materials all materials, forms and reports required on the part of the City;

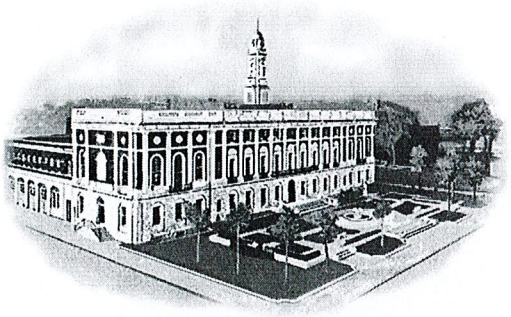
15. Make presentations to and appear before the Waterbury Board of Aldermen and/or other committees or departments, as requested, to provide requested information or to provide status reports, with supporting documentation, which shall include, but is not limited to, budgetary and accounting information, project schedules and updates; and

16. Pursue additional funding opportunities that may become available that are consistent with and comply with City Ordinances.

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#24

PAUL K. PERNEREWSKI, JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

July 8, 2025

Honorable Board of Aldermen
c/o Michael Dalton, City Clerk
235 Grand Street
Waterbury, CT 06702

Re: Appointment of Fire Chief

Dear Honorable Board Members:

I hereby notify this Honorable Board that, pursuant to Sections 4-2 (b), 7A-2 (b), and 7D-2 (a)(2) of the Charter, and Section 37.071 of the Code of Ordinances, I have appointed Javier Lopez as the Fire Chief for the City of Waterbury.

I respectfully request that this appointment be added to the agenda for the Board's meeting of July 14, 2025, for your consideration not to disapprove.

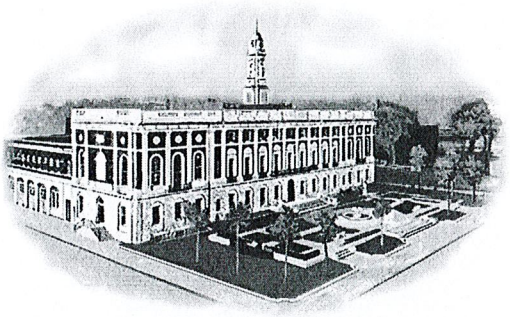
Respectfully submitted,

Paul K. Pernerewski, Jr.
Mayor, City of Waterbury

WATERBURY CITY CLERK
RECEIVED
2025 JUL -8 P 4:16

#25

PAUL K. PERNEREWSKI, JR.
MAYOR



OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

July 8, 2025

Honorable Board of Aldermen
c/o Michael Dalton, City Clerk
235 Grand Street
Waterbury, CT 06702

Re: Appointment of Building Official

Dear Honorable Board Members:

I hereby notify this Honorable Board that, pursuant to Sections 4-2 (b), 7A-2 (b), and 7E-2 of the Charter, and Section 37.071 of the Code of Ordinances, I have appointed Frank Baker as the Building Official for the City of Waterbury.

I respectfully request that this appointment be added to the agenda for the Board's meeting of July 14, 2025, for your consideration not to disapprove.

Respectfully submitted,

Paul K. Pernerewski, Jr.
Mayor, City of Waterbury

WATERBURY CITY CLERK
RECEIVED
2025 JUL -8 P 4:16

LIST YR	AC #	BILL #	NAME AND ADDRESS	AMOUNT	REASON
23real	29470	475	3821 East Main LLC 3821 East Main St	$6,600.37	Paid In Error
24mv	29490	57568	Tashey Brown 150 Maybury Cir	$100.48	MV Adjustment
23real	29445	21119	Cotality 3001 Hackberry Rd	$2,249.52	Paid In Error
23real	29225	25020	Cotality 3001 Hackberry Rd	$152.04	Paid In Error
22f&e	29459	49435	Sarah Derouin 200 Lancelot Ln	$102.77	MV Adjustment
23mv	29462 & A	various	Enterprise FM Trust 2281 Ball Dr	$4,498.66	MV Adjustment
23mv	29415	82740	Marshall or Edith Kalin 72 Mill Plain Ave	$129.80	MV Adjustment
24mv	29469	85043	Kimberlyn Leslie 81 Blueberry Hill Rd	$98.34	MV Adjustment
23mv	29468	various	Nissan Infinite LT LLC PO Box 650212	$768.26	MV Adjustment
			Total	$14,700.24	

Respectfully submitted,

Frank A Caruso, CCMC
Interim Revenue Collection Manager

FAC/wf