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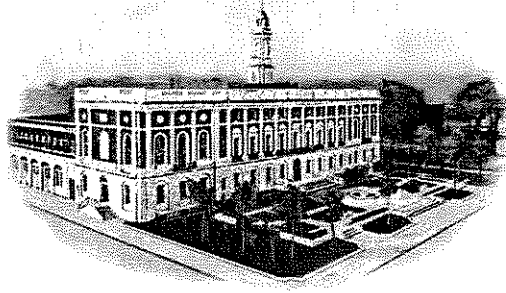
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MICHAEL J. JARJURA
MAYOR



JOSEPH A. GEARY
DIRECTOR OF OPERATIONS

OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

March 28, 2011

The Honorable Board of Alderman
The Citizens and Taxpayers of Waterbury

Mayor's Proposed Budget for Fiscal Year ending June 30, 2012

My Fellow Waterburians:

It is my honor to once again submit a proposed budget for the City of Waterbury. This proposed budget is for the fiscal year commencing July 1, 2011. From the outset, I want to thank Budget Director Ofelia Matos and all the members of senior management that helped craft this document. The work was immense and difficult and they were unswerving in their dedication.

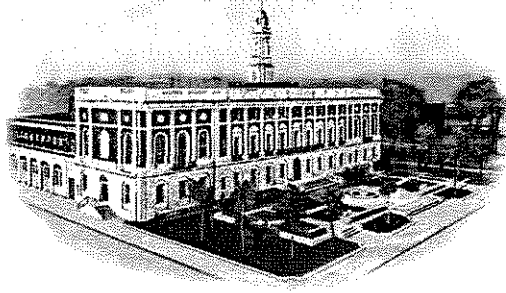
My proposed spending package for next year is \$379,146,926, a .041 percent reduction in spending or \$1.56 million less than the current year. That figure represents a reduction of \$19.2 million in departmental requests.

Across the nation, other municipal governments are raising taxes at the same time they reduce services to you – their customers. These painful decisions are the result of the failure of policy makers to acknowledge and address the historic and overwhelming liabilities on their balance sheets caused by years of willful failure to set aside money for pension and other liabilities.

Waterbury, I am proud to say, is not in the same dire position. Over my tenure as Mayor we have recognized and addressed those expenses through the oversight board era and have continued to do so in the years since. While our tax burden remains higher than anyone would like, it is not because government has grown or the promises made to its employees have increased.

The City of Waterbury will have fewer General Government employees in the next fiscal year than it did in 2001. As has been the case for the last several years, the largest increases to the cost of government have been in health care costs – a problem everyone in the public and private sector has had to confront.

MICHAEL J. JARJURA
MAYOR



JOSEPH A. GEARY
DIRECTOR OF OPERATIONS

OFFICE OF THE MAYOR
THE CITY OF WATERBURY
CONNECTICUT

As we commenced development of this budget, we faced a \$20 million gap between fixed cost increases and anticipated revenue. That gap was only made worse by the State of Connecticut's possible failure to live up to its financial commitments to its cities in towns over the current fiscal year and in Gov. Dannel Malloy's proposed budget for next year.

Despite trimming expenses considerably, I am fully cognizant that taxpayers expect and deserve the best possible services we can provide. Unlike nearly every other major city in the state, Waterbury continues to be able to avoid the devastating layoffs and service cuts through prudent management and honest budgeting. However, the current proposal does eliminate 28 budgeted, but vacant, positions in various departments.

Finally, the budget does not require an increase in the current property tax burden. I would like again to thank you for the honor and privilege of serving as your Mayor and look forward to working with all of you in the next fiscal year.

Respectfully submitted,

Michael J. Jarjura
Mayor

Budget Timeline - Fiscal Year 2012

Charter Requirements – Budget timeline:

Friday, April 1, 2011 “On or before the first day of April of each year, the Mayor shall submit Budget to Board of Alderman through the City Clerk...”

Friday, June 10, 2011 “...Not later than midnight of the second business day following the first Wednesday after the first Monday in June, the Board of Aldermen shall adopt, by resolution, the budget, appropriations and tax levy...”

Proposed – Budget timeline:

By Wednesday, December 22, 2010

Budget Director provides the Departments with required Budget forms for submittal of Operating and Capital Budgets Requests to Mayor – Departments have 4.5 weeks to develop and submit budget requests

By Friday, January 21, 2011

Departments submit Budget Requests to Mayor – Offices of Mayor and Budget Control work on budget development for 8 to 10 weeks in months of January thru March

By Friday, April 1, 2011

Mayor submits Proposed Budget to Board of Alderman – BOA has 7 to 8 weeks for deliberation and potential changes

By Thursday, April 14, 2011

Board of Alderman may hold first of two Public Hearings on Budget – Charter states no less than 7 days or more than 14 days after receipt of budget

By Friday, May 20, 2011

Board of Alderman’s Budget Subcommittee may finish its work and report its recommendations to BOA

By Thursday, June 2, 2011

Board of Alderman holds last of two Public Hearings on Budget – Charter states hearing shall be held no more than 7 days nor fewer than 3 days prior to adoption

By Friday, June 10, 2011

Budget Adoption by Board of Alderman

By Monday, June 13, 2011

Mayor Signifies Approval or Veto of Board of Alderman Adopted Budget
Submittal of adopted mill rate to Tax Collecting Official – if approved

By Thursday, June 16, 2011

Board of Alderman may overturn Veto by an affirmative vote of three fifths of the members, present and absent

BOARD OF ALDERMAN

BUDGET RESOLUTIONS

RESOLUTIONS REGARDING THE IMPLEMENTATION OF THE FY12 BUDGETS FOR THE OPERATING FUNDS OF THE CITY OF WATERBURY'S GENERAL FUND AND SEWER AND WATER ENTERPRISE FUNDS

The resolutions included here are preliminary and based on the Mayor's Proposed Budgets for Fiscal Year July 1, 2011 through June 30, 2012.

Resolutions are subject to change based on Board of Alderman deliberations and recommended changes to budget amounts or changes to language in Resolutions.

Additional changes may be proposed to resolution number five (5) as departments continue to research comparability of city's fees and charges with those utilized by other neighboring or comparable municipalities.

Resolutions will be updated based upon Board of Alderman recommendations and made available to Board of Alderman Members for meeting of Budget Adoption.

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF APPROPRIATIONS

RESOLUTION #1

A RESOLUTION MAKING APPROPRIATIONS FOR OPERATING DEPARTMENTS OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR PURSUANT TO §9B-3(c) OF THE CHARTER OF THE CITY OF WATERBURY.

BE IT RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereinafter enumerated are hereby appropriated for the operating expenses of the departments, boards, agencies and commissions of the City of Waterbury for the period July, 1, 2011 through June 30, 2012, as follows:

Appropriation By Department	Budget Authorized FT Positions	Personal Services Costs	All Non Personnel Costs	Total Dept. Appropriation
<u>GENERAL GOVERNMENT</u>				
MAYOR'S OFFICE	9	\$585,111	\$52,135	\$637,246
BOARD OF ALDERMAN	0	\$64,000	\$0	\$64,000
LEGAL DEPT.	16	\$1,076,120	\$666,150	\$1,742,270
CITY CLERK	5	\$222,189	\$10,575	\$232,764
TOWN CLERK	11	\$402,809	\$165,250	\$568,059
HUMAN RESOURCES	12	\$723,366	\$92,475	\$815,841
REGISTRAR OF VOTERS	5	\$316,372	\$46,250	\$362,622
CITY SHERIFF	0	\$11,931	\$4,150	\$16,081
PROBATE	0	\$0	\$198,500	\$198,500
SUBTOTAL	58	\$3,401,898	\$1,235,485	\$4,637,383
<u>FINANCE</u>				
DEPT OF FINANCE	22	\$1,261,214	\$57,316	\$1,318,530
ASSESSMENT	15	\$815,669	\$57,100	\$872,769
TAX COLLECTOR	24	\$971,752	\$263,450	\$1,235,202
PURCHASING DEPT	4	\$220,630	\$23,200	\$243,830
AUDIT	2	\$142,538	\$2,000	\$144,538
BUDGET	2	\$166,844	\$3,000	\$169,844
INFORMATION TECHNOLOGY	12	\$733,649	\$749,000	\$1,482,649
SUBTOTAL	81	\$4,312,296	\$1,155,066	\$5,467,362
<u>PUBLIC SAFETY</u>				
POLICE SERVICES DEPT.	360	\$22,678,591	\$2,407,711	\$25,086,302
FIRE SERVICES DEPT.	255	\$17,396,759	\$1,296,500	\$18,693,259
SUBTOTAL	615	\$40,075,350	\$3,704,211	\$43,779,561
<u>PUBLIC WORKS</u>				
DEPT OF PUBLIC WORKS	188	\$9,984,880	\$8,855,740	\$18,840,620
SUBTOTAL	188	\$9,984,880	\$8,855,740	\$18,840,620
<u>PLANNING AND DEVELOPMENT</u>				
CITY PLAN	6	\$301,760	\$46,900	\$348,660
DEPT. OF INSPECTIONS	13	\$701,268	\$45,750	\$747,018
SUBTOTAL	19	\$1,003,028	\$92,650	\$1,095,678

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF APPROPRIATIONS

RESOLUTION #1

A RESOLUTION MAKING APPROPRIATIONS FOR OPERATING DEPARTMENTS OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR PURSUANT TO §9B-3(c) OF THE CHARTER OF THE CITY OF WATERBURY.

<u>HUMAN SERVICES</u>				
HEALTH DEPARTMENT	82	\$3,157,701	\$127,650	\$3,285,351
SILAS BRONSON LIBRARY	32	\$1,499,727	\$472,254	\$1,971,981
SUBTOTAL	114	\$4,657,428	\$599,904	\$5,257,332
<u>OPERATING CHARGES</u>				
DEBT AND SUNDRY	0	\$0	\$100,398,983	\$100,398,983
SUBTOTAL	0	\$0	\$100,398,983	\$100,398,983
<u>RETIREMENT BOARD</u>				
PENSION PLAN CONT.	0	\$0	\$44,045,007	\$44,045,007
SUBTOTAL	0	\$0	\$44,045,007	\$44,045,007
GENERAL GOVT	1075	\$63,434,880	\$160,087,046	\$223,521,926
<u>EDUCATION</u>				
DEPARTMENT OF EDUCATION*	2227	\$0	\$155,625,000	\$155,625,000
SUBTOTAL	2227	\$0	\$155,625,000	\$155,625,000
GENERAL FUND	3302	\$63,434,880	\$315,712,046	\$379,146,926

*THESE ARE DOE NUMBER OF POSITIONS AS REQUESTED BY BOE

**BUDGET SUBCOMMITTEE. FAVORABLE. TAX LEVY AND APPROPRIATING
RESOLUTION FOR FISCAL YEAR 2011-12**

RESOLUTION #2

**A RESOLUTION APPROVING THE TAX LEVY AND APPROPRIATIONS FOR
OPERATING DEPARTMENTS OF THE CITY OF WATERBURY FOR THE ENSUING
FISCAL YEAR, PURSUANT TO §9B-3(c) OF THE CHARTER OF THE CITY OF
WATERBURY.**

WHEREAS: the Mayor of the City of Waterbury has made estimates of the amount of money necessary to appropriate for the expenditures of the City during the next fiscal year, beginning July 1, 2011 through June 30, 2012, and has classified such expenditures under appropriate heads and departments, as more fully appears in "Appropriating Resolution #1, An Ordinance Making Appropriations for Operating Departments of the City of Waterbury for the Fiscal Year July 1, 2011 through June 30, 2012", and

WHEREAS: said Appropriating Resolution #1, after publication of the Budget and due hearing and consideration thereof pursuant to the provisions of the Charter of the City, has been enacted by the Board of Aldermen of the City of Waterbury; and

WHEREAS: the Net Taxable Grand List of October 1, 2010 of property in the City of Waterbury, is estimated at **\$5,285,943,394**, of which it is estimated **96.0%** of the levy will be collected.

NOW, THEREFORE, BE IT RESOLVED by the Board of Aldermen of the City of Waterbury that:

- 1) The taxes for said next fiscal year at the rate of taxation recommended to this Board by the Mayor, to wit, a **rate of 41.8184** mills upon the ratable estimates within the limits of the City of Waterbury, be and the same hereby are laid and levied to meet said expenses.
- 2) Said taxes shall become due on July 1, 2011 and shall be payable in two semiannual installments from that date: namely, July 1, 2011 and January 1, 2012. However, any tax of less than two hundred dollars (\$200) shall be due and payable in one single installment on July 1, 2011. Further, the tax on any motor vehicles registered with the Commissioner of Motor Vehicles subsequent to October 1, 2010 shall be due and payable in a single installment on January 1, 2012 as provided in §12-71b of the General Statutes of Connecticut, Revision of 1958 as amended.
- 3) Pursuant to §12-144c of the General Statutes of Connecticut, Revision of 1958 as amended, (a) any property tax due in an amount less than five dollars (\$5.00) is waived, and (b) any property tax that remains due on an account after the receipt of payment and that is less than two dollars (\$2.00) is waived.
- 4) The receipts from taxes levied to meet the expenses of the City for the next fiscal year beginning July 1, 2011 through June 30, 2012, and also such miscellaneous revenues received by the City in said year, and not designated by law or regulation to be appropriated to other purposes, shall be and the same hereby are appropriated to meet the estimated general expenses of the City as contained in said Appropriating Resolution #1 as approved by this Board.
- 5) Revenues received by the City for the next fiscal year beginning July 1, 2011 through June 30, 2012 in excess of the total appropriations for the general expenses of the City as contained in said Appropriating Resolution #1 shall not be expended or encumbered without approval by the Mayor and Board of Aldermen.

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF APPROPRIATIONS

RESOLUTION #3

**A RESOLUTION MAKING APPROPRIATIONS FOR THE ENSUING FISCAL YEAR
FOR THE SEWER ENTERPRISE FUND OF THE CITY OF WATERBURY**

BE IT RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereinafter enumerated are hereby appropriations and resources for the Water Pollution Control Facility Enterprise Fund of the City of Waterbury for the fiscal year July 1, 2011 through June 30, 2012, as submitted to this Board by the Mayor of this City, and as published by this Board, be they hereby approved and adopted, as amended, by this Board and upon the basis of such estimates of the expenses, liabilities and resources of the City of Waterbury Water Pollution Control Facility Enterprise Fund for the Fiscal Year commencing July 1, 2011 and ending June 30, 2012, for the purposes and accounts set forth:

Resources	\$19,954,133
Appropriations	\$19,954,133

BE IT ALSO RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereby enumerated as appropriations and resources for the Water Pollution Control Fund of the City of Waterbury for the fiscal year July 1, 2011 through June 30, 2012, necessitate a rate of \$3.386 per CCF which is hereby authorized by the Board of Aldermen

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF APPROPRIATIONS

RESOLUTION #4

**A RESOLUTION MAKING APPROPRIATIONS FOR THE ENSUING FISCAL YEAR
FOR THE WATER ENTERPRISE FUND OF THE CITY OF WATERBURY**

BE IT RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereinafter enumerated are hereby appropriations and resources for the Water Fund of the City of Waterbury for the fiscal year July 1, 2011 through June 30, 2012, as submitted to this Board by the Mayor of this City, and as published by this Board, be and they hereby approved and adopted, as amended, by this Board and upon the basis of such estimates of the expenses, liabilities and resources of the City of Waterbury Water Enterprise Fund for the Fiscal Year commencing July 1, 2011 and ending June 30, 2012, for the purposes and accounts set forth:

Resources	\$13,233,463
Appropriations	\$13,233,463

BE IT ALSO RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereby enumerated as appropriations and resources for the Water Fund of the City of Waterbury for the fiscal year July 1, 2011 through June 30, 2012, necessitate a rate of \$2.31 per CCF which is hereby authorized by the Board of Aldermen

BE IT ALSO RESOLVED by the Board of Aldermen of the City of Waterbury that the sums hereby enumerated as appropriations and resources for the Water Fund of the City of Waterbury for the fiscal year July 1, 2011 through June 30, 2012, include a 10% Capital Renewal Recovery Charge on the rate of \$2.31 per CCF which is hereby authorized by the Board of Aldermen. This 10% capital surcharge will be used to fund the capital commitment of the Bureau of Water's infrastructure renewal program.

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

WHEREAS Section 41.11 of the "Ordinance regarding fees and charges" states that "The Mayor shall propose and the Board of Alderman shall approve, in conjunction with the adoption of the annual budget, a schedule of fees and charges for services, licenses and other purposes of the City for the ensuing fiscal year, commencing on July 1. Said fees shall be established in accordance with the laws of the State of Connecticut and best practices in the applicable field."

BE IT RESOLVED by the Board of Alderman of the City of Waterbury to approve the Department Heads of the City of Waterbury to use the State provided schedule of fees and charges where those fees and charges are mandated by the laws of the State of Connecticut for the Fiscal Year commencing July 1, 2011 and ending June 30, 2012.

BE IT RESOLVED by the Board of Alderman of the City of Waterbury to approve the Department Heads of the City of Waterbury to use the hereby enumerated schedule of fees and charges where those fees and charges are subject to Board of Alderman approval for the Fiscal Year commencing July 1, 2011 and ending June 30, 2012.

Office Of The City Clerk	FY12
Copy of Charter	\$30.00
Copy of Operating Budget	\$20.00
Copy of Capital Budget	\$10.00
Copy of Three-Year Financial Plan	\$10.00
Digital Copy of Charter	\$15.00

City Planning Department

Land Use Approvals (Subject to State Fee)

Subdivision/Re-subdivision	\$600 plus \$150 per lot in excess of four lots	
Commission Action Where Public Hearing is Held		\$600.00
Commission Action Where No Public Hearing is Held		\$250.00
Wetlands Permit Issued by Wetlands Agent		\$250.00
Zoning Permit (includes Wetlands Certificate of Compliance Review)		\$250.00

NOTE: All Land use applications involve a mandated State of CT fee in addition to the amounts shown above (currently \$60). Amount set by State Statute

Departmental Services

Zoning & Wetlands Certificate of Compliance associated with a Building Permit - Includes CO review.	\$100.00
Zoning Certificate of Compliance associated with a State Liquor Permit	\$100.00
ZEO Certificate of Nonconformity	\$35.00
Generalized Consideration of Zoning Compliance	\$35.00

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Hard Copy of Zoning, Subdivision or IWWC Regulations	\$25 Each; Maps \$5 each
Inspection and Administrative Fee for Bonded Improvements or Regulatory Escrow Accounts	5% of Cost of All Improvements Requiring a Bond or subject to Escrow Account
PUBLIC HEARING SIGN DEPOSIT - <i>(This check is held and returned to the applicant if the sign is returned within 10 business days after the close of the public hearing.)</i>	\$500.00 per sign
Copies	\$0.50 per page
Copies of Maps or Drawings	\$5.00 each

ALL FEES ARE TO BE COLLECTED AT THE TIME THE APPLICATION IS MADE.

Department Of Inspections

Building Permits	
First \$1000 Of Estimated Project Cost	\$25.00
Each Additional \$1000 Of Estimated Project Cost	\$25.00
Electrical Permits	
First \$1000 Of Estimated Project Cost	\$25.00
Each Additional \$1000 Of Estimated Project Cost	\$25.00
Plumbing Permits	
First \$1000 Of Estimated Project Cost	\$25.00
Each Additional \$1000 Of Estimated Project Cost	\$25.00
Heating Permits	
First \$1000 Of Estimated Project Cost	\$25.00
Each Additional \$1000 Of Estimated Project Cost	\$25.00
Sign Permits	
First \$1000 Of Estimated Cost	\$25.00
Each Additional \$1000 Of Estimated Cost	\$25.00
Penalty Fee For Work Started Without a Permit	\$200.00
Sign Licenses	\$35.00
Sign Licenses Renewal	\$25.00
Certificate Of Occupancy	\$25.00
Duplicate Certificate Of Occupancy	\$15.00

Department Of Public Health

Environmental Division

Application fee for Food Service Establishment, Pools, and Rooming Houses	\$50.00
Private Sewage Disposal System Permit Fee	\$500.00
Annual Fee For Public Pools and Spas	\$200.00
Fine: If Annual or Re-inspection Fees For Public Pools and Spas Are Not Paid Within Seven Days Of Due Date	\$200.00

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Annual Fee For Self-Contained Trucks Or Vans Vending Food	\$100.00
Re-inspection Fee For Self-Contained Trucks Or Vans Vending Food	\$100.00
Fine: If Annual or Re-inspection Fees For Self-Contained Trucks Or Vans Vending Food Are Not Paid Within Seven Days After Due Date	\$100.00
Annual Permit For Restaurant	\$100.00
Re-inspection Fee for Restaurant	\$100.00
Fine: If Annual or Re-inspection Fees For Restaurant Are Not Paid Within Seven Days Of Due Date	\$100.00
Fee For Temporary Food Vending Permit	\$50.00
Annual Fee For Supermarket Or Grocery Store:	
Up to 1500 Square Feet	\$150.00
1501 to 2999 Square Feet	\$300.00
3000 Square Feet And Over	\$750.00
Note: Fee For Re-Inspection of Supermarket Or Grocery Store Equals Permit Fee	
Fine: If Supermarket And Grocery Store Permits Are Not Paid Within One Month Of Due Date	
Up to 1500 Square Feet	\$150.00
1501 to 2999 Square Feet	\$300.00
3000 Square Feet And Over	\$750.00
Rooming House/Group Home Licenses	\$100.00
Fine: If Rooming House/Group Home Licenses or Re-inspection Fees Are Not Paid Within Seven Days Of Due Date	\$100.00
Fee For Processing New Application For All Permitted Facilities To Include Application, Plan Review, and Preoperational Inspection	\$100.00
Institutional Inspection Fee For Large Food Preparation Facilities	\$500.00
Institutional Re-Inspection Fee For Large Food Preparation Facilities	\$500.00
Fine: For Institutional Inspection Fees or Re-inspection Fees If Fees Not Paid Within Seven Days Of Due Date	\$500.00
Annual Fee For Vending Machine Operator	\$100.00
Fine If Annual Vending Machine Operator Fee Is Not Paid Within Seven Days Of Due Date	\$100.00
Well Permit Fee	\$100.00
Application/Plan Review For Barbershop/Hairdresser's Establishment	\$50.00
Annual Permit For Barbershop/Hairdresser's Establishment	\$100.00
Annual Re-inspection Fee Barbershop/Hairdresser's Establishment	\$100.00

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Fine If Annual Fee For Barbershop/Hairdresser Is Not Paid Within Seven Days Of Due Date	\$100.00
<u>Nursing Division</u>	
Adult Immunizations	\$20.00
PPD'S (Purified Protein Derivative) (Tuberculosis Screening)	\$15.00
Hepatitis B	\$45.00

Department Of Public Works

Storm Sewer Hook-Up Permit	\$25.00
Street Opening Permit	\$50.00
Dumpster Permit	\$20.00
Scaffolding Permit	\$5.00
Replacement of Refuse Bin	\$55.00
GIS Tile Disk Copies	\$50.00
GIS Topography Print of Mylar	\$10.00
GIS Color Original Maps	\$40.00
GIS Data	\$2,500 and up
Map Copies	\$5.00
Recycling Leaf Bag Sales (3 for \$1)	\$0.33
Refuse NEDA Disposal Fee*	\$115 per ton

*Fee assessed on residents after first two dumps; on commercial entities and on non-residents for every dump

Police Services Department

False Alarm Fines

First Three incidents (No Charge)	\$0.00
4th Offense	\$25.00
5th Offense	\$50.00
6th or More Offenses	\$75.00

Parking Fines

Overtime Parking	\$10.00
Parking More than 12" from Curb	\$10.00
Occupying More than One Space	\$10.00
Parking Wrong Side of Road	\$10.00
Double Parking	\$25.00
Parking at Loading Zone	\$25.00
Restricted Parking Area	\$25.00
Obstructing Sidewalk	\$25.00
Obstructing Mail Drops	\$25.00
Within 10 Feet of Fire Hydrant	\$25.00
Obstructing View of Stop Sign	\$25.00
Parking Within 25 Feet of Corner	\$25.00

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Fire Lane	\$25.00
Truck Parking in Residential Zone	\$25.00
Handicapped Parking	\$150.00
Parking During a Snow Emergency	\$150.00
Fire Services Department	
Bureau of Fire Prevention Fees:	
<u>Plan & Review:</u>	
First \$10,000 of Project Cost	\$52.50
Every \$10,000 thereafter	\$5.25
Re-submittal	N/C
Site Plans	\$26.25
Exploratory/Demo	\$26.25
Certificate of Inspection (w/o plan review)	\$78.75
Additional Certificate of Occupancy	\$26.25
<u>Hood Systems</u>	
Hood Plans	\$26.25
Hood Suppression Plans	\$26.25
<u>Annual Licensing Inspections</u>	
Liquor License<50 Occupants	\$52.50
Liquor License>50-299 Occupants	\$78.75
Liquor License>300 Occupants	\$105.00
Skilled Nursing Facility/Healthcare	\$185.00
Hospital License	\$315.00
Hotel/Motel> 100 Rooms	\$157.50
Hotel/Motel< 100 Rooms	\$78.75
Group Home License	\$52.50
Rooming House License	\$52.50
Day Care License	\$52.50
Business License	\$52.50
Theatre License	\$157.50
Gas Station Inspection	\$134.40
Tank Truck Inspection	\$52.50
Remote Propane Fill Locations	\$26.25
Propane or Oil Storage Facility	\$135.00
Separators	\$21.00
Private Fleet Dispensing Locations	\$26.25
<u>Permits</u>	
Tent Permit	\$52.50
Tank Installation/Removal Permit	\$115.50
Abandonment Permit	\$115.50
Blasting Permit	\$31.50

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Fire Works	\$115.50
Festival & Carnival Permits	\$105.00
Propane Change out	\$21.00
20lb. LPG	N/C
<u>Reports</u>	
NFIRS Reports	\$10.50
Fire Investigation Reports (non-victim)	\$42.00
Copies (per page)	\$1.00
Reports for Victims	N/C
<u>Bureau of Emergency Services</u>	
Utility Stand-by (per hour, 1 hour minimum)	\$262.50
False Alarm Response (greater than 1 time in 12 months)	\$52.50

Hazardous Materials:

Any spiller in the City of Waterbury shall be responsible for the burden of actual cost of all labor and materials pertaining to response and mitigation of the incident. Fire Department mitigation shall be determined complete upon termination of command. Spiller shall be billed for actual per hour costs and actual cost of all disposable and/or damaged equipment. Hourly cost shall begin upon Fire Department activation and conclude upon termination of incident command.

Department of Education

Rental of School Facilities by a For Profit Organization

Auditorium/Gymnasium & Cafeteria with Kitchen (cafeteria worker required for cafeteria with kitchen rental)	\$1,000 for 4 hours \$200 for each additional hour \$39.00 an hour (maintainer)
Sound & Lighting Fees (City electrician(s) as needed must be on hand, even if performers have their own lighting person)	\$52.00 an hour
Cafeteria/Classroom	\$48.00 an hour
Pool	\$71.00 an hour
Security Deposit (in the event of multiple building or event use, one security deposit per organization is sufficient)	\$500.00

Rental of School Facilities by a Non-Waterbury Tax Exempt Organization

Auditorium/Gymnasium & Cafeteria with Kitchen (cafeteria worker required for cafeteria with kitchen rental)	\$750 for 4 hours \$150 for each additional hour \$39.00 an hour (maintainer)
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BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Sound & Lighting Fees (City electrician(s) as needed must be on hand, even if performers have their own lighting person)	\$52.00 an hour
Cafeteria/Classroom	\$48.00 an hour
Pool	\$71.00 an hour
Security Deposit (in the event of multiple building or event use, one security deposit per organization is sufficient)	\$500.00

Rental of School Facilities by a Waterbury Non Profit & Tax Exempt Organization

Auditorium/Gymnasium & Cafeteria with Kitchen (cafeteria worker required for cafeteria with kitchen rental)	\$39.00 an hour (maintainer)
Sound & Lighting Fees (City electrician(s) as needed must be on hand, even if performers have their own lighting person)	\$52.00 an hour
Cafeteria/Classroom	\$48.00 an hour
Pool	\$71.00 an hour
Security Deposit (in the event of multiple building or event use, one security deposit per organization is sufficient)	\$250.00

Fees are subject to waiver by the Board of Education, which considers such requests on a case-by-case basis. No fees are assessed for facility use by a City Department.

Water Pollution Control

Sewer Hook-Up Permit - Per Residential Unit*	\$1,250.00
Sewer Hook-Up Permit - Commercial \$0.25 per Square Foot	\$0.25
Sewer Hook-Up Permit - Hotel \$200 per Room	\$200.00
Sanitary Sewer Permit Application Fee	\$100.00
Commercial & Industrial Inspection Fee	\$100.00
Sewer Discharge Permit	\$100.00
Sewer Disconnect Permit	\$100.00
Sewer Repair Permit	\$100.00
Map Copies (Full Size)	\$5.00
Map Copies (8"x11")	\$0.50
Disposal of Grease	\$0.10/gallon
Disposal of Septage	\$0.08/gallon
Disposal of industrial or 454 waste stream	\$0.10/gallon
Sale of Sewer Vent Covers	\$25.00
*Additional Site connection fee for multi-unit connections (Example: 60 unit complex = 60 units @1,250 each plus 1 site connection fee of \$1,250)	\$1,250.00

BUDGET SUBCOMMITTEE. FAVORABLE. SCHEDULE OF FEES AND CHARGES

RESOLUTION #5

A RESOLUTION ADOPTING THE SCHEDULE OF FEES AND CHARGES FOR SERVICES, LICENSES AND OTHER PURPOSES OF THE CITY OF WATERBURY FOR THE ENSUING FISCAL YEAR

Bureau of Water

Service call-in 2:30 PM Thru 7:25 AM	\$175. / call
Replace shut-off cover, incl. cover (Reg Hours 7:30AM to 2:30PM)	\$60. / cover
Customer replaces cover (cost of cover)	\$25.00ea
Request to turn off service (Reg. Hours)	\$50 / call
Request to turn on service (Reg. Hours)	\$50 / call
House inspection water on / off	\$100. paid in advance
Dig & Repair service line	\$500. deposit
Dig & Repair service line	Actual cost of repair
Hydrant Deposit for use	\$500.00
Use of Hydrant	\$30. / day
Shut-off for non payment	\$115.00
Customer request to pay shut -off when Staff at house	\$50.00
Restore Shut off service between (2:30 PM thru 7:25 AM)	Additional \$35.00
Leak inspection (internal by Utility)	\$75 / hour/ min hr.
Leak inspection additional charge	\$55 / hours
Pool Water per Load	\$50. / Load
Pool Water loading after 2:30 PM	\$150. / Load
Low pressure investigation service line	\$75 / hour/ min hr.
Low pressure investigation service line additional time	\$55 / hours
Line tracing on personal property	\$75 / hour/ min hr.
Locate curb box at customer request	\$75 / hour/ min hr.
Dirty water call internal problem	\$75 / hour/ min hr.
Dirty water call internal problem - additional time	\$55 / hours
Bill Prints (Screen print)	\$1.00 / sheet
Bill research for customer accounts (Bill History)	\$20 Hour
NSF (non-sufficient funds) Charge / bank error, etc.	\$25.00
<u>Legal Action Charge</u>	
<u>Fees and Cost associated in collection action</u>	
Property inspection	\$15.00
Attorney Letter	\$200.00
Attorney Fees	Approved by Court
Receivership Fees	Approved by Court

BUDGET SUBCOMMITTEE. FAVORABLE. CORRECTIONS & TRANSFERS

RESOLUTION #6

**A RESOLUTION ALLOWING MATHEMATICAL CORRECTIONS TO THE BUDGET
OF THE CITY OF WATERBURY AND EMERGENCY TRANSFERS FOR THE
ENSUING FISCAL YEAR**

BE IT RESOLVED by the Board of Alderman of the City of Waterbury to approve to authorize the Budget Director of the City of Waterbury to make any necessary mathematical corrections for the final publication of the Budget Book for the City of Waterbury for the Fiscal Year commencing July 1, 2011 and ending June 30, 2012.

BE IT ALSO RESOLVED by the Board of Alderman of the City of Waterbury to authorize the Mayor of the City of Waterbury upon request from the Budget Director to approve any budget transfers from the Contingency account related to emergency purchases of Sand and Salt supplies or any other Snow Plowing expenditures. Such transfers will be communicated to the Board of Alderman at the first meeting of the Board immediately following the emergency transfer.

**CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET
FY 2011-12
BUDGETARY CHARTER REQUIREMENTS**

General Executive Summary

This executive summary is forwarded as a detailed account of the Mayor's Proposed Budget for Fiscal year beginning July 1, 2011 through June 30, 2012 (FY12).

The Nation, as is widely known, is still in the middle of a slow recovery. This has had severe impacts for the revenue prospects of states as well as municipalities. There were high expectations that in FY12 local revenue would start to turn around, but in effect the Administration has drafted the FY12 budget proposal under some of the most difficult economic conditions yet. State and local non-tax revenue projections are flat or lower than FY11.

The City continues to experience minimal grand list growth and there is also decreased state aid and a continued decline in most local fees and usage revenues. Grand list growth in the past year was less than \$5 million which at the current mill rate equates to approximately \$200,000 in new tax revenue. State Aid revenue in the Governor's proposed budget stayed roughly the same for the Education Cost Share (ECS) grant but saw a near \$5 million decrease in the other State grant sources. The Mayor's Proposed Budget assumes that the State will reinstate the funding for the Manufacturing Equipment Incentive program which would make up \$2.1 million of the \$5 million revenue loss mentioned above.

The City's FY12 proposed budget keeps the mill rate tax levy stable at the established FY11 level of 41.8184. The revenue portion of the budget includes a proposed current tax collection rate of 96.0%; this is the same level as was used in FY10 and FY11. The proposed tax collection rate of 96.0% is a level that has been reached by the City during the past six years. The three-year tax collection rate, which is allowed by charter, now stands at 96.96% of the Adjusted Levy, but the City has a recent history of budgeting conservatively in this area.

The City found itself with a "deficit budget gap" of \$20.7 million at the beginning of the budget development process. There were \$398.4 million in appropriation requests for which only \$377.7 million of revenue had been identified. Revenue figures were eventually brought to \$379.1 million which was still nearly \$1.6 million less than the current budget.

The Mayor's Proposed budget for FY12 is in the amount of \$379,146,926 representing a decrease of \$1.56 million or -.41% over the previous year's budget. Since there were unavoidable increases associated with debt service and General Liability funding requirements in the amount of \$1.35 million, the City had to find savings in the amount of \$2.7 million to reach the proposed level.

As mentioned above, the initial compilation of requests submitted by the City's Department Heads would have necessitated a budget in the amount of \$398.4 million.

FY12 EXECUTIVE SUMMARY

This would have been an increase of \$17.7 million or 4.7% over the previous year's Adopted Budget of \$380.7 million. The City was able to reduce the Departments' requests by \$19.3 million since it still needed to decrease revenue estimates by an aggregate amount of \$1.56 million from the current year. Most reductions had to be found in the General Government portion of the budget since the appropriation for Education could not fall below FY11 levels. Most of the reductions were accomplished as follows:

- Budget eliminates 28 vacant (2.5% of 1103 General Government positions) and does not fund an additional 9 requested positions for total savings of \$1.9 million
- No Department Head & Appointed Management raises for second consecutive year
- No proposed GWI increases for Fire, WC, BC, & Mgmt Contracts covering approximately 1580 employees for savings of approximately \$1.3 million
- Flat funding to Education for the 4th consecutive year
- Except for where necessary Budget rolls back funding for most "other expense" line items to FY10 actual expense levels
- Planned use of \$3 million Reserves in the General Fund and another \$5 million in Health & Debt Service Funds
- City is seeking increases in the Employee Co-share portion of Health Insurance Costs
- Budget uses inflation trends for Health Insurance benefit costs at the 7.5% level instead of consultant recommended 10.2% for savings of nearly \$4.5 million – allowing in part for flat funding of the Health Insurance Fund
- Budget reduced commitment to funding of OPEB by \$1.3 million

While there are some difficult decisions included in this budget, it also keeps some of the long term commitments that have been goals of this Administration. These are as follows:

- Reductions in position count and possible service impacts are achieved through attrition and not planned layoffs
- Continued commitment to Pension Plan funding and obligations of benefits already awarded
- Continued commitment to protecting the reserves and "fund Balances" of the Internal Service Funds for Workers Compensation, Heart and Hypertension, and General Liability
- Continued investment in amount of \$1 million to Capital Improvements and controlled issuance of Bonds
- Continued commitment to funding Other Post Employment Benefits (OPEB) although at a reduced amount
- Continued commitment to funding Contingency accounts for payroll and other unanticipated costs in amount of \$1.7 million
- Continued commitment to the funding of the Department of Public Works where previous position count reductions have created some challenges

FY12 EXECUTIVE SUMMARY

As has been done in the past, the City is designating an amount of its Fund Balance to assist with budgetary relief. The FY12 Mayor's Proposed Budget includes the designation of \$3 million of fund balance for mill rate relief. The City remains committed to its long-term goal of keeping a "Rainy Day Fund" of approximately 5% of current budget. The City also maintains a policy of not designating fund balance in excess of 1% of the budget for relief purposes to ensure that structural imbalances are not created within the budget. It is hoped that results of operations in the current year will result in the City not having to draw too steeply on its existing fund balance so that it may continue to be available as back-up to any unforeseen event. The City currently has a \$21 million Fund Balance with \$3 million of that being slated for use in the current FY11 budget. If the \$3 million included in the FY12 budget were to be used without being replenished it would take the City's fund balance to the low level of \$15 million.

The Mayor utilizes a Budget Subcommittee format to advise him on matters of budget development. The Budget Subcommittee, headed by the Budget Director, and consisting of the Director of Operations, the Finance Director and staff from the Office of Budget Control, the Finance Department, and the Mayor's Office reviewed all of the departments' submissions for FY12 budget requests. Again this year, the Budget Subcommittee was assisted by James Mullen a former member of the Waterbury Financial Planning and Assistance Board.

The Subcommittee developed a set of recommendations for Mayoral approval. Some of the Highlights of the changes approved by the Mayor and hereby submitted as part of the Mayor's Proposed FY12 Budget are as follows:

FY12 BUDGET POINTS

- Total Budget proposal of \$379.15 – is a decrease of 1.56 million or .41% less than the FY11 adopted budget of \$380.71 million
- Tax collection receipts from all sources have been increased by approximately \$730,000 in new local retail and hotel taxes that the State is proposing to make available to municipalities
- State Aid for Non-Education grants has been decreased by nearly \$2.5 million based mostly on anticipated reductions in the State's Payment In Lieu of Taxes (PILOT) grants
- The Education Cost Sharing (ECS) grant has been estimated by OPM to be kept at the FY11 level - \$113.6 million, which has been the same since FY09

REVENUE

The following are included in the Proposed Budget regarding Revenue:

- Grand list growth of .09% - this was an increase of \$4.97 million from \$5.280 billion to \$5.285 billion in value
- Mill rate of 41.8184 mills included in the FY12 proposal is at the current level with no increase recommended
- Current tax collection rate kept at current rate of 96.0%
- At the proposed 96.0% collection rate, 1 mill is equal to \$5,048,712
- All State Aid grant amounts are based on the OPM Estimates book published in February 2010 as part of the Governor's Proposed FY12 Budget

FY12 EXECUTIVE SUMMARY

- Income from Investments decreased by \$500,000 to \$1.0 million from the current budget of \$1.5 million based on reductions in current market rate of returns on investments
- Real Estate Conveyance reduced to \$950,000 from current level of \$1.0 million based on current collection activity

APPROPRIATIONS

Changes to the recommended appropriations of the City for FY12 are as follows:

- Reduction of \$19.3 million from Department Head Requests of \$398.4 million to a final proposed budget of \$379.1 million
- Budget assumes 0% increase on all outstanding Collective Bargaining Agreements covering approximately 1,576 employees with an aggregate savings of approximately \$1.3 million
- General Fund position count is decreased by 28 positions currently budgeted, and another 9 newly requested positions were not funded in the proposal with savings of approximately \$2 million
- Operating Charges and Employee benefit sections of the budget have been decreased by approximately \$256,000 mostly by:
 - No increase in the Health Insurance Fund Contribution
 - Debt Service increase - \$1.0 million
 - Internal Service Funds decrease - \$700,000 from current levels
 - Decrease of \$550,000 to Capital and one time purchases for the rent saved by moving departments to the Chase Building
- Budget proposes to make continued deposit to Reserve Fund for Other Post Employment Benefits (OPEB) liabilities at a reduced level of \$1 million
- The Department of Education's funding is kept level at \$155.6 million for fourth consecutive year

Narrative on the major issues in the FY12 Proposed Budget is found below.

DEPARTMENT ALLOCATION OF BENEFITS

Exhibit A of the Mayor's proposed budget is a schedule of all the direct appropriations to the departments. The proposed budget attempts to identify all the costs associated with running the specific departments by including Pension and other Benefit allocations on attachments B, C, and D. The allocation of benefits is a requirement of the Charter. Exhibit E is an illustration of each department's costs for the categories of personal services, other expenses, and benefit allocations.

The Mayor's Proposed Budget shows the benefit allocation in the using department.

These costs are shown at the bottom of the department's appropriation page as a payment made on behalf of that department. These costs are not part of their direct appropriation since these payments are actually made from the internal service funds.

The following table is based on total costs including direct appropriation to the departments and the distribution of costs for active employees (normal) pension cost, active employee insurance, workers' compensation, heart and hypertension, life insurance, unemployment insurance, and Medicare – as may be seen in Exhibits B, C,

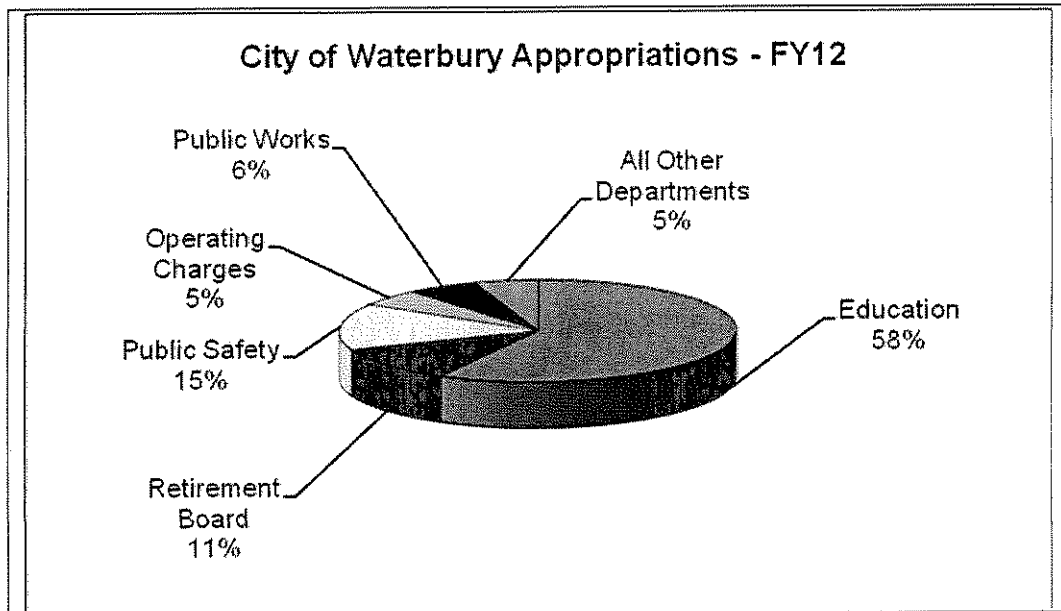
FY12 EXECUTIVE SUMMARY

& D. The expenses of the department including the benefit allocations can be found in Exhibit E.

The table shown below indicates that the amounts budgeted for education purposes, costs associated with retirees and dependents, public safety, debt service and other sundry costs account for \$337.3 million or 89% of the City's Budget. All other activities of the City Departments, including public works, must be accomplished with \$41.8 million or roughly 11% of the budget.

FY12 Departmental Costs including Pension & Benefits		% of Budget
Department of Education (DOE)	\$220,151,259	58.1%
Retirement Board (net of DOE)	\$42,226,988	11.1%
Public Safety	\$55,306,591	14.6%
Operating Charges	\$19,617,588	5.2%
Public Works	\$22,150,207	5.8%
Human Services	\$6,679,927	1.8%
Finance	\$6,407,629	1.7%
General Government	\$5,289,059	1.4%
Planning & Development	\$1,317,679	0.3%
Total GF Expenditures	\$379,146,926	100.0%

The pie chart shown below indicates that more than one half of the City's general fund budget is expended on items related to Education. The Education costs account for 58% of the entire General Fund budget when all benefits are allocated to the department; this is 1% higher than last year's value of 57% of the budget. The direct appropriation for Education in FY12 is proposed to remain at the current FY11 level of \$155.6 million. The FY12 benefit allocation to Education, however, is valued at \$64.5 million, \$2 million more than the FY11 computation which was valued at \$62.5 million.



FY12 EXECUTIVE SUMMARY

The City does receive grants from the State of Connecticut in support of Education. For FY12 the Governor has proposed an amount of \$116.1 million for the ECS and transportation grants. These amounts have been frozen for four consecutive fiscal years since FY09.

With an aggregate total budget of \$55.3 million, the public safety departments of Police and Fire Services account for another 15% of the budget.

PRIOR LIABILITIES

One of the largest set of expenditures is associated with employee benefits. In the aggregate these expenses associated with pension and health insurance add up to \$109.8 million with approximately \$35 million of this being spent on behalf of current employees. The table included below illustrates the fiscal impact of contributions to pension and health costs on the city budget and the associated mill rate:

PENSION PLAN - FY12	TOTAL	Value of 1 mill	# of mills
Normal Cost Contribution	\$2,113,854	\$5,048,712	0.42
Accrued Liability & POB Debt Service Contribution	\$41,814,153	\$5,048,712	8.28
Total Pension Contribution	\$43,928,007		8.70
HEALTH BENEFITS - FY12	TOTAL	Value of 1 mill	# of mills
Contribution - Active Employees	\$32,841,317	\$5,048,712	6.50
Contribution - Retirees (OPEB)	\$33,047,496	\$5,048,712	6.55
Total Health Contribution	\$65,888,813		13.05
TOTAL PENSION & HEALTH - FY12	\$109,816,820	\$5,048,712	21.75

As may be seen below, isolating the payments made on behalf of retirees and their families indicates that \$74.8 million is expended for this purpose. The following table illustrates this point:

Pension & Retiree Health Benefits	TOTAL	Value of 1 mill	# of mills
Accrued Liability & POB Debt Service Contribution	\$41,814,153	\$5,048,712	8.28
Health Contribution - Retirees (OPEB)	\$33,047,496	\$5,048,712	6.55
Total Contribution for Retirees	\$74,861,649		14.83

As may be seen above, the City is now paying the equivalent of **14.83 mills** for liabilities that were previously incurred. In the absence of these payments the City could have expected to have a current mill rate in the vicinity of 27 mills.

REVENUE

Below is a table illustrating the amounts included in the City's FY12 Revenue projections. The City is projecting to use \$3 million of fund balance which would necessitate the receipt of \$376.1 million from all other sources.

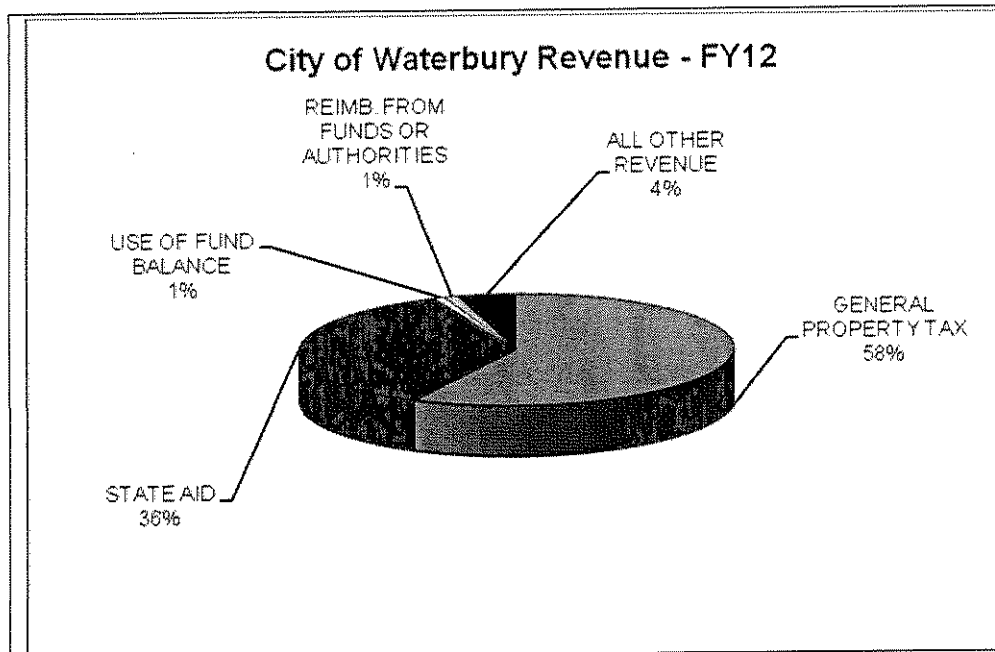
FY12 EXECUTIVE SUMMARY

As may be seen from the table included below, the City's budget is highly dependent on property tax and state aid revenue. State Aid has been on a decline over the past two to three years. In fact State Aid used to account for 38% of revenue whereas in FY12 as in FY11 it is now represented at 36% of the budget.

FY12 REVENUE SUMMARY	Amount	% of Budget
GENERAL PROPERTY TAX	\$219,129,076	57.8%
STATE AID	\$136,545,181	36.0%
USE OF FUND BALANCE	\$3,000,000	0.8%
REIMB. FROM FUNDS OR AUTHORITIES	\$3,862,287	1.0%
ALL OTHER REVENUE	\$16,610,382	4.4%
TOTAL REVENUES	\$379,146,926	100.0%

In the aggregate, the pie chart indicates that the two categories of taxes and state aid account for \$355.7 million or 94% of the \$379.1 million in revenue needed to operate the City.

The pie chart seen below is an illustration of the revenue data on the table seen above.



Below the reader will find information on the most important points related to revenue projections included in the FY12 Proposed Budget.

GRAND LIST GROWTH

The Mayor's Proposed Budget is based on the grand list of October 1, 2010 in the NET amount of \$5,285,943,394 forwarded by the City's Assessor. This is based on a Gross grand list of \$5,522,760,942 with aggregate exemptions in the amount of

FY12 EXECUTIVE SUMMARY

approximately \$236.0 million. The actual gross value of the grand list decreased approximately \$9.2 million from \$5.532 billion to \$5.522 billion but the exemptions also decreased by \$14.2 million thus creating overall growth of nearly \$5 million. As of October 1, 2009 there was \$251 million of tax exempt property whereas in October 1, 2010 that amount had decreased to \$236.8 million in exemptions.

As mentioned above, the net grand list as of October 1, 2010 is valued at near \$5.286 billion. In comparison to last year's numbers the grand list saw an increase of \$4.97 million; this is a .09% increase from previous year. Details are as follows:

- The real estate portion, at \$4.622 billion accounts for 87.4% of the \$5.28 billion grand list, this decreased by \$5.7 million or a negative .12% factor
- The Personal Property portion of the grand list at \$303 million or 5.7% of the total grand list, decreased by \$214,000 over its previous year's value – a slight decrease of .07%
- The Motor Vehicle portion of the grand list at \$360.7 million or 6.8% of the total grand list, increased by \$10.8 million over its previous year's value or a an increase of 3.11%

These grand list numbers are subject to any changes made by the Board of Assessment appeals. The budget contains a computation for a decrease of .15 of one percent (.15%) loss through the appeals process. This factor budgets for an erosion of the grand list in the amount of approximately \$7.93 million through this appeals process and any other certificate of corrections that may occur during the year.

COLLECTION RATE ON CURRENT TAXES

The FY12 budget includes a current tax collection rate of 96.0%. This is the same level included in the FY10 and FY11 budgets.

The City's Charter authorizes the Mayor to propose a collection rate up to an amount reflecting the three-year average. Higher amounts may not be used unless certification is made by the Finance Audit and Review Commission (FARC) that the rate proposed is a fair estimate to be used. The FARC met on March 24, 2009 to review the City's request to include 96.0% as a current tax collection rate in FY10 and took action on a unanimous vote in the affirmative allowing the city such use. The City is making no changes to the FARC approved collection rate and is using this same approved rate in FY12 as was used in the two previous fiscal years.

The Mayor's proposed budget with its use of 96.0% is approximately .96% below the three-year average of 96.96% based on numbers reported in the City's Audited Financial Statements.

PRIOR YEARS' TAXES & INTEREST

The FY12 Budget contains an estimate that the City will collect \$3.75 million associated with prior year collections; this is \$50,000 less than the FY11 budgeted level. The City budgets an additional amount of \$1.6 million for interest and fees associated with the collection of the prior year taxes.

FY12 EXECUTIVE SUMMARY

The Audited financial statements for the fiscal year ended June 30, 2010 indicate that the City is owed approximately \$23.4 million in delinquent taxes and interest. This amount is net of allowance reserves for potentially uncollectible taxes and interest.

STATE AID – FORMULA GRANTS

The Budget includes a schedule of all State Aid revenue projections expected by the City hereby attached as Exhibit G. All of the amounts are published in the “Estimates of State Formula Aid to Municipalities” published February 16, 2011 by the State of Connecticut’s Office of Policy and Management. These are the numbers included by the Governor as his proposal for State Aid to Waterbury in the coming fiscal year. These numbers will be reviewed and potentially changed by the deliberations of the Connecticut General Assembly.

For certain grants the Office of Policy and Management only publishes numbers in the aggregate for the State. In such cases where the State does not publish town specific data, the City of Waterbury includes in its budget amounts that it may have received in the previous fiscal year. The City also looks to see if the current year grants have been received and adjusts potential future revenue to such levels as most currently received.

The Governor’s Budget included an option to keep in place most of the exemptions associated with Manufacturing Equipment while also proposing to do away with the grant that reimburses municipalities for the loss of tax revenue. In FY10 this revenue was in the amount of \$2.7 million for Waterbury; the FY11 receipt was in the amount of nearly \$2.1 million. This proposal has proved to be very problematic for certain cities while benefiting others with less need. The State has been talking about its willingness to address this issue. The City’s budget allows for the receipt of this grant in the same amount as was received in FY11 while we continue to monitor the State Budget process for changes on this issue.

The State Legislative Session is scheduled to end its work on Wednesday, June 8, 2011. As always, the City seeks to adopt its budget after the state revenues are finalized, thus bringing budgetary stability to this line item that accounts for approximately 36% of revenues, but the City needs to have an adopted budget and an approved mill rate by Friday, June 10th to meet Charter requirements.

Exhibit G, the schedule mentioned above, shows that the City is scheduled to receive \$136.5 million in State Aid, this is nearly \$2.6 million less than the revenues in the current year budget.

APPROPRIATIONS

PERSONAL SERVICES – NON EDUCATION

The FY12 proposed budget includes funding for a non-education departments’ authorized position count of 1,075 in the amount of \$63.4 million. This position count is 28 positions less than FY11’s adopted budget listing of 1,103 in the amount of \$65.2

FY12 EXECUTIVE SUMMARY

million. These amounts include all funds spent on personal services such as overtime, longevity, and holiday pay.

The breakdown of the specific departments and those costs can be found in Exhibit A – the schedule of department appropriations. Exhibit A shows that the Department of Education's request is for 2,227 positions; in FY11 the department had requested 2,214 full time positions.

Below you may find information related to the changes in position count proposed for non-education departments of the General Fund of the City.

General Government Department	FY11 Adopted Budget	FY12 Proposed	Increase (Decrease)
Office of the Mayor	9	9	0
Legal Department	15	16	1
City Clerk	5	5	0
Town Clerk	11	11	0
Dept. of Human Resources	13	12	(1)
Registrar of Voters	5	5	0
Dept. of Finance	23	22	(1)
Dept. of Assessment	15	15	0
Dept. of Revenue Collection	25	24	(1)
Dept. of Purchasing	4	4	0
Dept. of Audit	2	2	0
Dept. of Budget Control	2	2	0
Dept. of Information Technology	12	12	0
Police Department	378	360	(18)
Fire Department	258	255	(3)
Department Of Public Works	190	188	(2)
Office of the City Plan	7	6	(1)
Dept. of Inspections	14	13	(1)
Health Department	83	82	(1)
Silas Bronson Library	32	32	0
Total Non-Ed Departments	1,103	1,075	(28)

As is shown above the proposed budget decreases the workforce in non-education departments by 29 positions with 1 part-time position being made full time in the Corporation Counsel's Office. In addition the Budget also eliminated funding for 9 new positions requested by the departments. The 38 positions not funded had an aggregate savings number of \$1.9 million.

There are Collective Bargaining Agreements (CBA) for four unions set to expire at June 30, 2011. As may be seen below, these contracts cover 1,576 employees with an annual payroll of \$63.75 million. A potential 2% increase would have a near \$1.3 million additional cost impact. The City's proposed budget includes no cost of living

FY12 EXECUTIVE SUMMARY

increases for those CBA's as the City will be pursuing a 0% increase in the first year of those contracts. This is a reflection of the hardship being faced by local governments at this time and the comparability of certain arbitration awards handed down for Connecticut municipalities.

Bargaining Unit Includes Grant Positions	Number of Employees	Total Payroll	Percentage Applied	Potential Cost/Savings
WC	780	\$ 26,389,554	2%	\$ 527,791
BC	487	\$ 17,654,763	2%	\$ 353,095
WMAA	67	\$ 4,740,055	2%	\$ 94,801
Fire	242	\$ 14,973,299	2%	\$ 299,466
Total	1576	\$ 63,757,671		\$ 1,275,153

The Salary Adjustment account sets aside a contingency amount related to potential increases from contracts not yet settled at Budget development time. In FY12, funding for the Salary Adjustment account has been recommended at \$700,000.

Below you may find an eight year history of budgeted position counts in the General Government portion of the budget. As may be seen, since 2005 the City has decreased its non-education work force by 5.7% which equates to a decrease of 65 positions. The City has done this gradually through attrition without experiencing the disruptive effects of massive layoffs and service disruption now in evidence in other municipalities.

City of Waterbury Full Time Position Count	FY12	FY11	FY10	FY09	FY08	FY07	FY06	FY05
General Government	58	58	59	59	58	56	54	52
Finance	81	83	83	84	84	83	77	78
Public Safety	615	636	644	633	630	628	655	691
Public Works	188	190	192	192	193	186	184	192
Planning & Inspections	19	21	21	21	21	19	16	16
Health & Library	114	115	115	114	112	113	112	111
Total Non-Education	1075	1103	1114	1103	1098	1085	1098	1140
FY12 less than FY05 in #s	65							
FY12 less than FY05 in %	5.70%							

EDUCATION

The Department of Education's proposed budget for FY12 includes a direct appropriation of \$155.625 million from the City's General Fund. This would be the fourth year in a row that this funding level is recommended. The City finds itself unable to increase this appropriation in view of the State's Revenue Commitment associated with Education having also been stagnant or in decline during the same period.

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The Department's request was in the net amount of \$156,744,126 million which would have been a \$1.12 million or .72% increase from the current level. This net amount would have been a function of having a gross budget worth \$160.125 million for which there are Federal Funds in the amount of \$3.38 million that can be used to pay for some of the payroll cost increases. The funds are part of a two year program called the Federal Education Jobs Grant. The Department's request was for personal services costs in the amount of \$124.8 million and other expenses of \$35.3 million. The Mayor's proposed budget reduces the \$1.1 million of the requested increase that would have been necessary from the General Fund.

The proposed direct appropriation to the department in the amount of nearly \$155.6 million does not include the additional \$64.5 million the city pays for benefits on behalf of department employees. The City is now reflecting those costs as an allocation on the Department's page. Including those costs the City will be spending an approximate \$220.1 million on behalf of education.

As previously mentioned, the Governor's FY12 proposal for State Aid includes an ECS Grant amount of \$113,617,182 that is the same level as the FY09; FY10; and FY11 grants. Since the ECS grant amount has not changed the General Fund's appropriation to the Department of Education needs to remain at the previous year's level funding. The State's Budget has proposed decreases in the school transportation grants and it has capped the statewide maximum for reimbursements that a town can receive to offset special education costs.

FUNDING OF THE PENSION PLAN

The Pension Trust Fund and its Unfunded Actuarial Liability had been a significant challenge for the City of Waterbury. The City had been in the process of studying the savings impact of issuing Pension Obligation Bonds (POBs) and issued those bonds in early September 2009 resulting in a \$311 million contribution to the Pension Trust Fund.

The deposit of the proceeds into the pension trust fund established a 70% funding status for the plan. This significantly reduced the amount the City needed to contribute to amortize the remaining Unfunded Liability. The City will be paying the principal and interest on the pension obligation bonds for a period of 30 years.

For comparison purposes, the City has kept the debt service payments associated with the POB under the portion of the budget accounting for pension plan contribution. This allows for easier comparison of current year to prior year budget contributions. Below we show the two components of the City's appropriation related to pension and the projection for contributions from active employees.

General Fund Pension Contributions	FY10	FY11	FY12
Employees' Annual Required Contribution	\$6,166,237	\$6,443,718	\$6,391,363
City's projected pension contribution	\$43,975,000	\$16,765,993	\$15,961,053
Principal & Interest payments on POBs	\$0	\$28,193,514	\$27,966,954
Total CITY contributions on behalf of Pension	\$43,975,000	\$44,959,507	\$43,928,007

FY12 EXECUTIVE SUMMARY

The Pension Valuation as of June 30, 2009, the most current data available at budget development time indicates that the City had an Accrued Liability of \$542 million for which there were Assets with an actuarial value of \$381 million (after deposit of POBs). This leaves the City with an Unfunded Actuarial Liability of \$160million to be amortized over 30 years.

HEALTH INSURANCE INTERNAL SERVICE FUND

The City is self-funded for the provision of health insurance benefits to active employees, retirees, and eligible dependents. The Health Insurance Internal Service Fund (ISF) acts as an insurance company assessing on an annual basis fees to the General Fund and the Enterprise Funds for participation in the receipt of benefits. The use of an internal service fund provides budgetary stability since an internal service fund can post a deficit so long as there is a plan to address it.

The local ordinance regarding the management of the ISF requires that the City use no more than 30% of any existing fund balance as a budget management tool. This policy is meant to prevent the excessive use of fund balance to create a structural imbalance in the fund's budget. In FY12 the City is proposing the use of \$2.5 million of Fund Balance.

The City utilizes Anthem Blue Cross Blue Shield as its plan administrator. The City also uses Milliman, Inc. as a consultant in the design and costing of these plan benefits.

On an annual basis the consultant studies the City of Waterbury's health insurance claims and based on industry inflation trends develops and provides to the City the amounts recommended for the following year's budget. Using the consultant provided expenditure projections the City develops a budget for contributions to the Internal Service Fund. For FY12 the consultant recommended using a 10.2% inflation trend but the City has included a 7.5% inflation rate based on current year projections. In the current year (FY11) the City is experiencing claim trend increases in the range of 6 to 6.5%. Using the consultant's inflation trends instead of the 7.5% increase would result in an additional \$4.6 million contribution from the General Fund.

As previously mentioned, the City worked with its actuarial services firm to develop Waterbury's Other Post Employment Benefits (OPEB) valuation. The City's Comprehensive Annual Financial Report indicates that there is an unfunded Actuarial accrued liability in the amount of \$770.4 million. In FY08, the City created a separate fund to start to address Other Post Employment Benefits (OPEB) unfunded liability. At the end of FY10 the City's Audited Financial Statements reported that the City has approximately \$9.6 million in this Fund. The City will have nearly \$12 million set aside for this purpose at the end of FY11. The City proposes to fund this liability at the \$1 million level in FY12. This is a significant decrease from the FY11 budget contribution of \$2.3 million contribution as current economic conditions have not improved and setting aside funds for future obligations becomes increasingly difficult.

As may be seen below, the City now spends approximately \$81.8 million in providing health benefits to active and retired employees. The roughly \$19.2 million of Revenue

FY12 EXECUTIVE SUMMARY

to the fund from other sources assists with offsetting the costs apportioned to the general fund. The City's FY12 proposed budget requires a General Fund contribution of \$62.6 million; this is the same contribution as included in the current year budget. The Three Year Plan had identified this line item as possibly requiring an increase of approximately \$6.8 million. Reasons for the stable outlook and the level contribution from the General Fund are:

- Current FY11 costs that with a trend of 6.5% are less than the 9% increase that had been projected thus creating a lower base for inflation
- Current inflation trend of 6.5% allows the City to responsibly budget a 7.5% increase for next year instead of the 10.2% recommended by the consultant
- City is pursuing higher co-shares from future labor contracts
- A new revenue stream from the Federal Government in the amount of \$1.5 million to assist with retiree health insurance costs – this source expires in FY14
- The continued ability to use of \$2.5 million in existing Fund Balance in the Internal Service Fund

The summary format of the Health Insurance Fund Budget is seen below; a detailed version is included as Exhibit F in this document.

Revenue Projections - FY12	
State of CT Teacher's Co-Pay	\$1,650,000
Health Ins. Co-Share - GF Plan Participants	\$8,486,206
Health Ins. Co-Share - Retiree Participants	\$700,707
Medicare Part D Reimbursement	\$670,000
Medicare Early Retirement Reimbursement	\$1,575,000
Waste Treatment Contributions	\$841,690
Public Utility Admin Contribution	\$353,547
Water Bureau Contributions	\$835,890
Medicare Reimbursement	\$841,196
Interest on Corpus	\$750,000
Use of Available Fund Balance	\$2,500,000
TOTAL REVENUES	\$19,204,236
Expenditure Projections - FY12	
Health Insurance Claims	\$59,521,612
Managed Drug Benefit	\$10,336,784
Dental Benefit	\$3,565,243
Network Access Fee	\$2,281,053
Anthem BC/BS Administration	\$1,292,510
Individual Stop Loss Premium	\$255,615
Fully Insured Medicare Premiums	\$2,815,243
Subtotal Cost of Insurance	\$80,068,060
Change in IBNR	\$400,000
Professional Services	\$375,000
Contribution to OPEB - Normal Cost	\$1,000,000
TOTAL EXPENDITURES	\$81,843,060
Total General Fund Contribution Required	\$62,638,824

FY12 EXECUTIVE SUMMARY

Exhibit F includes more detail than seen above on the employees' contribution to this Fund. We would note that only approximately \$700,000 is received from current retirees, as most of those individuals retired from the City at a time when no contribution was required towards their health care costs post employment.

Approximately \$8.5 million is projected to be received from current employees as their co-share cost for plan participation. The City also receives approximately \$2.03 million from the Water and Sewer Enterprise Funds as an assessment for plan participation.

It may also be seen in Exhibit F that after taking into consideration the appropriate revenue credits, the City estimates that \$32.8 million will be spent on behalf of current employees while \$33.0 million will be spent on behalf of retirees (includes OPEB contribution). This computation is based on a three year average of actual paid data for Anthem and Medco claims. The three year average data points out that 55.52% of the expenses are associated with active employees while 44.48% of the expenses were associated with retiree benefits.

OTHER INTERNAL SERVICE FUNDS

The City also operates Internal Service Funds for General Liability, Heart and Hypertension and Workers' Compensations costs. The management of these funds is also regulated by ordinance and the risk management staff (RMAS) committee.

- The General Liability exists to account for expenditures associated with claims and litigation brought against the City.
- The Heart and Hypertension exists to account for expenditures associated with certain claims incurred by Police and Fire personnel. The State of Connecticut General Assembly eliminated this benefit for all personnel hired after 7/1/96.
- The Workers' Compensation exists to account for expenditures associated with claims of injury sustained by city employees.

The following tables illustrate a five-year history of the financial position of the Internal Service Funds. The latest audited data is available as of fiscal year end 2010.

Assets	2006	2007	2008	2009	2010
General Liability	\$7,488,000	\$8,389,000	\$8,582,000	\$7,174,005	\$7,581,000
Workers' Comp	\$11,793,000	\$13,151,000	\$12,668,000	\$12,705,249	\$18,609,000
Heart & Hypertension	\$9,409,000	\$11,070,000	\$11,546,000	\$10,955,298	\$11,575,000
Total	\$28,692,006	\$32,612,007	\$32,798,008	\$30,836,561	\$37,767,010

Liabilities	2006	2007	2008	2009	2010
General Liability	\$4,979,000	\$4,864,000	\$5,270,000	\$4,609,323	\$4,739,000
Workers' Comp	\$20,313,000	\$23,180,000	\$22,703,000	\$23,772,070	\$24,387,000
Heart & Hypertension	\$12,865,000	\$10,955,000	\$10,705,000	\$9,400,000	\$9,300,000
Total	\$38,159,006	\$39,001,007	\$38,680,008	\$37,783,402	\$38,428,010

All of the information included in these tables is gleaned from the applicable Audited Financial Reports of the city's finances for the years mentioned.

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Net Assets - Unrestricted	2007	2008	2009	2010	Prior Year Changes
General Liability	\$3,525,000	\$3,312,000	\$2,564,682	\$2,842,000	\$277,318
Workers' Comp	(\$10,029,000)	(\$10,035,000)	(\$11,066,821)	(\$5,778,000)	\$5,288,821
Heart & Hypertension	\$115,000	\$841,000	\$1,555,298	\$2,275,000	\$719,702

The data in the tables printed above may be summarized as follows:

- While the Assets of the General Liability account increased by \$407,000, the liabilities only increased by \$130,000. This fund is in a surplus situation with Unrestricted Net Assets nearing \$3 million.
- The Workers Compensation assets increased by \$5.9 million. This was made up mostly of a one-time deposit of \$5 million the City realized in savings from the issuance of Pension Obligation Bonds. During FY10 the Liabilities of the fund increased by \$615,000. At this time the Fund is 76.3% funded with \$18.6 million in assets to pay for an identified long-term obligation of \$24.4 million in liabilities.
- The Heart and Hypertension surplus improved by \$720,000 substantially driven by positive investment performance during 2010

The city is committed to the long-term viability of these self-insured funds. These funds are subject to an annual valuation report done by an outside actuarial firm. In FY12, the City's General Fund has additional contributions to these Funds in the following amounts:

- General Liability - \$1,650,000
- Workers Compensation - \$8,500,000
- Heart & Hypertension - \$1,500,000

As part of the computation of the FY12 general fund contributions is an amount computed to amortize the Workers Compensation fund's deficit over a number of ten (10) years. In addition to the contributions from the General Fund, the Workers Compensation account will also be receiving contributions from the Water and Sewer Enterprise Funds.

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CHARTER REQUIREMENTS

The Charter adopted by the electors of the City of Waterbury on November 5, 2002 contains specific requirements on Section 9B-3 regarding the presentation of the budget. The following information is provided to meet such requirements.

1. An estimate of all revenue cash receipts anticipated from sources other than the tax levy

REVENUES OTHER THAN TAXES	FY11 ADOPTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 BUDGETARY CHANGES	FY12 % DIFF.
PAYMENTS BY OTHER JURISDICTIONS	\$17,370,174	\$16,308,187	(\$1,061,987)	-6.1%
OTHER FINANCE DEPARTMENT REVENUE	\$9,470,000	\$9,220,000	(\$250,000)	-2.6%
USE OF FUND BALANCE	\$3,000,000	\$3,000,000	\$0	0.0%
REIMB. FROM FUNDS OR AUTHORITIES	\$3,435,663	\$3,862,287	\$426,624	12.4%
EDUCATION GRANTS & OTHER REVENUE	\$121,994,387	\$120,491,494	(\$1,502,893)	-1.2%
ALL OTHER DEPARTMENTS	\$5,406,150	\$5,255,150	(\$151,000)	-2.8%
TOTAL REVENUES	\$160,676,374	\$158,137,118	(\$2,539,256)	-1.6%

2. An estimate of the general fund cash surplus at the end of the current fiscal year or of the deficit to be made up by appropriation

FY11	YEAR END PROJECTIONS*	
REVENUES	EXPENDITURES	RESULTS OF OPERATIONS
\$377,504,204	\$380,510,109	(\$3,005,905)

*Source: City's Monthly Financial Status Report as of 12/31/10

The City's Office of Budget Control issues a Financial Status Report which report is filed with the Board of Aldermen and the Finance and Audit Review Commission. The Office uses actual-to-date information retrieved from the City's core financial system at the end of the month and makes certain assumptions for expenditures through year-end. The Office relies on weekly and biweekly payroll figures to ascertain its assumptions regarding payroll – the largest expense in the general fund budget.

Regarding the Board of Education's General Fund Appropriation, the Office of Budget Control relies on monthly information provided by that Department's submission of its own Monthly Expenditure Report.

The City's last official Monthly Expenditure Report sent to the BOA is as of 12/31/10 as is seen above. The City is working with its departments to find savings in the current

FY12 EXECUTIVE SUMMARY

year to offset the diminished receipts in the current year and achieve modest positive results of operations for FY11.

3. The estimated expenditures necessary for the operation of the several departments, offices and agencies of the City

The City's overall expenditure appropriations are decreasing by \$1.5 million or -.4% as identified in the table seen below.

EXPENDITURES	FY11 ADOPTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 BUDGETARY CHANGES	FY12 % DIFF.
GENERAL GOVERNMENT	\$4,625,253	\$4,637,383	\$12,130	0.3%
FINANCE	\$5,504,574	\$5,467,362	(\$37,212)	-0.7%
PUBLIC SAFETY	\$44,494,522	\$43,779,561	(\$714,961)	-1.6%
PUBLIC WORKS	\$19,064,020	\$18,840,620	(\$223,400)	-1.2%
PLANNING AND DEVELOPMENT	\$1,195,494	\$1,095,678	(\$99,816)	-8.3%
HUMAN SERVICES	\$5,365,017	\$5,257,332	(\$107,685)	-2.0%
EDUCATION	\$155,625,000	\$155,625,000	\$0	0.0%
OPERATING CHARGES	\$100,653,019	\$100,398,983	(\$254,036)	-0.3%
RETIREMENT BOARD	\$44,181,444	\$44,045,007	(\$136,437)	-0.3%
TOTAL EXPENDITURES	\$380,708,343	\$379,146,926	(\$1,561,417)	-0.4%

4. Debt Service requirements for the ensuing fiscal year

The City accounts for its debt service costs in a separate Debt Service Fund. The General Fund makes an annual contribution to that Fund in an amount sufficient to meet all of its debt service requirements net of any balance in the Fund.

The issuance of Deficit Financing Bonds created a restricted Debt Service Reserve balance which must remain in the Fund until the debt service associated with the deficit financing bonds are paid off. Those restricted portions of the deficit Bonds are slated to be fully paid in FY17. The interest income associated with retaining that reserve is available for use during the year.

DEBT SERVICE REQUIREMENTS	FY12
EXISTING BOND PRINCIPAL	\$10,972,300
EXISTING INTEREST	\$6,484,179
FEDERAL INTEREST SUBSIDY	(\$837,548)
TOTAL DEBT SERVICE REQUIREMENT	\$16,618,931
POTENTIAL DEBT FOR NEW PROJECTS	\$750,000
USE OF FUND BALANCE IN THE DEBT SERVICE FUND	(\$1,868,931)
TOTAL CONTRIBUTION TO DEBT SERVICE FUND	\$15,500,000

FY12 EXECUTIVE SUMMARY

The amounts budgeted for Debt Service in FY12 assumes that the City will be borrowing some moneys to finance capital improvements and renovations needed for ongoing projects. It is expected that the City will issue a combination of bonds and bond anticipation Notes (BANs) each September until all projects are fully funded.

The City is budgeting a new debt line item in the amount of \$750,000, as may be seen in the table included above, which would be of use for any new principal and interest payments associated with the issuance of new debt for any of the capital projects previously approved for issuance.

In the table above a new line item can also be seen which is to reflect the direct federal subsidy used to reduce interest payments associated with the recovery bonds issued in September 2010. In FY12 that subsidy is in the amount of nearly \$840,000.

5. An estimate of the sum required to be raised by the tax levy for the ensuing fiscal year, assuming a rate of current levy year collections not greater than the average rate of collection in the year of levy for the last three (3) completed fiscal years

The "Sum required to be raised by the tax levy" is a function of three different factors. Those factors are: Current Tax collection rate to be used, Grand List data most recently available, and the mill rate recommended for use in the subsequent year. Below the reader will find the data used in the development of the City of Waterbury's Mayor's Proposed Budget for fiscal year of July 1, 2011 through June 30, 2012.

CURRENT TAX COLLECTION RATE

As stated above, the Charter requires that a three-year average Current Collection rate be computed. The Mayor's proposal uses a rate of 96.0%; this is somewhat less than the three-year average of collection as seen in the following table.

<u>Audited</u> 2008	<u>Audited</u> 2009	<u>Audited</u> 2010	<u>3 YEAR</u> <u>Average</u>	<u>Adopted</u> FY11	<u>Projected</u> 2011	<u>Proposed</u> FY12
96.42%	97.48%	96.97%	96.96%	96.00%	96.00%	96.00%

Source: City's Audited Financial Statements

- The Mayor's use of an amount of 96.0% was based on the following facts:
- An audited average collection rate of 96.96% of the adjusted levy was achieved in the past three years
 - An audited collection rate in excess of 96.0% has been achieved by the City since fiscal year 2004
 - Actual collections to date indicate projections of a 96.0% collection rate in FY11

The FARC considered the Mayor's request to use 96.0% as a current collection rate in FY10 and granted its approval then. There have been no changes to the collection rate proposals since then.

FY12 EXECUTIVE SUMMARY

GRAND LIST DATA

Below you will find information comparing the October 1, 2009 and October 1, 2010 Grand List data. Both sets of information are derived from the first compilation of the Grand List signed by the Assessor as required by State Statute. It does not include changes made by the Assessment Board of Appeals which changes will be made after appropriate hearings are held.

This is the most recent grand list data available at the time of the printing of the Mayor's Budget. The table illustrates the different categories of property valued in Waterbury and compares Grand List data as for those two years. It also attempts to show the increase or decrease in monetary and percentage values for the different categories.

Taxable Category	Grand List as of October 1, 2009	Grand List as of October 1, 2010	Changes in GL 10-1-09 & GL 10-1-10	Diff. %
Real Estate - Gross	\$4,693,558,980	\$4,678,616,700	(\$14,942,280)	-0.32%
<u>Real Estate - Exemptions</u>				
Veterans/ Blind/ Elderly	(\$13,234,190)	(\$12,767,690)	\$466,500	-3.52%
Totally Disabled (SS)	(\$458,000)	(\$442,000)	\$16,000	-3.49%
Industrial Exemptions	(\$8,519,460)	(\$9,570,040)	(\$1,050,580)	12.33%
Enterprise & ITZ Zone	(\$43,448,780)	(\$33,633,920)	\$9,814,860	-22.59%
Real Estate - Net	\$4,627,898,550	\$4,622,203,050	(\$5,695,500)	-0.12%
Personal Property - Gross	\$475,612,323	\$470,549,597	(\$5,062,726)	-1.06%
Penalty (25% on late or no PP filings)	\$7,079,323	\$6,708,486	(\$370,837)	-5.24%
<u>Personal Property - Exemptions</u>				
Industrial Exemptions	(\$892,270)	(\$611,860)	\$280,410	-31.43%
Enterprise & ITZ Zone	(\$84,452,740)	(\$76,261,610)	\$8,191,130	-9.70%
Manufacturers' Equipment Incentive	(\$94,076,400)	(\$97,307,340)	(\$3,230,940)	3.43%
Miscellaneous Exemptions	(\$22,270)	(\$43,780)	(\$21,510)	96.59%
Personal Property - Net	\$303,247,966	\$303,033,493	(\$214,473)	-0.07%
Motor Vehicles - Gross	\$355,750,141	\$366,886,159	\$11,136,018	3.13%
<u>Motor Vehicles - Exemptions</u>				
Veterans/ Blind/ Elderly	(\$2,682,530)	(\$2,690,880)	(\$8,350)	0.31%
Totally Disabled (SS)	(\$189,040)	(\$196,210)	(\$7,170)	3.79%
Manufacturers' Equipment Incentive	(\$3,051,099)	(\$3,292,218)	(\$241,119)	7.90%
Motor Vehicles - Net	\$349,827,472	\$360,706,851	\$10,879,379	3.11%
Total Grand List - Gross	\$5,532,000,767	\$5,522,760,942	(\$9,239,825)	-0.17%
Total Exemptions	(\$251,026,779)	(\$236,817,548)	\$14,209,231	-5.66%
Total Grand List - Net	\$5,280,973,988	\$5,285,943,394	\$4,969,406	0.09%

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MILL RATE INFORMATION

The Mayor's Proposed Budget includes a mill rate calculation of 41.8184 mills. This is the same rate used in FY11. There is no increase in the tax rate proposed in the Mayor's Budget.

The Mayor's Budget assumes that .15 of one percent will be lost through assessment appeals. This \$7.92 million would assist in accounting for any potential loss of revenue through assessment appeals that may be due to taxpayers who may have chosen to appeal their assessments or disposed of their property since October 1.

MILL RATE COMPUTATION	FY12
OCTOBER 1, 2010 GRAND LIST - NET OF EXEMPTIONS	\$5,285,943,394
PROJECTION FOR LOSS THROUGH APPEALS (.15%)	(\$7,928,915)
ESTIMATE OF TAXABLE GRAND LIST (NET OF .15%)	\$5,278,014,479
100% OF TAX LEVY AT 41.8184 MILLS	\$220,718,121
TAX CREDITS FOR ELDERLY & DISABLED	(\$792,000)
LEVY NET OF TAX CREDITS	\$219,926,121
PROJECTED 96.0% COLLECTION RATE OF NET LEVY	\$211,129,076

As may be seen above, the factors of current grand list, mill rate, and collection rate of 96.0% provide a net amount of \$211.1 million of current tax revenue. At this rate, the value of 1 mill is equal to \$5,047,712 of revenue.

6. **A balanced relation between the total estimated expenditures and total anticipated revenue cash receipts, taking into account the estimated general fund cash surplus or deficit at the end of the current fiscal year**

FY11 PROJECTED RESULTS OF OPERATIONS	\$0
RESERVE - FOR CONTINGENCY & FUTURE BUDGET USE	\$0
PROPOSED REVENUES	\$376,146,926
USE OF FUND BALANCE	\$3,000,000
PROPOSED APPROPRIATIONS	(\$379,146,926)
BALANCED BUDGET - FY12	\$0

The City continues to work to achieve even results of operations at current year-end. The City cannot at this time assume that there will be any cash surplus at the end of FY11. The budget does propose to use \$3million of the currently undesignated Fund Balance.

FY12 EXECUTIVE SUMMARY

7. The anticipated income and expense as well as profit and loss for the ensuing year for each utility or other enterprise fund operated by the City

The proposal for the FY12 Water Pollution Control Fund (WPC) Operating Budget does not propose an increase in the sewer user rates. The WPC is proposing to use \$1 million of its existing fund balance to provide for a capital fund contribution of \$500,000 and defer a proposed rate increase for FY12.

The proposal for the FY12 Water Operating Budget does not propose an increase in the water user rates to fund general operations. The proposed Water budget does include the establishment of a 10% capital surcharge on the current \$2.31 per CCF consumption rate. The annual capital surcharge will generate an estimated \$1.1 million annual capital funding infusion that will be designated to fund the Bureau of Water's capital infrastructure renewal program. The Administration has restated its commitment to continue addressing the capital improvements needed by the Bureau of Water's aging infrastructure. The Water Enterprise Fund no longer has reserves sufficient to fund infrastructure capital improvements needed to protect the Water system – often stated as one of the City of Waterbury's best assets.

FY12 BUDGET - ENTERPRISE FUNDS			
<u>INCOME</u>	<u>WPCF</u>	<u>WATER</u>	<u>TOTAL</u>
CURRENT CHARGES	\$15,756,633	\$12,375,963	\$28,132,596
INTEREST & PENALTIES	\$500,000	\$235,000	\$735,000
ALL OTHER REVENUES	\$2,697,500	\$622,500	\$3,320,000
USE OF FUND BALANCE	\$1,000,000	\$0	\$1,000,000
TOTAL REVENUES	\$19,954,133	\$13,233,463	\$33,187,596
<u>EXPENSE</u>	<u>WPCF</u>	<u>WATER</u>	<u>TOTAL</u>
PERSONAL SERVICES	\$2,511,488	\$2,766,767	\$5,278,255
EMPLOYEE BENEFITS	\$1,880,567	\$2,170,039	\$4,050,606
PURCHASED PROFESSIONAL SERVICES	\$331,200	\$1,536,000	\$1,867,200
PURCHASED PROPERTY SERVICES	\$6,113,200	\$200,005	\$6,313,205
PURCHASED OTHER SERVICES	\$390,365	\$314,250	\$704,615
SUPPLIES	\$693,150	\$1,289,782	\$1,982,932
PROPERTY/CAPITAL OUTLAYS	\$89,300	\$37,000	\$126,300
OTHER			\$0
CAPITAL FUND CONTRIBUTION	\$500,000	\$1,135,000	\$1,635,000
ADMIN BUREAU CONTRIBUTIONS	\$699,444	\$1,298,966	\$1,998,410
INDIRECT COSTS REIMB.	\$472,781	\$690,000	\$1,162,781
DEBT SERVICE	\$6,230,648	\$456,500	\$6,687,148
ALL OTHER MISCELLANEOUS	\$41,990	\$1,339,154	\$1,381,144
TOTAL EXPENSES	\$19,954,133	\$13,233,463	\$33,187,596

8. A schedule of Major Proposed Budget Changes – Revenues and Appropriations is attached

The Charter adopted by the electors of the City of Waterbury on November 5, 2002 also contains the following requirements on Section 9B-3:

FY12 EXECUTIVE SUMMARY

"All estimates for expenses to be incurred for benefits, including medical, life insurance, pension, post-retirement life and medical shall be allocated to the department driving the expense."

The following schedules are provided to meet such requirements and include some additional information.

- 9. A schedule of Proposed Department Appropriations**
See Attached Exhibit A
- 10. A schedule of Departmental Allocations for Benefits and Pension.**
See Attached Exhibits B, C, & D
- 11. A schedule of Departmental Expenditures Including Appropriations and Allocations for Benefits and Pension.**
See Attached Exhibit E
- 12. The Budget of the City's Health Insurance Fund.**
See Attached Exhibit F
- 13. A schedule of State Aid Revenue Projections included in the Budget.**
See Attached Exhibit G

**CITY OF WATERBURY
FY 2011-12 PROPOSED BUDGET
MAJOR ISSUES/CHANGES**

REVENUE

GENERAL PROPERTY TAXES

- Current Taxes current tax receipt computations are a factor of Grand List data, adopted mill rate, and collection rate used.
 - The GL grew by \$4.9 million or a factor of .09% in the last year. As of 10/1/10 the city's GL is approximately \$5.285 billion.
 - The Mayor's proposed budget the keeps the mill rate unchanged from FY11 at the level of 41.8184
 - The budget assumes a current collection rate 96.0% - the audited three-year average is 96.96% on adjusted levy at year-end. This is the same level as used in the FY10 & FY11 budget.
- Prior Years' Taxes reflects current history of activity in prior year tax collection efforts. Budgeted amount is \$3.75 million, which is decreased by \$50,000
- Supplemental Motor Vehicle – the Mayor's proposed budget in FY12 is an amount of \$1.5 million a \$100,000 decrease from FY11
- Interest on Current and Prior Years' Taxes amounts are increased by an aggregate \$250,000 to reflect FY10 actual collections and projections for current year receipts.

PAYMENTS BY OTHER JURISDICTIONS

- State aid projections reflect where estimates are available, amounts published in the Office of Policy and Management's Estimates of State Aid published in February 2011.
- The Mayor's Proposed Budget assumes that the State of Connecticut will reinstate the Manufacturing Equipment Incentive grant in the approximate amount of \$2.1 million

INTEREST, RENT, SERVICES AND MISCELLANEOUS INCOME

- Income from Investments Budgeted line item is \$1.0 million – this is \$500,000 less than FY11 budget of \$1.5 million. Revenue decrease is needed based on current receipts and conditions affecting interest rates
- Fringe Benefit Contribution Proposed budget increases line item by \$250,000 to \$7.75 million based on FY10 actual receipts. This line item accounts for the fringe recovery costs that are billed back to grants for health insurance and other employee benefit costs related to employees hired under those grants. In FY10 the city experienced income of \$8 million in this line item.

FY12 REVENUE SUMMARY

REIMBURSEMENTS FROM OTHER FUNDS OR AUTHORITIES

- Transfer-Water Fund – Indirect Service Charges based on indirect cost study. Budgeted line item is \$690,000.
- Transfer-Sewerage Treatment Fund – Indirect Service Charges based on indirect cost study. Budgeted line item is nearly \$473,000.
- Water Fund and Sewer Treatment Pension & Fringe Benefits — Payments are made from the enterprise funds for Pension Contributions. Payments are also made to the City's General Fund for FICA, Unemployment Compensation, and Life Insurance for the employees of the Enterprises Funds. The pension and fringe amounts in FY12 are proposed to be \$1.11 million for the Water Fund and \$908,000 for the Sewer Fund.
- Water Fund and Sewer Treatment Health Insurance Fund Contributions — Payments from the Enterprise Funds for health insurance costs will, in accordance with risk management policies, be made directly to the Health Insurance Internal Service Fund. These deposits made directly into the Health Insurance Internal Service Fund result in a decreased amount needed from the General Fund for those purposes. The contribution from the Water Fund is \$835,000. The contribution from the Sewer Fund is \$841,000.
- Public Utility Administration Division — The FY12 Budget proposes to create an Internal Service Fund account to run the administration portion of the two Enterprise Funds for Water and Sewer. This account would pay benefits on behalf of the employees assigned to this Division. The FY12 General Fund Budget Includes a contribution for pension and other benefits in the amount of \$445,000. The contribution to the Health Insurance Fund is in the amount of \$354,000.
- Water Fund and Sewer Treatment Workers' Compensation Fund Contributions — Payments from the Enterprise Funds for Workers' Compensation costs will, in accordance with risk management policies, be made directly to the Workers' Compensation Internal Service Fund. These deposits made directly into the Workers' Compensation Internal Service Fund result in a decreased amount needed from the General Fund for those purposes. The contribution from the Water Fund is \$130,000. The contribution from the Sewer Fund is \$126,000.
- Golf Course Contributions — The Golf Courses reimburse the General Fund for the full cost of health insurance and other benefits as well as pension benefits for their current full time employees in the amount of approximately \$235,000. Golf Course operations have been difficult and the FY12 General Fund budget proposes that an annual subsidy to the fund at the level of \$100,000 to assist with this payment of benefits.

TOWN CLERK

- Deed Recording and Other Fees Revenue is proposed at \$350,000 in FY12 same level as current year.
- Real Estate Conveyance The amount budgeted for FY12 is \$950,000 which is a decrease of \$50,000 from current year budget of \$1 million.

POLICE DEPARTMENT

- Parking Violations line item budgeted at \$650,000. This is an increase of \$100,000 from current year level.
- Parking Fees revenues are decreased by \$15,000. City is considering mothballing the Prospect St. Garage for lack of activity at the site.

FY12 REVENUE SUMMARY

FIRE DEPARTMENT

- Proposed budget reflects decrease of \$25,000 in fees or recoveries for services provided by the department. These increases are based on current projections for activity at year-end.

PUBLIC WORKS

- Northend Landfill: Refuse Disposal Charges amount has been decreased to \$175,000 from FY11 level of \$215,000 based on current year collections.
- Miscellaneous Fees this line item has been budgeted at \$75,000 to reflect current year receipts.
- Recreational Program fees have been budgeted at FY11 level of \$35,000.

CITY PLAN

- In the aggregate, revenue for the department is budgeted at \$101,500 in FY12. This is \$500 less than current level.

INSPECTIONS

- In the aggregate, revenue for the department is budgeted at \$980,000 in FY12 from \$1,175,500 in FY11. Fees have been decreased by \$195,000 from current year level. This is based on current diminished activity in this area.

HEALTH DEPARTMENT

- Fees have been decreased budgeted in aggregate amount of \$161,000. This is based on current year level.

DEPARTMENT OF EDUCATION

- Education Equalization reflects amount published in the Office of Policy and Management's Estimates of State Aid published in February 2011. Governor's Proposal keeps the ECS grant in FY12 at same levels utilized in FY09; FY10; and FY11.
- Special Education/Excess Cost reflects budget of \$2.5 million in FY12 instead of \$3 million in FY11. This is based on amount received by the City in FY 10 and projection for current year receipt.
- Other Education Specific Grants – all other grants are included as printed in the Estimates of State Aid of February 2011
- Reimbursements from Other Towns reflects a \$35,000 decrease to \$750,000 in FY12 based on FY10 actual collections and current year projections.

**CITY OF WATERBURY
FY 2011-12 PROPOSED BUDGET
MAJOR ISSUES/CHANGES**

APPROPRIATIONS

GENERAL GOVERNMENT

- Office of the Mayor – Proposed budget makes no increases to salary of office personnel.
- Board of Alderman – Proposed budget includes normal salaries for the Aldermen. It does not assume reduced levels utilized in FY11.
- Legal Department – Proposed budget increases office by one full time position while decreasing funding for one part-time position. Proposal has line item for outside legal counsel services at current year level of \$585,000.
- Office of the City Clerk – budget makes no changes to position count.
- Office of Town Clerk – budget makes no changes to position count
- Department of Human Resources – Proposed budget eliminates funding for 1 vacant position while upgrading one position at department's request.
- Office of the Sheriff – budget makes no changes to FY11 level of funding.
- Office of Probate – budget funds rent for the office at an outside location and costs for telephone and security systems.

FINANCE

- Finance Department – The proposed budget reflects the following:
 - Office of Finance/Comptroller– Decrease of one vacant clerical support staff position. Keeps the credit for reimbursement of CDBG funds for grant monitoring at the Finance Department where the grant oversight activities are now residing
 - Assessor's Office – Proposed budget makes no changes to this department.
 - Revenue Collector – Decrease of one vacant clerical support staff position.
 - Purchasing – Proposed budget makes no changes to this department
- Internal Audit – The proposed budget makes no changes to this department.
- Office of Budget Control – The proposed budget makes no changes to this department.
- Information Technology – Department fully staffed. Proposed budget makes no changes to department's requested position count.

PUBLIC SAFETY

- Police Department – Proposal eliminates funding for 18 positions, 15 of which are uniformed personnel. Six of the uniformed positions are not yet vacant but planned to remain vacant through attrition. The Department's request was for 376 positions in the amount of \$20.89 million. The FY12 Proposed budget funds 360 positions in the amount of \$19.63 million for a savings of \$1.26 million. This amount does not

FY12 APPROPRIATION SUMMARY

- include any increases for the uniformed personnel, which contract is in the midst of arbitration. The City's budget includes a centrally controlled Salary Adjustment contingency account for contracts not settled at budget development time.
- Fire Department – Proposal eliminates funding for 3 positions most of which are vacant. The Department's request was for 266 budgeted positions in the amount of \$16.47 million. The FY12 Proposed budget funds 255 positions in the amount of \$15.62 million for savings of \$853,000. In addition, the Mayor's Proposed Budget funds the Overtime line item at the level of \$925,000. This is approximately half of what the department had requested and will require strict enforcement measures to be achieved.

PUBLIC WORKS

- Department of Public Works – The requested budgets for each of the bureaus within the Department of Public Works are collapsed to reflect a consolidated department with all the public works functions. For ease of reading, the budget includes the program budgets associated with the separate Bureaus. The appropriation, however, remains at the Department level to provide the Administration with the ability to transfer funds within the programs of the Department of Public Works (the bureaus) without the need for further legislative action.
 - Office of Director– The proposed budget leaves the position count unchanged.
 - Engineering – The position count for this Bureau was decreased by one full time position which had only been funded for half year.
 - Bureau of Parks – The proposed budget leaves the position count unchanged.
 - Public Buildings – The proposed budget leaves the position count unchanged.
 - Bureau of Leisure Services – The proposed budget decreases the position count by one position now vacant. Seasonal recreation services were kept at current levels with no inflation factor applied to existing funding levels.
 - Central Vehicle Maintenance – The proposed budget leaves the position count unchanged.
 - Refuse Collection – The proposed budget leaves the position count unchanged.
 - The Waste line item reflects tipping fees charged by the Connecticut Resource Recovery Authority (CRRA). The proposed budget for this line item is \$2.9 million and should cover potential costs based on next year's rates.
 - Bureau of Streets – The FY12 proposed budget makes no changes to the position count but assumes savings of half a year from existing vacancy in the Director's position.
 - Mark Lane Facility (formerly Northend Landfill) – The FY12 proposed budget makes no changes to the position count.

HUMAN SERVICES

- Department of Public Health – The proposed budget cuts funding for one Aide position said to be vacant at time of budget development.

FY12 APPROPRIATION SUMMARY

- Silas Bronson Library – The proposed budget for the Library keeps the same number of budgeted positions as FY11 funded levels. The budget decreases nearly \$300,000 from the Library's request to purchase books.

DEPARTMENT OF EDUCATION

- General Fund Appropriation for Education – The Board of Education's budget request is funded with a direct Appropriation of \$155,625,000; this is a recommendation which will remain unchanged for four consecutive years if accepted in FY12. This is a decrease of \$1.1 million from the department's request. The department presented a budget with a cost of \$160.1 million for which a federal grant is available in the amount of \$3.38 million.
- Education Benefit Costs – The City is also beginning to show the allocation of pension, health insurance, and other benefit costs paid by the City on behalf of this department. This is the third year we have done so. The Office of Budget Control projects these costs to be an additional \$64.5 million that increases to \$220.15 million the amount the City will spend on behalf of Education in FY12.

OPERATING CHARGES

The Operating Charges section of the budget was requested at a level of nearly \$122.3 million. The Mayor's Proposed Budget reduced this amount by nearly \$11.9 million to arrive at a recommended appropriation of \$100.4 million is approximately 26.5% of the entire budget. Information on most of the major line items is seen below.

- Debt Service Fund Contribution – The debt service costs in the Debt Service Fund are increasing \$2.7 million from the current \$13.8 million to \$16.6 million in FY12. This is a 20.1% increase from current year levels. The General Fund contribution reflects an increase of \$1.0 million from the current year level; requiring a contribution to the Debt Service Fund in the amount of \$15.5 million. The FY12 proposal is reflective of the use of a \$1.87 million fund balance available in the fund.
- Health Benefits – The FY11 budget is based on an inflation rate of 7.5%; this is less than the 10.2% recommended by the consultants. Net of employee contributions and other revenue, the amount needed from the City's general fund is 62.6 million. This is the same level as the FY11 General Fund contribution. More detail on the revenue and expenses of the health insurance budget may be found in Exhibit F.
- Health Benefits – Active Employees - Of the \$62.6 million total, approximately \$32.8 million will be spent on behalf of current employees. The use of \$2.5 million in available fund balance and the \$750,000 interest income is applied to the active employee part of the expense thus netting out to a contribution of \$29.6 million needed in the FY12 budget.
- Health Benefits – Retirees - Of the \$62.6 million total, approximately \$33.0 million will be spent on behalf of retired employees and dependents. Part of that money is in support of the actuarially required contribution for the normal cost of OPEB benefits in the amount of \$1 million; this contribution is down from \$2.3 million in FY11.
- Contribution to General Liability Internal Service Fund – This line item is budgeted to receive a \$1.65 million contribution from the City's General Fund in FY12. This is an increase of \$350,000 from the current year. In addition, this Fund will see contributions from the Water and Sewer Fund. This fund is showing a surplus but has

FY12 APPROPRIATION SUMMARY

had substantial payments made in FY11. Internal Service Fund policies allow for the use of one third of existing fund balance in subsequent years to decrease the amount needed from the General Fund.

- Contribution to Heart & Hypertension Internal Service Fund – This line item is budgeted at \$1.5 million, which is a decrease of \$200,000 from current year levels. This fund is now showing a surplus and we are proposing the use of fund balance in FY12. The position of this fund continues to improve since this fund is closed to new claims coming from any public safety personnel hired after 7/1/96.
- Contribution to Workers' Compensation Internal Service Fund – This line item is budgeted at \$8.5 million. This is a decrease of \$500,000 from the current year. In FY10 a deposit of \$5 million was made into this fund; these were one time savings achieved from the sale of Pension Obligation Bonds. There are also contributions from the Water and Sewer Funds deposited directly to the Fund.
- Economic Development – The FY12 budget keeps the General Fund contribution to the Waterbury Development Corporation (WDC) at current year level of \$610,000. This is approximately \$60,000 less than requested.
- Contingency – The contingency account is kept at level amount of \$1 million. This is to enable the City to account for any potential shortfalls that may occur during the year.
- Salary Adjustment/Restructure Account – This line item reflects funds for potential raises related to unsettled agreements at budget development time and any other salary adjustments affected during the fiscal year.
- Capital Reserve/Equipment – The proposed budget funds this line item with \$250,000 as a transfer to the non-lapsing Capital Improvement Fund. This line item funds certain projects appropriated by the City's Capital Budget for which there are no grant monies that can be applied.
- Capital Reserve/Equipment – City Hall – The proposed budget makes no further transfers to the non-lapsing Capital Improvement Fund project set up for City Hall. This line item, for the most part, was funding the rent associated with the dislocation of certain departments because of the improvements to City Hall. The Departments have now been moved to the renovated City Hall and the space made available at the Chase Building.
- Vehicle Replacement Fund – The proposed budget increased this line item to \$750,000 from the current year level of \$700,000. These funds are to be transferred into the non-lapsing vehicle replacement account. These monies will be officially appropriated to specific purchases of vehicles and apparatus through the Capital Budget process.

RETIREMENT BOARD

- Pension Contribution – The City's cost on behalf of employee pensions is nearly \$44.1 million in FY12. This is approximately \$135,000 less than FY11. In September 2009 the City issued approximately \$311 million in Pension Obligation Bonds, which brought the pension plan funding to approximately 70%. The FY12 proposed budget includes principal and interest payments in the amount of \$27.9 million. An additional amount of \$15.9 million will be contributed to the pension trust to continue to fund the significantly lower unfunded pension liability still in existence.

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET FY 2011-2012
SCHEDULE OF DEPARTMENTAL
APPROPRIATIONS

EXHIBIT A

Appropriation By Department	Budget Authorized FT Positions	Personal Services Costs	All Non Personnel Costs	Total Dept. Appropriation
<u>GENERAL GOVERNMENT</u>				
MAYOR'S OFFICE	9	\$585,111	\$52,135	\$637,246
BOARD OF ALDERMAN	0	\$64,000	\$0	\$64,000
LEGAL DEPT.	16	\$1,076,120	\$666,150	\$1,742,270
CITY CLERK	5	\$222,189	\$10,575	\$232,764
TOWN CLERK	11	\$402,809	\$165,250	\$568,059
HUMAN RESOURCES	12	\$723,366	\$92,475	\$815,841
REGISTRAR OF VOTERS	5	\$316,372	\$46,250	\$362,622
CITY SHERIFF	0	\$11,931	\$4,150	\$16,081
PROBATE	0	\$0	\$198,500	\$198,500
SUBTOTAL	58	\$3,401,898	\$1,235,485	\$4,637,383
<u>FINANCE</u>				
DEPT OF FINANCE	22	\$1,261,214	\$57,316	\$1,318,530
ASSESSMENT	15	\$815,669	\$57,100	\$872,769
TAX COLLECTOR	24	\$971,752	\$263,450	\$1,235,202
PURCHASING DEPT	4	\$220,630	\$23,200	\$243,830
AUDIT	2	\$142,538	\$2,000	\$144,538
BUDGET	2	\$166,844	\$3,000	\$169,844
INFORMATION TECHNOLOGY	12	\$733,649	\$749,000	\$1,482,649
SUBTOTAL	81	\$4,312,296	\$1,155,066	\$5,467,362
<u>PUBLIC SAFETY</u>				
POLICE SERVICES DEPT.	360	\$22,678,591	\$2,407,711	\$25,086,302
FIRE SERVICES DEPT.	255	\$17,396,759	\$1,296,500	\$18,693,259
SUBTOTAL	615	\$40,075,350	\$3,704,211	\$43,779,561
<u>PUBLIC WORKS*</u>				
DEPT OF PUBLIC WORKS	188	\$9,984,880	\$8,855,740	\$18,840,620
SUBTOTAL	188	\$9,984,880	\$8,855,740	\$18,840,620
<u>PLANNING AND DEVELOPMENT</u>				
CITY PLAN	6	\$301,760	\$46,900	\$348,660
DEPT. OF INSPECTIONS	13	\$701,268	\$45,750	\$747,018
SUBTOTAL	19	\$1,003,028	\$92,650	\$1,095,678
<u>HUMAN SERVICES</u>				
HEALTH DEPARTMENT	82	\$3,157,701	\$127,650	\$3,285,351
SILAS BRONSON LIBRARY	32	\$1,499,727	\$472,254	\$1,971,981
SUBTOTAL	114	\$4,657,428	\$599,904	\$5,257,332
<u>OPERATING CHARGES</u>				
DEBT AND SUNDRY	0	\$0	\$100,398,983	\$100,398,983
SUBTOTAL	0	\$0	\$100,398,983	\$100,398,983

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET FY 2011-2012
SCHEDULE OF DEPARTMENTAL
APPROPRIATIONS

EXHIBIT A

Appropriation By Department	Budget Authorized FT Positions	Personal Services Costs	All Non Personnel Costs	Total Dept. Appropriation
RETIREMENT BOARD				
PENSION PLAN CONT.	0	\$0	\$44,045,007	\$44,045,007
SUBTOTAL	0	\$0	\$44,045,007	\$44,045,007
GENERAL GOVT	1075	\$63,434,880	\$160,087,046	\$223,521,926
EDUCATION				
DEPARTMENT OF EDUCATION**	2227	\$0	\$155,625,000	\$155,625,000
SUBTOTAL	2227	\$0	\$155,625,000	\$155,625,000
GENERAL FUND	3302	\$63,434,880	\$315,712,046	\$379,146,926

* SEE FURTHER BREAKDOWN OF THE DEPARTMENT OF PUBLIC WORKS IN THE ATTACHED PAGE

**THESE ARE DOE NUMBER OF POSITIONS AS REQUESTED BY BOE

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET FY 2011-2012
SCHEDULE OF DEPARTMENTAL
APPROPRIATIONS

EXHIBIT A

BUREAUS OF THE DEPARTMENT OF PUBLIC WORKS

Appropriation By Department	Budget Authorized FT Positions	Personal Services Costs	All Non Personnel Costs	Total Dept. Appropriation
<u>DEPARTMENT OF PUBLIC WORKS</u>				
Director of DPW	9	\$519,062	\$104,049	\$623,111
Bureau of Engineering	16	\$1,045,554	\$129,131	\$1,174,685
Bureau of Parks	36	\$1,597,371	\$483,752	\$2,081,123
Bureau of Public Buildings	19	\$759,913	\$1,087,038	\$1,846,951
Bureau of Leisure Services	10	\$910,880	\$15,500	\$926,380
Central Vehicle Maintenance	19	\$1,013,603	\$689,325	\$1,702,928
Bureau of Refuse Collection	49	\$2,477,628	\$2,984,850	\$5,462,478
Bureau of Streets	26	\$1,443,549	\$3,193,150	\$4,636,699
North End Disposal	4	\$217,320	\$168,945	\$386,265
DEPT OF PUBLIC WORKS	188	\$9,984,880	\$8,855,740	\$18,840,620

CITY OF WATERBURY
DEPARTMENTAL ALLOCATION OF EMPLOYEE BENEFITS
MAYOR'S PROPOSED BUDGET FY 2011-12

EXHIBIT B

DESCRIPTION & DEPARTMENT	MAYOR'S PROPOSED BUDGET	DEPT. % of TOTAL	HEALTH INS. COSTS	LIFE INS. COSTS	UNEMP. COMP. COSTS	EMPLOYER MEDICARE COSTS	DEPT. BENEFIT COSTS
Health Source Data used is from Exhibit F							
Health Insurance-Retirees - Education			\$19,305,789				
Health Insurance-Retirees - Gen. Gov.			\$13,741,707				
Health Insurance-Actives - Education			\$24,306,166				
Health Insurance-Actives - Gen. Gov.			\$8,535,151				
Life Insurance - Gen. Gov				\$215,582			
Unemployment Comp. - Gen. Gov.					\$300,000		
Employer F.I.C.A. - General							
Employer Medicare - General						\$1,122,500	
Department Allocation of Benefits - # of Full Time positions							
Office of the Mayor	9	0.84%	\$71,457	\$1,805	\$2,512	\$9,398	\$85,171
Legal Department	16	1.49%	\$127,035	\$3,209	\$4,465	\$16,707	\$151,416
Office of the City Clerk	5	0.47%	\$39,698	\$1,003	\$1,395	\$5,221	\$47,317
Office of the Town Clerk	11	1.02%	\$87,336	\$2,206	\$3,070	\$11,486	\$104,098
Human Resources	12	1.12%	\$95,276	\$2,406	\$3,349	\$12,530	\$113,562
Registrar of Voters	5	0.47%	\$39,698	\$1,003	\$1,395	\$5,221	\$47,317
Department of Finance	22	2.05%	\$174,673	\$4,412	\$6,140	\$22,972	\$208,196
Office of Assessment	15	1.40%	\$119,095	\$3,008	\$4,186	\$15,663	\$141,952
Office of Revenue Collection	24	2.23%	\$190,552	\$4,813	\$6,698	\$25,060	\$227,123
Purchasing Dept	4	0.37%	\$31,759	\$802	\$1,116	\$4,177	\$37,854
Internal Audit	2	0.19%	\$15,879	\$401	\$558	\$2,088	\$18,927
Office of Budget Control	2	0.19%	\$15,879	\$401	\$558	\$2,088	\$18,927
Information Technology	12	1.12%	\$95,276	\$2,406	\$3,349	\$12,530	\$113,562
Department of Police Services	360	33.49%	\$2,858,283	\$72,195	\$100,465	\$375,907	\$3,406,850
Department of Fire Services	255	23.72%	\$2,024,617	\$51,138	\$71,163	\$266,267	\$2,413,186
Dept. of Public Works	188	17.49%	\$1,492,659	\$37,702	\$52,465	\$196,307	\$1,779,133
Department of City Planning	6	0.56%	\$47,638	\$1,203	\$1,674	\$6,265	\$56,781
Department of Inspections	13	1.21%	\$103,216	\$2,607	\$3,628	\$13,574	\$123,025
Department of Public Health	82	7.63%	\$651,053	\$16,444	\$22,884	\$85,623	\$776,005
Silas Bronson Library	32	2.98%	\$254,070	\$6,417	\$8,930	\$33,414	\$302,831
TOTAL DISTRIBUTION	1075	100%	\$8,535,151	\$215,582	\$300,000	\$1,122,500	\$10,173,233

HEALTH INS ACTUAL CLAIM COSTS (ONLY) BASED ON 3YR HISTORY	
INSURANCE COST - RETIREES (44.48%)	\$27,133,979
INSURANCE COST - ACTIVES (55.52%)	\$33,863,087
TOTAL HEALTH CLAIM EXPENSES - 3YR avg.	\$60,997,066

FY12 Use of Fund Balance & Interest	(\$3,250,000)
INS. COST - RETIREES	\$33,047,496
INS. COST - ACTIVES	\$32,841,317
TOTAL FY12 GF Contribution	\$62,838,813

CITY OF WATERBURY
DEPARTMENTAL ALLOCATION OF EMPLOYEE BENEFITS
MAYOR'S PROPOSED BUDGET FY 2011-12

EXHIBIT C

DESCRIPTION & DEPARTMENT	MAYOR'S PROPOSED BUDGET	DEPT. % of TOTAL	WORKERS COMP COSTS	HEART & HYPERT. COSTS	DEPT. BENEFIT COSTS
General Fund Contribution			\$8,500,000	\$1,500,000	\$10,000,000
Department of Education	2227	39.87%	\$3,389,275		\$3,389,275
Department of Police Services	360	20.73%	\$1,762,194	\$827,943	\$2,590,137
Department of Fire Services	255	20.28%	\$1,724,158	\$672,057	\$2,396,214
Dept. of Public Works	188	15.03%	\$1,277,151		\$1,277,151
Department of Public Health	82	1.87%	\$159,302		\$159,302
All of General Government	190	2.21%	\$187,920		\$187,920
General Gov Breakdown seen below	1075	100.00%			
Department Allocation of Benefits - # of Full Time positions					
Office of the Mayor	9	4.74%	\$8,901		\$8,901
Legal Department	16	8.42%	\$15,825		\$15,825
Office of the City Clerk	5	2.63%	\$4,945		\$4,945
Office of the Town Clerk	11	5.79%	\$10,880		\$10,880
Human Resources	12	6.32%	\$11,869		\$11,869
Registrar of Voters	5	2.63%	\$4,945		\$4,945
Department of Finance	22	11.58%	\$21,759		\$21,759
Office of Assessment	15	7.89%	\$14,836		\$14,836
Office of Revenue Collection	24	12.63%	\$23,737		\$23,737
Purchasing Dept	4	2.11%	\$3,956		\$3,956
Internal Audit	2	1.05%	\$1,978		\$1,978
Office of Budget Control	2	1.05%	\$1,978		\$1,978
Information Technology	12	6.32%	\$11,869		\$11,869
Department of City Planning	6	3.16%	\$5,934		\$5,934
Department of Inspections	13	6.84%	\$12,858		\$12,858
Silas Bronson Library	32	16.84%	\$31,650		\$31,650
Subtotal for General Government	190		\$187,920		\$187,920
TOTAL GENERAL FUND BUDGET	3302	100%	\$8,500,000	\$1,500,000	\$10,000,000

The Third Party Administrator keeps separate costs for the departments at the top of the page (percentage shown is a 3yr average)
Balance of costs is allocated to other general government departments based of full time position count

CITY OF WATERBURY
DEPARTMENTAL ALLOCATION OF PENSION COSTS
MAYOR'S PROPOSED BUDGET FY 2011-12

EXHIBIT D

DESCRIPTION & DEPARTMENT	MAYOR'S PROPOSED BUDGET	DEPT. % of TOTAL	PENSION PLAN CONT.	TOTAL PENSION COSTS
GENERAL FUND CONTRIBUTION TO RETIREMENT TRUST FUND	\$43,928,007			
Pension Contribution - Retirees & Unfunded Liability			\$28,368,281	\$28,368,281
Pension Contribution - Education - Retiree & Unfunded Liability			\$13,445,872	\$13,445,872
Pension Contribution Actives - Normal Cost			\$2,113,854	
Pension Contribution - Actives - Education			\$824,656	\$824,656
Dept. of Police Services - Police BU			\$243,309	\$243,309
Dept. of Fire Services - Fire BU			\$385,098	\$385,098
Normal Cost to be distributed	APPLICABLE*		\$660,791	
	POSITIONS ONLY			
Office of the Mayor	1	0.21%	\$1,377	\$1,377
Legal Department	6	1.25%	\$8,260	\$8,260
Office of the City Clerk	3	0.63%	\$4,130	\$4,130
Office of the Town Clerk	10	2.08%	\$13,766	\$13,766
Human Resources	9	1.88%	\$12,390	\$12,390
Registrar of Voters	4	0.83%	\$5,507	\$5,507
Department of Finance	15	3.13%	\$20,650	\$20,650
Office of Assessment	15	3.13%	\$20,650	\$20,650
Office of Revenue Collection	23	4.79%	\$31,663	\$31,663
Purchasing Dept	4	0.83%	\$5,507	\$5,507
Internal Audit	1	0.21%	\$1,377	\$1,377
Office of Budget Control	0	0.00%	\$0	\$0
Information Technology	10	2.08%	\$13,766	\$13,766
Department of Police Services	61	12.71%	\$83,976	\$83,976
Department of Fire Services	6	1.25%	\$8,260	\$8,260
Dept. of Public Works	184	38.33%	\$253,303	\$253,303
Department of City Planning	5	1.04%	\$6,883	\$6,883
Department of Inspections	12	2.50%	\$16,520	\$16,520
Department of Public Health	79	16.46%	\$108,755	\$108,755
Silas Bronson Library	32	6.67%	\$44,053	\$44,053
TOTAL GENERAL FUND BUDGET	480	100%	\$660,791	\$43,928,007

* INCLUDES AN ESTIMATE OF ONLY FULL TIME POSITIONS WHO PARTICIPATE IN PENSION PLAN

(Most outside Management positions being hired now participate in a 401(a) Defined Contribution not the Defined Benefit pension plan)

This schedule uses FY10 Budget data since new Valuation data is not available at this time

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET FY 2011-12
SCHEDULE OF TOTAL DEPARTMENTAL COSTS
INCLUDING APPROPRIATIONS AND ALLOCATION OF PENSION & BENEFITS

EXHIBIT E

APPROPRIATION DESCRIPTION	Personal Services Costs	Other Expenses Costs	Total Dept. Approp.	Fringe Benefit Allocation as per Exhibit B	WC & H&H Allocation as per Exhibit C	Pension Benefit Allocation as per Exhibit D	Total Dept. Costs
GENERAL GOVERNMENT							
10 MAYOR'S OFFICE	\$585,111	\$52,135	\$637,246	\$85,171	\$8,901	\$1,377	\$732,695
16 BOARD OF ALDERMAN	\$64,000	\$0	\$64,000	\$0	\$0	\$0	\$64,000
30 LEGAL DEPT.	\$1,076,120	\$666,150	\$1,742,270	\$151,416	\$15,825	\$8,260	\$1,917,770
35 CITY CLERK	\$222,189	\$10,575	\$232,764	\$47,317	\$4,945	\$4,130	\$289,157
36 TOWN CLERK	\$402,809	\$165,250	\$568,059	\$104,098	\$10,880	\$13,766	\$696,803
14 HUMAN RESOURCES	\$723,366	\$92,475	\$815,841	\$113,562	\$11,869	\$12,390	\$953,661
35 REGISTRAR OF VOTERS	\$316,372	\$46,250	\$362,622	\$47,317	\$4,945	\$5,507	\$420,391
34 CITY SHERIFF	\$11,931	\$4,150	\$16,081	\$0	\$0	\$0	\$16,081
33 PROBATE	\$0	\$198,500	\$198,500	\$0	\$0	\$0	\$198,500
SUBTOTAL	\$3,401,898	\$1,235,485	\$4,637,383	\$548,881	\$57,365	\$45,429	\$5,289,059
FINANCE							
20 DEPT OF FINANCE	\$1,261,214	\$57,316	\$1,318,530	\$208,196	\$21,759	\$20,650	\$1,569,135
23 ASSESSMENT	\$815,669	\$57,100	\$872,769	\$141,952	\$14,836	\$20,650	\$1,050,207
24 TAX COLLECTOR	\$971,752	\$263,450	\$1,235,202	\$227,123	\$23,737	\$31,663	\$1,517,726
28 PURCHASING DEPT	\$220,630	\$23,200	\$243,830	\$37,854	\$3,956	\$5,507	\$291,147
25 AUDIT	\$142,538	\$2,000	\$144,538	\$18,927	\$1,978	\$1,377	\$166,820
12 BUDGET	\$166,844	\$3,000	\$169,844	\$18,927	\$1,978	\$0	\$190,749
26 INFORMATION SERVICES	\$733,649	\$749,000	\$1,482,649	\$113,562	\$11,869	\$13,766	\$1,621,846
SUBTOTAL	\$4,312,296	\$1,155,066	\$5,467,362	\$766,541	\$80,113	\$93,612	\$6,407,629
PUBLIC SAFETY							
50 POLICE DEPT	\$22,678,591	\$2,407,711	\$25,086,302	\$3,406,850	\$2,590,137	\$327,285	\$31,410,574
55 FIRE DEPARTMENT	\$17,396,759	\$1,296,500	\$18,693,259	\$2,413,186	\$2,396,214	\$393,358	\$23,896,017
SUBTOTAL	\$40,075,350	\$3,704,211	\$43,779,561	\$5,820,036	\$4,986,352	\$720,642	\$55,306,591
PUBLIC WORKS							
DEPT OF PUBLIC WORKS	\$9,984,880	\$8,855,740	\$18,840,620	\$1,779,133	\$1,277,151	\$253,303	\$22,150,207
SUBTOTAL	\$9,984,880	\$8,855,740	\$18,840,620	\$1,779,133	\$1,277,151	\$253,303	\$22,150,207

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET FY 2011-12
SCHEDULE OF TOTAL DEPARTMENTAL COSTS
INCLUDING APPROPRIATIONS AND ALLOCATION OF PENSION & BENEFITS

EXHIBIT E

APPROPRIATION DESCRIPTION	Personal Services Costs	Other Expenses Costs	Total Dept. Approp.	Fringe Benefit Allocation as per Exhibit B	WC & H&H Allocation as per Exhibit C	Pension Benefit Allocation as per Exhibit D	Total Dept. Costs
<u>PLANNING AND DEVELOPMENT</u>							
47 CITY PLAN	\$301,760	\$46,900	\$348,660	\$56,781	\$5,934	\$6,883	\$418,258
56 DEPT. OF INSPECTIONS	\$701,268	\$45,750	\$747,018	\$123,025	\$12,858	\$16,520	\$899,421
SUBTOTAL	\$1,003,028	\$92,650	\$1,095,678	\$179,806	\$18,792	\$23,403	\$1,317,679
<u>HUMAN SERVICES</u>							
60 HEALTH DEPARTMENT	\$3,157,701	\$127,650	\$3,285,351	\$776,005	\$159,302	\$108,755	\$4,329,413
73 SILAS BRONSON LIBRARY	\$1,499,727	\$472,254	\$1,971,981	\$302,831	\$31,650	\$44,053	\$2,350,515
SUBTOTAL	\$4,657,428	\$599,904	\$5,257,332	\$1,078,836	\$190,951	\$152,808	\$6,679,927
<u>OPERATING CHARGES</u>							
21 DEBT AND SUNDRY	\$0	\$100,398,983	\$100,398,983	(\$70,781,395)	(\$10,000,000)	\$0	\$19,617,588
SUBTOTAL	\$0	\$100,398,983	\$100,398,983	(\$70,781,395)	(\$10,000,000)	\$0	\$19,617,588
<u>RETIREMENT BOARD</u>							
PENSION PLAN CONT. (net of DOE)*	\$0	\$44,045,007	\$44,045,007	\$13,741,707	\$0	(\$15,559,726)	\$42,226,988
SUBTOTAL	\$0	\$44,045,007	\$44,045,007	\$13,741,707	\$0	(\$15,559,726)	\$42,226,988
GENERAL GOVT	\$63,434,880	\$160,087,046	\$223,521,926	(\$46,866,455)	(\$3,389,275)	(\$14,270,528)	\$158,995,667
<u>EDUCATION</u>							
70 BOARD OF ED.	\$0	\$155,625,000	\$155,625,000	\$46,866,455	\$3,389,275	\$14,270,528	\$220,151,259
SUBTOTAL	\$0	\$155,625,000	\$155,625,000	\$46,866,455	\$3,389,275	\$14,270,528	\$220,151,259
GENERAL FUND	\$63,434,880	\$315,712,046	\$379,146,926	\$0	(\$0)	\$0	\$379,146,926

*The amounts reported under "Retirement Board" are net of pension cost contributions associated with Department of Education Employees.

The City must report to the State Department of Education annually on what costs were spent from General Government accounts in support of Education.

The full extent of costs associated with all retired employees can be found in the department appropriation page for the Retirement Board.

**City of Waterbury
Health Insurance Cost Projections - FY12**

EXHIBIT F

Revenue Projections - FY12	
State of CT Teacher's Co-Pay	\$1,650,000
Health Ins. Co-Share - Plan Participants-DOE	\$3,797,196
Health Ins. Co-Share - Plan Participants-Grants	\$278,436
Health Ins. Co-Share - Plan Participants-Gen Gov.	\$4,235,574
Health Ins. Co-Share - Plan Participants-Cobra	\$175,000
Health Ins. Co-Share - Retiree Participants	\$700,707
Medicare Part D Reimbursement	\$670,000
Medicare Early Retirement Reimbursement	\$1,575,000
Waste Treatment Contributions	\$841,690
Public Utility Admin Contribution	\$353,547
Water Bureau Contributions	\$835,890
Insurance Rebates & Misc.	\$841,196
Interest on Corpus	\$750,000
Use of Available Fund Balance	\$2,500,000
TOTAL REVENUES	\$19,204,236
Expenditure Projections - FY12	
Health Insurance Claims	\$59,521,612
Managed Drug Benefit	\$10,336,784
Dental Benefit	\$3,565,243
Network Access Fee	\$2,281,053
Anthem BC/BS Administration	\$1,292,510
Individual Stop Loss Premium	\$255,615
Fully Insured Medicare Premiums	\$2,815,243
Subtotal Cost of Insurance	\$80,068,060
Change in IBNR	\$400,000
Professional Services	\$375,000
Contribution to OPEB - Normal Cost	\$1,000,000
TOTAL EXPENDITURES	\$81,843,060
Total General Fund Contribution Required	\$62,638,824
Active Costs	\$32,841,318
Retiree Costs	\$33,047,506
Use of Fund Balance & Interest Income	(\$3,250,000)
Required General Fund Contribution	\$62,638,824

Active ISF-DOE	OPEB TF-DOE	Active ISF-GG	OPEB TF-GG
\$0	\$1,650,000	\$0	\$0
\$3,797,196	\$0	\$0	\$0
\$278,436	\$0	\$0	\$0
\$1,058,894	\$0	\$3,176,681	\$0
\$87,500	\$0	\$87,500	\$0
\$0	\$196,707	\$0	\$504,000
\$0	\$394,702	\$0	\$275,298
\$0	\$927,845	\$0	\$647,155
\$0	\$0	\$631,268	\$210,423
\$0	\$0	\$265,160	\$88,387
\$0	\$0	\$626,918	\$208,973
\$321,804	\$220,443	\$145,193	\$153,755
\$0	\$0	\$0	\$0
\$0	\$0	\$0	\$0
\$5,543,830	\$3,389,697	\$4,932,719	\$2,087,990
Percentage of Total	Percentage of Total	Percentage of Total	Percentage of Total
38.26%	26.21%	17.26%	18.28%
\$22,770,340	\$15,598,178	\$10,273,636	\$10,879,458
\$3,954,397	\$2,708,848	\$1,784,165	\$1,889,374
\$1,363,904	\$934,304	\$615,373	\$651,661
\$872,630	\$597,771	\$393,718	\$416,935
\$494,457	\$338,714	\$223,092	\$236,247
\$97,787	\$66,986	\$44,120	\$46,722
\$0	\$1,658,481	\$0	\$1,156,762
\$29,553,515	\$21,903,283	\$13,334,103	\$15,277,159
\$153,022	\$104,824	\$69,041	\$73,113
\$143,458	\$98,272	\$64,726	\$68,543
\$0	\$589,108	\$0	\$410,892
\$29,849,996	\$22,695,486	\$13,467,871	\$15,829,707
\$24,306,166	\$19,305,789	\$8,535,151	\$13,741,717

OPEB (DOE & GG)	Annual Required Cont.	\$64,188,000
Amount Funded	Percentage Funded	51%

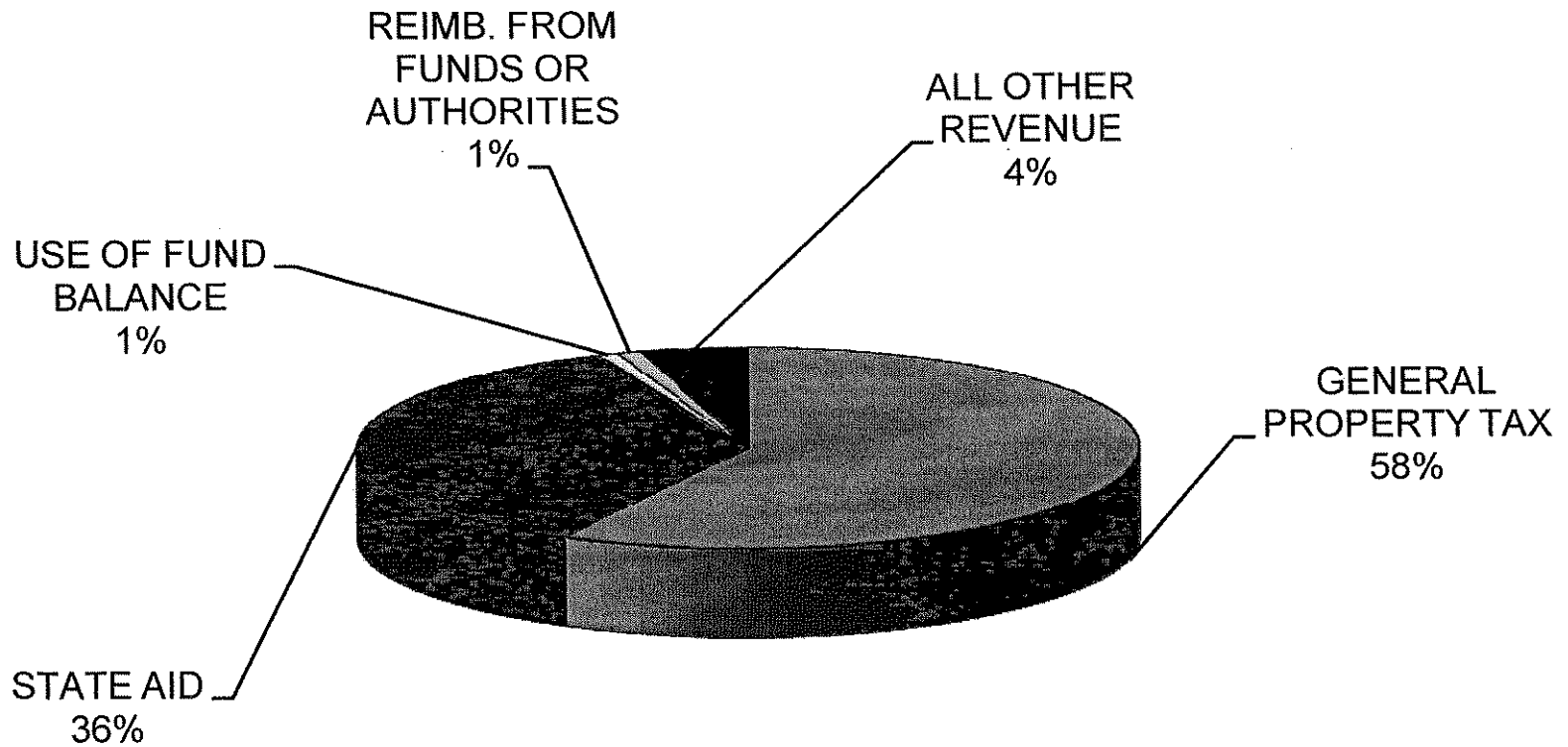
City of Waterbury
STATE AID SCHEDULE - FY12

EXHIBIT G

REVENUE DESCRIPTION	FY10 CITY RECEIPTS	FY11 CITY ADOPTED BUDGET	FY12 STATE PROPOSED BUDGET	FY11 Increase (Decrease)
<u>STATE AID - FORMULA GRANTS - CITY</u>				
P.I.L.O.T. -- State Owned Real Property	\$4,269,724	\$4,130,420	\$4,156,715	\$26,295
P.I.L.O.T. -- Colleges and Hospitals	\$7,409,672	\$6,790,092	\$6,405,231	(\$384,861)
PEQUOT -- State Properties Grant	\$3,001,234	\$3,012,162	\$2,997,741	(\$3,493)
Subtotal	\$14,680,630	\$13,932,674	\$13,559,687	(\$362,059)
<u>STATE AID - FORMULA GRANTS - EDUCATION</u>				
ECS - Education Equalization Grant	\$113,617,182	\$113,617,182	\$113,617,182	\$0
Non-Public School Transportation	\$495,199	\$416,552	\$383,296	(\$33,256)
Public School Transportation	\$1,416,505	\$2,035,653	\$1,146,016	(\$889,637)
Subtotal	\$115,528,886	\$116,069,387	\$115,146,494	(\$922,893)
<u>STATE AID - REIMBURSEMENTS</u>				
	<u>FY10 RECEIPTS</u>	<u>FY11 BUDGET</u>	<u>FY12 BUDGET</u>	
Exemptions for the Elderly	\$854,193	\$855,000	\$800,000	(\$55,000)
Veterans Additional Exemption	\$136,394	\$135,000	\$137,000	\$2,000
Abatement for Elderly Housing	\$213,858	\$200,000	\$215,000	\$15,000
Manufacturing Equipment Incentive	\$2,697,015	\$2,700,000	\$2,076,000	(\$624,000)
Distressed Municipalities	\$225,918	\$250,000	\$156,000	(\$94,000)
Special Education - Excess Cost & Agency Placements	\$2,440,867	\$3,000,000	\$2,500,000	(\$500,000)
Special Education - Excess Cost Equity Grant	\$940,080	\$0	\$0	\$0
Board of Education for the Blind Services Grant	\$150,197	\$220,000	\$150,000	(\$70,000)
Non-Public School Nurse Services	\$624,112	\$650,000	\$555,000	(\$95,000)
Special Education - Medicaid Grant	\$1,077,173	\$985,000	\$1,100,000	\$115,000
State Grants - Education - Other	\$151,969	\$145,000	\$150,000	\$5,000
Subtotal	\$9,511,776	\$9,140,000	\$7,839,000	(\$1,301,000)
Total	\$139,721,292	\$139,142,061	\$136,545,181	(\$2,585,952)

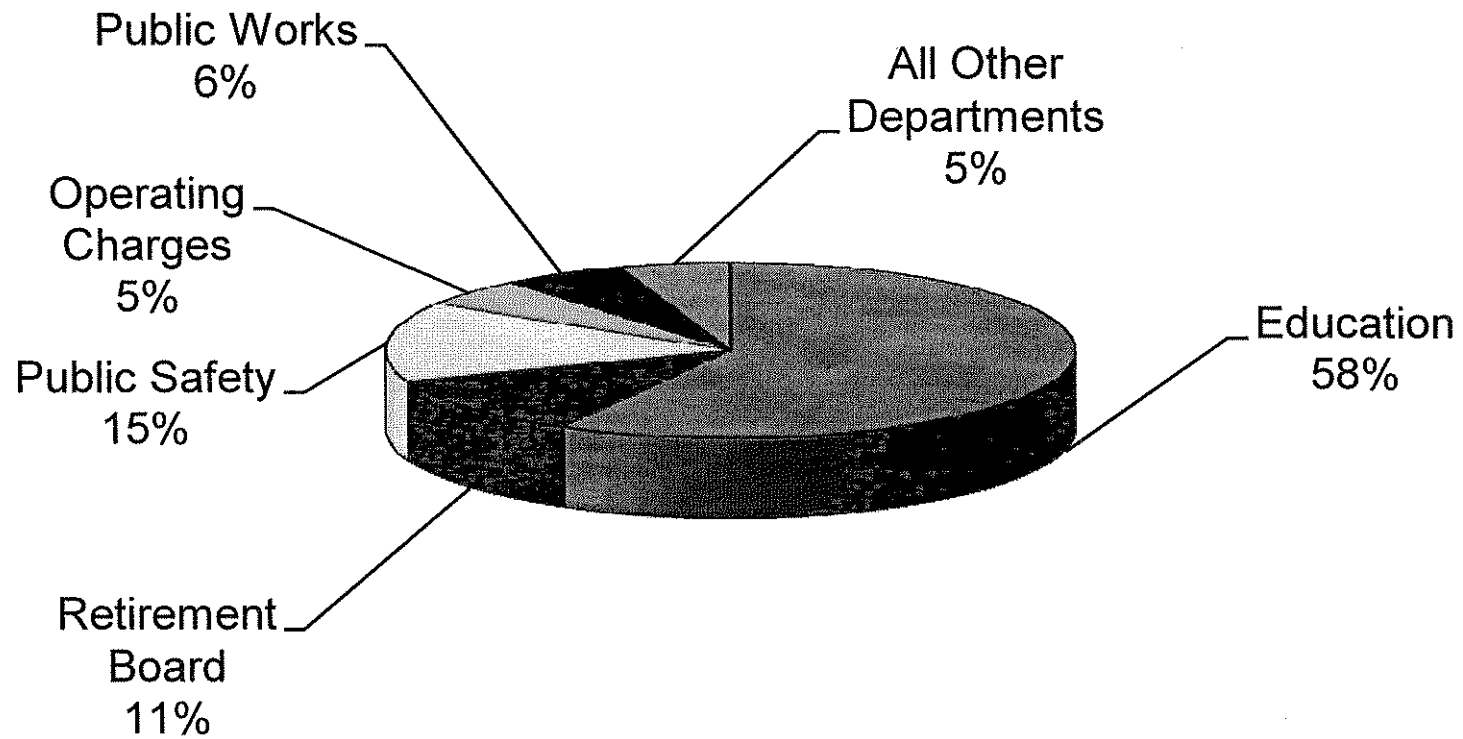
*FY11 City Adopted Budget figures were those published by the State in its Estimates of State Aid as of February 2010

City of Waterbury Revenue - FY12



Data Source is Revenue included in Mayor's Proposed Budget

City of Waterbury Appropriations - FY12



Includes Direct Department Appropriations and Allocations for Normal Pension Costs and Benefits
Source Data is derived from Exhibit E included in Mayor's Proposed Budget

FY12

PROPOSED
BUDGET
SUMMARY

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET
FYE JUNE 30, 2012
SUMMARY

DESCRIPTION	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
REVENUE							
GENERAL PROPERTY TAX	\$198,573,434	\$211,286,707	\$211,270,477	\$220,031,969	\$220,810,258	\$221,009,808	\$199,550
PAYMENTS BY OTHER JURISDICTIONS	\$20,721,131	\$19,947,781	\$18,092,403	\$17,370,174	\$17,355,174	\$16,308,187	(\$1,046,987)
OTHER FINANCE DEPARTMENT REVENUE	\$10,446,658	\$9,435,371	\$9,012,502	\$9,470,000	\$9,385,000	\$9,220,000	(\$165,000)
USE OF FUND BALANCE	\$4,733,000	\$3,050,000	\$0	\$3,000,000	\$0	\$3,000,000	\$3,000,000
REIMB. FROM FUNDS OR AUTHORITIES	\$8,296,838	\$4,053,927	\$3,571,142	\$3,435,663	\$3,504,478	\$3,862,287	\$357,809
EDUCATION GRANTS & OTHER REVENUE	\$114,801,903	\$120,595,169	\$121,542,072	\$121,994,387	\$121,459,387	\$120,491,494	(\$967,893)
ALL OTHER DEPARTMENTS	\$8,746,305	\$5,638,955	\$4,812,071	\$5,406,150	\$5,166,650	\$5,255,150	\$88,500
TOTAL REVENUES	\$366,319,267	\$374,007,909	\$368,300,666	\$380,708,343	\$377,680,947	\$379,146,926	\$1,465,979
EXPENDITURES							
GENERAL GOVERNMENT	\$4,312,547	\$4,545,620	\$4,874,071	\$4,625,253	\$5,129,053	\$4,637,383	(\$491,670)
FINANCE	\$5,128,079	\$5,164,955	\$5,311,766	\$5,504,574	\$5,752,005	\$5,467,362	(\$284,643)
PUBLIC SAFETY	\$43,380,488	\$44,037,356	\$44,257,585	\$44,494,522	\$48,171,055	\$43,779,561	(\$4,391,494)
PUBLIC WORKS	\$17,407,130	\$19,006,379	\$18,230,089	\$19,064,020	\$19,391,677	\$18,840,620	(\$551,057)
PLANNING AND DEVELOPMENT	\$1,051,607	\$1,103,647	\$1,140,801	\$1,195,494	\$1,182,004	\$1,095,678	(\$86,326)
HUMAN SERVICES	\$5,193,792	\$5,219,547	\$5,306,648	\$5,365,017	\$5,737,820	\$5,257,332	(\$480,488)
EDUCATION	\$147,356,286	\$153,897,393	\$154,033,678	\$155,625,000	\$156,744,126	\$155,625,000	(\$1,119,126)
OPERATING CHARGES	\$94,873,920	\$91,386,723	\$104,157,278	\$100,653,019	\$112,264,053	\$100,398,983	(\$11,865,070)
RETIREMENT BOARD	\$44,051,636	\$44,528,665	\$30,891,065	\$44,181,444	\$44,050,007	\$44,045,007	(\$5,000)
TOTAL EXPENDITURES	\$362,755,485	\$368,890,287	\$368,202,981	\$380,708,343	\$398,421,800	\$379,146,926	(\$19,274,874)
Surplus - (Deficit)	\$3,563,783	\$5,117,623	\$97,685	\$0	(\$20,740,853)	\$0	(\$20,740,853)

DEPARTMENT REQUESTS 2012 BUDGET - The Revenues included in this column are those anticipated in the FY11-14 Three Year Plan as amended for most current activity
DEPARTMENT REQUESTS 2012 BUDGET - The Expenditures included in this column are those reflected in the Department Head budget requests
FY12 PROPOSED CHANGES - Reflects the reductions or other changes included in the FY12 Mayor's Proposed Budget compared to the Department Request column

FY12 Budget Data - Mayor's Proposal

FY12 Proposed Budget	\$379,146,926
FY11 Adopted Budget	\$380,708,343
Overall Budget Increase	(\$1,561,417)
Operating % inc over previous year	-0.41%
<u>Increases in Operating Charges</u>	
Health Insurance	\$0
Debt Service	\$1,000,000
General Liability Account	\$350,000
Decrease in Pension	(\$136,437)
Operating Charges & Pension	\$1,213,563
FY11 Proposed Education	\$155,625,000
FY10 Adopted Education	\$155,625,000
Education Increase	\$0
Educ % inc over previous Year	0.00%
Total Budget Decrease	(\$1,561,417)
Operating Charges Increase	\$1,213,563
Education	\$0
All other department decreases	<u>(\$2,774,980)</u>

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET
FYE JUNE 30, 2012
REVENUE SUMMARY

REVENUE DESCRIPTION	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 3YR PLAN COMPUTATION	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED	CHANGES FROM 3YR PLAN
Revenue Collector - Taxes	\$198,573,434	\$211,286,707	\$211,270,477	\$220,031,969	\$220,810,258	\$221,009,808	\$977,839	\$199,550
Payments by Other Jurisdictions	\$20,721,131	\$19,947,781	\$18,092,403	\$17,370,174	\$17,355,174	\$16,308,187	(\$1,061,987)	(\$1,046,987)
Tranfers from other Funds	\$8,296,838	\$4,053,927	\$3,571,142	\$3,435,663	\$3,504,478	\$3,862,287	\$426,624	\$357,809
Finance Dept.--Other	\$15,179,658	\$12,485,371	\$9,012,502	\$12,470,000	\$9,385,000	\$12,220,000	(\$250,000)	\$2,835,000
Legal Department	\$3,556	\$5,219	\$4,667	\$10,000	\$6,000	\$6,000	(\$4,000)	\$0
City Clerk	\$91	\$0	\$55	\$500	\$250	\$250	(\$250)	\$0
Town Clerk	\$2,300,963	\$1,914,305	\$1,673,467	\$1,839,000	\$1,939,000	\$1,864,000	\$25,000	(\$75,000)
Human Resources	\$28,275	\$160	\$48,950	\$5,250	\$5,250	\$5,250	\$0	\$0
Registrar of Voters	\$19,223	\$22,148	\$0	\$400	\$400	\$400	\$0	\$0
Assessment Department	\$3,288	\$1,179	\$1,364	\$5,500	\$3,000	\$3,000	(\$2,500)	\$0
Information Technology Dept.	\$0	\$0	\$297	\$250	\$250	\$250	\$0	\$0
Police Department	\$1,568,966	\$1,620,853	\$1,502,902	\$1,574,000	\$1,547,000	\$1,652,000	\$78,000	\$105,000
Fire Department	\$104,113	\$127,027	\$73,237	\$106,750	\$82,250	\$82,250	(\$24,500)	\$0
Dept. of Public Works	\$3,022,323	\$381,194	\$394,012	\$426,000	\$315,250	\$398,750	(\$27,250)	\$83,500
City Plan	\$126,419	\$211,253	\$101,901	\$102,000	\$126,500	\$101,500	(\$500)	(\$25,000)
Dept. of Inspection	\$1,418,891	\$1,204,688	\$857,996	\$1,175,500	\$980,500	\$980,500	(\$195,000)	\$0
Health Department	\$150,197	\$150,928	\$153,222	\$161,000	\$161,000	\$161,000	\$0	\$0
Dept. of Education	\$114,801,903	\$120,595,169	\$121,542,072	\$121,994,387	\$121,459,387	\$120,491,494	(\$1,502,893)	(\$967,893)
TOTAL	\$366,319,267	\$374,007,909	\$368,300,666	\$380,708,343	\$377,680,947	\$379,146,926	(\$1,561,417)	\$1,465,979

FY12 DEPARTMENT REQUESTS - The Revenues included in this column are those anticipated in the FY10-13 Three Year Plan as forwarded to BOA on 11/13/09 adjusted for GL growth and State Aid

FY12 MAYOR'S PROPOSED BUDGET - The Revenues in this column are considered to be the best estimates for FY11 Revenue at budget development time

FY12PROPOSED CHANGES - Reflects the reductions or other changes included in the FY12 Mayor's Proposed Budget compared to the Three Year Plan Projections

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET
FYE JUNE 30, 2012
EXPENDITURE SUMMARY

APPROPRIATION DESCRIPTION	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES	FY12 CHANGES \$	FY12 CHANGES %
GENERAL GOVERNMENT									
MAYOR'S OFFICE	\$492,486	\$590,035	\$673,707	\$635,111	\$638,111	\$637,246	(\$865)	\$2,135	0.34%
BOARD OF ALDERMAN	\$59,333	\$64,324	\$65,653	\$48,000	\$64,000	\$64,000	\$0	\$16,000	33.33%
LEGAL DEPARTMENT	\$1,646,778	\$1,758,736	\$1,939,758	\$1,708,361	\$2,122,460	\$1,742,270	(\$380,190)	\$33,909	1.98%
CITY CLERK	\$226,564	\$237,635	\$250,128	\$232,491	\$234,197	\$232,764	(\$1,433)	\$273	0.12%
TOWN CLERK	\$585,412	\$560,032	\$558,505	\$583,496	\$575,159	\$568,059	(\$7,100)	(\$15,437)	-2.65%
DEPT OF HUMAN RESOURCES	\$766,513	\$792,122	\$827,755	\$852,183	\$891,915	\$815,841	(\$76,074)	(\$36,342)	-4.26%
REGISTRAR OF VOTERS	\$369,702	\$347,551	\$354,875	\$358,030	\$385,730	\$362,622	(\$23,108)	\$4,592	1.28%
CITY SHERIFF	\$11,660	\$15,574	\$17,050	\$16,081	\$16,081	\$16,081	\$0	\$0	0.00%
PROBATE	\$154,099	\$179,612	\$186,640	\$191,500	\$201,400	\$198,500	(\$2,900)	\$7,000	3.66%
SUBTOTAL	\$4,312,547	\$4,545,620	\$4,874,071	\$4,625,253	\$5,129,053	\$4,637,383	(\$491,670)	\$12,130	0.26%
FINANCE									
DEPARTMENT OF FINANCE	\$1,230,230	\$1,317,560	\$1,304,593	\$1,309,858	\$1,394,905	\$1,318,530	(\$76,375)	\$8,672	0.66%
ASSESSMENT	\$837,755	\$832,898	\$861,952	\$870,269	\$900,278	\$872,769	(\$27,509)	\$2,500	0.29%
TAX COLLECTOR	\$1,056,087	\$1,184,501	\$1,236,460	\$1,283,376	\$1,320,689	\$1,235,202	(\$85,487)	(\$48,174)	-3.75%
PURCHASING DEPT	\$223,433	\$233,902	\$239,411	\$243,830	\$246,553	\$243,830	(\$2,723)	\$0	0.00%
AUDIT	\$131,637	\$139,029	\$141,606	\$145,038	\$149,587	\$144,538	(\$5,049)	(\$500)	-0.34%
BUDGET	\$162,831	\$169,056	\$171,285	\$169,594	\$169,594	\$169,844	\$250	\$250	0.15%
INFORMATION SERVICES	\$1,486,107	\$1,288,010	\$1,356,458	\$1,482,609	\$1,570,399	\$1,482,649	(\$87,750)	\$40	0.00%
SUBTOTAL	\$5,128,079	\$5,164,955	\$5,311,766	\$5,504,574	\$5,752,005	\$5,467,362	(\$284,643)	(\$37,212)	-0.68%

APPROPRIATION DESCRIPTION	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES	FY12 CHANGES \$	FY12 CHANGES %
PUBLIC SAFETY									
POLICE DEPARTMENT	\$25,229,306	\$25,816,915	\$25,278,350	\$25,537,609	\$27,379,145	\$25,086,302	(\$2,292,843)	(\$451,307)	-1.77%
FIRE DEPARTMENT	\$18,151,182	\$18,220,441	\$18,979,235	\$18,956,913	\$20,791,910	\$18,693,259	(\$2,098,651)	(\$263,654)	-1.39%
SUBTOTAL	\$43,380,488	\$44,037,356	\$44,257,585	\$44,494,522	\$48,171,055	\$43,779,561	(\$4,391,494)	(\$714,961)	-1.61%
PUBLIC WORKS									
DPW BREAKDOWN									
DIRECTOR OF DPW	\$454,400	\$533,831	\$839,188	\$625,811	\$631,863	\$623,111	(\$8,752)	(\$2,700)	-0.43%
ENGINEERING	\$1,066,459	\$1,169,820	\$1,187,246	\$1,217,551	\$1,176,485	\$1,174,685	(\$1,800)	(\$42,866)	-3.52%
BUREAU OF PARKS	\$2,127,883	\$2,142,144	\$2,082,579	\$2,143,755	\$2,163,465	\$2,081,123	(\$82,342)	(\$62,632)	-2.92%
PUBLIC BUILDINGS	\$1,029,143	\$1,171,956	\$1,140,992	\$1,801,060	\$1,874,248	\$1,846,951	(\$27,297)	\$45,891	2.55%
BUREAU OF LEISURE SERVICES	\$900,005	\$1,050,904	\$1,001,794	\$975,482	\$984,901	\$926,380	(\$58,521)	(\$49,102)	-5.03%
CENTRAL VEHICLE MAINT.	\$1,744,653	\$1,763,467	\$1,700,305	\$1,719,324	\$1,745,934	\$1,702,928	(\$43,006)	(\$16,396)	-0.95%
REFUSE COLLECTION	\$5,313,143	\$5,395,514	\$5,133,036	\$5,510,310	\$5,642,887	\$5,462,478	(\$180,409)	(\$47,832)	-0.87%
BUREAU OF STREETS	\$4,407,220	\$5,360,557	\$4,759,405	\$4,651,455	\$4,771,124	\$4,636,699	(\$134,425)	(\$14,756)	-0.32%
NORTH END DISPOSAL	\$364,224	\$418,187	\$385,544	\$419,272	\$400,770	\$386,265	(\$14,505)	(\$33,007)	-7.87%
SUBTOTAL	\$17,407,130	\$19,006,379	\$18,230,089	\$19,064,020	\$19,391,677	\$18,840,620	(\$551,057)	(\$223,400)	-1.17%
PLANNING AND DEVELOPMENT									
CITY PLANNING	\$333,845	\$377,135	\$393,942	\$396,009	\$365,444	\$348,660	(\$16,784)	(\$47,349)	-11.96%
DEPT OF INSPECTIONS	\$717,762	\$726,513	\$746,859	\$799,485	\$816,560	\$747,018	(\$69,542)	(\$52,467)	-6.56%
SUBTOTAL	\$1,051,607	\$1,103,647	\$1,140,801	\$1,195,494	\$1,182,004	\$1,095,678	(\$86,326)	(\$99,816)	-8.35%
HUMAN SERVICES									
HEALTH DEPARTMENT	\$3,244,448	\$3,164,977	\$3,244,408	\$3,356,549	\$3,421,631	\$3,285,351	(\$136,280)	(\$71,198)	-2.12%
SILAS BRONSON LIBRARY	\$1,949,344	\$2,054,570	\$2,062,240	\$2,008,468	\$2,316,189	\$1,971,981	(\$344,208)	(\$36,487)	-1.82%
SUBTOTAL	\$5,193,792	\$5,219,547	\$5,306,648	\$5,365,017	\$5,737,820	\$5,257,332	(\$480,488)	(\$107,685)	-2.01%

APPROPRIATION DESCRIPTION	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES	FY12 CHANGES \$	FY12 CHANGES %
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EDUCATION

BOARD OF EDUCATION	\$147,356,286	\$153,897,393	\$154,033,678	\$155,625,000	\$156,744,126	\$155,625,000	(\$1,119,126)	\$0	0.00%
SUBTOTAL	\$147,356,286	\$153,897,393	\$154,033,678	\$155,625,000	\$156,744,126	\$155,625,000	(\$1,119,126)	\$0	0.00%

OPERATING CHARGES

DEBT AND SUNDRY

CONTRACTUAL SERVICES	\$911,532	\$749,686	\$881,646	\$845,173	\$883,970	\$840,045	(\$43,925)	(\$5,128)	-0.61%
HEALTH INSURANCE	\$53,340,066	\$54,550,000	\$58,300,000	\$62,638,824	\$69,518,419	\$62,638,824	(\$6,879,595)	\$0	0.00%
GENERAL LIABILITY	\$1,720,000	\$1,000,000	\$1,500,000	\$1,300,000	\$2,000,000	\$1,650,000	(\$350,000)	\$350,000	26.92%
HEART/HYPERTENSION	\$3,100,000	\$2,575,000	\$1,895,000	\$1,700,000	\$1,500,000	\$1,500,000	\$0	(\$200,000)	-11.76%
WORKERS COMPENSATION	\$9,500,000	\$9,500,000	\$14,500,000	\$9,000,000	\$9,900,000	\$8,500,000	(\$1,400,000)	(\$500,000)	-5.56%
OTHER EMPLOYEE BENEFITS	\$4,932,856	\$5,324,005	\$5,948,290	\$5,635,424	\$6,120,339	\$5,574,345	(\$545,994)	(\$61,079)	-1.08%
MUNICIPAL GROUPS	\$637,923	\$706,358	\$847,953	\$851,598	\$857,250	\$785,769	(\$71,481)	(\$65,829)	-7.73%
WDC CONTRIBUTION	\$852,500	\$835,000	\$1,139,250	\$610,000	\$668,075	\$610,000	(\$58,075)	\$0	0.00%
GOLF COURSE CONTRIBUTION	\$300,000	\$250,000	\$100,000	\$100,000	\$125,000	\$100,000	(\$25,000)	\$0	0.00%
DEBT SERVICE	\$14,772,043	\$11,796,674	\$14,399,538	\$14,500,000	\$16,500,000	\$15,500,000	(\$1,000,000)	\$1,000,000	6.90%
CONTINGENCY ACCOUNT	\$0	\$0	\$0	\$1,000,000	\$1,250,000	\$1,000,000	(\$250,000)	\$0	0.00%
SALARY ADJUSTMENT ACCT	\$0	\$0	\$0	\$1,000,000	\$1,020,000	\$700,000	(\$320,000)	(\$300,000)	-30.00%
SALARY ADJUSTMENT SAVINGS	\$0	\$0	\$470,600	(\$78,000)	\$0	\$0	\$0	\$78,000	-100.00%
SPECIAL PROJECT FUNDS	\$60,000	\$90,000	\$125,000	\$0	\$25,000	\$0	(\$25,000)	\$0	0.00%
CAPITAL RESERVE / EQUIPMENT	\$4,747,000	\$4,010,000	\$4,050,000	\$1,550,000	\$1,896,000	\$1,000,000	(\$896,000)	(\$550,000)	-35.48%
SUBTOTAL	\$94,873,920	\$91,386,723	\$104,157,278	\$100,653,019	\$112,264,053	\$100,398,983	(\$11,865,070)	(\$254,036)	-0.25%

RETIREMENT BOARD

PENSION CONT. & OTHER EXP.	\$44,051,636	\$44,528,665	\$30,891,065	\$44,181,444	\$44,050,007	\$44,045,007	(\$5,000)	(\$136,437)	-0.31%
SUBTOTAL	\$44,051,636	\$44,528,665	\$30,891,065	\$44,181,444	\$44,050,007	\$44,045,007	(\$5,000)	(\$136,437)	-0.31%

TOTAL GENERAL FUND BUDGET	\$362,755,485	\$368,890,287	\$368,202,981	\$380,708,343	\$398,421,800	\$379,146,926	(\$19,274,874)	(\$1,561,417)	-0.41%
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FY12 PROPOSED CHANGES - The Changes made in the Mayor's Proposed Budget from the original Department Requests

FY12 CHANGES \$ - The changes included in the FY12 Mayor's Proposed Budget as Compared to the FY11 Adopted Budget in monetary values

FY12 CHANGES % - The CHANGES included in the FY12 Mayor's Proposed Budget as Compared to the FY11 Adopted Budget in percentage values

FY12

REVENUE

CITY OF WATERBURY
MAYOR'S PROPOSED BUDGET
FYE JUNE 30, 2012
REVENUE DETAIL

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
<u>GENERAL PROPERTY TAX</u>								
<u>COLLECTION OF TAX ASSESSMENT PRINCIPAL</u>								
414000	CURRENT PROPERTY TAXES*	\$187,734,949	\$202,439,244	\$202,484,012	\$210,936,969	\$211,129,076	\$211,129,076	\$192,107
414001	PRIOR YEARS' PROPERTY TAXES	\$4,504,053	\$3,591,805	\$3,464,627	\$3,800,000	\$3,750,000	\$3,750,000	(\$50,000)
414002	SUPPLEMENTAL AUTOMOBILE LIST	\$2,373,862	\$1,631,435	\$1,464,523	\$1,600,000	\$1,500,000	\$1,500,000	(\$100,000)
<u>COLLECTION OF INTEREST & PENALTIES</u>								
414004	CURRENT PROPERTY TAXES	\$1,014,851	\$1,010,073	\$1,119,842	\$1,000,000	\$1,150,000	\$1,150,000	\$150,000
414005	PRIOR YEARS' PROPERTY TAXES	\$1,658,013	\$1,419,371	\$1,527,448	\$1,500,000	\$1,600,000	\$1,600,000	\$100,000
<u>GRANTS & CONTRIBUTIONS</u>								
425000	EXEMPTIONS FOR THE ELDERLY-PAID BY STATE	\$875,425	\$876,812	\$854,193	\$855,000	\$800,000	\$800,000	(\$55,000)
425001	ADDITIONAL VETERANS EXEMPTION	\$203,406	\$113,852	\$136,394	\$135,000	\$137,000	\$137,000	\$2,000
425002	GRANT ABATEMENT FOR ELDERLY HOUSING	\$198,730	\$201,440	\$213,858	\$200,000	\$215,000	\$215,000	\$15,000
	RETAIL SALES					\$592,996	\$592,996	\$592,996
	ROOM OCCUPANCY					\$130,736	\$130,736	\$130,736
488005	MISCELLANEOUS	\$10,145	\$2,675	\$5,579	\$5,000	\$5,000	\$5,000	\$0
<u>TOTAL - GENERAL PROPERTY TAX</u>		\$198,573,434	\$211,286,707	\$211,270,477	\$220,031,969	\$221,009,808	\$221,009,808	\$977,839

*CURRENT PROPERTY TAXES BASED ON GRAND LIST OF \$5,285,943,394 LESS EXEMPTIONS & GL EROSION OF .15% @ 41.8184 MILLS, MINUS ELDERLY & DISABLED TAX CREDITS OF \$792,000 AND CURRENT TAX COLLECTION RATE OF 96.0%

NOTE: TAXES PAID ON PROPERTIES IN ARREARS WILL BE CREDITED TO THE OLDEST COLLECTIBLE BILLING NOT IN DISPUTE WITH THE APPROPRIATE CHARGES FOR INTEREST AND PENALTIES

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
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DEPARTMENT OF FINANCE

PAYMENTS BY OTHER JURISDICTIONS

425005	P.I.L.O.T. -- VESSELS/BOAT	\$14,127	\$14,127	\$7,238	\$0	\$0	\$0	\$0
425006	P.I.L.O.T. -- STATE OWNED REAL PROPERTY	\$4,354,658	\$4,347,661	\$4,269,724	\$4,130,420	\$4,156,715	\$4,156,715	\$26,295
425007	P.I.L.O.T. -- COLLEGES & HOSPITALS	\$8,384,088	\$8,160,622	\$7,409,672	\$6,790,092	\$6,405,231	\$6,405,231	(\$384,861)
425011	MANUFACTURING EQUIPMENT INCENTIVE	\$2,306,903	\$1,913,907	\$2,697,015	\$2,700,000	\$2,076,000	\$2,076,000	(\$624,000)
425012	PEQUOT / MOHEGAN FUND GRANT	\$4,743,337	\$4,710,892	\$3,001,234	\$3,012,162	\$2,997,741	\$2,997,741	(\$14,421)
425018	P.I.L.O.T. - HOUSING AUTHORITY	\$39,304	\$19,318	\$23,678	\$10,000	\$20,000	\$20,000	\$10,000
445085	PROBATE COURT COSTS -- WOLCOTT &	\$48,619	\$30,945	\$36,688	\$25,000	\$36,500	\$36,500	\$11,500
461118	MARRIOTT RAMP GARAGE PAYMENT	\$0	\$1,234	\$13,108	\$2,500	\$10,000	\$10,000	\$7,500
485016	DISTRESSED MUNICIPALITIES GRANT	\$262,167	\$280,840	\$225,918	\$250,000	\$156,000	\$156,000	(\$94,000)
485017	TELEPHONE ACCESS LINE TAX	\$567,928	\$468,236	\$408,127	\$450,000	\$450,000	\$450,000	\$0

TOTAL - PAYMENTS BY OTHER JURISDICTIONS	\$20,721,131	\$19,947,781	\$18,092,403	\$17,370,174	\$16,308,187	\$16,308,187	(\$1,061,987)
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INTEREST, RENT, SERVICES AND MISCELLANEOUS INCOME

436000	INCOME FROM INVESTMENTS	\$2,957,456	\$1,863,855	\$574,867	\$1,500,000	\$1,000,000	\$1,000,000	(\$500,000)
441088	RENTAL OF PROPERTY FEES	\$61,800	\$62,727	\$157,713	\$245,000	\$245,000	\$245,000	\$0
445009	PROCEEDS -- OFF TRACK BETTING	\$174,351	\$197,952	\$199,066	\$200,000	\$200,000	\$200,000	\$0
472001	FRINGE BENEFIT CONTRIBUTION FROM GRANTS	\$7,212,378	\$7,285,843	\$8,012,850	\$7,500,000	\$7,750,000	\$7,750,000	\$250,000
480001	USE OF FUND BALANCE	\$1,958,000	\$3,050,000	\$0	\$3,000,000	\$3,000,000	\$3,000,000	\$0
480001	FUND BALANCE (Transfer to Capital Projects)	\$2,775,000	\$0	\$0	\$0	\$0	\$0	\$0
488005	MISCELLANEOUS	\$22,674	\$24,994	\$68,006	\$25,000	\$25,000	\$25,000	\$0
488016	MISCELLANEOUS SOURCES	\$17,998	\$0	\$0	\$0	\$0	\$0	\$0

TOTAL - INTEREST, RENT, SERVICES AND MISC. INCOME	\$15,179,658	\$12,485,371	\$9,012,502	\$12,470,000	\$12,220,000	\$12,220,000	(\$250,000)
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Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
<u>REIMBURSEMENT FROM OTHER FUNDS OR AUTHORITIES</u>								
499001	WATER FUND-INDIRECT SERVICE CHARGES SEWAGE TREATMENT FUND - INDIRECT SERVICE CHARGES	\$850,000	\$684,000	\$704,520	\$685,900	\$690,000	\$690,000	\$4,100
499003	CHARGES	\$450,000	\$450,000	\$450,000	\$461,250	\$472,781	\$472,781	\$11,531
490006	REFUND OF SCRF DEPOSIT GOLF COURSE FRINGE/PENSION RECOVERIES AND INDIRECT CHARGES	\$3,812,346	\$0	\$165,690	\$0	\$0	\$0	\$0
499007	INDIRECT CHARGES	\$232,415	\$232,355	\$233,738	\$235,000	\$235,000	\$235,000	\$0
499008	WATER FUND -- PENSION/FRINGE BENEFITS BENEFITS	\$1,643,376	\$1,662,050	\$1,220,407	\$1,253,310	\$1,111,835	\$1,111,835	(\$141,475)
		\$0	\$0	\$0	\$0	\$444,881	\$444,881	\$444,881
499009	SEWAGE TREATMENT - PENSION/FRINGE BENEFITS	\$1,308,701	\$1,025,522	\$796,787	\$800,203	\$907,790	\$907,790	\$107,587
<u>TOTAL - REIMBURSEMENT FROM OTHER FUNDS OR AUTHORITIES</u>		\$8,296,838	\$4,053,927	\$3,571,142	\$3,435,663	\$3,862,287	\$3,862,287	\$426,624
TOTAL -- FINANCE DEPARTMENT REVENUE		\$44,197,626	\$36,487,079	\$30,676,046	\$33,275,837	\$32,390,474	\$32,390,474	(\$885,363)
<u>LEGAL DEPARTMENT</u>								
<u>SERVICES AND MISCELLANEOUS INCOME</u>								
488005	MISCELLANEOUS	\$3,556	\$4,589	\$4,597	\$5,000	\$5,000	\$5,000	\$0
488017	LEGAL CLAIMS	\$0	\$630	\$70	\$5,000	\$1,000	\$1,000	(\$4,000)
TOTAL -- LEGAL DEPARTMENT		\$3,556	\$5,219	\$4,667	\$10,000	\$6,000	\$6,000	(\$4,000)

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
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CITY CLERK

SERVICES AND MISCELLANEOUS INCOME

488005	MISCELLANEOUS VARIOUS CITIZENS	\$91	\$0	\$55	\$250	\$250	\$250	\$0
					\$250			(\$250)
	TOTAL -- CITY CLERK	\$91	\$0	\$55	\$500	\$250	\$250	(\$250)

TOWN CLERK

SERVICES AND MISCELLANEOUS INCOME

422020	STATE GRANTS - OTHER	\$10,679	\$2,880	\$0	\$0	\$0	\$0	\$0
441000	DEED RECORDING FEES	\$469,654	\$340,340	\$313,474	\$350,000	\$350,000	\$350,000	\$0
441001	DEED TRANSFERS	\$3,112	\$2,658	\$2,735	\$3,000	\$3,000	\$3,000	\$0
441010	REAL ESTATE CONVEYANCE	\$1,357,756	\$1,140,598	\$796,051	\$1,000,000	\$950,000	\$950,000	(\$50,000)
441014	VITAL STATISTICS FEES	\$232,240	\$214,950	\$322,740	\$250,000	\$325,000	\$325,000	\$75,000
441018	RECORD COPY & MAP REPRODUCTION FEES	\$105,578	\$108,621	\$102,875	\$110,000	\$110,000	\$110,000	\$0
441042	BURIAL AND TRANSIT PERMITS	\$5,529	\$5,811	\$5,430	\$6,000	\$6,000	\$6,000	\$0
441046	DOG LICENSES	\$5,851	\$8,073	\$14,665	\$7,000	\$7,000	\$7,000	\$0
441051	HUNTING AND ANGLING	\$11,658	(\$19,442)	\$0	\$5,000	\$5,000	\$5,000	\$0
441052	MARRIAGE	\$7,513	\$7,740	\$6,644	\$7,500	\$7,500	\$7,500	\$0
441084	OTHER TOWNS -- VITAL STATISTICS	\$2,010	\$2,348	\$2,460	\$3,000	\$3,000	\$3,000	\$0
488005	MISCELLANEOUS FEES	\$7,524	\$6,824	\$12,149	\$7,500	\$7,500	\$7,500	\$0
488014	DEPARTMENTAL CHARGES (Photostat Dept)	\$81,860	\$92,904	\$94,244	\$90,000	\$90,000	\$90,000	\$0
	TOTAL -- TOWN CLERK	\$2,300,963	\$1,914,305	\$1,673,467	\$1,839,000	\$1,864,000	\$1,864,000	\$25,000

DEPARTMENT OF HUMAN RESOURCES

SERVICES AND MISCELLANEOUS INCOME

488005	MISCELLANEOUS	\$0	\$100	\$0	\$250	\$250	\$250	\$0
488014	DEPARTMENTAL CHARGES	\$28,275	\$60	\$48,950	\$5,000	\$5,000	\$5,000	\$0
	TOTAL -- HUMAN RESOURCES REVENUE	\$28,275	\$160	\$48,950	\$5,250	\$5,250	\$5,250	\$0

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
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REGISTRAR OF VOTERS

SERVICES AND MISCELLANEOUS INCOME

422020	STATE GRANTS - OTHER	\$19,223	\$22,148	\$0	\$0	\$0	\$0	\$0
488005	MISCELLANEOUS	\$0	\$0	\$0	\$400	\$400	\$400	\$0
	TOTAL -- ROV REVENUE	\$19,223	\$22,148	\$0	\$400	\$400	\$400	\$0

ASSESSMENT DEPARTMENT

SERVICES AND MISCELLANEOUS INCOME

441089	RECORD COPIES	\$3,288	\$1,129	\$0	\$5,000	\$2,500	\$2,500	(\$2,500)
488005	MISCELLANEOUS	\$0	\$50	\$1,364	\$500	\$500	\$500	\$0
	TOTAL -- ASSESSMENT REVENUE	\$3,288	\$1,179	\$1,364	\$5,500	\$3,000	\$3,000	(\$2,500)

INFORMATION TECHNOLOGY DEPARTMENT

SERVICES AND MISCELLANEOUS INCOME

488005	MISCELLANEOUS	\$0	\$0	\$297	\$250	\$250	\$250	\$0
	TOTAL -- INFORMATION SERVICES REVENUE	\$0	\$0	\$297	\$250	\$250	\$250	\$0

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
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POLICE DEPARTMENT

SERVICES AND MISCELLANEOUS INCOME

422020	STATE & FEDERAL GRANTS	\$36,956	\$31,215	\$22,837	\$32,000	\$30,000	\$30,000	(\$2,000)
441002	DOG POUND FEES	\$10,789	\$11,664	\$8,550	\$12,000	\$12,000	\$12,000	\$0
441003	FALSE ALARMS -- FINES	\$59,425	\$61,650	\$47,110	\$65,000	\$50,000	\$50,000	(\$15,000)
441008	PARKING VIOLATIONS	\$478,043	\$526,195	\$569,730	\$550,000	\$650,000	\$650,000	\$100,000
441018	RECORD COPY FEES	\$14,205	\$15,605	\$13,117	\$15,000	\$15,000	\$15,000	\$0
441063	MISCELLANEOUS LICENSES & PERMITS	\$28,446	\$30,288	\$27,657	\$30,000	\$40,000	\$40,000	\$10,000
441090	FINGERPRINTING FEES	\$0	\$0	\$13,505	\$0	\$0	\$0	\$0
441110	RAMPGARAGES - SPRING ST.	\$135,865	\$165,554	\$143,304	\$145,000	\$155,000	\$155,000	\$10,000
441114	RAMPGARAGES - PROSPECT ST.	\$35,941	\$32,034	\$21,705	\$25,000	\$0	\$0	(\$25,000)
441115	RAMPGARAGES - BUCKINGHAM ST.	\$453,024	\$439,156	\$350,999	\$375,000	\$375,000	\$375,000	\$0
441116	SURFACE PARKING LOTS	\$75,631	\$71,663	\$51,772	\$80,000	\$80,000	\$80,000	\$0
441117	ON STREET PARKING METERS	\$228,504	\$227,236	\$222,279	\$230,000	\$230,000	\$230,000	\$0
488005	MISCELLANEOUS	\$12,137	\$8,592	\$10,337	\$15,000	\$15,000	\$15,000	\$0
TOTAL -- POLICE DEPARTMENT		\$1,568,966	\$1,620,853	\$1,502,902	\$1,574,000	\$1,652,000	\$1,652,000	\$78,000

FIRE DEPARTMENT

SERVICES AND MISCELLANEOUS INCOME

422025	LOCAL AID	\$0	\$0	\$378	\$500	\$500	\$500	\$0
441003	FALSE ALARM FINES	\$0	\$0	\$0	\$5,000	\$500	\$500	(\$4,500)
441070	STORAGE -- EXPLOSIVES	\$0	\$0	\$0	\$500	\$500	\$500	\$0
441071	STORAGE -- LIQUID INFLAMMABLES	\$0	\$0	\$0	\$500	\$500	\$500	\$0
441118	HAZ-MAT BILLING	\$33,369	\$62,035	\$23,292	\$50,000	\$30,000	\$30,000	(\$20,000)
441119	FIRE MARSHALL PERMITS	\$70,329	\$54,993	\$49,703	\$50,000	\$50,000	\$50,000	\$0
488005	MISCELLANEOUS	\$415	\$10,000	(\$137)	\$250	\$250	\$250	\$0
TOTAL -- FIRE DEPARTMENT		\$104,113	\$127,027	\$73,237	\$106,750	\$82,250	\$82,250	(\$24,500)

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
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PUBLIC WORKS DEPARTMENT

SERVICES AND MISCELLANEOUS INCOME

441018	RECORD COPY FEES	\$660	\$195	\$60	\$500	\$250	\$250	(\$250)
441062	REFUSE DISPOSAL CHARGE	\$195,212	\$203,599	\$160,353	\$215,000	\$175,000	\$175,000	(\$40,000)
441068	SEWER PERMITS	\$95,912	\$28,030	\$31,575	\$0	\$30,000	\$30,000	\$30,000
441088	BUILDING RENTAL FEES	\$0	\$0	\$6,000	\$0	\$18,000	\$18,000	\$18,000
441092	EVICCTIONS	\$0	\$8,780	\$5,871	\$7,500	\$7,500	\$7,500	\$0
461205	RECYCLING REVENUE/LEAG BAG REVENUE	\$9,439	\$6,463	\$6,253	\$7,500	\$7,500	\$7,500	\$0
488004	INSURANCE CARRIER REFUND	\$3,046	\$58,685	\$33,218	\$25,000	\$50,000	\$50,000	\$25,000
488005	MISCELLANEOUS	\$28,525	\$32,225	\$149,010	\$135,000	\$75,000	\$75,000	(\$60,000)
488014	DEPARTMENT CHARGES	\$0	\$60	\$1,672	\$500	\$500	\$500	\$0
499007	RECREATIONAL PROGRAM FEES	\$0	\$35,000	\$0	\$35,000	\$35,000	\$35,000	\$0
488012	STREET LIGHT AUDIT PAYMENT	\$365,845	\$8,158	\$0	\$0	\$0	\$0	\$0
488012	CRRA WASTE FEES REFUND PAYMENT	\$2,323,684	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL -- PUBLIC WORKS REVENUE		\$3,022,323	\$381,194	\$394,012	\$426,000	\$398,750	\$398,750	(\$27,250)

OFFICE OF THE CITY PLAN

SERVICES AND MISCELLANEOUS INCOME

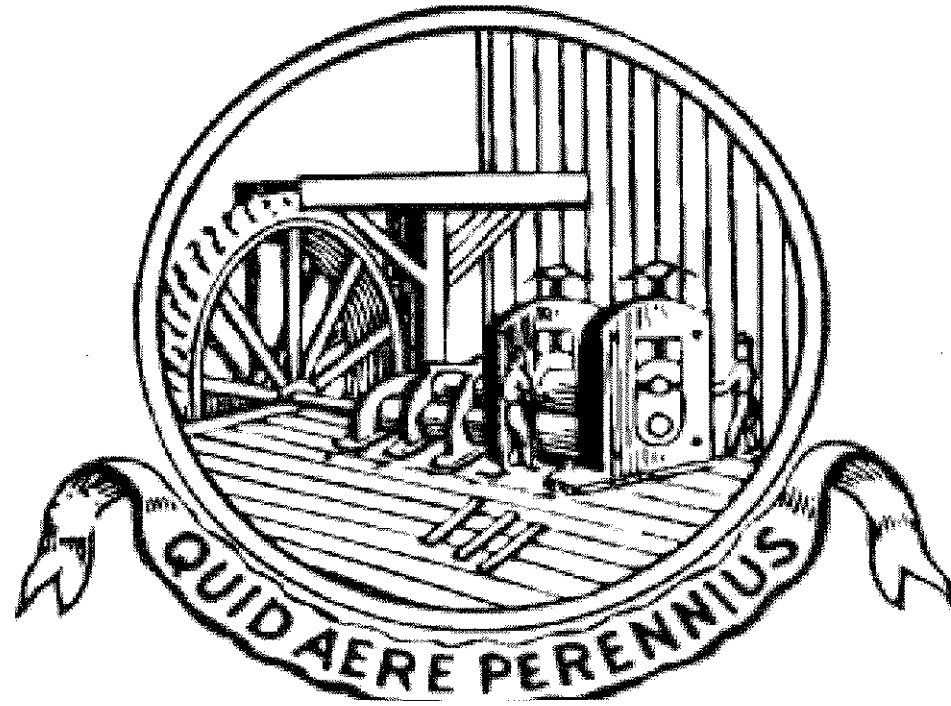
441011	SALES -- CODE BOOKS	\$100	\$50	\$100	\$500	\$500	\$500	\$0
441017	ZONING APPEALS FEES	\$17,700	\$23,700	\$18,000	\$15,000	\$10,000	\$10,000	(\$5,000)
441021	ZONING FEES SURCHARGE	\$3,240	\$3,070	\$5,140	\$5,500	\$5,500	\$5,500	\$0
441076	ZONING CERTIFICATES OF COMPLIANCE	\$28,020	\$35,405	\$27,395	\$25,000	\$30,000	\$30,000	\$5,000
441138	CITY PLAN COMMISSION FEES	\$32,300	\$64,165	\$3,714	\$20,000	\$5,000	\$5,000	(\$15,000)
441139	ZONING COMMISSION FEES	\$10,035	\$48,875	\$8,200	\$10,000	\$15,000	\$15,000	\$5,000
441140	ZONING BOARD OF APPEALS FEES	\$25,350	\$29,770	\$24,650	\$20,000	\$30,000	\$30,000	\$10,000
441141	INLAND WETLAND FEES	\$7,000	\$3,400	\$8,390	\$5,000	\$5,000	\$5,000	\$0
488005	MISCELLANEOUS	\$2,674	\$2,818	\$6,312	\$1,000	\$500	\$500	(\$500)
TOTAL -- CITY PLAN		\$126,419	\$211,253	\$101,901	\$102,000	\$101,500	\$101,500	(\$500)

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
<u>DEPARTMENT OF INSPECTION</u>								
<u>SERVICES AND MISCELLANEOUS INCOME</u>								
441041	BUILDING PERMITS	\$894,513	\$571,538	\$537,832	\$600,000	\$600,000	\$600,000	\$0
441045	DEMOLITION PERMITS	\$7,175	\$12,325	\$6,025	\$15,000	\$10,000	\$10,000	(\$5,000)
441047	ELECTRICAL WORK PERMITS	\$174,283	\$255,116	\$110,390	\$200,000	\$125,000	\$125,000	(\$75,000)
441049	HEATING PERMITS, ETC.	\$163,018	\$223,960	\$103,443	\$200,000	\$125,000	\$125,000	(\$75,000)
441054	OCCUPANCY	\$30,914	\$24,803	\$26,173	\$25,000	\$30,000	\$30,000	\$5,000
441057	PLUMBING PERMITS	\$129,838	\$98,368	\$62,875	\$110,000	\$75,000	\$75,000	(\$35,000)
441073	STREET SIGN	\$18,175	\$17,260	\$9,315	\$25,000	\$15,000	\$15,000	(\$10,000)
441083	STATE BUILDING FEE	\$472	\$710	\$1,173	\$0	\$0	\$0	\$0
488005	MISCELLANEOUS	\$503	\$610	\$771	\$500	\$500	\$500	\$0
TOTAL -- DEPARTMENT OF INSPECTION		\$1,418,891	\$1,204,688	\$857,996	\$1,175,500	\$980,500	\$980,500	(\$195,000)
<u>HEALTH DEPARTMENT</u>								
<u>SERVICES AND MISCELLANEOUS INCOME</u>								
441059	PRIVATE SEWAGE DISPOSAL	\$1,650	\$500	\$0	\$1,500	\$1,500	\$1,500	\$0
441063	RESTAURANT LICENSES	\$121,700	\$127,700	\$119,809	\$130,000	\$130,000	\$130,000	\$0
441065	ROOMING HOUSE	\$4,300	\$4,200	\$5,400	\$5,000	\$5,000	\$5,000	\$0
441080	PUBLIC POOLS	\$4,800	\$4,400	\$5,000	\$5,000	\$5,000	\$5,000	\$0
441081	TEMP FOOD PERMITS	\$5,100	\$3,550	\$4,100	\$5,000	\$5,000	\$5,000	\$0
441142	COSMETOLOGY FEES	\$0	\$0	\$9,400	\$0	\$0	\$0	\$0
488005	MISCELLANEOUS	\$5,284	\$10,578	\$9,514	\$4,500	\$4,500	\$4,500	\$0
488014	DEPARTMENT CHARGES	\$7,363	\$0	\$0	\$10,000	\$10,000	\$10,000	\$0
TOTAL -- HEALTH DEPARTMENT		\$150,197	\$150,928	\$153,222	\$161,000	\$161,000	\$161,000	\$0

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 REQUESTED BUDGET	FY12 MAYOR'S PROPOSED BUDGET	FY12 CHANGES FROM FY11 ADOPTED
DEPARTMENT OF EDUCATION								
STATE GRANTS IN AID OF SPECIFIC PROGRAMS								
422010	EDUCATION EQUALIZATION*	\$106,431,799	\$111,970,216	\$97,143,312	\$113,617,182	\$113,617,182	\$113,617,182	\$0
422031	ECS-ARRA STABILIZATION	\$0	\$0	\$16,209,194		\$0	\$0	\$0
422013	BOARD OF EDUCATION & SERVICES FOR THE BLIND	\$263,548	\$216,713	\$150,197	\$220,000	\$150,000	\$150,000	(\$70,000)
422014	NON PUBLIC SCHOOL MEDICAL SERVICES	\$574,602	\$651,822	\$624,112	\$650,000	\$555,000	\$555,000	(\$95,000)
422016	SPECIAL EDUCATION-EXCESS COST EQUITY	\$0	\$0	\$940,080	\$0	\$0	\$0	\$0
422017	SPECIAL EDUCATION - EXCESS COST & AGENCY PLACEMENTS	\$2,887,487	\$3,205,349	\$2,440,867	\$3,000,000	\$2,500,000	\$2,500,000	(\$500,000)
422018	SPECIAL EDUCATION - MEDICAID REIMBURSEMENTS	\$933,677	\$899,236	\$1,077,173	\$985,000	\$1,100,000	\$1,100,000	\$115,000
422020	STATE GRANTS - OTHER	\$121,708	\$145,699	\$151,969	\$145,000	\$150,000	\$150,000	\$5,000
422022	SCHOOL TRANSPORTATION - NON-PUBLIC	\$446,032	\$491,289	\$495,199	\$416,552	\$383,296	\$383,296	(\$33,256)
422023	SCHOOL TRANSPORTATION - PUBLIC	\$2,302,090	\$2,082,623	\$1,416,505	\$2,035,653	\$1,146,016	\$1,146,016	(\$889,637)
SERVICES AND MISCELLANEOUS INCOME								
472007	REIMBURSEMENT FROM OTHER TOWNS	\$706,876	\$784,293	\$744,331	\$785,000	\$750,000	\$750,000	(\$35,000)
488005	MISCELLANEOUS	\$39,652	\$52,260	\$49,418	\$50,000	\$50,000	\$50,000	\$0
488014	DEPARTMENTAL CHARGES	\$94,431	\$95,668	\$99,715	\$90,000	\$90,000	\$90,000	\$0
TOTAL DEPARTMENT OF EDUCATION		\$114,801,903	\$120,595,169	\$121,542,072	\$121,994,387	\$120,491,494	\$120,491,494	(\$1,502,893)
TOTAL GENERAL FUND REVENUE		\$366,319,267	\$374,007,909	\$368,300,666	\$380,708,343	\$379,146,926	\$379,146,926	(\$1,561,417)

FY12

APPROPRIATIONS



GENERAL GOVERNMENT

**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 101 – OFFICE OF THE MAYOR
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Mission Statement

The Mayor's Office is both historically and practically the "seat of government", the focus of coordination between the Legislative and the Executive branches.

Core Function

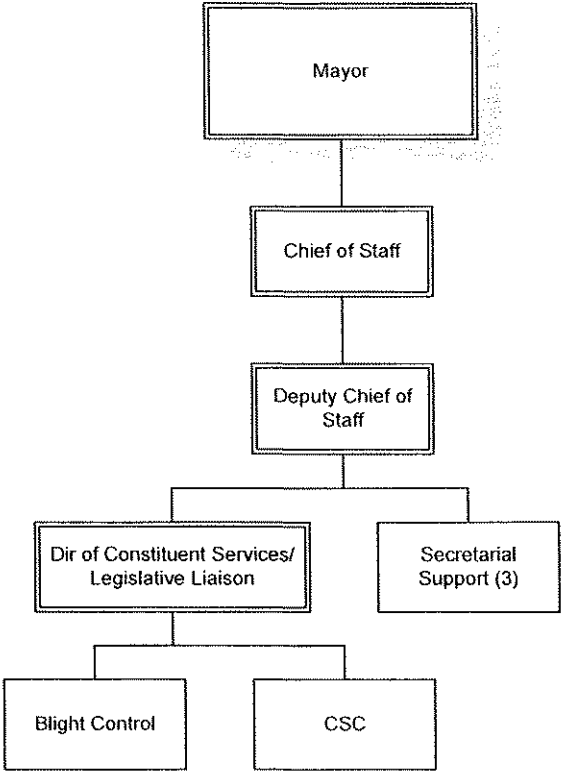
The Mayor as the CEO of the city must continue to streamline government, create efficiencies through re-organization, demand that city employees interact in a professional manner with everyone they come in contact with and provide leadership throughout the city. There are also several functional activities performed under the direction of the Mayor's Office. They include:

1. Set the vision and goals for the City of Waterbury
2. Set policy for the implementation by City Department Heads
3. Develop Budget for the Operations and Capital Improvements of the City
4. City Administration, including the supervision and oversight of all department heads
5. Citizen/Constituent services
6. Municipal agent for Aging and Disabilities

Departmental Goals

- Continue commitment to the establishment of a mill rate that is as stable as possible so that people can continue to live and flourish in their communities
- Continue commitment to the development of budgets that are based on reasonable assumptions and fund the essential services to be delivered to the public
- Continue to monitor departmental efforts in blight control, land use inspection, and street maintenance to ensure that additional resources provided in Budget are having desired effect

Organization Chart - Mayor's Office



Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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**OFFICE OF THE MAYOR
DEPT.# 1010001**

<u>PERSONAL SERVICES</u>								
511500	Regular Salaries	\$400,518	\$467,692	\$549,623	\$585,111	\$585,111	\$585,111	\$0
<u>PURCHASED PROFESSIONAL SERVICE</u>								
533022	Professional Services	\$0	\$72,000	\$72,000	\$0	\$0	\$0	\$0
<u>PURCHASED PROPERTY SERVICES</u>								
543000	Service/Maintenance Contracts	\$539	\$0	\$265	\$1,000	\$1,000	\$500	(\$500)
<u>PURCHASED OTHER SERVICES</u>								
553001	Postage	\$2,136	\$1,443	\$1,984	\$1,500	\$1,500	\$1,985	\$485
559002	Printing Services	\$1,645	\$979	\$1,630	\$2,000	\$2,000	\$1,650	(\$350)
<u>SUPPLIES</u>								
561200	Office	\$8,114	\$6,175	\$11,250	\$5,000	\$8,000	\$8,000	\$0
<u>OTHER</u>								
589005	Municipal Groups	\$75,526	\$41,746	\$36,955	\$40,000	\$40,000	\$40,000	\$0
589012	Grants & Aid to Elderly Programs	\$3,800	\$0	\$0	\$0	\$0	\$0	\$0
589900	Dues/Subscriptions/Publications	\$208	\$0	\$0	\$500	\$500	\$0	(\$500)
TOTAL		\$492,486	\$590,035	\$673,707	\$635,111	\$638,111	\$637,246	(\$865)

ALLOCATION OF BENEFITS

Health Ins. Cost - Actives	\$45,076	\$43,439	\$71,457
Pension Cost - Actives	\$1,213	\$1,213	\$1,377
Workers Comp. Costs	\$9,996	\$14,254	\$8,901
Life Insurance Costs	\$2,399	\$2,258	\$1,805
Unemployment Costs	\$1,413	\$3,046	\$2,512
Medicare Costs	\$0	\$0	\$9,398
<u>Total Benefits Allocated to Department</u>	\$60,097	\$64,210	\$95,449
<u>Total Amount Earmarked for Department</u>	\$733,804	\$699,321	\$638,111

FY11 Authorized Positions	Filled at Budget Dev.Time	FY12 Dept. Requests	FY12 Mayor's Proposed	Department	FY11 BOA Adopted	FY12 Dept. Requests	FY12 Mayor's Proposed
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OFFICE OF THE MAYOR

Mayor's Staff

1	1	1	1	MAYOR	\$119,306	\$119,306	\$119,306
1	1	1	1	DIRECTOR OF OPERATIONS	\$85,000	\$85,000	\$85,000
1	1	1	1	CHIEF LEGAL COUNSEL	\$72,000	\$72,000	\$72,000
1	1	1	1	GRANTS COORDINATOR	\$65,000	\$65,000	\$65,000
2	2	2	2	MAYORAL AIDE	\$81,200	\$81,200	\$81,200
1	1	1	1	EXECUTIVE SECRETARY	\$43,631	\$43,631	\$43,631
1	1	1	1	RECEPTIONIST	\$28,119	\$28,119	\$28,119
				RECEPTIONIST (3 Part-time)	\$22,620	\$22,620	\$22,620
				MAYORAL SALARY GIVEBACK	(\$4,000)	(\$4,000)	(\$4,000)
				SAVINGS FROM DELAYED HIRING	(\$8,597)	(\$8,597)	(\$8,597)
8	8	8	8	Total - Mayor's Staff	\$504,279	\$504,279	\$504,279

Citizen Service Center

				COMMUNITY SERVICES STAFF (4 Part-time positions)	\$52,832	\$52,832	\$52,832
1	1	1	1	MAYORAL AIDE ON COMMISSION ON AGING	\$28,000	\$28,000	\$28,000
				CBDG Grant for Commission on Aging	\$0	\$0	\$0
1	1	1	1	Total - Community Services	\$80,832	\$80,832	\$80,832
9	9	9	9	TOTAL	\$585,111	\$585,111	\$585,111

**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 103 - BOARD OF ALDERMAN

Mission Statement

The legislative power and authority of the City shall be vested in the 15 member Board of Alderman, which Board shall have such powers and responsibilities as delineated by the City Charter and Ordinances and State law.

Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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**BOARD OF ALDERMAN
DEPT.# 1030001**

<u>PERSONAL SERVICES</u>								
511500	Regular Salaries	\$59,333	\$64,000	\$65,653	\$48,000	\$64,000	\$64,000	\$0
<u>OTHER SERVICES</u>								
589800	Registration & Course Fees	\$0	\$324	\$0	\$0	\$0	\$0	\$0
589900	Dues & Publications	\$0	\$0	\$0	\$0	\$0	\$0	\$0
TOTAL		\$59,333	\$64,324	\$65,653	\$48,000	\$64,000	\$64,000	\$0

FY11 Authorized Positions	Filled at Budget Dev. Time	FY12 Dept. Requests	FY12 Mayor's Proposed	Department	FY11 BOA Adopted	FY12 Dept. Requests	FY12 Mayor's Proposed
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BOARD OF ALDERMEN

PRESIDENT					\$4,000	\$6,000	\$6,000
MAJORITY LEADER					\$4,000	\$5,000	\$5,000
MINORITY LEADER					\$4,000	\$5,000	\$5,000
12 MEMBERS @ \$4,000 EACH					\$36,000	\$48,000	\$48,000
				TOTAL	<u>\$48,000</u>	<u>\$64,000</u>	<u>\$64,000</u>

**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 107 – LEGAL DEPARTMENT

Mission Statement

The Legal Department, through the Corporation Counsel and his staff as provided for under the City's Charter, is the legal advisor of the City and its departments. In fulfilling this role, the Department represents the City in all lawsuits and provides legal advice and opinions as needed or requested by the Mayor, Board of Alderman, City departments and public officials.

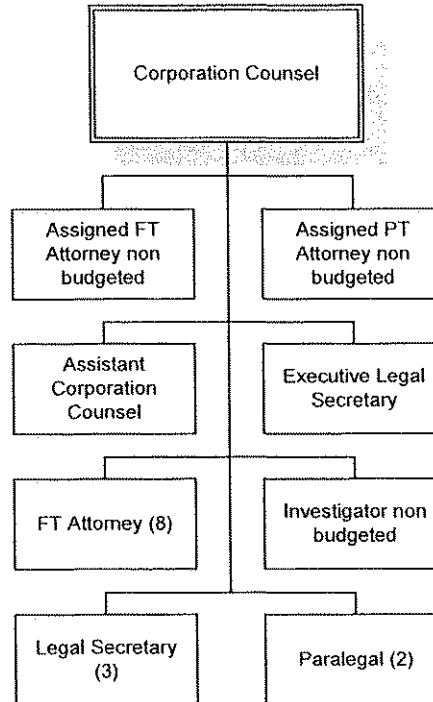
Core Functions

1. Develop strategies for increasing collections revenues (ie: delinquent debt collections, property damage recoveries, etc.)
2. Develop strategies to cut costs and increase efficiencies.

Recent Highlights

The Corporation Counsel's Office continues to process a significant number of requests for all types of legal services and has to date achieved a comparatively high rate of success in satisfying those requests or otherwise resolving the matters to which they relate. The office recently completed the first comprehensive re-codification of City ordinances in over a decade and through associate counsel, also completed another Charter revision. The office was also able to resolve particularly difficult matter which, while outstanding posed a risk of potentially significant impact to the City both operationally and fiscally. The matter was ultimately resolved on terms which given all the circumstances involved, were particularly advantageous to the City. The office has also continued to maintain a high level of responsiveness in processing of its transactional or contract matters. We continue to receive positive feedback from using agencies with regard to the reduction in historical processing times for contracts file despite and increasing amount of regulation related thereto. We anticipate that the coming year will present additional challenges and require that we do more with the same or fewer resources and our focus will be on finding ways to continue to successfully meet the demands made of us.

Organization Chart - Legal



Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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LEGAL DEPARTMENT
DEPT.# 1070001

PERSONAL SERVICES

511500	Regular Salaries	\$816,263	\$951,595	\$993,841	\$1,000,101	\$1,096,450	\$1,075,110	(\$21,340)
511600	Temporary Salaries	\$64,437	\$0	\$0	\$35,000	\$0	\$0	\$0
511653	Longevity	\$1,010	\$1,010	\$1,010	\$1,010	\$1,010	\$1,010	\$0
511800	Vacation & Sick Term. Payout	\$1,943	\$1,077	\$0	\$0	\$0	\$0	\$0

PURCHASED PROFESSIONAL SERVICES

533011	Legal Supplies	\$8,267	\$7,733	\$5,661	\$8,000	\$9,000	\$6,000	(\$3,000)
533027	Financial	\$16,001	\$26,932	\$28,129	\$30,000	\$50,000	\$28,000	(\$22,000)
533029	Legal	\$709,214	\$734,833	\$873,034	\$585,000	\$900,000	\$585,000	(\$315,000)

PURCHASED PROPERTY SERVICES

543020	Repair and Maintenance	\$0	\$0	\$0	\$400	\$500	\$400	(\$100)
543036	Maint. Contracts-Copy Equip.	\$1,032	\$2,406	\$3,674	\$4,000	\$4,000	\$4,000	\$0

PURCHASED OTHER SERVICES

553001	Postage	\$2,445	\$2,581	\$2,761	\$2,750	\$3,250	\$2,800	(\$450)
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SUPPLIES

561206	Office Supplies	\$9,965	\$12,569	\$14,997	\$15,000	\$22,300	\$15,000	(\$7,300)
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OTHER

589200	Travel and Official Expenses	\$1,280	\$756	\$812	\$3,000	\$7,050	\$850	(\$6,200)
589500	Petty Cash	\$0	\$0	\$0	\$100	\$100	\$100	\$0
589900	Dues/Subscriptions/Publications	\$14,922	\$17,246	\$15,839	\$24,000	\$28,800	\$24,000	(\$4,800)

TOTAL		\$1,646,778	\$1,758,736	\$1,939,758	\$1,708,361	\$2,122,460	\$1,742,270	(\$380,190)
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ALLOCATION OF BENEFITS

Health Ins. Cost - Actives				\$96,592	\$72,398		\$127,035	
Pension Cost - Actives				\$7,279	\$7,279		\$8,260	
Workers Comp. Costs				\$21,420	\$23,757		\$15,825	
Life Insurance Costs				\$5,141	\$3,763		\$3,209	
Unemployment Costs				\$3,027	\$5,077		\$4,465	
Medicare Costs				\$0	\$0		\$16,707	
Total Benefits Allocated to Department				\$133,459	\$112,274	\$0	\$175,500	

Total Amount Earmarked for Department				\$2,073,217	\$1,820,635	\$2,122,460	\$1,917,770	
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FY11 Authorized Positions	Filled at Budget Dev.Time	FY12 Dept. Requests	FY12 Mayor's Proposed	Department	FY11 BOA Adopted	FY12 Dept. Requests	FY12 Mayor's Proposed
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LEGAL DEPARTMENT

1	1	1	1	CORPORATION COUNSEL	\$98,756	\$100,775	\$98,756
1	1	1	1	ASSISTANT CORPORATION COUNSEL	\$94,712	\$99,379	\$94,712
4	5	5	5	ATTORNEY-LITIGATORS	\$307,194	\$391,748	\$382,194
3	3	3	3	ATTORNEY-CORP. COUNSEL	\$203,981	\$209,081	\$203,981
1	1	1	1	LEGAL EXECUTIVE SECRETARY	\$58,782	\$58,779	\$58,779
2	2	2	2	PARALEGAL	\$115,288	\$115,292	\$115,292
3	3	3	3	LEGAL SECRETARY	\$121,388	\$121,396	\$121,396
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15	16	16	16	TOTAL FULL TIME	\$1,000,101	\$1,096,450	\$1,075,110
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PART TIME SALARIES

1	0	0	0	ATTORNEY-CORP. COUNSEL (PART TIME)	\$35,000	\$0	\$0
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TOTAL PART TIME					\$35,000	\$0	\$0
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**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 110 – OFFICE OF THE CITY CLERK

Mission Statement

The Office of the City Clerk of the City of Waterbury provides accurate records for our citizens and general public, Board of Aldermen and all Municipal boards and commissions meeting of the City of Waterbury in a friendly, professional and expedient manner.

Core Functions

The Office of the City Clerk of the City of Waterbury, which receives all correspondence for the Board of Aldermen, and most Municipal boards and commissions, is the keeper of records for the Board of Aldermen, municipal boards and commissions, is the public service agency to facilitate access to records of all municipal boards and commissions and is the recipient of all claims and writs served on the City.

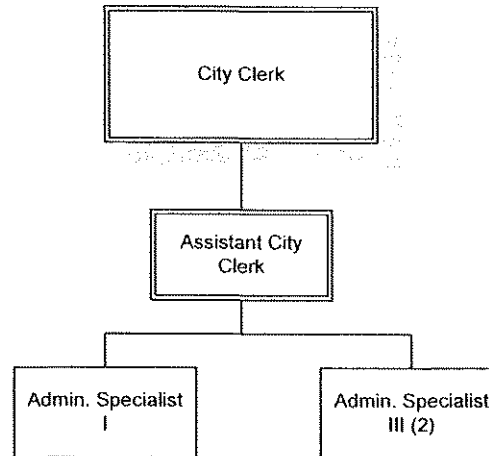
Departmental Goals—Fiscal Year 2011-12

1. To continue to advance communication on a daily basis with the Board of Aldermen.
2. To continue to support to our Boards and Commissions.
3. To modernize our office with new digital software that will allow for meeting minutes to be preserved for a longer period of time.
4. To organize and appropriately file all records into our storage location.
5. To have more of an on-line presence of meeting files available to the public.

Key Performance Measures

1. By November 1, 2011, to have ten years of meeting minutes in digital format.
2. By November 30, 2011, to have a larger portion of our files in digital format.

Organization Chart - City Clerk



Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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**OFFICE OF THE CITY CLERK
DEPT.# 1100001**

PERSONAL SERVICES

511500	Regular Salaries	\$184,284	\$199,770	\$212,730	\$211,286	\$208,172	\$206,784	(\$1,388)
511650	Overtime	\$28,173	\$23,823	\$25,714	\$9,000	\$15,000	\$15,000	\$0
511653	Longevity	\$405	\$405	\$405	\$405	\$405	\$405	\$0

PURCHASED PROPERTY SERVICES

543033	Service/Maintenance Contracts	\$376	\$410	\$310	\$450	\$420	\$325	(\$95)
544007	Office Equipment Rent and Lease	\$894	\$1,223	\$2,923	\$2,500	\$2,000	\$2,500	\$500

PURCHASED OTHER SERVICES

553001	Postage	\$1,199	\$1,000	\$806	\$1,100	\$900	\$850	(\$50)
559001	Advertising	\$6,205	\$7,586	\$3,276	\$4,000	\$4,000	\$3,300	(\$700)
559002	Printing and Binding	\$1,172	\$877	\$827	\$1,000	\$800	\$850	\$50

SUPPLIES

561206	Office Supplies	\$3,856	\$2,541	\$3,137	\$2,500	\$2,500	\$2,500	\$0
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OTHER

589200	Travel and Official Expenses	\$0	\$0	\$0	\$250	\$0	\$250	\$250
575200	Office Equipment	\$0	\$0	\$0	\$0	\$0	\$0	\$0

TOTAL		\$226,564	\$237,635	\$250,128	\$232,491	\$234,197	\$232,764	(\$1,433)
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ALLOCATION OF BENEFITS

Health Ins. Cost - Actives	\$32,197	\$24,133	\$39,698
Pension Cost - Actives	\$3,640	\$3,640	\$4,130
Workers Comp. Costs	\$7,140	\$7,919	\$4,945
Life Insurance Costs	\$1,714	\$1,254	\$1,003
Unemployment Costs	\$1,009	\$1,692	\$1,395
Medicare Costs	\$0	\$0	\$5,221
Total Benefits Allocated to Department	\$45,700	\$38,638	\$56,393

Total Amount Earmarked for Department	\$295,828	\$271,129	\$234,197	\$289,157
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FY11 Authorized Positions	Filled at Budget Dev.Time	FY12 Dept. Requests	FY12 Mayor's Proposed	Department	FY11 BOA Adopted	FY12 Dept. Requests	FY12 Mayor's Proposed
CITY CLERK							
1	1	1	1	CITY CLERK	\$59,653	\$59,653	\$59,653
1	1	1	1	ASSISTANT CITY CLERK	\$47,150	\$42,648	\$42,648
2	2	2	2	ADMIN. SUPPORT SPECIALIST III	\$81,355	\$81,356	\$81,355
1	1	1	1	ADMIN. SUPPORT SPECIALIST I	\$25,128	\$24,515	\$25,128
				SALARY GIVEBACK	(\$2,000)	\$0	(\$2,000)
<u>5</u>	<u>5</u>	<u>5</u>	<u>5</u>	TOTAL	<u>\$211,286</u>	<u>\$208,172</u>	<u>\$206,784</u>

**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 113 – OFFICE OF THE TOWN CLERK

Mission Statement

The mission of the Town Clerk's Office is to preserve and protect all records placed in the custody of this office and to provide a wide range of services to the public in a professional and courteous manner.

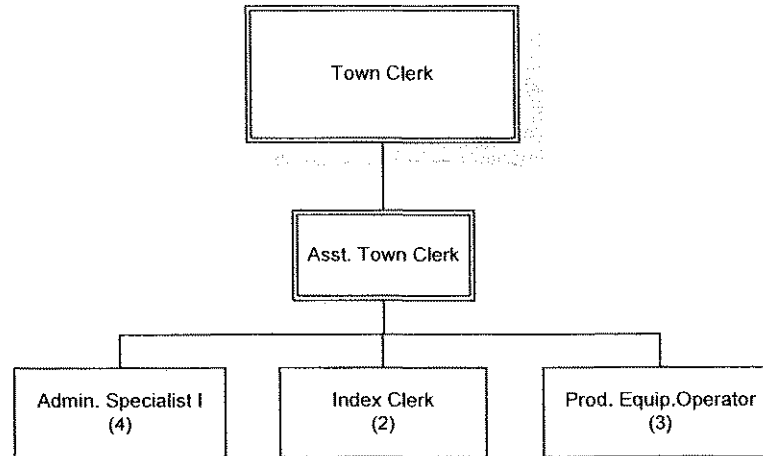
Core Functions

The Town Clerk is entrusted with the responsibility of recording and indexing all of the city's land records, issuing and recording all vital records including marriage licenses, birth certificates, burial permits, etc., issuing hunting, fishing and dog licenses and keeping records of such, recording military discharges, keeping notary, justice of the peace and campaign finance records, performing a variety of election related duties including the issuance of absentee ballots and preparation of state election reports. This office is also charged with the implementation of records management procedures that can be applied in other offices throughout the City and providing graphic design, printing and mail services to all city departments.

Departmental Goals—Fiscal Year 2011-12

1. Provide assistance and guidelines for implementing a records management program for all departments.
2. Continue scanning and microfilming all older land records and vital record volumes.
3. Continue inputting our vitals indices' for easier search and retrieval.
4. Develop a program where scanned land record images are visible from your home computer without losing valuable copy revenue.
5. Continue to expand the records management practices to offices throughout the City.

Organization Chart - Town Clerk



Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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**OFFICE OF THE TOWN CLERK
DEPT.# 1130001**

PERSONAL SERVICES

511500	Regular Salaries	\$387,710	\$378,098	\$397,494	\$397,746	\$398,809	\$396,809	(\$2,000)
511600	Temporary Salaries	\$0	\$3,793	\$0	\$4,000	\$4,000	\$4,000	\$0
511650	Overtime	\$2,150	\$3,755	\$1,949	\$3,000	\$3,000	\$2,000	(\$1,000)

PURCHASED PROPERTY SERVICES

543033	Service/Maintenance Contracts	\$3,307	\$3,651	\$3,373	\$5,000	\$3,600	\$3,500	(\$100)
544007	Rent/Lease	\$46,567	\$50,691	\$43,241	\$50,000	\$49,000	\$45,000	(\$4,000)

PURCHASED OTHER SERVICES

553001	Postage	\$11,977	\$10,838	\$11,304	\$12,000	\$12,000	\$12,000	\$0
559001	Advertising	\$4,883	\$911	\$6,243	\$4,000	\$4,000	\$4,000	\$0
559002	Printing	\$93,982	\$72,850	\$71,013	\$80,000	\$75,000	\$75,000	\$0

SUPPLIES

561206	Office Supplies	\$29,292	\$27,555	\$22,258	\$25,000	\$25,000	\$25,000	\$0
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PROPERTY

575200	Office Equipment	\$4,799	\$7,211	\$895	\$2,000	\$0	\$0	\$0
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OTHER

589206	Travel and Official Expenses	\$500	\$428	\$500	\$500	\$500	\$500	\$0
589900	Dues/Subscriptions/Publications	\$245	\$250	\$235	\$250	\$250	\$250	\$0

TOTAL		\$585,412	\$560,032	\$558,505	\$583,496	\$575,159	\$568,059	(\$7,100)
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ALLOCATION OF BENEFITS

Health Ins. Cost - Actives	\$77,273	\$53,092	\$87,336
Pension Cost - Actives	\$13,345	\$13,345	\$13,766
Workers Comp. Costs	\$17,136	\$17,422	\$10,880
Life Insurance Costs	\$4,112	\$2,760	\$2,206
Unemployment Costs	\$2,422	\$3,723	\$3,070
Medicare Costs	\$0	\$0	\$11,486
Total Benefits Allocated to Department	\$114,288	\$90,342	\$128,744

Total Amount Earmarked for Department	\$672,793	\$673,838	\$575,159	\$696,803
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FY11 Authorized Positions	Filled at Budget Dev.Time	FY12 Dept. Requests	FY12 Mayor's Proposed	Department	FY11 BOA Adopted	FY12 Dept. Requests	FY12 Mayor's Proposed
TOWN CLERK							
1	1	1	1	TOWN CLERK	\$59,653	\$59,653	\$59,653
1	1	1	1	ASSISTANT TOWN CLERK	\$49,802	\$48,856	\$48,856
4	4	4	4	ADMIN. SPECIALIST I	\$122,152	\$122,188	\$122,188
2	2	2	2	INDEX CLERK	\$72,868	\$72,856	\$72,856
3	3	3	3	PHOTOCOPY ASSISTANT	\$95,271	\$95,256	\$95,256
				SALARY GIVEBACK	(\$2,000)	\$0	(\$2,000)
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11	11	11	11	TOTAL	\$397,746	\$398,809	\$396,809
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**CITY OF WATERBURY
FISCAL YEAR 2011-12 BUDGET**

DEPT. 116 – DEPARTMENT OF HUMAN RESOURCES
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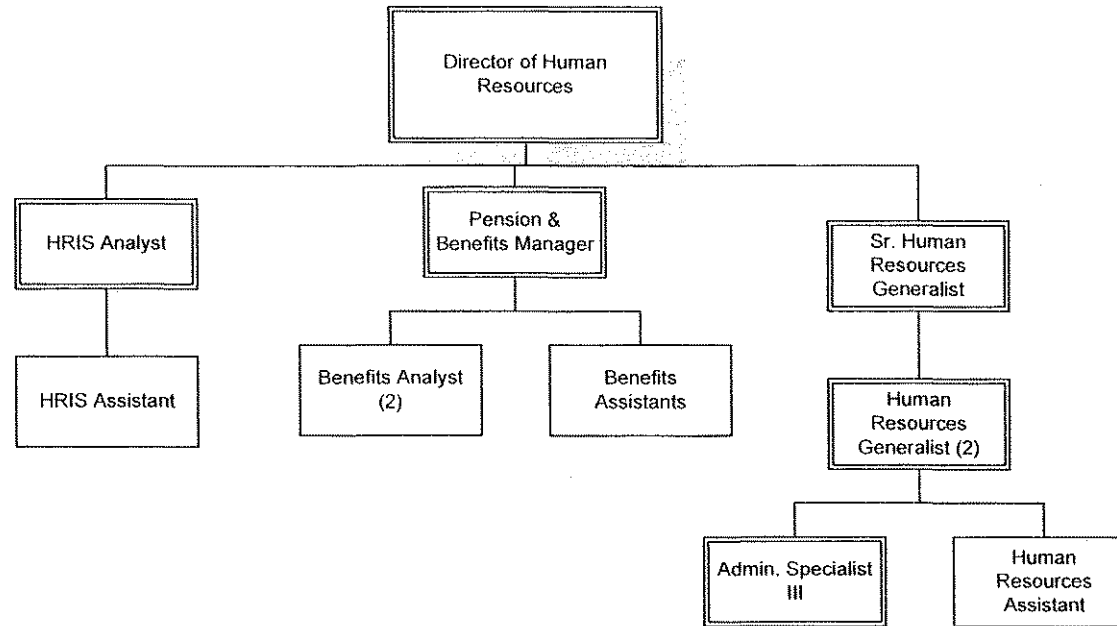
Mission Statement

The Charter defines the Human Resource's Department's mission. The Department provides for a civil service and merit system, whose goal is to assure recruitment of the best available persons to appointment to vacant positions, advance equal employment opportunity and affirmative action, and continue training and evaluation of employees and, to bargain fairly with the collective bargaining representatives of employees.

Departmental Goals – Fiscal Year 2011-12

1. Increase City Departments participation in Annual Performance Evaluation
2. Continue development of HRIS system to maximize efficiencies
3. Conduct Benefit Plan Audits – Processes, administration and recordkeeping issues. Audit and solve, reduce paper parts. Budget premiums against actual losses and expenses
4. Work with City's Record Management Committee to ensure that HR/Civil Service is efficiently utilizing the prescribed retention program
5. Conduct Needs Analysis for Training city wide.

Organization Chart - Human Resources



Account Number	DEPARTMENT	AUDITED ACTUALS FY08	AUDITED ACTUALS FY09	AUDITED ACTUALS FY10	FY11 ADOPTED BUDGET	FY12 DEPT. REQUESTS	FY12 MAYOR'S PROPOSED BUDGET	FY12 PROPOSED CHANGES
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**DEPARTMENT OF HUMAN RESOURCES
DEPT.# 1160001**

<u>PERSONAL SERVICES</u>								
511500	Regular Salaries	\$611,057	\$633,559	\$723,409	\$744,940	\$759,810	\$721,661	(\$38,149)
511650	Overtime	\$833	\$864	\$1,201	\$1,500	\$1,500	\$1,200	(\$300)
511653	Longevity	\$505	\$505	\$552	\$505	\$505	\$505	\$0
<u>PURCHASED PROFESSIONAL SERVICES</u>								
533022	Professional Services	\$37,027	\$53,708	\$35,735	\$30,000	\$55,000	\$30,000	(\$25,000)
539002	Pre-Employment Physicals	\$40,883	\$43,053	\$18,545	\$30,000	\$30,000	\$20,000	(\$10,000)
539003	Training Fees	\$32,407	\$17,272	\$13,764	\$10,000	\$10,000	\$10,000	\$0
<u>PURCHASED PROPERTY SERVICES</u>								
543033	Service/Maintenance Contracts	\$3,094	\$3,155	\$3,048	\$3,750	\$3,750	\$3,500	(\$250)
<u>PURCHASED OTHER SERVICES</u>								
553001	Postage	\$18,704	\$16,405	\$15,538	\$15,000	\$15,000	\$15,000	\$0
559001	Advertising	\$13,291	\$14,158	\$8,636	\$10,000	\$10,000	\$9,000	(\$1,000)
559002	Printing Services	\$2,387	\$2,494	\$881	\$2,000	\$2,000	\$1,000	(\$1,000)
<u>SUPPLIES</u>								
561200	Office	\$4,705	\$4,909	\$4,932	\$3,000	\$3,000	\$3,000	\$0
<u>PROPERTY</u>								
575008	Furniture and Furnishings	\$987	\$571	\$1,005	\$400	\$400	\$400	\$0
<u>OTHER</u>								
589206	Travel and Official Expenses	\$442	\$981	\$254	\$438	\$400	\$300	(\$100)
589500	Petty Cash	\$0	\$0	\$0	\$100	\$0	\$0	\$0
589900	Dues/Subscriptions/Publications	\$193	\$488	\$255	\$550	\$550	\$275	(\$275)
TOTAL		\$766,513	\$792,122	\$827,755	\$852,183	\$891,915	\$815,841	(\$76,074)